COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) ENTITLEMENT PROGRAM

DRAFT

2015 CONSOLIDATED PLAN

FOR

THE CITY OF SARATOGA SPRINGS

B15-MC-36-0017

JOANNE D. YEPSEN, MAYOR
SARATOGA SPRINGS, NEW YORK 12866-2216

SUBMITTED TO THE:
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Equal Housing Opportunity

THE CITY OF SARATOGA SPRINGS DOES NOT DISCRIMINATE ON THE BASIS OF AGE, RACE, COLOR, GENDER, RELIGION, HANDICAP, SEXUAL ORIENTATION, FAMILIAL STATUS OR NATIONAL ORIGIN IN EMPLOYMENT OR THE PROVISION OF SERVICES.

Executive Summary

ES-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City's Consolidated Plan is a multi-year planning document that identifies City housing and non-housing community development priorities and establishes a coordinated plan for annual Entitlement funding to achieve program objectives.

The Office of Community Development is responsible for administering the City's community development program. A multi-member Community Development Citizen Advisory Committee, with staff support from the Office of Community Development, is responsible for community outreach and with assistance in preparing the Consolidated Plan and annual Action Plans. The five-member City Council has the legislative authority for Consolidated Plan and Action Plan approval.

The 2015 Consolidated Plan covers a 5-year period beginning July 1, 2015 and ending June 30, 2020 with interim updates as necessary.

2. Summary of the objectives and outcomes identified in the Plan Needs Assessment Overview

At the heart of this consolidated planning process is the City's intent to meet the three statutory goals embodied in Federal housing legislation governing community development programs:

1. Provide Increased Access to Decent, Affordable Housing

- Maintain the affordability of existing housing stock

- Assist homeless and persons at risk of becoming homeless to obtain affordable housing

- Increase the availability of safe, decent, affordable permanent housing to all persons without discrimination

- Increase supportive housing and services that enable persons with special needs to live in dignity and independence

- Provide affordable housing that is accessible to job opportunities

OMB Control No: 2506-0117 (exp. 07/31/2015)

2. Promote a Suitable Living Environment

- Improve the safety and livability of neighborhoods

- Increase access to quality public and private facilities and services

- Reduce the isolation of income groups through a broad distribution of affordable housing opportunities and the continued revitalization of deteriorating neighborhoods

- Restore and preserve properties of special historic, architectural, or aesthetic value

- Conserve energy resources

3. Encourage Expanded Economic Opportunities

- Promote job creation and retention for persons of low and moderate income

- Establish, stabilize and expand small business

- Develop and maintain public services needed to enhance employment opportunities

- Ensure the availability of mortgage financing using non-discriminatory lending practices

- Provide access to capital and credit for development activities that promote the long-term economic and social viability of the City

- Encourage self-sufficiency to reduce generational poverty in public and assisted housing

The Saratoga Springs Consolidated Plan is intended, therefore, as a multi-year planning document that identifies the City's housing and non-housing community development priorities and establishes a coordinated plan for federal and non-federal investment to achieve the objectives of the Saratoga Springs community development program.

3. Evaluation of past performance

See Accomplishments Attachment

4. Summary of citizen participation process and consultation process

2000 - 2014 Consolidated Plan - Activities & Accomplishment to date

Category/Priority (hh = households; pp = persons)	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
HOUSING						
High Priority	New Affordable Housing Construction				$ 99,239	0.01
	Saratoga Affordable Housing, Inc.	2008-R	$ 99,239	New Affordable Housing Building Site Plan - 11 rental units; completed		
High Priority	Affordable Housing Reconstruction/Opportunities				$ 996,765	0.12
	85 Beekman St.	2000	$ 46,700	1 unit completed		
	HARP	2001	$ 90,000	Reprogrammed to 2004 Residential Rehab. Program		
	1st Time Homebuyers Education & Grant	2001	$ 95,000	60 pp completed education; 9 grants delivered		
	Affordable Housing Trust Fund	2004	$ 125,000	2 hh assisted		
	Affordable Housing Trust Fund	2005	$ 100,000	25 hh assisted		
	Saratoga Affordable Housing, Inc.	2008	$ 150,000	25 hh assisted and 48 pp		
	Saratoga Affordable Housing, Inc.	2009	$ 150,000	24 hh assisted and 48 pp		
	Saratoga Affordable Housing, Inc.	2010	$ 100,000	24 hh assisted and 48 pp		
	Saratoga Affordable Housing, Inc.	2011	$ 67,043	25 hh assisted and 43 pp		
	Saratoga Affordable Housing, Inc.	2013	$ 43,464	4 hh assisted, in progress on extension		
	Saratoga Affordable Housing, Inc.	2014	$ 29,558	12 hh assisted, in progress		
High Priority	Housing Rehabilitation				$ 1,489,265	0.18
	Citywide Res. Rehab. Program	2000	$ 120,000	18 hh completed		
	Shelters of Saratoga - Dublin Rehab.	2000	$ 10,000	20 hh completed - leveraged w/NYSDHCR funds		
	Citywide Res. Rehab. Program	2001	$ 52,500	10 hh completed		
	Citywide Res. Rehab. Program	2002	$ 100,000	19 hh completed		
	Shelters of Saratoga - Dublin Rehab.	2002	$ 14,000	19 hh completed		
	Citywide Res. Rehab. Program	2003	$ 100,000	10 hh completed		
	Shelters of Saratoga - Emergency Repair	2003	$ 43,800	19 hh completed		
	Citywide Res. Rehab. Program	2004	$ 100,000	8 hh completed		
	Shelters of Saratoga - Dublin Rehab.	2004	$ 20,000	7 hh completed		
	Citywide Res. Rehab. Revolving Loan Program	2005	$ 2,618	1 hh completed		
	Citywide Res. Rehab. Program	2006	$ 128,546	8 1/2 hh completed		
	Citywide Res. Rehab. Program	2007	$ 122,800	18 hh assisted completed		
	Citywide Res. Rehab. Program	2008	$ 75,638	6 hh assisted; completed		
	Citywide Res. Rehab. Program	2009	$ 72,802	8 hh assisted; completed		
	Shelters of Saratoga - Rehab. Program	2009	$ 15,200	4 hh assisted; completed		
	Rebuilding Together	2009	$ 10,000	6 hh, 3 public facilities, 84 pp assisted; completed		
	Citywide Res. Rehab. Program	2010	$ 135,123	11hh; 15pp assisted; completed		
	Rebuilding Together	2010	$ 5,000	4hh & 2 NFP assisted; 81pp, completed		
	Citywide Res. Rehab. Program	2011	$ 72,375	4 hh assisted; in progress		
	Rebuilding Together	2011	$ 8,383	6hh & 1 NFP assisted; 28pp, completed		
	Citywide Res. Rehab. Program	2012	$ 75,000	in progress		
	Rebuilding Together	2012	$ 10,000	5hh & 2 NFP assisted, completed		
	Rebuilding Together	2013	$ 10,473	8hh assisted; completed		
	Citywide Res. Rehab. Program	2014	$ 105,564	in progress		
	Rebuilding Together	2014	$ 10,557	12hh assisted, completed		

Category/Priority	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
High Priority	Franklin Community Center	2010	$ 8,000	17 pp completed		
		2011	$ 23,886	18 pp completed		
		2012	$ 14,830	19 pp; completed		
		2014	$ 22,170	17 pp assisted: in progress		
High Priority	Housing Rehabilitation Loans				$ -	0.000
	0 loan provided	ongoing	$ -			
High Priority	Expand Section 8 Rental Subsidy Program			no expansion of vouchers has been requested by SSHA		
HOMELESS & SPECIAL NEEDS POPULATION					$ 2,888,689	0.35
High Priority	Additional Affordable Housing Opportunities					
	Shelters of Saratoga - 128 Grand Ave.	2001	$ 71,500	4 elderly units; completed		
	Continuum of Care - Rental Assistance program	2001	$ 731,700	26 disabled homeless hh completed (5 years)		
		2007	$ 241,272	26 disabled homeless hh completed		
		2008	$ 246,096	26 disabled homeless hh complete		
		2009	$ 247,812	26 disabled homeless hh complete		
		2010	$ 255,120	21 disabled homeless hh complete		
		2011	$ 246,732	24 disabled homeless hh complete		
		2012	$ 277,659	26 disabled homeless hh; complete		
		2013	$ 279,831	26 disabled homeless hh; in progress		
		2014	$ 290,967	starts in 8/15		
High Priority	Expanded Emergency Shelter and Transitional Housing for Homeless Youth and Families with Children				$ 214,071	0.03
	Mother Andersen Emergency Shelter	2004	$ 10,000	5 pp completed		
		2005	$ 25,000	14 pp completed		
		2006	$ 25,000	17 pp completed		
		2007	$ 28,000	29 pp completed		
		2008	$ 15,577	18 pp completed		
		2009	$ 14,700	24 pp completed		
		2010	$ 8,000	19 pp completed		
		2011	$ 14,667	60 pp completed		
		2012	$ 15,000	35 pp completed		
		2013	$ 9,127	46 pp completed		
		2014	$ 19,000	29 pp; in progress		
	Shelters of Saratoga - Transitional Housing	2009	$ 5,000	4 pp completed		
	Shelters of Saratoga - 2nd Step - Transitional Housin	2010	$ 25,000	10 pp assisted; complete		
High Priority	Supportive Services to Assist Homeless Individuals and Families with Children				$ 91,742	0.01
	EOC - Bldg. Expansion	2001	$ 34,000	1 facility completed		
	Center for the Family - Child Advocacy Ctr.	2002	$ 25,000	1 facility completed		
		2003	$ 8,000	1 facility completed		
		2006	$ 14,185	1 facility completed		
	Legal Aid Society - New Building Acqusition	2014	$ 10,557	1 facility- in progress		

Category/Priority	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
PUBLIC SERVICE ACTIVITIES						
High Priority	Public Service Activities to prevent homelessness & address the needs of the elderly, youth and Special Needs population.				$ 649,055	0.08
	HOMELESS					
	Domestic Violence/Center for the Family	2000	$ 14,000	128 pp assisted		
	Domestic Violence Shelter case manager	2004	$ 13,000	140 pp assisted		
		2005	$ 16,000	125 pp assisted		
		2006	$ 16,000	105 pp assisted		
		2007	$ 15,000	99 pp assisted		
		2008	$ 15,000	103 pp assisted		
		2009	$ 15,000	134 pp assisted		
		2010	$ 17,000	110 pp assisted		
		2011	$ 12,573	84 pp assisted		
		2012	$ 10,000	101 pp assisted		
		2013	$ 10,473	102 pp assisted; completed		
		2014	$ 13,195	60 pp assisted; in progress		
	EOC - Spanish Center	2005	$ 11,000	797 pp assisted		
	EOC - Homeless Bilingual Outreach	2007	$ 8,500	315 pp assisted		
	EOC- Racecourse Housing & Support Program	2008	$ 12,000	332 pp assisted		
	EOC - Multicultural Services	2009	$ 5,000	277 pp assisted		
	EOC- Multi-Cultural Advocacy Program	2011	$ 3,355	217 pp assisted		
	EOC - Multicultural Services	2012	$ 8,000	181 pp assisted; completed		
	EOC - Multicultural Services	2014	$ 7,918	275 pp assisted; in progress		
	Shelters of Saratoga - Code Blue Coordinator	2014	$ 10,557	2pp; in progress		
	Mother Susan Anderson Emergency Shelter	2008	$ 3,000	18 pp completed		
		2010	$ 3,000	19 pp completed		
		2011	$ 3,355	39 pp completed		
		2012	$ 5,000	35 pp completed		
	Legal Aid - homelessness prevention	2001	$ 15,000	37 hh assisted		
		2002	$ 15,000	46 hh assisted		
		2003	$ 15,000	61 hh assisted		
		2004	$ 15,000	120 pp assisted		
		2005	$ 16,000	47 hh assisted		
		2006	$ 16,000	98 pp assisted		
		2007	$ 13,000	30 hh assisted		
		2008	$ 12,000	39 hh assisted		
		2009	$ 12,000	46 hh assisted		
		2010	$ 12,000	52 hh assisted		
		2011	$ 10,059	49 pp assisted		
		2012	$ 8,000	37 hh assisted		
		2013	$ 8,378	48 pp assisted; completed		
		2014	$ 8,447	22 pp assisted; in progress		
High Priority	YOUTH					
	Catholic Charities - Mentoring Program	2000	$ 26,000	66 pp assisted		
	Targeting Youth	2001	$ 20,000	40 pp assisted		
		2002	$ 20,000	45 pp assisted		
		2003	$ 20,000	68 pp assisted		

Category/Priority	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
		2004	$ 15,000	84 pp assisted		
		2005	$ 6,000	110 pp assisted		
		2006	$ 9,500	114 pp assisted		
		2007	$ 12,000	140 pp assisted		
		2008	$ 8,000	139 pp assisted		
		2009	$ 10,000	164 pp assisted		
		2010	$ 9,000	121 pp assisted		
		2011	$ 8,383	101pp assisted		
		2012	$ 10,000	83 pp assisted		
		2013	$ 6,284	65 pp assisted; completed		
		2014	$ 7,390	66pp assisted; in progress		
	Police Dept. - Child Safety Seats	2000	$ 3,000	28 pp assisted		
	Tomorrow's Promise/Dance Alliance	2002	$ 1,500	26 pp assisted		
		2003	$ 2,250	114 pp assisted		
		2004	$ 2,000	183 pp assisted		
		2005	$ 3,000	177 pp assisted		
		2006	$ 3,500	40 pp assisted		
		2007	$ 1,500	54 pp assisted		
	Salvation Army - targeting youth	2002	$ 5,000	Reprogrammed		
	Social Justice Center - targeting youth	2003	$ 5,890	Reprogrammed		
	Saratoga Youth Court - targeting youth	2010	$ 8,000	Reprogrammed		
	Saratoga Sponsor-A-Scholar	2010	$ 1,000	Reprogrammed		
	Saratoga Sponsor-A-Scholar	2013	$ 1,048	32 students assisted; in progress		
Med. Priority	ELDERLY					
	Senior Citizen Center - targeting elderly	2000	$ 6,000	38 pp assisted	$ 63,498	0.01
		2001	$ 15,000	396 pp assisted		
		2003	$ 17,025	270 pp assisted		
		2004	$ 10,000	642 pp assisted		
	Senior Citizen Advocate	2013	$ 10,473	51 pp assisted; completed		
	Home Made Theater - targeting elderly	2003	$ 5,000	150 pp assisted		
	SPECIAL NEEDS POPULATION					
	Literacy NENY	2009	$ 8,000	38 pp assisted	$ 22,473	0.003
		2012	$ 4,000	21 pp assisted		
		2013	$ 10,473	47 pp assisted; completed		

Category/Priority	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
NON-HOUSING COMMUNITY DEVELOPMENT					$ 661,033	0.08
Med. Priority	Infrastructure Improvements					
	Henry St. Area curbs, sidewalk	2000	$ 30,785	9,291 sq. ft. completed		
	Northside Area curbs, sidewalk	2000	$ 46,177	6,678 sq. ft. completed		
	Curb, sidewalk in eligible l/m areas	2001	$ 50,000	8,217 sq ft. completed		
	Jefferson St. Drainage	2001	$ 14,000	350 ft. completed		
	Elm St. stormwater	2001	$ 5,051	260 ft. completed		
	Beekman St. Redevelopment	2002	$ 40,000	16 St. lights, sidewalks and curbs completed		
	128 Grand Ave. infrastructure	2003	$ 5,000	Completed 2006 4 Senior Apartments		
	Curb, sidewalk in eligible l/m areas	2003	$ 78,647	8,349 sq.ft. sidewalk, 1140 l.ft. curbs to date completed		
	Beekman St. Redevelopment	2003	$ 59,553	990 pp in Census Block		
	SSHA - Infrastructure Proj.	2004	$ 7,000	storm drainage/resurfacing completed		
	DPW - Infrastructure Proj	2004	$ 75,000	821 pp in Census Block		
	DPW - Infrastructure Proj	2005	$ 56,910	8535 sq ft sidewalks & 788 lin ft. curbs completed		
	Southside Recreation Field Improvements	2005	$ 20,000	436 pp in Census Block		
	DPW - Infrastructure Proj	2007	$ 50,000	750 ft sidewalk, 155 li. Ft. curbs $6,240 only used; $43,760 reprogrammed to 2009 PY		
	DPW - Vanderbilt Ave. Drainage Proj.	2007	$ 22,000	20' 8" pipe, frame & grate. $750 only used; $21,250 reprogrammed to 2009 PY		
	DPW - Infrastructure Proj	2009	$ 10,000	1360 ft. of sidewalk		
	DPW - Infrastructure Proj	2010	$ 10,000	2050 sq ft sidewalk - 997 pp		
	DPW - Infrastructure Proj	2011	$ 25,143	605 feet sidewalk - 483 pp		
	DPW - Infrastructure Proj	2012	$ 20,000	30 feet sidewalk installed - 987 pp		
	DPW - Infrastructure Proj	2013	$ 15,710	242 linear feet of sidewal (1210 square feet was installed); 980 pp		
	DPW - Infrastructure Proj	2014	$ 20,057	84 linear feet of sidewalk;		
Med. Priority	Public Facility				$ 597,855	0.07
	SSHA - Playground & Walk	2002	$ 18,000	2 recreational units & sidewalk completed		
	EOC - soup kitchen flooring	2002	$ 16,000	completed		
	EOC - Access Parking Lot	2004	$ 15,000	completed		
	Senior Center Public Facility Improvements	2006	$ 168,678	17,503 duplicated pp assisted; complete		
	Senior Center Public Facility Improvements	2007	$ 15,000	1 public facilty completed, 550 pp assisted		
	Senior Center Public Facility Improvements	2009	$ 11,500	1 public facilty completed, 820 pp assisted		
	Senior Center Public Facility Improvements	2009	$ 2,328	1 public facility completed, see above for pp assisted		
	Senior Center Public Facility Improvements	2010	$ 14,000	1 public facilty completed;1525 pp assisted		
	Senior Center Public Facility Improvements	2011	$ 24,028	1 public facility completed; 1831 pp assisted		
	Senior Center Public Facility Improvements	2012	$ 47,418	1 public facility; 2141 pp assisted		
	Senior Center Public Facility Improvements	2013	$ 30,186	1 public facility, 1880 pp assisted		
	Planned Parenthood	2006	$ 25,000	1611 pp; complete		
	Franklin Community Center	2006	$ 23,541	13016 pp;completed		
		2007	$ 10,000	1283 pp; completed		
		2008	$ 10,000	1512 pp; completed		
		2009	$ 15,000	1616 pp to date: completed		
	Saratoga Springs Recreation - Playground	2012	$ 36,969	442 pp; completed		
	Saratoga Hosptial Community Health Center	2013	$ 115,207	1,104 pp assisted; completed		
Med. Priority	Economic Development Revolving Loans				$ 420,500	0.05
	ED RLProgram recapitalization	2002	$ 79,500	completed		
	6 Economic Dev. Loans delivered	ongoing	$ 341,000	25 total FTE positions to be created/retained		

Category/Priority	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
Med. Priority	Planning				$ 10,000	0.00
	Westside Neighborhood Plan	2001	$ 10,000	completed; Upstate NY & National APA awards winner		
Med. Priority	Transportation			no projects to date		
Med. Priority	Historic Preservation				$ 105,890	0.01
	Frederick Allen Lodge #609	2007	$ 25,000			
		2008	$ 10,000			
		2009	$ 12,000			
		2010	$ 10,000			
		2011	$ 18,723			
		2013	$ 12,568			
	Universal Preservation Hall	2012	$ 17,599			
Total					$ 8,310,075	1.00

The 2015 consolidated planning and annual action plan process began in December 2014 with the notice of the release of the 2015 CDBG funding applications. By the application deadline of January 30, 2015, the City received seventeen applications seeking a total of over $610,000 in funding.

