

APPLICATION FOR
COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) ENTITLEMENT PROGRAM
 — 2014 Program Year Funding —

ACTIVITY NAME: REHABILITATION OF THE ALLEN DRIVE APARTMENT COMPLEX

APPLICANT: SARATOGA AFFORDABLE HOUSING GROUP (SAHG)

MAILING ADDRESS: 1214 SARATOGA ROAD
BALLSTON SPA, NEW YORK Zip: 12020

PHONE: 518-453-0850 FAX: 518-453-0856 EMAIL: ROCKY@CDRPC.ORG

CONTACT PERSON: ROCCO FERRARO TITLE: PRESIDENT

APPLICANT (select 1): City Department Private non-profit organization Other Public Agency
(List Dept.) (List Federal ID #) (Specify)

26-1210093

832926245
(DUNS #)

NATIONAL OBJECTIVE (select 1):

"Benefit persons of Low/moderate income"

"Address slum/blight Conditions"

"Urgent CD Need"

- L/M Income Area Benefit
- L/M Income Limited Clientele Activities
- L/M Income Housing Activities
- L/M Income Job Creation/Retention

- "N/A" Slum/blighted Area
- Slum/blighted Spot Basis
- "N/A" Urban Renewal Completion

"N/A" Urgent Need

REQUESTED ENTITLEMENT FUNDING: \$28,196.49

Funding Leveraged from Other Sources: \$ 2,819.64

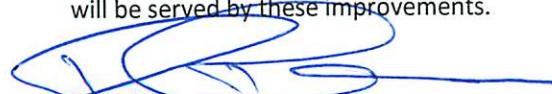
Total Activity Cost: \$31,016.13

Proposal Abstract - please provide a *brief* overview of your proposal including the number of persons that will be served with this grant in the space below:

We are requesting funds required to replace gutters, downspouts and soffit at 35, 37, 38, 39, 40, 41 and 42 Allen Drive, Saratoga Springs (a total 24 apartments). In addition, we are requesting funding for future repairs needed to repair the drainage systems in three apartments. A total 36 persons will be served by these improvements.

The Gutters/downspout/soffit replacement is needed because the existing gutters, which are cracked and inefficient, are causing the water runoff to leak back under the soffit – this is not only causing damage to the current soffit but is causing mold to form on the outside of the buildings.

The funds we are requesting for the drainage repairs are based on similar repairs made on the three apartments this past year; since all 24 apartments were built at the same time, we believe that the drainage problem will continue with most of these units over the next several years; having the funding for three will give us the opportunity to address three more units over the next year as these problems come to light. A total of 4-6 persons will be served by these improvements.


 (Authorized Signature)

ROCCO FERRARO
 (Typed or Printed Name)

PRESIDENT
 (Title)

1/29/14
 (Date)

Please respond in writing to each of the following (add additional pages as necessary):

Activity Description

- A) **The activity proposed is new.**
- B) **Describe the community need that your activity is intended to address and how your activity will address that need. Provide evidence that this need is currently not being addressed through existing programs or activities. Since it is well documented that there is a severe lack of affordable housing in the City of Saratoga Springs, anything that can be accomplished to preserve the low income housing stock that does exist, makes sense. The two projects we are proposing will accomplish this end; in addition, and more importantly, the quality of life for these low income residents will be improved by these proposed projects. A total of 40-42 individuals will be directly and positively affected by these improvements.**
- C) **Identify who will benefit from the proposed activity. If the activity is designed to benefit individual persons of low to moderate income, describe the process you will use to identify these persons and determine their income eligibility and the number of persons you expect to serve: Saratoga County Rural Preservation Company (SCRPC), the property managers for the Saratoga Affordable Housing Group (SAHG) manages the Section 8 voucher program for Saratoga County; all residents income have been certified by SCRPC using the protocol outlined by HUD.**
- D) **Identify your performance goals and the types of indicators you will use to document activity accomplishments and successes. 40-42 low to moderate income persons will benefit from this grant by improving the quality of life for these residents of the 24 units in question; our aim is to continue to bring these units up to acceptable standards so that all residents, regardless of their income status, live in apartments that meet or exceed acceptable community standards.**
- E) **Provide an activity time frame /schedule (include start, completion dates and other significant performance stages). Work to start on March 1, 2015 for the gutters/downspouts/soffits and be completed by April 15, 2015. Work on the proposed three units requiring drainage repairs will be addressed as needed.**
- F) **Identify whether the activity requires additional local, state or federal approval. SCRPC and SAHG will insure that all applicable permits, if any, are secured prior to the start of these projects.**