Following this deadline, the Citizen Advisory Committee noticed and held two public meetings: the first, on February 5, 2015, held at 6:00 pm in the Recreation Center located in the Public Housing Area on the Eastside of the City - the area of greatest low/moderate income concentration; and the second, on February 10, 2015, at 6:00 pm in the centrally-located City Hall.

The Citizen Advisory Committee then met in the evening of February 17 to discuss each application in detail. Each Committee Member was then asked to evaluate and score each proposal on an 8-variable matrix including project readiness, organizational capacity, number of persons assisted, financial leveraging, long-term benefit, and consistency with identified City Consolidated Plan priorities. On February 25, the Committee met again to review the matrix evaluation and, after much deliberation and discussion, reached consensus on a slate of recommended funding.

The Citizen Advisory Committee recommendations were presented to the City Council on March 3 and a 30-day public comment began through April 2, 2015. During this comment period, the City Council noticed and held a formal public hearing on these recommendations on Tuesday, March 17. At its April 21 meeting, the City Council unanimously approved the 2015 funding recommendations as presented.

On May 11, 2015, the Community Development Citizen Advisory Committee met to discuss the results of the Citizen Survey sent out to over 150 organizations. After much discussion, the Committee reaffirmed the City's current priorities of assisting the full spectrum of housing assistance along with opportunities to assist the elderly and youth populations. The need to increase transportation access to thoses of low-moderate income was moved to High Priority. The Advisory Committee voted and approved unanimously these priorties for the next 5 years.

5. Summary of public comments

- The need for Affordable Housing is the number one comment on the surveys collected.

- Need for Housing and services for the homeless.

- Need for Senior programs

- There is a lack of transportation connections.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments received were incorporated into the program evaluations and priority settings.

7. Summary

Proposed 2015 – 2020 Consolidated Plan Funding Priorities

"High Priority"

The following activities will continue to receive the highest priority for federal funding:

Housing Needs

It is recommended that the highest funding priority be for activities that address the "continuum" of housing needs for persons of low- and moderate-income:

- homelessness prevention
- emergency shelter for individuals and families with children
- transitional housing and related support services (especially for families with children)
- rehabilitation of existing housing stock
- creation of new permanent affordable housing

Transportation access to link housing with employment opportunities and critical support services.

Public Service Activities for At-risk Youth, Seniors and Special Needs population

In addition to housing needs, it is recommended that public service activities that assist the most vulnerable segments of our population such as at-risk youth, seniors, veterans and the special needs population are also considered high funding priorities.

In addition, the Citizen Advisory Committee recognizes that non-housing community development activities are integral components in promoting a sound living environment and encouraging expanded economic opportunities for persons of low- and moderate-income. As such, the following activities are attributed with a medium, yet important, funding priority.

"Medium Priority"

The following activities are identified as a medium priority and may be expected to receive funding particularly in concert with leveraged, non-federal sources.

Targeted Infrastructure Improvements

Recognizing the successful revitalization of Beekman Street, and input from the Westside Neighborhood Association regarding the benefit of "tangible improvements with a permanent effect", the Committee encourages infrastructure projects that focus on discrete "target" areas with a combination of improvements including water, sewer, storm water, curbing, sidewalks, lighting, and streetscape enhancements.

Economic Development

Recognizing the success of the City's Economic Development Revolving Loan Program, the Committee encourages economic development activities that promote job creation and long-term financial stability for persons of low- and moderate-income.

Given the limited amount of funding available in the foreseeable future, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified funding priorities, and the ability to demonstrate identifiable accomplishments to gauge activity success.

2. Obstacles to meeting underserved needs

The greatest obstacle in meeting underserved needs in the City of Saratoga Springs is the decreasing availability of resources, changing policy directives, and uncertainty of future funding. Nevertheless, it is the City's intent to maximize these resources and encourage collaboration among the private sector, the non-profit community, local government, and the public housing authority to promote and fund activities that address the needs identified in this plan.

The Process

PR-05 Lead & Responsible Agencies 24 CFR 91.200(b)

1. Describe agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	SARATOGA SPRINGS	Community Development

Table 1 – Responsible Agencies

Narrative

Consolidated Plan Public Contact Information

Bradley S. Birge, Administrator of the Office of Planning and Ecnomic Development

bradley.birge@saratoga-springs.org

518-587-3550 ext. 2515

OMB Control No: 2506-0117 (exp. 07/31/2015)

PR-10 Consultation - 91.100, 91.200(b), 91.215(l)

1. Introduction

The Office of Community Development is responsible for administering the City's community development program. A multi-member Community Development Citizen Advisory Committee, with staff support from the Office of Community Development, is responsible for community outreach and with assistance in preparing the Consolidated Plan and annual Action Plans. The five-member City Council has the legislative authority for Consolidated Plan and Action Plan approval.

The City of Saratoga Springs currently receives in the neighborhood of $340,000 - $350,000 in annual CDBG funding. This level of funding classifies Saratoga Springs as one of the smallest Entitlement communities, in terms of CDBG funding, in New York State. Noting these funding constraints, the Community Development Citizen Advisory Committee evaluated activity funding for the past ten years and confirmed the funding priorities for the next five years.

The Office of Community Development is responsible for administration and management of the City's community development program with technical assistance provided by other City departments as needed. The City maintains a close working relationship with the Saratoga Economic Development Corporation and local banks for technical assistance and guidance in the operation of its housing rehabilitation and economic development revolving loan programs. The Office of Community Development also collaborates with the Saratoga Springs Housing Authority to ensure that both federally funded programs are run in an efficient and coordinated manner that best serves the needs of the community.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

The Community Development staff consults with a variety of other public and private agencies on an ongoing process concerning housing, human service, economic development and other community needs including: Saratoga Springs Housing Authority, Saratoga Neighborhood Development Company, Shelters of Saratoga, Saratoga County Office for the Aging, various neighborhood associations, and the range of agencies within the Crises Action Network.

Non-profit organizations are major providers of affordable housing and support services in the City of Saratoga Springs and are often consulted to help the City plan for future affordable housing and community development policies and programs. In the private sector, area contractors, lending institutions, real estate agents and professional associations have periodically donated funds and/or technical assistance to local affordable housing projects or participated in planning and policy discussions on affordable housing issues. Many such professionals also serve on the boards of area

housing and human service agencies and the City's Community Development Citizen Advisory Committee.

In order to better coordinate and enhance the delivery of housing and non-housing support services, the Office of Community Development routinely collaborates with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelters of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (county community action program agency for housing & support services including weatherization, WIC, food pantry, etc.), the Saratoga Housing Alliance (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

At the heart of this consolidated planning process is the City's intent to meet the three statutory goals embodied in Federal housing legislation governing community development programs:

Provide Increased Access to Decent, Affordable Housing

Promote a Suitable Living Environment

Encourage Expanded Economic Opportunities

The Citizen Advisory Committee evaluated the manner in which to update the City's Consolidated Plan for the next five years. The level of past and current federal funding was reviewed along with the accomplishments derived from activity funding over the past ten years. It was determined that a questionnaire instrument would be employed to identify a current perspective on priorities within six categories: permanent housing needs, homeless (and transitional) housing facility needs, public service activity needs, and non-housing community development needs such as infrastructure, public facilities and economic development.

The questionnaire instrument was reviewed and approved by the Citizen Advisory Committee and the Committee reviewed and approved the questionnaire distribution list of nearly 100 local, regional, and state-wide housing and support service agencies, planning and coordinating entities, neighborhood groups, non-profit organizations, media, business and educational institutions.

This far-reaching, collaborative process resulted in significant public input, a greater awareness and priority identification of housing and non-housing community development needs, the establishment of a realistic and efficient strategy of investment to address these needs and, ultimately, an effective multi-year plan to achieve the City's community development objectives.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

The City of Saratoga Springs has been Co-Chair of the Saratoga-North Country Continuum of Care since 2001. It has been facilitating and participating in monthly meetings with other agencies in the county that assist the homeless to better serve the population.

It was the consensus of the Saratoga Housing Committee, the Saratoga County part of the Saratoga-North Country CoC, that the City of Saratoga Springs, represented by the Office of Community Development, serve as Chair for this collaborative effort. This relationship ensures that the Office of Community Development is keenly aware of, and may facilitate the provision of, area housing opportunities and support services. Over 30 agencies from Saratoga and surrounding counties meet monthly to discuss the homeless problems in our region and how to best assist the homeless. The agencies work to enhance communication and coordination in investigation of additional sources of program funds for affordable transitional and permanent housing.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS

CAPTAIN and Legal Aid Society are the only agencies that receives ESG funding indirectly through STEHP in our County. They are participating members of our Saratoga County Housing Committee and Chair the Continuum of Care Coordinated Assessment Committee.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	**Agency/Group/Organization**	Balance Recovery Consultation
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
2	**Agency/Group/Organization**	CATHOLIC CHARITIES OF SARATOGA, WARREN & WASHINGTON COUNTIES
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Services-Education Services-Employment Services - Victims

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
3	**Agency/Group/Organization**	CATHOLIC CHARITIES YOUTH MENTORING PROGRAM
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	

4	**Agency/Group/Organization**	DOMESTIC VIOLENCE/RAPE CRISIS CENTER SERVICES
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Services - Victims
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
5	**Agency/Group/Organization**	FRANKLIN COMMUNITY CENTER
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Services-Education Service-Fair Housing Services - Victims

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	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
6	**Agency/Group/Organization**	Four Winds Hospital
	Agency/Group/Organization Type	Services-Persons with Disabilities Services-Health Services-Education Health Agency
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy

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	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
7	**Agency/Group/Organization**	LEGAL AID SOCIETY OF NORTHEASTERN NEW YORK
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
8	**Agency/Group/Organization**	MOTHER SUSAN ANDERSON EMERGENCY SHELTER
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-homeless

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
9	**Agency/Group/Organization**	PLANNED PARENTHOOD
	Agency/Group/Organization Type	Services-Health
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	

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10	**Agency/Group/Organization**	SARATOGA AFFORDABLE HOUSING GROUP
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
11	**Agency/Group/Organization**	SARATOGA CENTER FOR THE FAMILY
	Agency/Group/Organization Type	Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-Health Services-Education Services-Employment Services - Victims

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
12	**Agency/Group/Organization**	SARATOGA COUNTY ECONOMIC OPPORTUNITY COUNCIL
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Services-Education Services-Employment Service-Fair Housing Services - Victims

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
13	**Agency/Group/Organization**	SHELTERS OF SARATOGA, INC.
	Agency/Group/Organization Type	Housing Services-homeless Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy

	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
14	**Agency/Group/Organization**	Saratoga Community Health Center
	Agency/Group/Organization Type	Services-Health Health Agency
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
15	**Agency/Group/Organization**	Saratoga Hospital
	Agency/Group/Organization Type	Services-Health Services-Education Health Agency

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	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
16	**Agency/Group/Organization**	Saratoga National Bank
	Agency/Group/Organization Type	Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	

17	**Agency/Group/Organization**	Saratoga Springs Housing Authority
	Agency/Group/Organization Type	Housing PHA Services - Housing Other government - Federal
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
18	**Agency/Group/Organization**	Saratoga Springs Public Library
	Agency/Group/Organization Type	Services-Education Civic Leaders

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
19	**Agency/Group/Organization**	Senior Citizens Center of Saratoga
	Agency/Group/Organization Type	Services-Elderly Persons
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	

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20	**Agency/Group/Organization**	Transitional Services Association, Inc.
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Services-Education Services-Employment Service-Fair Housing Services - Victims
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
21	**Agency/Group/Organization**	Saratoga Bridges
	Agency/Group/Organization Type	Housing Services - Housing Services-Persons with Disabilities Services-Education Services-Employment

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	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
22	**Agency/Group/Organization**	Alliance for Positive Health
	Agency/Group/Organization Type	Services - Housing Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy

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	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
23	**Agency/Group/Organization**	Fidelis Care
	Agency/Group/Organization Type	Services-Health Business Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
24	**Agency/Group/Organization**	Saratoga County Peer-to-Peer Mentoring Program for Vets
	Agency/Group/Organization Type	Other government - County

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	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
25	**Agency/Group/Organization**	Presbyterian-New England Congregational Church
	Agency/Group/Organization Type	Civic Leaders
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	

Identify any Agency Types not consulted and provide rationale for not consulting

Not Applicable

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	City of Saratoga Springs	The City of Saratoga Springs serves as Chair and Lead Agency for the Saratoga-North Country Continuum of Care.

Table 3 – Other local / regional / federal planning efforts

Describe cooperation and coordination with other public entities, including the State and any adjacent units of general local government, in the implementation of the Consolidated Plan (91.215(l))

This broad alliance of housing and support service providers meet on a monthly basis to develop a needs assessment of the homeless system continuum of care to assist participants towards the ultimate goal of permanent housing.

Narrative (optional):

PR-15 Citizen Participation

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

At the May 11, 2015 meeting, the Community Development Citizen Advisory Committee reviewed the results of the surveys received. After much discussion on the results of the surveys received, the committee unanimously voted on the priorities for the next 5 years.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Internet Outreach	Minorities Non-English Speaking - Specify other language: Latino Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing	Surveys were emailed to over 60 agencies and the public and given at public meetings such as the Saratoga County Interagency Awareness Day, Presbyterian-New England Senior Men's Group, Saratoga County Housing Committee members and the Code Blue Steering Committee. 23 Survey's were returned with comments and a summary of needs was prepared.	The results of the surveys received were the following:1. Affordable Housing2. Homeless Housing3. Transportation	N/A	

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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Meeting	Minorities Non-English Speaking - Specify other language: Latino Persons with disabilities Non-targeted/broad community	The Community Development Office, as a guest speaker with the Presbyterian-New England Senior Men's Group, 20 surveys were distributed and discussed as to the importance of their comments and input.	1 survey was returned with comments	N/A	

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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Public Meeting	Minorities Non-English Speaking - Specify other language: Latino Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing	During attending and participating in the Saratoga County Interagency Awareness Day, 50 surveys were distributed to the many service agencies in attendance	4 surveys were returned with comments	N/A	
4	Public Meeting	Minorities Persons with disabilities	10 Surveys were given to members of the Saratoga County Housing Committee, which are the Saratoga County members of the Saratoga-North Country Continuum of Care.	2 surveys	N/A	

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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Public Meeting	Minorities Persons with disabilities Homeless	Surveys were given to members of the Code Blue Steering Committee, who administer the Code Blue Program in Saratoga Springs.	3 Survey's were returned with comments and a summary of needs was prepared		

Table 4 – Citizen Participation Outreach

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Needs Assessment

NA-05 Overview

Needs Assessment Overview

It was determined that a questionnaire instrument would be employed to identify a current perspective on priorities within six categories: permanent housing needs, homeless (and transitional) housing facility needs, public service activity needs, and non-housing community development needs such as infrastructure, public facilities and economic development.

The survey results determined that the highest priority is the following:

Housing Needs:

Homelessness Prevention, New affordable rental construction, rehabilitation of existing housing stock, emergency shelters for family with children transitional housing and related support services, creation of new permanent affordable housing and transportation.

Public Service Activities:

Needed are the public service activities that assist the most vulnerable segments of our population such as: as-risk youth, senior, veterans and the special needs population.

Medium Priorites: Senior services,recreation programs and youth services.

A current strong housing market has led to increased housing costs and this has had a greater impact and implications for those of more modest income and those with housing options.

NA-10 Housing Needs Assessment - 24 CFR 91.205 (a,b,c)

Summary of Housing Needs

Demographics	Base Year: 2000	Most Recent Year: 2011	% Change
Population	26,186	26,667	2%
Households	10,777	11,665	8%
Median Income	$45,130.00	$63,145.00	40%

Table 5 - Housing Needs Assessment Demographics

Data Source: 2000 Census (Base Year), 2007-2011 ACS (Most Recent Year)

Number of Households Table

	0-30% HAMFI	>30-50% HAMFI	>50-80% HAMFI	>80-100% HAMFI	>100% HAMFI
Total Households *	1,675	1,255	2,035	940	5,760
Small Family Households *	195	220	600	285	3,110
Large Family Households *	0	10	30	85	355
Household contains at least one person 62-74 years of age	245	260	290	245	1,075
Household contains at least one person age 75 or older	450	465	340	145	320
Households with one or more children 6 years old or younger *	130	40	110	175	645
* the highest income category for these family types is >80% HAMFI					

Table 6 - Total Households Table

Data Source: 2007-2011 CHAS

Housing Needs Summary Tables

1. Housing Problems (Households with one of the listed needs)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Substandard Housing - Lacking complete plumbing or kitchen facilities	0	50	15	0	65	10	0	0	0	10
Severely Overcrowded - With >1.51 people per room (and complete kitchen and plumbing)	0	0	0	0	0	0	0	0	0	0
Overcrowded - With 1.01-1.5 people per room (and none of the above problems)	0	0	0	0	0	0	0	0	0	0
Housing cost burden greater than 50% of income (and none of the above problems)	840	105	60	0	1,005	245	175	175	20	615
Housing cost burden greater than 30% of income (and none of the above problems)	135	430	610	55	1,230	40	130	230	90	490

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	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
Zero/negative Income (and none of the above problems)	75	0	0	0	75	65	0	0	0	65

Table 7 – Housing Problems Table

Data Source: 2007-2011 CHAS

2. Housing Problems 2 (Households with one or more Severe Housing Problems: Lacks kitchen or complete plumbing, severe overcrowding, severe cost burden)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Having 1 or more of four housing problems	840	150	75	0	1,065	255	175	175	20	625
Having none of four housing problems	385	630	1,200	360	2,575	55	290	585	560	1,490
Household has negative income, but none of the other housing problems	75	0	0	0	75	65	0	0	0	65

Table 8 – Housing Problems 2

Data Source: 2007-2011 CHAS

3. Cost Burden > 30%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	105	135	155	395	25	55	200	280
Large Related	0	0	0	0	0	10	30	40
Elderly	305	210	115	630	180	185	140	505
Other	560	235	400	1,195	90	55	35	180

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
Total need by income	970	580	670	2,220	295	305	405	1,005

Table 9 – Cost Burden > 30%

Data Source: 2007-2011 CHAS

4. Cost Burden > 50%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	105	15	0	120	25	55	90	170
Large Related	0	0	0	0	0	10	0	10
Elderly	190	70	45	305	140	70	70	280
Other	545	65	15	625	90	40	15	145
Total need by income	840	150	60	1,050	255	175	175	605

Table 10 – Cost Burden > 50%

Data Source: 2007-2011 CHAS

5. Crowding (More than one person per room)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Single family households	0	0	0	0	0	0	0	0	0	0
Multiple, unrelated family households	0	0	0	0	0	0	0	0	0	0
Other, non-family households	0	0	0	0	0	0	0	0	0	0
Total need by income	0	0	0	0	0	0	0	0	0	0

Table 11 – Crowding Information – 1/2

Data Source: 2007-2011 CHAS

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
Households with Children Present	0	0	0	0	0	0	0	0

Table 12 – Crowding Information – 2/2

Data Source Comments:

Describe the number and type of single person households in need of housing assistance.

Affordable rents for single person households are difficult to find the the City of Saratoga Springs.
The Mayor of Saratoga Springs has appointed a Housing Task Force which includes the Saratoga Springs Housing Authority, Wellspring (formally DVRC), Saratoga County Rural Preservation Company, the Veterans Administration Office in Albany, Saratoga County Veterans Affairs Office, Soldier On, Rebuilding Together of Saratoga Coounty, the Code Blue Coordinator, Richard Higgins (a private business owner), the Albany HUD office and the Community Development Office.
A needs assessment and current inventory are being worked on.

Estimate the number and type of families in need of housing assistance who are disabled or victims of domestic violence, dating violence, sexual assault and stalking.

Vicitms of domestic violence are served through Wellspring, which is the only emergency domestic violence shelter in Saratoga County and the only shelter for families and the county's only 24-hous hotline for domestic violence. Victims often need to seek shelter away from their hometown, where Wellspring frequently locates victims and their families to another county as it is unsafe to reside locally. Victims are not turned away. They are housed in their shelter, receive assistance with accessing entitlements, employment, childcare and rental assistnce, assistance securing housing. referrals to community supports, budgeting and safety planning.

What are the most common housing problems?

Housing problems include affordable purchase of homes, affordable rents and rehabilitation of existing housing stock.
The City as Co-Chair of the Continuum of Care works with agencies to assist the homeless in obtaining housing but affordable rents are a challenge.
Persons ready to leave the Shelter Plus Care program have difficulty finding affordable rents on their Social Security checks and the Section 8 program waiting list is over 5 years long.

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Are any populations/household types more affected than others by these problems?

As above, the persons receiving Social Security benefits have difficulty obtaining housing in the City as rents are not affordable.

Describe the characteristics and needs of Low-income individuals and families with children (especially extremely low-income) who are currently housed but are at imminent risk of either residing in shelters or becoming unsheltered 91.205(c)/91.305(c)). Also discuss the needs of formerly homeless families and individuals who are receiving rapid re-housing assistance and are nearing the termination of that assistance

Wellspring (formally DVRC) residents and Shelter Plus Care residents have difficulty obtaining affordable housing in the City of Saratoga Springs. The Continuum of Care and the Housing Task Force members are constantly reviewing and trying to obtain housing for the most vulnerable in the City. Most likely, housing might be obtained outside the City but transportation is not available or affordable.

If a jurisdiction provides estimates of the at-risk population(s), it should also include a description of the operational definition of the at-risk group and the methodology used to generate the estimates:

The City's Community Development Office, as Co-Chair of the Continuum of Care, has instituted the Coordinated Assessment Tool and have been able to assist the most at-risk population in trying to obtain housing and referring to other agencies to assist their needs.

The Community Development Office is secretary to the Code Blue Steering Committee, that assists the homeless not only during the coldest months of the year but during the summer months also. The committee meets monthly to address the needs of the currently homeless. During the coldest months this past winter, some of the Code Blue guests decided they need assistance and are currently residents of the Shelters of Saratoga and also in rehab.

Specify particular housing characteristics that have been linked with instability and an increased risk of homelessness

Instability and increased risk of homelessness characteristics are loss or decrease in wages where the monthly rentals are no longer affordable.

Discussion

The Housing Needs Table provides a very clear indication of the City's housing needs based upon income levels and housing tenure. Of the figures identified in this table, the following are particularly worthy of attention.

- Renter households constitute nearly 72% (1230) of all extremely low-income households (1720) earning less than 30% of the area medium income – of those, the vast majority report cost burdens exceeding 30% with significant numbers with severe cost burdens exceeding 50%.

- 68% of extremely low-moderate income owner households earning less than 30% of the area medium report severe cost burdens over 30% and cost burdens over 50%.

- Renter households constitute nearly 51% (840) of all low-moderate income households (1655) earning below 30% of the area median income – of those more than 88% of "small related" renter households report cost burdens exceeding 88%, nearly 62% of "elderly" renter households report cost burdens exceeding 62%, and 87% of "other" renter households report cost burdens exceeding 87%.

- Nearly 30% of low-income owner households report cost burdens over 30%, and nearly 42% report cost burdens of over 50%.

- With regard to substandard units, 29% of the rental units have 1 or more of the four housing problems, and also 29% of the Home Owners have 1 or more of the four housing problems.

NA-15 Disproportionately Greater Need: Housing Problems – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction

0%-30% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,270	265	140
White	1,035	245	115
Black / African American	65	0	20
Asian	30	0	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	110	0	0

Table 13 - Disproportionally Greater Need 0 - 30% AMI

Data Source: 2007-2011 CHAS

*The four housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4.Cost Burden greater than 30%

30%-50% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	890	360	0
White	830	350	0
Black / African American	15	10	0
Asian	0	0	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	25	0	0

Table 14 - Disproportionally Greater Need 30 - 50% AMI

Data Source: 2007-2011 CHAS

*The four housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4.Cost Burden greater than 30%

50%-80% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,095	940	0
White	980	940	0
Black / African American	30	0	0
Asian	25	0	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	15	0	0

Table 15 - Disproportionally Greater Need 50 - 80% AMI

Data Source: 2007-2011 CHAS

*The four housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4.Cost Burden greater than 30%

80%-100% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	170	775	0
White	170	660	0
Black / African American	0	0	0
Asian	0	45	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	0	40	0

Table 16 - Disproportionally Greater Need 80 - 100% AMI

Data Source: 2007-2011 CHAS

*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4.Cost Burden greater than 30%

Discussion

Based upon the data provided, there is a clear relationship between income and identification of housing problems. There does not appear to be a disproportionate relationship based on racial or ethnic identification.

NA-20 Disproportionately Greater Need: Severe Housing Problems – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction

0%-30% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,095	440	140
White	860	415	115
Black / African American	65	0	20
Asian	30	0	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	110	0	0

Table 17 – Severe Housing Problems 0 - 30% AMI

Data Source: 2007-2011 CHAS

*The four severe housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4.Cost Burden over 50%

30%-50% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	330	925	0
White	295	890	0
Black / African American	15	10	0
Asian	0	0	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	0	25	0

Table 18 – Severe Housing Problems 30 - 50% AMI

Data Source: 2007-2011 CHAS

*The four severe housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4.Cost Burden over 50%

50%-80% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	255	1,785	0
White	240	1,685	0
Black / African American	0	30	0
Asian	0	25	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	15	0	0

Table 19 – Severe Housing Problems 50 - 80% AMI

Data Source: 2007-2011 CHAS

*The four severe housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4.Cost Burden over 50%

80%-100% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	20	920	0
White	20	805	0
Black / African American	0	0	0
Asian	0	45	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	0	40	0

Table 20 – Severe Housing Problems 80 - 100% AMI

Data Source: 2007-2011 CHAS

*The four severe housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4.Cost Burden over 50%

Discussion

Based upon the data provided, there is a clear relationship between income and identification of housing problems. There does not appear to be a disproportionate relationship based on racial or ethnic identification.

NA-25 Disproportionately Greater Need: Housing Cost Burdens – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction:

Housing Cost Burden

Housing Cost Burden	<=30%	30-50%	>50%	No / negative income (not computed)
Jurisdiction as a whole	2,360	1,720	1,680	140
White	7,155	2,135	1,460	120
Black / African American	45	55	80	20
Asian	80	25	30	0
American Indian, Alaska Native	0	0	0	0
Pacific Islander	0	0	0	0
Hispanic	90	50	125	0

Table 21 – Greater Need: Housing Cost Burdens AMI

Data Source: 2007-2011 CHAS

Discussion:

Based upon the data provided, there is a clear relationship between income and identification of housing problems. There does not appear to be a disproportionate relationship based on racial or ethnic identification.

NA-30 Disproportionately Greater Need: Discussion – 91.205(b)(2)

Are there any Income categories in which a racial or ethnic group has disproportionately greater need than the needs of that income category as a whole?

Based upon the data provided, there is a clear relationship between income and identification of housing problems. There does not appear to be a disproportionate relationship based on racial or ethnic identification.

If they have needs not identified above, what are those needs?

Are any of those racial or ethnic groups located in specific areas or neighborhoods in your community?

NA-35 Public Housing – 91.205(b)

Introduction

The City has nurtured a strong working relationship with the Saratoga Springs Housing Authority. Since 2001, the City has allocated nearly $39,000 in CDBG funding to improve the infrastructure that serves the subsidized residential units administered by the Housing Authority. The City will continue to seek collaborative enterprises with the Housing Authority to meet our common goals of decent affordable housing and increased economic opportunities.

Totals in Use

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
# of units vouchers in use	0	0	330	68	0	67	1	0	0

Table 22 - Public Housing by Program Type

***includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition**

Data Source: PIC (PIH Information Center)

OMB Control No: 2506-0117 (exp. 07/31/2015)

Characteristics of Residents

	Program Type							
	Certificate	Mod-Rehab	Public Housing	Vouchers				
				Total	Project - based	Tenant - based	Special Purpose Voucher	
							Veterans Affairs Supportive Housing	Family Unification Program
Average Annual Income	0	0	10,962	13,616	0	13,683	9,134	0
Average length of stay	0	0	6	6	0	6	1	0
Average Household size	0	0	1	1	0	1	1	0
# Homeless at admission	0	0	2	0	0	0	0	0
# of Elderly Program Participants (>62)	0	0	103	25	0	25	0	0
# of Disabled Families	0	0	111	22	0	21	1	0
# of Families requesting accessibility features	0	0	330	68	0	67	1	0
# of HIV/AIDS program participants	0	0	0	0	0	0	0	0
# of DV victims	0	0	0	0	0	0	0	0

Table 23 – Characteristics of Public Housing Residents by Program Type

Data Source: PIC (PIH Information Center)

Race of Residents

Program Type									
Race	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
White	0	0	292	63	0	63	0	0	0
Black/African American	0	0	37	4	0	3	1	0	0
Asian	0	0	0	1	0	1	0	0	0
American Indian/Alaska Native	0	0	1	0	0	0	0	0	0
Pacific Islander	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0	0
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition									

Table 24 – Race of Public Housing Residents by Program Type

Data Source: PIC (PIH Information Center)

Ethnicity of Residents

Program Type									
Ethnicity	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
Hispanic	0	0	14	1	0	1	0	0	0
Not Hispanic	0	0	316	67	0	66	1	0	0
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition									

Table 25 – Ethnicity of Public Housing Residents by Program Type

OMB Control No: 2506-0117 (exp. 07/31/2015)

Data Source: PIC (PIH Information Center)

OMB Control No: 2506-0117 (exp. 07/31/2015)

Section 504 Needs Assessment: Describe the needs of public housing tenants and applicants on the waiting list for accessible units:

Some residents and applicants need handicapped accessible units. Their needs are similar to those of other residents/applicants.

Most immediate needs of residents of Public Housing and Housing Choice voucher holders

The immediate needs are: Transportation access, Job training programs, Mental health access, Senior services, Education and recreational activities.

How do these needs compare to the housing needs of the population at large

These needs appear to be consistent with the population at large with increased needs identified by Seniors and those of more modest income.

Discussion

NA-40 Homeless Needs Assessment – 91.205(c)

Introduction:

The number of persons reported in this assessment has been obtained from the Continuum's Point In Time Count on January 29, 2015. We have reported zero "unsheltered" persons on that night because Code Blue was in effect that evening as the temperature was below 20 degrees and all of our known unsheltered homeless took advantage of Code Blue. We have pulled out the number of agencies serving the homeless from the Continuum's Point in Time Count to reflect just Saratoga Springs homeless.

Homeless Needs Assessment

Population	Estimate the # of persons experiencing homelessness on a given night		Estimate the # experiencing homelessness each year	Estimate the # becoming homeless each year	Estimate the # exiting homelessness each year	Estimate the # of days persons experience homelessness
	Sheltered	Unsheltered				
Persons in Households with Adult(s) and Child(ren)	0	17	100	100	100	2
Persons in Households with Only Children	0	2	0	0	0	0
Persons in Households with Only Adults	0	107	20	20	20	14
Chronically Homeless Individuals	0	17	50	50	8	200
Chronically Homeless Families	0	0	0	0	0	0
Veterans	0	5	2	2	2	5
Unaccompanied Child	0	2	1	1	1	14
Persons with HIV	0	0	0	0	0	0

Table 26 - Homeless Needs Assessment

Data Source Comments: The City of Saratoga Springs is the Co-Chair of the Continuum of Care, therefore participates in receiving numbers for Saratoga Springs during the Point in Time Count.

Indicate if the homeless population is: Has No Rural Homeless

If data is not available for the categories "number of persons becoming and exiting homelessness each year," and "number of days that persons experience homelessness," describe these categories for each homeless population type (including chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth):

A significant number of the homeless use the services in Saratoga Springs.

Given the lack of services in rural parts of the County, it is unknown the actual number of homeless yet unidentified.

OMB Control No: 2506-0117 (exp. 07/31/2015)

Nature and Extent of Homelessness: (Optional)

Race:	Sheltered:	Unsheltered (optional)
White	79	0
Black or African American	29	0
Asian	1	0
American Indian or Alaska Native	1	0
Pacific Islander	0	0
Ethnicity:	**Sheltered:**	**Unsheltered (optional)**
Hispanic	7	0
Not Hispanic	103	0

Data Source Comments:

Estimate the number and type of families in need of housing assistance for families with children and the families of veterans.

The majority of the families needing assistance are currently assisted through Wellspring (formally DVRC). Therefore, our estimated number of families needing assistance has been obtained from their quarterly reports.

Describe the Nature and Extent of Homelessness by Racial and Ethnic Group.

71% of the homeless population have been reported to be white.

26% of the homeless population have been reported to be Black or African American.

Describe the Nature and Extent of Unsheltered and Sheltered Homelessness.

During the Point In Time Count on January 29, 2015, it was reported that our Continuum did not have any "unsheltered" persons due to Code Blue being open because the temperature was below 20 degrees.

Discussion:

NA-45 Non-Homeless Special Needs Assessment - 91.205 (b,d)

Introduction:

It is difficult to project numerical goals for the next five years without sufficient and guaranteed funding to support the implementation of these efforts. Nevertheless, the City will select activities that demonstrate a competitive advantage each year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities, and the ability to demonstrate identifiable accomplishments to gauge activity success.

Describe the characteristics of special needs populations in your community:

The very nature of "special needs" population requires ongoing investment above and beyond the simple provision of selected services and the acquisition of housing. The success of any program to address "special needs" population is greatly dependent upon the continuing investment and availability of education, outreach and case management services. Housing without a coordinated and reliable program of supportive services for the "special needs" population will not produce the desired results of permanent housing and stable, productive living environments. Therefore, the greatest obstacle in meeting underserved needs in the City of Saratoga Springs is the decreasing availability of resources, changing policy directives, and the uncertainty of future funding.