Organizational Capacity

- a) **Provide an overview of your organization including length of time in existence. List current officers and board members and identify any prior funding by the city of Saratoga Springs. The Saratoga Affordable Housing Group (SAHG) is a non-profit corporation in the City of Saratoga Springs that is working to secure affordable housing for low to moderate income families. The mission of SAHG is: To provide quality, innovative housing for families in need; to promote self-sufficiency and economic independence; and to build a thriving community within the City of Saratoga Springs. However, the major objective of SAHG is to enable families, who do not make much more than minimum wage, to secure affordable rental units within the city. SAHG has been in operation since November 19, 2007.**

The Board President is Rocco Ferraro, Executive Director of the Capital District Regional Planning Commission; Sister Charla Commins is the Executive Director of Catholic Charities; Steve Sullivan is a local business owner; Bill Stanley is the Vice-President of the NAACP; Richard Ferguson is a bank executive; Robert Pasciullo and Scott Peterson are local Attorneys from the City of Saratoga Springs.

Prior Funding

2010 – New Windows for bldgs # 35, 37, 39 and 41: Total award - \$100,000.00

2011 – Parking Lot/sidewalks: Total award - \$99,239.00

2012 – No request made for funding

2013 – Carpeting and new siding for Bldgs # 40 and 42: Total award - \$43,464.00

- b) *Describe your organization's experience in successfully conducting this type of activity. Identify any skills, current services, or special accomplishments that demonstrate your capacity for success.* Saratoga County Rural Preservation company (SCRPC) is the property management company for SAHG. SCRPC has undertaken both rehabilitation work and new construction projects throughout its 33 year history, including site renovation, apartment modernization and new construction; included in these projects are a 12 unit veterans apartment complex in Wilton, a two family veterans home in Ballston Spa, a transitional facility for male veterans and a transitional facility for women veterans, both located in Ballston Spa.
- c) *Identify the person(s) responsible for the program and the financial management of the activity.* A.C Mazurek is the Executive Director of SCRPC and he, along with the President of SAHG, Rocco Ferraro, will be responsible for all aspect of the project. Both individuals have many years of experience in managing and administering construction and housing projects. Both will be responsible for the financial management for this project.
- d) *Identify any other agencies/partners involved in this activity.* None.

Activity Budget

Gutters/downspout/soffit Scope of Work and Cost Estimates

- a) Remove old gutters and downspouts and replace with new gutters/downspouts on six buildings (24 apartments) – Total cost: \$6,838.00
- b) Install J-channel and solid soffit under the eaves on all six buildings – Total cost: \$12,440.00

Repairing the drainage system Scope of Work and Cost Estimates

- a) Cleaning of drains leading to city system and restoration as required in three apartments – Total cost: \$8,918.46 for three units

Total cost of all projects - \$28,196.46

OFFICE OF MANAGEMENT AND BUDGET (OMB) CIRCULAR A-133
MONITORING OF FEDERAL FINANCIAL ASSISTANCE TO SUBRECIPIENTS

ORGANIZATION: SARATOGA AFFORDABLE HOUSING GROUP
MAILING ADDRESS: 1214 SARATOGA ROAD, BALLSTON SPA, NY 12020
FEDERAL ID #: 26-1210093 PHONE: 518-885-0091 FAX: 518-885-0998
DUNS #: 832926245

1. Please identify your fiscal year (mth/yr to mth/yr): JULY 1 TO JUNE 30

Please identify below the funding received during your last fiscal year:

2. Community Development Block Grant Entitlement Funding (CDBG):

CDBG Activity Name: REHABILITATION OF ALLEN DRIVE APARTMENTS

CDBG Funding Program Year: 2013 CDBG Funding Amount: \$43,464.00

3. Other Federal Financial Awards (cash & non-cash):

GIVE NAME & CATALOG OF FEDERAL FINANCIAL ASSISTANCE (CFDA) #

AMOUNT OF AWARDS

GIVE NAME & CATALOG OF FEDERAL FINANCIAL ASSISTANCE (CFDA) #	AMOUNT OF AWARDS
_____	_____
_____	_____
_____	_____

4. During your last fiscal year, has your organization expended more than \$500,000 in total federal financial awards (incl. CDBG & all other federal assistance)? YES * NO

* If "yes", include a copy of your latest Single Audit Report with this completed and signed form as part of your application. If you answered "no", please complete, sign and return this form.

5. Are you aware of any financial audit violations, findings or questioned costs relating to any activity funded with federal financial assistance? YES * NO

* If "yes", please describe: _____

6. Other Saratoga County Awards (cash & non-cash):

IDENTIFY PROGRAM NAME & YEAR OF AWARD

IDENTIFY AMOUNT OF CO. AWARDS

CDBG 2010
CDBG 2011
CDBG 2013

\$100,000.00
99,239.00
43,464.00


Authorized Signature

1/29/12
Date