What are the housing and supportive service needs of these populations and how are these needs determined?

The following is a sampling of the diversity of services offered to those with broadly defined special needs in the City:

WESLEY HEALTH CARE CENTER - A 356 bed residential health care center predominantly serving the frail elderly and a facility includes services for patients with HIV/AIDS and a 30 bed Alzheimer's unit

HOME OF THE GOOD SHEPERD - a 42 unit facility providing market rate assisted living opportunities for the elderly

SARATOGA SPRINGS SENIOR CENTER - Facility is owned by the City with oversight provided by a community board - Services provided include low cost meals and a host of subsidized recreation activities funded, in part, by the City and the Saratoga County Office for the Aging.

SARATOGA COUNTY OFFICE FOR THE AGING - Provides a wide range of coordination, support, and referral services for the elderly and frail elderly including subsidized housekeeping and personal care services

SARATOGA HOSPITAL MENTAL HEALTH UNIT - A 16 bed in-patient treatment center for persons suffering from mental illness.

SARATOGA COUNTY MENTAL HEALTH CLINIC - Provides day-treatment, crises intervention services, and recreational activities through Reflection Prose on South Broadway.

FOUR WINDS PSYCHIATRIC CENTER - A 60 bed in-patient treatment center for persons suffering from mental illness

TRANSITIONAL SERVICES ASSOCIATION, INC. (TSA) - Operates 2 community residences with 24 hr/day care for adults with psychiatric disabilities. Hammond House and Stonebridge each houses 11 persons with 2 additional emergency beds at Stonebridge. Operates the 9 bed Kaydeross House for girls exhibiting behavioral or emotional problems. Also operates scattered site programs serving 61 persons. All residential programs provide case management, counseling, daily living skills training, social activities and referrals to vocational rehabilitation, day treatment and out-patient therapy programs.

UNLIMITED POTENTIAL, INC. Assists with job training and employment placement for persons with developmental disabilities. Includes on-site contract work and supervised off-site training and employment.

SARATOGA BRIDGES - Coordinates information on residential and day services for all Saratoga County residents with developmental disabilities. Manages 2 group homes in the City housing a total of 18 persons. Provides case management and support services including respite care, independent living training, adult social club, and home care.

LIVING RESOURCES CORPORATION, INC. -Manages a number of intermediate care facilities for developmentally disabled adults. Currently provides housing facilities for 37 residents.

AIM SERVICES, INC. - Owns and manages 3 group residences for the developmentally disabled. - Provides housing, 24 hr/day supervisions and support services for a total of 20 persons.

SARATOGA COUNTY OPTIONS FOR INDEPENDENT LIVING - Provides a range of services to persons with physical disabilities or impairments. Services include identification of benefits, counseling and skills training, equipment loans and construction guidance for accessibility modifications and improvements.

CATHOLIC CHARITIES OF SARATOGA, WARREN AND WASHINGTON COUNTIES - Provides a host of services and programs for the community, elderly and youth. Including: Support and services for persons with HIV/AIDS including education, prevention, intervention and support groups. A prevention/diversion program, Saratoga Youth Mentoring, that develops mentoring relationships between at-risk youth and successful area professional persons.- Case management, crisis intervention and mediation for elderly and frail elderly.Youth employment training and placement.

Discuss the size and characteristics of the population with HIV/AIDS and their families within the Eligible Metropolitan Statistical Area:

The City of Saratoga Springs does not receive HOPWA funding and as such the numbers are undetermined.

Discussion:

Additional Agencies serving the Special Needs Community:

FRANKLIN COMMUNITY CENTER - Provides case management, crisis intervention and a variety of supportive programs including "Project Lift", a youth drug/delinquency prevention program for at-risk families and children

SARATOGA CENTER FOR THE FAMILY - Provides a range of programs and services to prevent child abuse and educate and strengthen families to reduce the effects of child abuse and neglect. Services include case management, counseling, crises intervention and prevention, child care, transportation, individual and group therapy.

NA-50 Non-Housing Community Development Needs – 91.215 (f)

Describe the jurisdiction's need for Public Facilities:

The City recognizes that non-housing community development activities are integral components in promoting a sound living environment and encouraging expanded economic opportunities for persons of low- and moderate-income. As such, public service activities that assist the most vulnerable segments of our population such as at-risk youth, persons with special needs, and seniors are also considered high funding priorities.

The Senior Center of Saratoga Springs is being used by over 2,500 each year. The Code Blue Shelter was open from November 15, 2014 - March 31, 2015. It was open for 85 nights averaging 36 persons per night. The Code Blue Steering Committee is looking into a day facility to assist the homeless with programs, food and laundry.

How were these needs determined?

These needs are determined by reports received by the various agencies.

Describe the jurisdiction's need for Public Improvements:

The City of Saratoga Springs is always in need of replacing sidewalks, curbs and handicap accessibly in the City's low - moderate income areas.

How were these needs determined?

The Department of Public Works reviews the low-moderate income areas of the City for the need to replace sidewalks, curbs and handicap accessbility.

Describe the jurisdiction's need for Public Services:

During the CDBG application process, many not-for-profits agencies apply to the City for CDBG funds under the Public Service category.
Due to the 15% cap on Public Services, only 63% of the agencies that applied for Public Service dollars received funding.

How were these needs determined?

These needs are brought to the City's attention by local housing aned service agencies and then evaluated by the Community Development Citizen Advisory Committee.

Housing Market Analysis

MA-05 Overview

Housing Market Analysis Overview:

The City of Saratoga Springs is the fourth central city in the Albany-Schenectady--Troy Metropolitan Statistical Area (MSA), located 30 miles north of Albany and 200 miles north of New York City. The City of Saratoga Springs has witnessed significant improvements and beneficial change over the last three decades. Through much hard work, concerted planning efforts and ongoing investment in the community, local government, the business sector and the non-profit community have developed the City of Saratoga Springs into a destination location, a place of great attraction to visit and to call home.

The City is renowned for its year-round attractions including equine events (thoroughbred and harness racing, dressage, and polo matches), live cultural performances (ballet, opera, symphony, theatre), and outdoor recreation (Saratoga Spa State Park and Saratoga Lake) and educational institutions (Skidmore and Empire State colleges). Nevertheless, there are still community needs that remain unfilled.

The difficulty in finding affordable housing is an ongoing challenge to continuing our economic stability and maintaining community diversity.

The Building Permit Activity for the past year was:

New detached single-family units	67
New 2-family (total units)	2
New multifamily (total units)	298

MA-10 Number of Housing Units – 91.210(a)&(b)(2)

Introduction

Over the next five years, the City will focus it housing efforts on activities that address the "continuum" of housing needs for persons of low- and moderate-income:

- homelessness prevention
- emergency shelter
- transitional housing and related support services (especially for families with children)
- rehabilitation of existing housing stock
- creation of new permanent affordable housing

All residential properties by number of units

Property Type	Number	%
1-unit detached structure	7,338	56%
1-unit, attached structure	628	5%
2-4 units	2,393	18%
5-19 units	1,324	10%
20 or more units	1,274	10%
Mobile Home, boat, RV, van, etc	253	2%
Total	***13,210***	***100%***

Table 27 – Residential Properties by Unit Number

Data Source: 2007-2011 ACS

Unit Size by Tenure

	Owners		Renters	
	Number	%	Number	%
No bedroom	0	0%	453	10%
1 bedroom	129	2%	1,844	40%
2 bedrooms	1,106	16%	1,528	33%
3 or more bedrooms	5,810	82%	795	17%
Total	***7,045***	***100%***	***4,620***	***100%***

Table 28 – Unit Size by Tenure

Data Source: 2007-2011 ACS

Describe the number and targeting (income level/type of family served) of units assisted with federal, state, and local programs.

The current strong housing market has led to increased housing costs and, understandably, this has greater impact and implications for those of more modest income and those with fewer housing options.

Income Levels that are targeted:

Low-income: Households with annual incomes at or below 50% of the median.

Moderate income: Households with annual incomes between 51% and 80% of the median.

Family size averages 2 per household.

Provide an assessment of units expected to be lost from the affordable housing inventory for any reason, such as expiration of Section 8 contracts.

In the City of Saratoga Springs, the Saratoga Springs Housing Authority has 90 allocated tenant-based housing subsidy vouchers to assist households earning less than 50% of the area median income, of which 62 are currently being used.

Does the availability of housing units meet the needs of the population?

The workforce in the City has an ongoing challenge to find affordable housing.

Describe the need for specific types of housing:

During many meetings with local organizations, the need for more workforce housing has been discussed in order for the service industry employees and other local employees to live near their places of employment.

Discussion

MA-15 Housing Market Analysis: Cost of Housing - 91.210(a)

Introduction

Cost of Housing

	Base Year: 2000	Most Recent Year: 2011	% Change
Median Home Value	128,600	291,800	127%
Median Contract Rent	520	747	44%

Table 29 – Cost of Housing

Data Source: 2000 Census (Base Year), 2007-2011 ACS (Most Recent Year)

Rent Paid	Number	%
Less than $500	1,034	22.4%
$500-999	2,516	54.5%
$1,000-1,499	597	12.9%
$1,500-1,999	323	7.0%
$2,000 or more	150	3.3%
Total	***4,620***	***100.0%***

Table 30 - Rent Paid

Data Source: 2007-2011 ACS

Housing Affordability

% Units affordable to Households earning	Renter	Owner
30% HAMFI	425	No Data
50% HAMFI	1,225	245
80% HAMFI	3,365	715
100% HAMFI	No Data	1,575
Total	***5,015***	***2,535***

Table 31 – Housing Affordability

Data Source: 2007-2011 CHAS

Monthly Rent

Monthly Rent ($)	Efficiency (no bedroom)	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom
Fair Market Rent	690	782	968	1,206	1,294
High HOME Rent	0	0	0	0	0

Monthly Rent ($)	Efficiency (no bedroom)	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom
Low HOME Rent	0	0	0	0	0

Table 32 – Monthly Rent

Data Source Comments:

Is there sufficient housing for households at all income levels?

Those of modest income have fewer economic choices and face a challenge in locating affordable housing near places of employment.

How is affordability of housing likely to change considering changes to home values and/or rents?

Increases in home values and rents place an increasing burden on those with fixed or modest income.

How do HOME rents / Fair Market Rent compare to Area Median Rent? How might this impact your strategy to produce or preserve affordable housing?

The inability of FMR's to keep pace with the local housing market does not assist in the City's ability to produce or preserve affordable housing.

Discussion

MA-20 Housing Market Analysis: Condition of Housing – 91.210(a)

Introduction

Definitions

Condition of Units

Condition of Units	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
With one selected Condition	1,706	24%	2,296	50%
With two selected Conditions	0	0%	90	2%
With three selected Conditions	12	0%	0	0%
With four selected Conditions	0	0%	0	0%
No selected Conditions	5,327	76%	2,234	48%
Total	***7,045***	***100%***	***4,620***	***100%***

Table 33 - Condition of Units

Data Source: 2007-2011 ACS

Year Unit Built

Year Unit Built	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
2000 or later	851	12%	381	8%
1980-1999	1,311	19%	577	12%
1950-1979	2,493	35%	1,673	36%
Before 1950	2,390	34%	1,989	43%
Total	***7,045***	***100%***	***4,620***	***99%***

Table 34 – Year Unit Built

Data Source: 2007-2011 CHAS

Risk of Lead-Based Paint Hazard

Risk of Lead-Based Paint Hazard	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
Total Number of Units Built Before 1980	4,883	69%	3,662	79%
Housing Units build before 1980 with children present	275	4%	90	2%

Table 35 – Risk of Lead-Based Paint

Data Source: 2007-2011 ACS (Total Units) 2007-2011 CHAS (Units with Children present)

Vacant Units

	Suitable for Rehabilitation	Not Suitable for Rehabilitation	Total
Vacant Units	0	0	0
Abandoned Vacant Units	0	0	0
REO Properties	0	0	0
Abandoned REO Properties	0	0	0

Table 36 - Vacant Units

Data Source: 2005-2009 CHAS

Need for Owner and Rental Rehabilitation

Noting that 69% of Owner-Occupied Units and 70% of Renter Occupied Units are 36 years or older, the City will continue to promote its Residential Rehabiliation Grant Program and Loan programs. The City continues to have Lead-Based Paint assessments and clearance tests performed on all rehabilitated properties built before 1978

Estimated Number of Housing Units Occupied by Low or Moderate Income Families with LBP Hazards

Given the limited housing choices available to low-moderate income families, Lead-Based Paint Hazards pose a greater potential impact for these income levels.

Discussion

MA-25 Public and Assisted Housing – 91.210(b)

Introduction

Saratoga Springs Housing Authority administers the public housing in the City of Saratoga Springs.

The following is a listing of Public Housing Units:
Family Units:
Jefferson Terrace - 105 units
26 1-bedroom apartments
49 2-bedroom apartments
24 3-bedroom apartments
6 4 bedroom apartments

Vanderbilt Terrace - 58 units
20 2-bedroom apartments
36 3-bedroom apartments
2 4-bedroom apartments

Elderly Units: - 176
Stonequist Apartments
108 1-room efficiencies
68 1 bedroom apartments

90 "Section 8" Vouchers as of March 15, 2015 - tenant based housing subsidey vouchers (61 currently utilized due to funding but still have 90 vouchers.
Assists households earning <50% of area median income.

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Totals Number of Units

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project -based	Tenant -based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
# of units vouchers available			339	90			0	0	0
# of accessible units									
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition									

Table 37 – Total Number of Units by Program Type

Data Source: PIC (PIH Information Center)

Describe the supply of public housing developments:

Describe the number and physical condition of public housing units in the jurisdiction, including those that are participating in an approved Public Housing Agency Plan:

The overall physical condition is in compliance with the Uniform Physical Condition Standards (UPCS) but need modernization of Kitchens and Bathrooms in most units.

At Jefferson Terrace, the building envelopes are in relatively good condition as new windows were installed last year and most roofs were installed within the last 10 years. New siding and soffits are being installed during 2015. New appliances were installed during the 2014 fiscal year.

Vanderbilt Terrace is also in good condition other than needing interior upgrades to the kitchens and baths. The entire exterior of the building is scheduled to be pressure washed in 2015 and the block will be sealed to prevent future discoloration from moss build up. The storm doors are scheduled to be replaced as are all fire doors leading into basements. New furnaces have been installed in all building over the last several years.

Stonequist apartments have major renovations being made to building systems. Kitchen and bathroom renovations will be made to a percentage of units over the course of the Five Year Plan. Common areas are all in good condition.

Public Housing Condition

Public Housing Development	Average Inspection Score
Stonequist Apartments	94%
Jefferson/Vanderbilt Terrace	80%

Table 38 - Public Housing Condition

Describe the restoration and revitalization needs of public housing units in the jurisdiction:

Stonequist Apartments has several major building components which are passed or almost passed their useful life. The heating plant contains the original boilers although some pumps have been replaced. The hot water holding tanks are also original and are heated by the boilers so they run continuously throughout the year. The elevators are also the originals and need to a complete retrofitting. The roof has been recently evaluated and still has another 10-15 years with proper maintenance. Roughly a quarter of the kitchens have not been modernized.

Jefferson Terrace and Vanderbilt Terrace are in generally good condition however modernization of bathrooms and kitchens have not been done in over a decade. The Jefferson Terrace walls need insulation, and several buildings will need new roofs within the next few years. Vanderbilt Terrace basements need insulation. All buildings will need new storm doors and many buildings will need the front stoops replaced due to deteriorated concrete. Many tile floors need to be replaced, wood floors need to be refinished.

Describe the public housing agency's strategy for improving the living environment of low- and moderate-income families residing in public housing:

The recently submitted Five Year Plan calls for increased security provided through the installation of security cameras throughout the Terrace property. Housing Authority management has also coordinated with the local police department to institute "No-Trespass" notices to keep individuals who have been convicted of drug or violent related criminal activity off housing authority property.

A relationship has been established with the community recreation center located adjacent to housing authority property to involve residents in activities free of charge. The Resident Advisory Board utilizes meeting space at the center to conduct monthly meetings. The housing authority website and newsletter have been updated to provide more information on community resources and activities thereby integrating the residents with the community at large. A new resident council is being formed through the encouragement of the management which will improve communication with management regarding the needs and wants of residents.

Housing Authority management is in the process of negotiation an Energy Performance Contract which will address several of the earlier mentioned capital needs and improve the internal environment of the apartments. Residents have also been involved in coordinating the expenditure of capital funds based

upon identified priorities. Communication and continued involvement with the residents will ensure an overall improved quality of life for the residents and the community.

Discussion:

MA-30 Homeless Facilities and Services – 91.210(c)

Introduction

There are currently 3 homeless shelters in the City of Saratoga Springs.

Wellspring (formally Domestic Violence/Rape Crisis)

Shelters of Saratoga

Mother Anderson Emergency Shelter

Facilities and Housing Targeted to Homeless Households

	Emergency Shelter Beds		Transitional Housing Beds	Permanent Supportive Housing Beds	
	Year Round Beds (Current & New)	Voucher / Seasonal / Overflow Beds	Current & New	Current & New	Under Development
Households with Adult(s) and Child(ren)	15	0	0	26	0
Households with Only Adults	33	0	0	6	0
Chronically Homeless Households	0	0	0	0	0
Veterans	0	0	0	0	0
Unaccompanied Youth	0	0	0	0	0

Table 39 - Facilities and Housing Targeted to Homeless Households

Data Source Comments:

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Describe mainstream services, such as health, mental health, and employment services to the extent those services are use to complement services targeted to homeless persons

Wellspring (formally Domestic Violence/Rape Crisis):

Linkage/direct advocacy for:

- health, behavioral health and recovery services
- provision of clothing, school supplies, household items and furnishings
- emergency financial assistance for basic needs, e.g., medications, dr. co-pays, accessing personal identification such as birth certificates
- safety planning
- transportation
- school advocacy
- counseling
- court advocacy and referral to legal counsel
- financial literacy training and budgeting assistance
- employment assistance
- case management, e.g. DSS, SNAP, Medicaid
- parenting assistance
- subsidized rent

Shelters of Saratoga:

SOS provides a safe, sober and structured environment where emergency houseguests can gain independence and stability during a maximum stay of 60 days due to high demand. Individuals seeking assistance from SOS have varying degrees of needs and circumstances. During case management meetings, houseguests are referred to appropriate programs and services (e.g. mental health or drug and alcohol services). Employment seeking assistance is provided.

Mother Anderson Emergency Shelter:

All health, mental health, counseling and employements services are outsourced. Their facility assists those in need of contacint the outsourced facility for their particular need.

List and describe services and facilities that meet the needs of homeless persons, particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth. If the services and facilities are listed on screen SP-40 Institutional Delivery Structure or screen MA-35 Special Needs Facilities and Services, describe how these facilities and services specifically address the needs of these populations.

Mother Anderson Emergency Shelter:

New York State Adult and Family Services provides the service and information for all our consumers who are in need. In addition, Transitional Housing service has 3 apartments to assist families in migrating back into the community.

MA-35 Special Needs Facilities and Services – 91.210(d)

Introduction

Transitional Services Association, Inc. (TSA) is a private, not-for-profit organization designed to provide residential support services to children and adults in transition to less restrictive environments and Case Management Services.

TSA operates under the guidelines of the Office of Children and Family Services, Office of Mental Health, and Office of Alcoholism and Substance Abuse Services.

The City's Community Development Office works closely with the staff of Transitional Services through the Shelter Plus Care program to ensure this special needs population is assisted.

Including the elderly, frail elderly, persons with disabilities (mental, physical, developmental), persons with alcohol or other drug addictions, persons with HIV/AIDS and their families, public housing residents and any other categories the jurisdiction may specify, and describe their supportive housing needs

Through the Saratoga County Housing Committee of the Continuum of Care progam, the City meets monthly with the staff of many of these organizations in discussions on how to better meet the needs of these populations.

Describe programs for ensuring that persons returning from mental and physical health institutions receive appropriate supportive housing

For person with mental, alcohol or other drug addictions, Transitional Services has 7 different housing programs to serve this population. This includes Hedgerow Apartment Program (HAP) which is a supportive apartment program designed to serve 6 men and women who are recovering from the disease of addiction, Kaydeross House which is a residential group home designed to treat female adolescents between the ages of 9 and 18 who evidence emotional and behavioral difficulties, Hammond House which is a community residence program which provides 24-hour supervision and support to a target population of men and women who are working towards recovery from both psychiatric and substance use disorders, Stonebridge program provides 24-hour supervision to thirteen individuals who directly participate in the selection of rehabilitative interventions that will assist them in the attainment of their work, social, community living, academic, mental health and behavioral goals,Hedgerow House is a Chemical Dependence Community Residence designed to treat 16 men and women who are recovering from the disease of alcoholism or drug addiction,Progressive Steps Apartment Program is a single and scattered site apartment program designed to provide support services to 34 men and women who evidence varying degrees of psychiatric disabilities, Supported

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Housing is a scattered site apartment program designed to provide support services to 45 men, women and families who evidence varying degrees of psychiatric disabilities.

Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. 91.315(e)

During the first year of the Consolidated Plan the following agencies assisting persons not homeless with special needs are the following:

Wellspring (formally DVRC)

Catholic Charities Youth Mentoring Program

Saratoga Springs Community Health Center

For entitlement/consortia grantees: Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. (91.220(2))

During the first year of the Consolidated Plan the following agencies assisting persons not homeless with special needs are the following:

Wellspring (formally DVRC)

Catholic Charities Youth Mentoring Program

Saratoga Springs Community Health Center

MA-40 Barriers to Affordable Housing – 91.210(e)

Negative Effects of Public Policies on Affordable Housing and Residential Investment

The greatest barrier to affordable housing in Saratoga Springs is the strong competition for developable land and the significant resulting costs associated with the acquisition and development of that land. Without some level of government or public policy intervention, land owners will generally seek to reap the highest economic return from the sale of land and, in turn, the purchaser will seek to maximize the value of that land to recoup the costs of acquisition and to earn an appropriate profit. This relatively free market process poses a significant challenge to the creation of housing units available at below-market rates to those with limited spending power.

To attempt to balance this supply and demand tug-of-war over the costs and value of land development, a municipality has few broad policy options: mandates; incentives; direct assistance.

MA-45 Non-Housing Community Development Assets – 91.215 (f)

Introduction

Economic Development Market Analysis

Business Activity

Business by Sector	Number of Workers	Number of Jobs	Share of Workers %	Share of Jobs %	Jobs less workers %
Agriculture, Mining, Oil & Gas Extraction	34	114	0	1	1
Arts, Entertainment, Accommodations	1,361	3,670	16	20	4
Construction	298	301	3	2	-1
Education and Health Care Services	2,226	6,218	26	34	8
Finance, Insurance, and Real Estate	830	1,647	10	9	-1
Information	236	325	3	2	-1
Manufacturing	573	1,534	7	8	1
Other Services	383	687	4	4	0
Professional, Scientific, Management Services	997	1,134	11	6	-5
Public Administration	0	0	0	0	0
Retail Trade	1,189	1,741	14	10	-4
Transportation and Warehousing	209	480	2	3	1
Wholesale Trade	376	404	4	2	-2
Total	8,712	18,255	--	--	--

Table 40 - Business Activity

Data Source: 2007-2011 ACS (Workers), 2011 Longitudinal Employer-Household Dynamics (Jobs)

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Labor Force

Total Population in the Civilian Labor Force	14,392
Civilian Employed Population 16 years and over	13,583
Unemployment Rate	5.62
Unemployment Rate for Ages 16-24	10.05
Unemployment Rate for Ages 25-65	4.00

Table 41 - Labor Force

Data Source: 2007-2011 ACS

Occupations by Sector	Number of People
Management, business and financial	4,211
Farming, fisheries and forestry occupations	619
Service	1,369
Sales and office	3,215
Construction, extraction, maintenance and repair	509
Production, transportation and material moving	190

Table 42 – Occupations by Sector

Data Source: 2007-2011 ACS

Travel Time

Travel Time	Number	Percentage
< 30 Minutes	8,434	67%
30-59 Minutes	3,678	29%
60 or More Minutes	450	4%
Total	***12,562***	***100%***

Table 43 - Travel Time

Data Source: 2007-2011 ACS

Education:

Educational Attainment by Employment Status (Population 16 and Older)

Educational Attainment	In Labor Force		
	Civilian Employed	Unemployed	Not in Labor Force
Less than high school graduate	211	19	186
High school graduate (includes equivalency)	2,023	22	598
Some college or Associate's degree	2,619	198	618

Educational Attainment	In Labor Force		
	Civilian Employed	Unemployed	Not in Labor Force
Bachelor's degree or higher	6,185	338	1,122

Table 44 - Educational Attainment by Employment Status

Data Source: 2007-2011 ACS

Educational Attainment by Age

	Age				
	18–24 yrs	25–34 yrs	35–44 yrs	45–65 yrs	65+ yrs
Less than 9th grade	19	6	11	72	310
9th to 12th grade, no diploma	100	96	45	186	415
High school graduate, GED, or alternative	803	748	620	1,275	1,404
Some college, no degree	1,765	630	533	1,123	700
Associate's degree	122	302	442	572	235
Bachelor's degree	333	1,074	979	2,088	670
Graduate or professional degree	0	735	945	1,928	650

Table 45 - Educational Attainment by Age

Data Source: 2007-2011 ACS

Educational Attainment – Median Earnings in the Past 12 Months

Educational Attainment	Median Earnings in the Past 12 Months
Less than high school graduate	14,271
High school graduate (includes equivalency)	29,947
Some college or Associate's degree	34,962
Bachelor's degree	52,944
Graduate or professional degree	63,438

Table 46 – Median Earnings in the Past 12 Months

Data Source: 2007-2011 ACS

Based on the Business Activity table above, what are the major employment sectors within your jurisdiction?

The City has a relatively diverse, yet balanced, range of business activities. Major employment sections include Education & Health Care Services; Arts, Entertainment, Accommodations; and Retail Trade.

Describe the workforce and infrastructure needs of the business community:

Current and emerging employment sectors require a diversified and skilled workforce and sufficient infrastructure to accommodate existing and future growth.

Describe any major changes that may have an economic impact, such as planned local or regional public or private sector investments or initiatives that have affected or may affect job and business growth opportunities during the planning period. Describe any needs for workforce development, business support or infrastructure these changes may create.

The introduction of Global Foundries computer chip manufacturing and the University of Albany's nanotech facilities have begun to spawn related hi-tech industries.

How do the skills and education of the current workforce correspond to employment opportunities in the jurisdiction?

The region is home to a broad selection of public and private educational entities to prepare a workforce for emerging industries.

Describe any current workforce training initiatives, including those supported by Workforce Investment Boards, community colleges and other organizations. Describe how these efforts will support the jurisdiction's Consolidated Plan.

Does your jurisdiction participate in a Comprehensive Economic Development Strategy (CEDS)?

If so, what economic development initiatives are you undertaking that may be coordinated with the Consolidated Plan? If not, describe other local/regional plans or initiatives that impact economic growth.

Over the last 24 months, the City has undertaken a significant effort to update its Comprehensive Plan. This update reaffirms the City's objective to promote diverse mixed-use employment/residential opportunities within the City's core.

Discussion

MA-50 Needs and Market Analysis Discussion

Are there areas where households with multiple housing problems are concentrated? (include a definition of "concentration")

It is not apparent that households with multiple housing problems are concentrated in any specific areas other than the general core of the City, that is the historically development center of population.

Are there any areas in the jurisdiction where racial or ethnic minorities or low-income families are concentrated? (include a definition of "concentration")

There does not appear to be a significant racial or ethnic concentration outside of general population centers.

What are the characteristics of the market in these areas/neighborhoods?

Are there any community assets in these areas/neighborhoods?

Are there other strategic opportunities in any of these areas?

Strategic Plan

SP-05 Overview

Strategic Plan Overview

The "consolidated planning process" was designed by the U.S. Department of Housing and Urban Development to be a collaborative process to encourage broad public participation in identifying and addressing priority community needs. This process provides the opportunity for the City of Saratoga Springs to engage its citizens, prioritize its community development needs, and develop a coordinated and unified vision of strategies to reduce duplication of effort in addressing these needs.

At the heart of this consolidated planning process is the City's intent to meet the three statutory goals embodied in Federal housing legislation governing community development programs:

1. Provide Increased Access to Decent, Affordable Housing

- Maintain the affordability of existing housing stock

- Assist homeless and persons at risk of becoming homeless to obtain affordable housing

- Increase the availability of safe, decent, affordable permanent housing to all persons without discrimination

- Increase supportive housing and services that enable persons with special needs to live in dignity and independence

- Provide affordable housing that is accessible to job opportunities

2. Promote a Suitable Living Environment

- Improve the safety and livability of neighborhoods

- Increase access to quality public and private facilities and services

- Reduce the isolation of income groups through a broad distribution of affordable housing opportunities and the continued revitalization of deteriorating neighborhoods

- Restore and preserve properties of special historic, architectural, or aesthetic value

- Conserve energy resources

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3. Encourage Expanded Economic Opportunities

- Promote job creation and retention for persons of low and moderate income

- Establish, stabilize and expand small business

- Develop and maintain public services needed to enhance employment opportunities

- Ensure the availability of mortgage financing using non-discriminatory lending practices

- Provide access to capital and credit for development activities that promote the long-term economic and social viability of the City

- Encourage self-sufficiency to reduce generational poverty in public and assisted housing

The Saratoga Springs Consolidated Plan is intended, therefore, as a multi-year planning document that identifies the City's housing and non-housing community development priorities and establishes a coordinated plan for federal and non-federal investment to achieve the objectives of the Saratoga Springs community development program.

SP-10 Geographic Priorities – 91.215 (a)(1)

Geographic Area

Table 47 - Geographic Priority Areas

General Allocation Priorities

Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA)

The City of Saratoga Springs is the fourth central city in the Albany-Schenectady--Troy Metropolitan Statistical Area (MSA), located 30 miles north of Albany and 200 miles north of New York City. It encompasses just over 29 square miles in total area (3.4% of the mostly rural and suburban Saratoga County) and ranks 2nd in the County in population. The U.S. Department of the Census indicated a 2000 population of 26,186 persons - demonstrating a steady population growth rate of approximately 4.7% over each of the last two decades.

Given the City's limited funding, each year the Community Development Citizen Advisory Commite will evaluate funding priorities and investment.

SP-25 Priority Needs - 91.215(a)(2)

Priority Needs

Table 48 – Priority Needs Summary

1	**Priority Need Name**	New Construction
	Priority Level	High
	Population	Extremely Low Low Moderate Families with Children Families with Children
	Geographic Areas Affected	
	Associated Goals	Non-Public Services
	Description	The Citizen Participation Survey results indicated a high priority for new rental construction of affordable housing for the low - moderate income persons.
	Basis for Relative Priority	
2	**Priority Need Name**	Homeless Housing Facility Needs
	Priority Level	High
	Population	Extremely Low Low Families with Children Chronic Homelessness Individuals Families with Children
	Geographic Areas Affected	
	Associated Goals	Non-Public Services

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	Description	The Citizen Participation Survey results indicated a high priority for most homeless housing facility needs.
	Basis for Relative Priority	
3	**Priority Need Name**	Public Service Needs
	Priority Level	High
	Population	Extremely Low Low Moderate Families with Children Elderly Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families
	Geographic Areas Affected	
	Associated Goals	Public Services
	Description	The Citizen Participation Survey results indicated a high priority for the following public service needs: Transportation Homelessness Prevention Health Services Childcare Services Senior Services Employment Training Education Recreation Programs Public Safety

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	Basis for Relative Priority	
4	**Priority Need Name**	Non-Housing Needs -Public Facilities
	Priority Level	High
	Population	Other
	Geographic Areas Affected	
	Associated Goals	Public Facilities
	Description	The Citizen Participation Survey results indicated a high priority for Youth Activity Centers under the Non-Housing Public Facilitites Needs
	Basis for Relative Priority	
5	**Priority Need Name**	Residential Rehabilitation
	Priority Level	High
	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Elderly Frail Elderly
	Geographic Areas Affected	
	Associated Goals	Non-Public Services
	Description	Residential Rehabilitation of existing housing.

	Basis for Relative Priority	
6	**Priority Need Name**	Transportation
	Priority Level	High
	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Non-housing Community Development
	Geographic Areas Affected	
	Associated Goals	Public Services Non-Public Services
	Description	Access is needed to affordable public transportation

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	Basis for Relative Priority	
7	**Priority Need Name**	Public Instructure
	Priority Level	Low
	Population	Extremely Low Low Moderate
	Geographic Areas Affected	
	Associated Goals	Public Facilities
	Description	Curbs, sidewalks and handicap accessible ramps
	Basis for Relative Priority	
8	**Priority Need Name**	Job Creation
	Priority Level	High
	Population	Extremely Low Low Moderate Large Families Families with Children Public Housing Residents
	Geographic Areas Affected	
	Associated Goals	Economic Development Loans

	Description	The Community Development office has an Economic Development Loan fund available to local businesses in the City, to stimulate job creation for residents of low-and-moderate income. The Loan Program helps subsidize these job opportunity by offering low-interest loand to businesses physically located within the City limits.
	Basis for Relative Priority	

Narrative (Optional)

The intent of the Consolidated Plan process is to ensure a collaborative approach to community development planning and programming. The City, as a leader and active participant the multi-county continuum of care planning process and Saratoga County Housing Alliance, receives continuing input on housing and support service needs from local providers. This, in conjunction with response from the specific Consolidated Plan outreach, enabled the City's Community Development Citizen Advisory Committee to identify the next five years of funding priorities and investment.

The City of Saratoga Springs currently receives in the neighborhood of $340,000 - $350,000 in annual CDBG funding. This level of funding classifies Saratoga Springs as one of the smallest Entitlement communities, in terms of CDBG funding, in New York State. Noting these funding constraints, the Community Development Citizen Advisory Committee evaluated activity funding for the past ten years and confirmed the funding priorities for the next five years.

Proposed 2015 – 2020 Consolidated Plan Funding Priorities

"High Priority"

The following activities will continue to receive the highest priority for federal funding:

Housing Needs

It is recommended that the highest funding priority be for activities that address the "continuum" of housing needs for persons of low- and moderate-income:

- homelessness prevention
- emergency shelter
- transitional housing and related support services (especially for families with children)

- rehabilitation of existing housing stock

- creation of new permanent affordable housing

Public Service Activities for At-risk Youth, Seniors and Special Needs population

In addition to housing needs, it is recommended that public service activities that assist the most vulnerable segments of our population such as at-risk youth, seniors and the special needs population are also considered high funding priorities.

In addition, the Citizen Advisory Committee recognizes that non-housing community development activities are integral components in promoting a sound living environment and encouraging expanded economic opportunities for persons of low- and moderate-income. As such, the following activities are attributed with a medium, yet important, funding priority.

"Medium Priority"

The following activities are identified as a medium priority and may be expected to receive funding particularly in concert with leveraged, non-federal sources.

Targeted Infrastructure Improvements

Recognizing the successful revitalization of Beekman Street, and input from the Westside Neighborhood Association regarding the benefit of "tangible improvements with a permanent effect", the Committee encourages infrastructure projects that focus on discrete "target" areas with a combination of improvements including water, sewer, storm water, curbing, sidewalks, lighting, and streetscape enhancements.

Economic Development

Recognizing the success of the City's Economic Development Revolving Loan Program, the Committee encourages economic development activities that promote job creation and long-term financial stability for persons of low- and moderate-income.

SP-30 Influence of Market Conditions – 91.215 (b)

Influence of Market Conditions

Affordable Housing Type	Market Characteristics that will influence the use of funds available for housing type
Tenant Based Rental Assistance (TBRA)	The City's Community Development Office administers scattered site, housing assistance providing rental subsidies to homeless, disabled persons and their families. The Shelter Plus Care clients often have a hard time obtaining apartments in the City that are affordable.
TBRA for Non-Homeless Special Needs	
New Unit Production	As per the Citizen Participation Survey, new affordable construction for the low-moderate income persons is a high priority.
Rehabilitation	As per the Citizen Participation Survey, residential rehabilation on homes of the low-moderate income persons is a high priority.
Acquisition, including preservation	

Table 49 – Influence of Market Conditions

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SP-35 Anticipated Resources - 91.215(a)(4), 91.220(c)(1,2)

Introduction

Anticipated resources over the next 5 years includes Community Development Block Grant funds, Residential Revolving Loan Funds, Economic Development Loan Funds and Continuum of Care McKinney-Vento Homeless Act funds.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	342,430	12,703	0	355,133	1,418,832	Community Development Block Grant Funds for years 1 - 5.
Shelter Plus Care	public - federal	Housing	290,967	0	0	290,967	1,160,268	Rental Assistance program with 26 units

Table 50 - Anticipated Resources

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

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As part of its program description, the City identifies a goal of at least 20% leveraged funds for CDBG funding recipients. The City will continue to seek this objective. Over the last 5 years, CDBG funds have been leveraged by 125%.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

In 2013-2014, the City acquired 2 in-rem properties and deeded the ownership to Habitat for Humanity, who plans on building an affordable single family home and a 2 family home.
The City intends to pursue additional workforce housing and other collaborative partnerships, as the opportunity arises, to attain its Community Development goals.

Discussion

OMB Control No: 2506-0117 (exp. 07/31/2015)

SP-40 Institutional Delivery Structure – 91.215(k)

Explain the institutional structure through which the jurisdiction will carry out its consolidated plan including private industry, non-profit organizations, and public institutions.

Responsible Entity	Responsible Entity Type	Role	Geographic Area Served
CATHOLIC CHARITIES OF SARATOGA, WARREN & WASHINGTON COUNTIES	Subrecipient	public services	
SARATOGA AFFORDABLE HOUSING GROUP, INC.	Subrecipient	Rental	
CITY OF SARATOGA SPRINGS DEPARTMENT OF PUBLIC WORKS	Government	neighborhood improvements	
FRANKLIN COMMUNITY CENTER	Subrecipient	Homelessness public services	
LEGAL AID SOCIETY OF NORTHEASTERN NEW YORK	Subrecipient	Homelessness	
MOTHER SUSAN ANDERSON EMERGENCY SHELTER	Subrecipient	Homelessness	
SHELTERS OF SARATOGA, INC.	Non-profit organizations	Homelessness	
Wellspring	Subrecipient	Homelessness	
Saratoga Springs Recreation Department	Subrecipient	public services	

Table 51 - Institutional Delivery Structure

Assess of Strengths and Gaps in the Institutional Delivery System

Many of the above subrecipients are receiving CDBG funding and are also very invested and participate in our Continuum of Care.

Availability of services targeted to homeless persons and persons with HIV and mainstream services

Homelessness Prevention Services	Available in the Community	Targeted to Homeless	Targeted to People with HIV
Homelessness Prevention Services			
Counseling/Advocacy	X	X	

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Homelessness Prevention Services	Available in the Community	Targeted to Homeless	Targeted to People with HIV
Homelessness Prevention Services			
Legal Assistance	X	X	
Mortgage Assistance			
Rental Assistance	X	X	
Utilities Assistance	X	X	
Street Outreach Services			
Law Enforcement			
Mobile Clinics			
Other Street Outreach Services	X	X	
Supportive Services			
Alcohol & Drug Abuse	X	X	
Child Care	X	X	
Education	X	X	
Employment and Employment Training	X	X	
Healthcare	X	X	
HIV/AIDS	X	X	
Life Skills	X	X	
Mental Health Counseling	X	X	
Transportation			
Other			

Table 52 - Homeless Prevention Services Summary

Describe how the service delivery system including, but not limited to, the services listed above meet the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth)

The City of Saratoga Springs, Community Development Officer, serves as Chair and Lead Agency for the Saratoga – North Country Homeless Alliance. This broad alliance of housing and support service providers meet on a monthly basis to develop a needs assessment of the homeless system continuum of care to assist participants towards the ultimate goal of permanent housing.

These agencies include: Wellspring (formally DVRC), Transitional Services Association, Inc. (Care Central and SPOA Coordinator), Saratoga County Mental Health Center, Southern Adirondack Independent Living, Saratoga Springs Housing Authority, Saratoga County Rural Preservation Company, AIDS Council of Northeastern NY, Legal Aid Society, Albany VA Housing, VA, Salvation Army, Ballston Spa Homeless Liaison, Saratoga Springs Schools Homeless Liaison, CAPTAIN Youth and Family Services, Saratoga County Economic Opportunity Council, Code Blue Coordinator, United Way, Soldier On and Saratoga County Alcohol Services.

The Community Development Office continually participates with community organizations in the City of Saratoga Springs and often is the key note speaker on affordable housing and homeless issues in our community. The Community Development Office is the Secretary to the Code Blue Steering Committe and also the facilitates the Shelter + Care program, a rental assistance program, for 26 scattered sites for formally homeless individuals

Describe the strengths and gaps of the service delivery system for special needs population and persons experiencing homelessness, including, but not limited to, the services listed above

The City along with the Saratoga County Committee of the Saratoga-North County Continuum give a high priority to activities that prevent homelessness and that assist in the provision of housing and support service needs for homeless and, especially, the chronically homeless as defined by the U.S. Department of Housing and Urban Development. Furthermore, the Continuum continue to pursue activity funding through this means as it becomes available.

The Continuum continues to identify needs of the homeless and invite more agencies in the County to participate in the Continuum.

The City is facilitating and actively participating in the Coordinated Assessment Tool.

Provide a summary of the strategy for overcoming gaps in the institutional structure and service delivery system for carrying out a strategy to address priority needs

Indluding agencies that are not currently participating in our Continuum would increase the strength of our Continuum in assisting the homeless in our community.

The Continuum's Outreach Coordinator is reaching out and inviting agencies that are not participating in the continuum process to join.

SP-45 Goals Summary – 91.215(a)(4)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Public Facilities	2015	2019	Non-Housing Community Development		Non-Housing Needs -Public Facilities Public Instructure		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 5500 Persons Assisted Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 17 Households Assisted
2	Public Services	2015	2019	Homeless Non-Housing Community Development		Public Service Needs Transportation		Public service activities other than Low/Moderate Income Housing Benefit: 350 Persons Assisted Homelessness Prevention: 50 Persons Assisted

OMB Control No: 2506-0117 (exp. 07/31/2015)

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Non-Public Services	2015	2019	Affordable Housing Homeless		New Construction Homeless Housing Facility Needs Residential Rehabilitation Transportation		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 46 Households Assisted
4	Economic Development Loans	2015	2019	Job Creation		Job Creation		Jobs created/retained: 4 Jobs
5	Residential Rehabilitation Revolving Loan Program	2015	2019	Affordable Housing				Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 1 Households Assisted
6	Community Development Program Administration	2015	2016	Administration			CDBG: $68,486	

Table 53 – Goals Summary

Goal Descriptions

OMB Control No: 2506-0117 (exp. 07/31/2015)

1	**Goal Name**	Public Facilities
	Goal Description	Public Facilities include: The Saratoga Springs Senior Center improvements, Saratoga Hospital Community Health Center, Franklin Community Center, Parks and Recreation Facilities, Sidewalks, Curbs and Handicap Assessible Ramps. All of which will serve the Low-Moderate Income citizens of Saratoga Springs.
2	**Goal Name**	Public Services
	Goal Description	The following agecies will be served: Catholic Charities Mentoring Program, Wellspring (formally DCRC), Legal Aid Society of Northeastern, NY, Shelters of Saratoga (Code Blue Coordinator), Saratoga County Economic Opportunity Council, Literacy NY, Saratoga Springs Recreation Department, and Senior Center Advocate. The above not-for-profit agencies have applied for CDBG funds to assist the low-to-moderate income clients in Saratoga Springs.
3	**Goal Name**	Non-Public Services
	Goal Description	In the next 5 years, the City of Saratoga Springs intends to address its identified High Priority need of affordable housing through the following activities: Residential Rehabilitation Program, Saratoga Affordable Housing Group, Habitat for Humanity, Rebuilding Together, Mother Anderson Emergency Shelter rehabilitation and Saratoga Community Health Center
4	**Goal Name**	Economic Development Loans
	Goal Description	This is a continuing revolving loan program offering low-interest loans to businesses that create full-time equivalent positions for persons of low and moderate income.
5	**Goal Name**	Residential Rehabilitation Revolving Loan Program
	Goal Description	A continuing revolving loan program offering low-interest loans up to $10,000 with repayment terms up to 5 years for eligible owner-occupants and 3% below prime rate loans for rental property owners that provide affordable apartments to eligible tenants for 4 years. The program is funded by program income from the repayment of loan principle and interest.

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6	**Goal Name**	Community Development Program Administration
	Goal Description	For eligible costs associated with the general administration of the City's Community Development Block Grant Program and associated activities.

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.315(b)(2)

The intent of the Consolidated Plan process is to ensure a collaborative approach to community development planning and programming. The City, as a leader and active participant the multi-county continuum of care planning process and Saratoga County Housing Alliance, receives continuing input on housing and support service needs from local providers. This, in conjunction with response from the specific Consolidated Plan outreach, enabled the City's Community Development Citizen Advisory Committee to identify the next five years of funding priorities and investment.

The City of Saratoga Springs currently receives in the neighborhood of $340,000 - $350,000 in annual CDBG funding. This level of funding classifies Saratoga Springs as one of the smallest Entitlement communities, in terms of CDBG funding, in New York State. Noting these funding constraints, the Community Development Citizen Advisory Committee evaluated activity funding for the past ten years and confirmed the funding priorities for the next five years.

SP-50 Public Housing Accessibility and Involvement – 91.215(c)

Need to Increase the Number of Accessible Units (if Required by a Section 504 Voluntary Compliance Agreement)

Not Applicable

Activities to Increase Resident Involvements

As per the Saratoga Springs Housing Authority, the following activities occur:

Newsletter, Website, Resident Advisory Board, and President's Council.

Is the public housing agency designated as troubled under 24 CFR part 902?

No

Plan to remove the 'troubled' designation

SP-55 Barriers to affordable housing – 91.215(h)

Barriers to Affordable Housing

The greatest barrier to affordable housing in Saratoga Springs is the strong competition for developable land and the significant resulting costs associated with the acquisition and development of that land. Without some level of government or public policy intervention, land owners will generally seek to reap the highest economic return from the sale of land and, in turn, the purchaser will seek to maximize the value of that land to recoup the costs of acquisition and to earn an appropriate profit. This relatively free market process poses a significant challenge to the creation of housing units available at below-market rates to those with limited spending power.

To attempt to balance this supply and demand tug-of-war over the costs and value of land development, a municipality has few broad policy options: mandates; incentives; direct assistance.

Strategy to Remove or Ameliorate the Barriers to Affordable Housing

Incentives: Density Bonus

In contrast to a mandate that would require affordable housing construction, the City currently provides incentives to those who volunteer to provide a public benefit including the construction of affordable housing. The City's Comprehensive Plan directs a 20% increase in allowable density in exchange for affordable housing throughout the City's extensive Conservation Development District. The City's Zoning Ordinance provides a 20% increase in allowable density in exchange for affordable housing in the Urban Residential-1 and Suburban Residential-2 zoning districts.

Incentives: Flexible Zoning Regulation

Recognizing that land use controls impact the availability, use and value of land, the City has introduced a variety of mixed-use zoning districts to allow for more flexible utilization of land and to allow for a cost-balancing of uses on that land. In what were once solely residential districts, the City has introduced a series of three Neighborhood Complementary Use Districts to allow for the greater utilization of street-level properties (retail, office, etc.) with the opportunity to balance the costs of adjacent residential uses.

Furthermore, the City has adopted a series of "Transect" mixed-use zoning districts that greatly reduce dimensional requirements and provide property owners and developers significant flexibility in the types (commercial, retail, residential), density and intensity of allowable uses. This flexibility in zoning and, in turn, allowable development densities has resulted in an exciting urban redevelopment project that includes a private market opportunity for more affordable housing. At the site of a former 1-story Price Chopper and sea of surface parking, a local developer has sought, and received City approval, to redevelop this Transect District parcel to include a 6-story, 196,000 s.f. building to house 31,175 s.f. in commercial space including a unique urban Price Chopper and 124 residential units. The developer has

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indicated a commitment to work Saratoga Hospital, one of the area's largest employers, to offer approximately 10% of these new units at affordable rates to their workforce.

The City continues to seek and encourage other opportunities to promote affordable housing and regulatory options to achieve this objective. The City is currently working with a local developer to bring forward a workforce housing overlay zone to provide the mechanism to create more affordable housing in the City's inner district while promoting in-fill development that is sensitive to, and compatible with, the surrounding neighborhoods. This proposal was brought before the City Council and was accepted for its review. The City Council has referred this proposal to the Planning Board and it is currently before the Board for its required advisory opinion.

Another example of revised zoning is bringing about additional affordable workforce housing units. At the edge of its traditional urban core, the City approved a revision to the prevailing zoning boundaries to change the permitted density from 11 units to 24. A local developer who purchased this land entered into an agreement with the City to provide at least 30% of the completed units as workforce housing units targeting those earning less than 100% of the area median income with a larger focus on those earning less than 80% of the area median income. The first phase of the development resulted in 2 dedicated workforce units. Construction on the 2nd phase of this project has now begun with an additional 5 dedicated workforce units to be produced.

Direct Financial Assistance:

Whether locally funded or supported by state and federal resources, a municipality may also reduce the cost of housing development and construction by providing financial assistance through a variety of programs.

Loan guarantees

The City has provided a loan guarantee to assist the Saratoga Affordable Housing Group to purchase 28 units of existing housing and convert to affordable housing.

Payment In Lieu of Taxes (PILOT) programs

Housing Trust Fund Grants

The City established and funded a dedicated trust fund for the development of affordable housing. This fund has provided grant funding for the acquisition of 1 Waterbury Street and the conversion of its two market rate units to affordable housing for the next 30 years. This fund has also assisted the acquisition

of the Ashgrove Apartments on Allen Drive – the conversion of 24 market rate units to affordable housing and the construction of at least 15 new affordable housing units.

Shelter Plus Care Program

Since 2001, the City has successfully applied for over $5.8 million of new funding into this geographic area for housing and support services to assist the chronically homeless and persons with disabilities. Funded programs include a tenant-based rental assistance program to provide rent subsidy to 26 disabled homeless persons and a permanent supportive housing facility for persons with disabilities including a specific focus on assisting U.S. Military veterans. Since program implementation began in 2002, the City's Shelter + Care program has funded access to safe, affordable housing via rental assistance to 220 adults and 84 children. Over this program time period, 92% of all participants remained in this program or found other safe, permanent housing.

SP-60 Homelessness Strategy – 91.215(d)

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Within the region, outreach to the homeless and chronically homeless individuals and families occurs in two ways: 1) street outreach; and 2) identification and referral by service providers and governmental agencies that work with the homeless, or those at risk of becoming homeless.

Chronically homeless typically face periods of secure refuge within emergency shelters, with friends, family and acquaintances, interspersed with less secure areas such as parks, building hallways, bus stations, railroad tracks, and other marginally outdoor locations. The type of outreach specifically targeting the needs of this population includes information and referral services, transportation, and the basic requirements of food, clothing and blankets. Within the Saratoga–North Country region, agencies providing these critical services include:

- CAPTAIN, Shelters of Saratoga, Saratoga County Economic Opportunity Council, Saratoga County Rural Preservation Company, and the VA Homeless Outreach Program

The Shelters of Saratoga uses their Mobile Outreach program using a vehicle donated by the Alfred Z. Solomon Charitable Trust. The vehicle is staffed with SOS workers who travel throughout the county, reaching out to homeless people in the area who may feel isolated from the community.

It must also be recognized that other community entities are involved in this process of identification and referral such as the police departments, local business, banks, emergency rooms, etc.

In December 2013, the City facilitated the opening of a Code Blue Shelter, that is a no-demand shelter on nights when the outside temperature in 20 degrees or below or there is a forecast of 12 inches of snow or more. The 2013/2014 statistics were 58 nights open from December 24, 2013 - March 27, 2014. There were 928 overnight stays, averaging 16 guest per night.

During the 2014-2015 season, the shelter was open 85 nights, with 3,054 overnight stays, averaging 36 persons per night.

Even though it is a no-demand shelter, many shelter guests reached out for services, including rehab, health, VA and moving on to the Shelters of Saratoga to stay and receive case management services.

During the Code Blue off-season, the Coordinator, many agencies and the volunteers are keeping in contact with the guests, assisting them with food, clothing and medical needs. A once a month dinner is occurring at the Salvation Army with food and conversations with the guests.

Addressing the emergency and transitional housing needs of homeless persons

Agencies that provide emergency shelter and transitional housing participate in the monthly Saratoga Housing Committee (part of the Saratoga-North Country Continuum of Care), where all agencies discuss their needs when housing the homeless of our community.
They are the following: Code Blue Shelter, Shelters of Saratoga, Saratoga County Rural Preservation Company, and Wellspring (formally DVRC).

Needs include: Better communication with the mental health providers in the community, affordable rents, and transportation.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.

In recent years, the City has facilitated the receipt of lines of funding to address the needs of local homeless and chronically homeless. Since 2001, the Saratoga Homeless Alliance applied for, and was granted, nearly $1.4 million in Federal funding for the Shelter Plus Care program providing rental assistance and support services to disabled homeless persons and families. This Shelter Plus Care program continues to provide ongoing rental assistance for 26 units of permanent housing for disabled (formerly) homeless individuals and families. Since its inception the program has successfully housed over 111 single persons and 41 families with 50 children. 33 clients have successfully completed to program and graduated to living on their own. From 2001-2015, the expanded Saratoga – North Country Homeless Continuum of Care applied for, and was granted, over $9.1 million in new Federal funding to fund 18 renewals of permanent housing and supportive housing programs to assist the homeless and chronically homeless

Help low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are likely to become homeless after being discharged from a publicly funded institution or system of care, or who are receiving assistance from public and private agencies that address housing, health, social services, employment, education or youth needs

Support services that prevent homelessness are clearly critical components of any continuum of care system. Effective support services successfully prevent persons from initially becoming homelessness and provide the means to prevent a person from re-entering the costly and damaging cycle of homelessness.

The task of identifying/assisting persons at risk of homelessness is accomplished by a broad network of organizations within Saratoga, Warren, Washington, and Hamilton counties including the County Departments of Social Services, local food pantries, local service providers, churches and places of worship, and through communication with local police departments and even shopping centers. Service coordination then occurs through interagency communication.

The following agencies provide services to low income individuals and families avoiding homelessness:

- AIDS Council of Northeastern New York - provides a host of services including case management.

- Catholic Charities of Saratoga, Warren and Washington Counties - provides support services and programs for the community, elderly, youth and persons with HIV/AIDS. Services include education, prevention, crises intervention and support groups, case management, and mediation for elderly and frail elderly.

- Domestic Violence Services - provides "aftercare" services including crises intervention to prevent victims of domestic violence from reentering the cycle of homelessness and receives HIP funding for homelessness prevention.

- Saratoga County Economic Opportunity Council (EOC) - a county-wide community action agency administering programs including Emergency Services Program assisting families with information, advocacy, transportation, utility terminations, evictions, crises intervention, and advocacy in finding other services; Housing Services Program assisting families facing eviction, locating affordable housing, and preventing homelessness; Emergency food programs including a soup kitchen averaging 95 meals per day, 6 days per week, a food pantry serving more than 200 families per month, and a food buying club assisting more than 100 families per month expand their grocery purchasing power.

- Shelters of Saratoga - provides post-shelter follow-up with education, training, monitoring and advocacy to reduce instances of recidivism.

- Transitional Services Association - provides a "Care Central Health Home" program provides personalized clinical and social support and service coordination aimed at improving the health and well being of the community. Care Managers are there when you need them to help with healthcare, housing, and other services that keep you and your family healthy.

LEGAL ADVOCACY

- Legal Aid Society of Northeastern New York - provides free civil legal services to low income homeless individuals including advice, brief service, settlement negotiation, and full representation in court or before an administrative agency. The Legal Aid Society also has a program of community legal education

including publications of interest to Shelter Plus Care participants and in-person community legal education sessions.

SHORT-TERM FINANCIAL ASSISTANCE

- Catholic Charities of Saratoga, Warren and Washington Counties - provides funding for emergency assistance through financial support from FEMA.

SP-65 Lead based paint Hazards – 91.215(i)

Actions to address LBP hazards and increase access to housing without LBP hazards

Using the following standard national methodology (National Center for Lead Safe Housing; 2000 Census data), it is estimated that 6453 (nearly 60%) of the City's housing units may contain lead-based paint.

Clearly, the mere presence of lead-based paint does not necessarily constitute a hazard. Of greatest concern is when lead-based paint is caused to become airborne or otherwise capable of being ingested. The substantial percentage of the City's housing stock that may contain lead-based paint is evidence to the priority need for investment in the rehabilitation of existing housing stock and the continuing need for education on lead-based paint hazards and methods to address those hazards.

Furthermore, there is a greater chance that persons of low- and moderate-income experience more exposure to lead-based paint hazards since the these persons have fewer housing alternatives and may only afford housing with less maintenance and in poor conditions.

In order to address the potentially significant hazards of lead-based paint in the City of Saratoga Springs, the following actions are recommended:

- Continue collaboration with the Saratoga County Public Health Nurses and the New York State Department of Health to increase awareness of lead-based paint hazards.

- Continue rehabilitation grant and low-interest loan programs in conformance with regulations relating to lead-based paint hazards as contained in Title X of the Housing and Community Development Act of 1992.

- Provide residential rehabilitation program participants with information on lead-based paint hazards and blood screening programs.

- Designate rehabilitation program households identified with lead-based paint hazards as “emergency priority” to address immediate health and safety needs.

How are the actions listed above related to the extent of lead poisoning and hazards?

As part of its revolving loan and housing rehabilitation programs, the City distributes educational material to residents to notify them of the potential hazards of lead-based paint and of methods to deal with this situation. The City also provides "no-" to low-interest loans through its Housing Rehabilitation Revolving Loan fund for abatement of lead-based paint hazards occupied by residents of low- and moderate-income. In addition, the Community Development web site contains information and links to additional information relating to lead-based paint hazards.

How are the actions listed above integrated into housing policies and procedures?

The City has implemented changes to its housing rehabilitation grant and loan programs to comply with the current lead-based paint hazard regulations. Community Development staff has attended numerous informational sessions, seminars, and lead safe work practices trainings in an effort to increase organizational capacity to address lead hazards in the City's housing stock. The City continues to seek technical and financial assistance to sufficiently train and certify rehabilitation and maintenance workers for its community development programs.

SP-70 Anti-Poverty Strategy – 91.215(j)

Jurisdiction Goals, Programs and Policies for reducing the number of Poverty-Level Families

The ability to reduce the number of poverty-level families is directly related to sufficient funding, ongoing coordination, and the efficacy of local housing and support service delivery.

While overall program funding continues to decrease, especially for critical education, case management and support service needs, the City is committed to the coordination and enhancement of housing and non-housing support service delivery.

How are the Jurisdiction poverty reducing goals, programs, and policies coordinated with this affordable housing plan

The Office of Community Development routinely collaborates with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelter of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (county community action program agency for housing & support services including weatherization, WIC, Food pantry, etc.), the Workforce Housing Partnership of Saratoga County, Saratoga-North Country Homeless Alliance (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

Furthermore, the communication, mutual problem-solving and referral discussions that occur at the monthly meetings of the Saratoga County Rental Assistance Program and the Saratoga - North Country Homeless Alliance has directly improved the efficacy and coordination of local housing and support service delivery.

The City remains committed to assisting its neediest residents to become more self-sufficient through the combined efforts of these social service and housing agencies. This common goal and close interagency cooperation and referral ensures that a full continuum of care is available to those in need. These organizations demonstrate a continuing commitment to make the most efficient use of resources to benefit the most people.

SP-80 Monitoring – 91.230

Describe the standards and procedures that the jurisdiction will use to monitor activities carried out in furtherance of the plan and will use to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The Office of Community Development is responsible for administering and managing the City's community development program. As part of this oversight, it maintains close working relationships with each of the organizations undertaking activities with annual Action Plan funding.

Depending on the specifics for each funded activity, financial reporting and activity accomplishments are submitted to the Office of Community Development monthly and quarterly. Documentation on adherence to national benefit criteria and income eligibility is maintained by Community Development. When an agency undertakes an activity benefiting low-and moderate-income persons, Community Development requires the agency to obtain information on family size and income so that the activity is limited to only those persons eligible under federal regulation. For the City's Residential Rehabilitation Grant and Loan Programs, Community Development staff reviews each eligible participant's file to insure that proper certification procedures have been followed. Area benefit activities are monitored by the Office of Community Development to ensure that they take place within eligible Census block groups within the City.

The Office of Community Development has developed and implemented a schedule, along with the City's Director of Finance, to monitor all funded subrecipients on a periodic basis. If areas of concern arise regarding the implementation of a funded activity, additional contact and communication with the subrecipient, including on-site visits, is conducted to clarify and resolve the situation.

In addition, the City submits an assessment of program performance and accomplishments, the Consolidated Annual Performance and Evaluation Report (CAPER), to the U.S. Department of Urban Development on an annual basis.

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Anticipated resources over the next 5 years includes Community Development Block Grant funds, Residential Revolving Loan Funds, Economic Development Loan Funds and Continuum of Care McKinney-Vento Homeless Act funds.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	342,430	12,703	0	355,133	1,418,832	Community Development Block Grant Funds for years 1 - 5.
Shelter Plus Care	public - federal	Housing	290,967	0	0	290,967	1,160,268	Rental Assistance program with 26 units

Table 54 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how

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matching requirements will be satisfied

As part of its program description, the City identifies a goal of at least 20% leveraged funds for CDBG funding recipients. The City will continue to seek this objective. Over the last 5 years, CDBG funds have been leveraged by 125%.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

In 2013-2014, the City acquired 2 in-rem properties and deeded the ownership to Habitat for Humanity, who plans on building an affordable single family home and a 2 family home.
The City intends to pursue additional workforce housing and other collaborative partnerships, as the opportunity arises, to attain its Community Development goals.

Discussion

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Public Services	2015	2019	Homeless Non-Housing Community Development		Public Service Needs	CDBG: $45,000	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 30 Households Assisted Public service activities other than Low/Moderate Income Housing Benefit: 39 Persons Assisted Homeless Person Overnight Shelter: 40 Persons Assisted Overnight/Emergency Shelter/Transitional Housing Beds added: 100 Beds
2	Non-Public Services	2015	2019	Affordable Housing Homeless		Homeless Housing Facility Needs	CDBG: $169,209	Public service activities for Low/Moderate Income Housing Benefit: 30 Households Assisted Homeless Person Overnight Shelter: 10 Persons Assisted

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Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Public Facilities	2015	2019	Non-Housing Community Development		Non-Housing Needs -Public Facilities	CDBG: $60,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 1900 Persons Assisted
4	Residential Rehabilitation Revolving Loan Program	2015	2019	Affordable Housing		Residential Rehabilitation	CDBG: $0	Public service activities for Low/Moderate Income Housing Benefit: 1 Households Assisted
5	Economic Development Loans	2015	2019	Job Creation		Job Creation	CDBG: $0	Jobs created/retained: 1 Jobs

Table 55 – Goals Summary

Goal Descriptions

1	Goal Name	Public Services
	Goal Description	During the application process, eight applications were received under the Public Services category. Following 2 public hearings, 2 Committee meetings, much discussion, dialog and deliberation, the Community Development Citizen Advisory Committee achieved consensus and presented its Public Service recommendations for the 2015 CDBG Entitlement Grant to the City Council on Tuesday, March 3, 2015. The following recommendations were presented: Shelters of Saratoga – Code Blue Coordinator - $20,000 To maintain on a year-round basis the full-time Code Blue Coordinator salary. This will further develop services to meet the needs of the street homeless individuals in the area. Wellspring (formally Domestic Violence /Rape Crisis) - $7,500 A continuation of funding for a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and residing in the emergency shelter, with assistance in accessing rental assistance, securing housing, financial literacy and referrals to community support services. Catholic Charities Mentoring Program - $7,500 The continuation of a community-based prevention/diversion program to develop mentoring relationships between at-risk Saratoga Springs youth and successful area business/professional persons. Legal Aid Society Homelessness Prevention Project - $7,500 The continuation of a homelessness prevention program to provide free legal advice, referrals and representation to prevent unwarranted or illegal evictions of persons of low and moderate income within Saratoga Springs. Saratoga Springs Recreation Dept. – Camp Saradac Scholarships - $2,500 Funding to provide scholarships for low-moderate income children to attend 7 weeks at Camp Saradac.

2	Goal Name	Non-Public Services
	Goal Description	During the application process, 5 Non-Public Service, Non-Public Facility applications were received. Following 2 public hearings, 2 Committee meetings, much discussion, dialog and deliberation, the Community Development Citizen Advisory Committee achieved consensus and presented its recommendations for Non-Public Services. The following applications were recommended and approved by the City Council on April 21, 2015: Saratoga Affordable Housing Group – Rehabilitation Allen Drive Apartments - $74,209 Funding to continue the rehabilitation of 24 apartments of affordable housing on Allen Drive. Habitat for Humanity – Affordable Housing Construction - $50,000 Funding towards new construction of a home for a low-moderate income family at 195 Division Street. Mother Susan Anderson Emergency Shelter - Rehabilitation Project - $35,000 Public facility improvements to this emergency shelter serving women and children. Rebuilding Together Saratoga County – Housing Rehabilitation - $10,000 To assist in funding this volunteer-assisted housing rehabilitation program.

OMB Control No: 2506-0117 (exp. 07/31/2015)

3	**Goal Name**	Public Facilities
	Goal Description	During the application process, 8 applications were received from the Public Facility and Infrastructure Categories. Following 2 public hearings, 2 Committee meetings, much discussion, dialog and deliberation, the Community Development Citizen Advisory Committee achieved consensus and presented its recommendations for Non-Public Services. The following agencies received recommended funding: Dept. of Public Works – Senior Center Drainage Project - $30,000 To fund re-grading in the rear of the Senior Center, installation of new drainage structures, new gutters and a new roof over the rear steps. Saratoga Hospital – Community Health Center Handicap Accessibility - $15,000 Funding for renovation project to improve handicap accessibility to the Community Health Center, including additional handicap parking spaces, curb access and handicap door buttons for exterior doors. Dept. of Public Works – Infrastructure Improvements - $15,000 Funding for the repair of accessible curbs, sidewalks and curbing within eligible areas of the City.
4	**Goal Name**	Residential Rehabilitation Revolving Loan Program
	Goal Description	Residential Rehabilitation Revolving Loan Program – ongoing/revolving A continuing revolving loan program offering low-interest (0%-3%) loans for up to $10,000 with repayment terms up to 5 years for eligible owner-occupants, and 3%-below-prime rate loans for rental property owners that provide affordable apartments to eligible tenants for 4 years. Anticipated program income for PY2015 is $-0-.

OMB Control No: 2506-0117 (exp. 07/31/2015)

5	**Goal Name**	Economic Development Loans
	Goal Description	A continuing revolving loan program offering low-interest loans to businesses that create full-time equivalent positions for persons of low and moderate income. For every $25,000 borrowed, the business agrees to hire 1 FTE job to a low-moderate income person. Anticipated program income for PY2015 is $12,704.

Projects

AP-35 Projects – 91.220(d)

Introduction

The activities identified in this Action Plan are in response to the priorities identified during the consolidated planning process. Given the limited amount of funding available, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities and the ability to demonstrate identifiable accomplishments to gauge activity success.

The City's intent throughout this challenging process is to select activities for funding that address the City's prioity needs and assist the greatest number of person within the City's limited resources.

Projects

#	Project Name
1	Shelters of Saratoga - Code Blue Coordinator
2	Wellspring (DVRC) - Shelter Counselor
3	Catholic Charities - Saratoga Youth Mentoring Program
4	Legal Aid Society - Homelessness Prevention Project
5	Saratoga Springs Recreation Dept. - Camp Saradac Scholorships
6	Rehabilitation of Allen Drive Apartments
7	Habitat for Humanity - Affordable Housing Construction
8	Mother Anderson Emergency Shelter
9	Dept of Public Works - Senior Center Facility Improvements
10	Saratoga Hospital Community Health Center Handicap Accessibility
11	Dept of Public Works - Infrastructure Improvements
12	Rebuilding Together Saratoga County - Housing Rehabilitation
13	Community Development Program Administration
14	Economic Development Revolving Loan Program

Table 56 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

AP-38 Project Summary

Project Summary Information

OMB Control No: 2506-0117 (exp. 07/31/2015)

1	**Project Name**	Shelters of Saratoga - Code Blue Coordinator
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Service Needs
	Funding	CDBG: $20,000
	Description	To maintain a year-round,full-time Code Blue Coordinator position. This will assist to further develop services to meet the needs of the street homeless individuals in the area.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	It is estimated that this activity will serve 75 unduplicated individuals.
	Location Description	The Code Blue no-demand shelter is located at the local Salvation Army Building during the hours of 7:00pm - 8:00am on days when the temperture is 20 degrees or below or 12" or more of snow. During the off season, the coordinator still receives visits and calls from the homeless for assistance.
	Planned Activities	The Code Blue Coordinator, is the point of contact, throughout the year. During the off season, actvities include: site coordination, assisting the homeless with food or clothing, attending meetings representing Code Blue and also meeting with the Continuum of Care Outreach Coordinator.
2	**Project Name**	Wellspring (DVRC) - Shelter Counselor
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Homeless Housing Facility Needs
	Funding	CDBG: $7,500
	Description	A continuation of funding for a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and residing in the emergency shelter, with assistance in accessing rental assistance, securing housing, financial literacy and referrals to community support services.
	Target Date	6/30/2016

	Estimate the number and type of families that will benefit from the proposed activities	This activity is estimated to serve 50 women and 50 children.
	Location Description	Location is undisclosed. This is a safe domestic violence shelter.
	Planned Activities	This activity will expand access to, and the level of, services available at the only domestic violence safe shelter within Saratoga County. This activity will address the City's identified need for safe shelter for special needs persons and to prevent homelessness.
3	**Project Name**	Catholic Charities - Saratoga Youth Mentoring Program
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Service Needs
	Funding	CDBG: $7,500
	Description	The continuation of a community-based prevention/diversion program to develop mentoring relationships between at-risk Saratoga Springs youth and successful area business/professional persons.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	This activity estimates to serve 50 youth but the program's whole-family approach will assist many more.
	Location Description	The Saratoga Mentoring Program office is located at 386 Broadway, Saratoga Springs,NY.
	Planned Activities	The program offers a broad range of services to youth and their families including the one-on-one matches, mentor support and training, counseling, crisis intervention, advocay and concrete emergency assistance.
4	**Project Name**	Legal Aid Society - Homelessness Prevention Project
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Service Needs
	Funding	CDBG: $7,500

	Description	The continuation of a homelessness prevention program to provide free legal advice, referrals and representation to prevent unwarranted or illegal evictions of persons of low and moderate income persons in Saratoga Springs.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	This activity is estimated to serve 60 eligible individuals.
	Location Description	
	Planned Activities	This activity will continue to prevent homelessnes among low income individuals and families in the City of Saratoga Springs. A part-time staff attorney will provide free legal advice, referrals and representaion to prevent unwarranted or illegal evictions. Evictions involving affordable housing, violations of the warranty of habitability due to unsafe living conditions such as lead paint based hazards, electrical, plumbing and structure hazards, or lack of heat and running water.
5	**Project Name**	Saratoga Springs Recreation Dept. - Camp Saradac Scholorships
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Service Needs
	Funding	CDBG: $2,500
	Description	Funding to provide scholarships for low-moderate income children to attend 7 weeks at Camp Saradac.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	This activity is estimated to serve 4 youth.
	Location Description	Camp Saradac is located at the Recreation Center on Vanderbilt Avenue.
	Planned Activities	This will assist 4 youth with scholarships to attend 7 weeks of Camp Saradac, which is a 7 week summer camp for youth from the ages of 5 - 15. The camp offers exciting weekly field trips, creative recreational and eductional programs, arts & crafts, and a weekly trip to the Peerless Pool, located in the Saratoga State Park.
6	**Project Name**	Rehabilitation of Allen Drive Apartments

	Target Area	
	Goals Supported	Non-Public Services
	Needs Addressed	Residential Rehabilitation
	Funding	CDBG: $74,209
	Description	This is funding to the Saratoga Affordable Housing Group to continue the rehabilitation of 24 apartments of affordable housing on Allen Drive.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	The estimated number of households to be served with this activity is 24 units with approximately 50 persons.
	Location Description	The property is located at Allen Drive, Saratoga Springs, NY
	Planned Activities	Planned projects include removal and replacement of exterior loose bricks and vinyl siding on 2 units, roof repair on 3 quads serving twelve households with approximately 24 persons and replacement of drainage line for 6 units, benefitting 6 households and 13 persons.
7	**Project Name**	Habitat for Humanity - Affordable Housing Construction
	Target Area	
	Goals Supported	Non-Public Services
	Needs Addressed	New Construction
	Funding	CDBG: $50,000
	Description	Funding towards new construction of a single-family home for an eligible low-moderate income family at 195 Division Street.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	This will be a single family home for a low-moderate income family.
	Location Description	195 Division Street, Saratoga Springs, NY

	Planned Activities	The City of Saratoga Springs provided Habitat for Humanity with 2 in-rem properties. This activity will build a single family residence for a low-moderate income family. This partnership is includes the Saratoga Builders Association and Rebuiding Together Saratoga County with Habitat for Humanity. CDBG funding on this project is expected to cover a portion of the site preparation, construction materials and labor.
8	**Project Name**	Mother Anderson Emergency Shelter
	Target Area	
	Goals Supported	Public Facilities
	Needs Addressed	Homeless Housing Facility Needs
	Funding	CDBG: $35,000
	Description	Public facility improvements to this emergency shelter serving women and children.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	It is estimated that 10 unduplicated women and children will be served.
	Location Description	60 Henry Street, Saratoga Springs, NY
	Planned Activities	This activity will include: Siding replacement, replacement and repair of the front porch, removal and replacement of the rear unit window & replacement of 2 storm doors.
9	**Project Name**	Dept of Public Works - Senior Center Facility Improvements
	Target Area	
	Goals Supported	Public Facilities
	Needs Addressed	Non-Housing Needs -Public Facilities
	Funding	CDBG: $30,000
	Description	To fund re-grading in the rear of the Senior Center, installation of new drainage structures, new gutters and a new roof over the rear steps.
	Target Date	6/30/2016

OMB Control No: 2506-0117 (exp. 07/31/2015)

	Estimate the number and type of families that will benefit from the proposed activities	This activity is a presumed benefit serving the Seniors of this community.
	Location Description	The Senior Center is located at 5 William Street, Saratoga Springs, NY
	Planned Activities	To fund re-grading in the rear of the Senior Center, installation of new drainage structures, new gutters and a new roof over the rear steps.
10	**Project Name**	Saratoga Hospital Community Health Center Handicap Accessibility
	Target Area	
	Goals Supported	Public Facilities
	Needs Addressed	Non-Housing Needs -Public Facilities
	Funding	CDBG: $15,000
	Description	Funding to improve handicap accessibility to the Community Health Center, that serves the low-moderate income population including additional handicap parking spaces, curb access and handicap door buttons for exterior doors.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	During a year, this activity could impact as many as 2,800 physically impaired individuals accessing services at the Community Health Center.
	Location Description	This activity is located at 24 Hamilton Street, Saratoga Springs
	Planned Activities	This activity will include the creation of three dedicated handicap parking spaces, curb access and handicap doors buttons for two exterior entrances and buttons on all three interior entrances to the Community Health Centers primary care and dental suites.
11	**Project Name**	Dept of Public Works - Infrastructure Improvements
	Target Area	
	Goals Supported	Public Facilities
	Needs Addressed	Non-Housing Needs -Public Facilities
	Funding	CDBG: $15,000
	Description	Funding for the repair of accessible curbs, sidewalks and curbing within eligible areas in the City.
	Target Date	6/30/2016

	Estimate the number and type of families that will benefit from the proposed activities	The estimate number of households that would receive benefits would be 500.
	Location Description	Various eligible locations including Congress Avenue, Franklin Street and Van Dam Street in Saratoga Springs, NY
	Planned Activities	Replacement of curbs, sidewalks and handicap accessibility at these locations.
12	**Project Name**	Rebuilding Together Saratoga County - Housing Rehabilitation
	Target Area	
	Goals Supported	Non-Public Services
	Needs Addressed	Residential Rehabilitation
	Funding	CDBG: $10,000
	Description	To assist in funding this volunteer - assisted housing rehabilitation program.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	The estimated number of households to be served with activity is 5.
	Location Description	Scattered sites around the City
	Planned Activities	These rehabilitation projects will address the need to assist elderly homeowners with necessary repairs on their homes. Funding includes building materials, portable toilet and dumpsters needed to provide home repairs to these 5 low-income homeowners.
13	**Project Name**	Community Development Program Administration
	Target Area	
	Goals Supported	
	Needs Addressed	
	Funding	CDBG: $68,486
	Description	These funds finance the Community Development operating budget to cover the cost of payroll, fringe and program/office expenses.
	Target Date	6/30/2016

	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	
14	**Project Name**	Economic Development Revolving Loan Program
	Target Area	
	Goals Supported	Economic Development Loans
	Needs Addressed	Job Creation
	Funding	:
	Description	A continuing revolving loan program providing low-interest loans to eligible businesses that create full-time equivalent (FTE) employment positions for person of low and moderate income. At least 1 FTE is required for every $25,000 loaned. This program is funded by program income from the repayment of loan principle and interest - anticipated program income for 2015 is $12,703.
	Target Date	6/30/2016
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Scattered sites
	Planned Activities	This ongoing revolving loan program addresses the City's need to improve and expand economic opportunities for persons of low-moderate income.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Geographic Distribution

Target Area	Percentage of Funds

Table 57 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Discussion

Given the relatively small funding allocation, each year, the Community Development Citizen Advisory Committee will evaluate and recommend the proposed activities to maximize federal and leveraged funds to best achieve the City's Community Development objectives.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

Over the next year, the City of Saratoga Springs intends to address its identified High Priority need for affordable housing through the following activities.

Shelter Plus Care - a tenant-based rental assistance program to provide rent subsidy to 26 disabled homeless persons and a permanent supportive housing facility for persons with disabilities including a specific focus on assisting U.S. Military veterans

For the 2015 program year, the City will devote $279,831 in Shelter + Care grant funding towards this successful rental subsidy program.

Saratoga Affordable Housing Group – Rehabilitation Allen Drive Apartments - $74,209

Funding to continue the rehabilitation of 24 apartments of affordable housing on Allen Drive.

Habitat for Humanity – Affordable Housing Construction - $50,000

Funding towards new construction of a home for a low-moderate income family at 195 Division Street.

Rebuilding Together Saratoga County – Housing Rehabilitation - $10,000

To assist in funding this volunteer-assisted housing rehabilitation program.

Residential Rehabilitation Revolving Loan Program – ongoing/revolving

A continuing revolving loan program offering low-interest (0%-3%) loans for up to $10,000 with repayment terms up to 5 years for eligible owner-occupants, and 3%-below-prime rate loans for rental property owners that provide affordable apartments to eligible tenants for 4 years.

One Year Goals for the Number of Households to be Supported	
Homeless	26
Non-Homeless	30
Special-Needs	0
Total	56

Table 58 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	26
The Production of New Units	1
Rehab of Existing Units	29
Acquisition of Existing Units	0
Total	56

Table 59 - One Year Goals for Affordable Housing by Support Type

Discussion

AP-60 Public Housing – 91.220(h)

Introduction

Actions planned during the next year to address the needs to public housing

The City of Saratoga Springs maintains a close working relationship with the Saratoga Springs Housing Authority. In addition to the Housing Authority serving as a repository of community development program documents, collaboration between the City and the Housing Authority occurs year-round through active participation in the Saratoga Homeless Committee and the Saratoga-North Country Continuum of Care process. Technical assistance is provided to the Saratoga Springs Housing Authority to assist them in the preparation of Entitlement Grant fund applications and to assist them in their objective to encourage public housing residents to become more involved in management and to participate in homeownership.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The Authority is governed by a seven member Board of Commissioners. Five Board members are appointed by the Mayor of Saratoga Springs. They are appointed to staggered five year terms.

Each five year term becomes effective on April First. At the end of each five year term, the Mayor has the option of replacing or reappointing the designated board member.

Two Board Members are Residents of the Housing Authority. The two Resident Board members are elected by fellow residents every two years. Their terms also become effective on April first.

Any resident of The Saratoga Springs Housing Authority or resident who has received Section Eight funding managed by SSHA and is at least nineteen years of age and in good standing for at least ninety days is eligible to run for office.

Also, there is a newsletter, website, President Advisory Board and the Presidents Council available to the residents.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

"Not Applicable"

Discussion

OMB Control No: 2506-0117 (exp. 07/31/2015)

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City identified, through its Consolidated Plan process, the high priority need for activities that prevent homelessness and that assist in the provision of housing and support service needs for homeless and, especially, the chronically homeless as defined by the U.S. Department of Housing and Urban Development.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Over the next year, the City of Saratoga Springs intends to address its identified homeless and chronic homeless needs through the following activities:

Shelters of Saratoga – Code Blue Coordinator - $20,000

To maintain on a year-round basis funding towards a full-time Code Blue Coordinator salary, which will further develop services to meet the needs of the street homeless individuals in the area.

Wellspring (formally DVRC) Shelter Case Manager - $7,500

Funding towards the support of a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and living in the emergency shelter, with assistance in accessing entitlements, rental assistance, securing housing, financial literacy and referrals to community support services.

Legal Aid Society Homelessness Prevention Project - $7,500

The continuation of a homelessness prevention program to provide free legal advice, referrals and representation to prevent unwarranted or illegal evictions of persons of low and moderate income within Saratoga Springs.

Mother Susan Anderson Emergency Shelter Rehabilitation Project - $35,000

Public facility improvements including constructing additional meeting space for this emergency shelter for women and children, and replacing cast iron plumbing to rehabilitate this emergency shelter.

Addressing the emergency shelter and transitional housing needs of homeless persons

Agencies that provide emergency shelter and transitional housing participate in the monthly Saratoga Housing Committee (part of the Saratoga-North Country Continuum of Care), where all agencies discuss their needs when housing the homeless of our community.
They are the following: Code Blue Shelter, Shelters of Saratoga, Saratoga County Rural Preservation Company, CAPTAIN Youth and Family Services and Wellspring (formally DVRC).

As Co-Chair of the Continuum, we have begun to open up a better dialog and communication with the Saratoga County Department of Social Services, who services the homeless in motels with vouchers.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City shall continue the administration of the annual Shelter + Care rental subsidy program providing rental assistance subsidies and integral supportive services to homeless and disabled persons and families.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The City, was an integral participant in the process of implementing the Coordinated Assessment Tool for the Continuum of Care. The purpose of this committee is to develop a coordinated assessment system in which a referral process will be instituted in order to ensure permanent housing is obtained by a person losing their housing.

Discussion

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

The greatest barrier to affordable housing in Saratoga Springs is the strong competition for developable land and the significant resulting costs associated with the acquisition and development of that land. Without some level of government or public policy intervention, land owners will generally seek to reap the highest economic return from the sale of land and, in turn, the purchaser will seek to maximize the value of that land to recoup the costs of acquisition and to earn an appropriate profit. This relatively free market process poses a significant challenge to the creation of housing units available at below-market rates to those with limited spending power.

To attempt to balance this supply and demand tug-of-war over the costs and value of land development, a municipality has few broad policy options: mandates; incentives; direct assistance.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Mandates: Inclusionary Zoning: Beginning in 2005, the City undertook an 18-month exercise in developing a regulatory framework to require the construction of affordable housing along with the construction of market-rate housing units. With the assistance of a citizen committee comprised of representatives from the City Planning and Zoning Boards, the banking and residential construction industries, and a national expert on housing finance, construction and underwriting, Monte Franke, a draft inclusionary zoning ordinance was developed mandating that every new residential development over a certain size provide a percentage of affordable units in exchange for an increase in the otherwise allowable density for that area. After extensive public dialog, this legislation was presented to the City Council. While not acted upon, this legislation remains a public policy option.

Incentives: Density Bonus: In contrast to a mandate that would require affordable housing construction, the City currently provides incentives to those who volunteer to provide a public benefit including the construction of affordable housing. The City's Comprehensive Plan directs a 20% increase in allowable density in exchange for affordable housing throughout the City's extensive Conservation Development District. The City's Zoning Ordinance provides a 20% increase in allowable density in exchange for affordable housing in the Urban Residential-1 and Suburban Residential-2 zoning districts.

Incentives: Flexible Zoning Regulation: Recognizing that land use controls impact the availability, use and value of land, the City has introduced a variety of mixed-use zoning districts to allow for more flexible utilization of land and to allow for a cost-balancing of uses on that land. In what were once solely residential districts, the City has introduced a series of three Neighborhood Complementary Use Districts to allow for the greater utilization of street-level properties (retail, office, etc.) with the

opportunity to balance the costs of adjacent residential uses. Furthermore, the City has adopted a series of "Transect" mixed-use zoning districts that greatly reduce dimensional requirements and provide property owners and developers significant flexibility in the types (commercial, retail, residential), density and intensity of allowable uses.

Direct Financial Assistance: Whether locally funded or supported by state and federal resources, a municipality may also reduce the cost of housing development and construction by providing financial assistance through a variety of programs.

Infrastructure subsidies: The City currently provides reduced water connection fees to developments that include affordable housing units.

SEE BELOW FOR CONTINUED DISCUSSION OF ACTIONS.

Discussion:

Loan guarantees: The City has provided a loan guarantee to allow the Saratoga Affordable Housing Group to purchase 28 units of existing housing and convert to affordable housing.

Payment In Lieu of Taxes (PILOT) programs: The City has approved PILOT programs to promote affordable housing development. Most recently, the City extended a PILOT program to Raymond Watkin Apartments, a site-based affordable facility for income-eligible elderly.

Housing Trust Fund Grants: The City established and funded a dedicated trust fund for the development of affordable housing. This fund has provided grant funding for the acquisition of 1 Waterbury Street and the conversion of its two market rate units to affordable housing for the next 30 years. This fund has also assisted the acquisition of the Ashgrove Apartments on Allen Drive – the conversion of 24 market rate units to affordable housing and the construction of at least 15 new affordable housing units.

Shelter Plus Care Program: Since 2001, the City has successfully applied for over $2.5 Million of new funding into this area for housing and support services to assist the chronically homeless and persons with disabilities. Funded programs include a tenant-based rental assistance program to provide rent subsidy to 26 disabled homeless persons and a permanent supportive housing facility for persons with disabilities including a specific focus on assisting U.S. Military veterans.

AP-85 Other Actions – 91.220(k)

Introduction:

The activities identified in this Action Plan are in response to the priorities identified during the consolidated planning process. Given the limited amount of funding available, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities and the ability to demonstrate identifiable accomplishments to gauge activity success.

The City's intent throughout this challenging process is to select activities for funding that address the City's priority needs and assist the greatest number of persons within the City's limited resources.

Actions planned to address obstacles to meeting underserved needs

The greatest obstacle in meeting underserved needs in the City of Saratoga Springs is the decreasing availability of resources, changing policy directives, and uncertain future funding. Nevertheless, it is the City's intent to maximize these resources and encourage collaboration among the private sector, the non-profit community, local government, and the public housing authority to promote and fund activities that address the needs identified in this plan. In this manner, the City will ensure a focused and efficient approach towards accomplishing its multi-year strategy of community development objectives.

Actions planned to foster and maintain affordable housing

The Office of Community Development is a member of the Mayors Affordable Housing Task Force along with many agencies in the community to address the difficulty in locating affordable housing.

Actions planned to reduce lead-based paint hazards

As part of its revolving loan and housing rehabilitation programs, the City distributes educational material to residents to notify them of the potential hazards of lead-based paint and of methods to deal with this situation. The City also provides "no-" to low-interest loans through its Housing Rehabilitation Revolving Loan fund for abatement of lead-based paint hazards occupied by residents of low- and moderate-income. In addition, the Community Development web site contains information and links to additional information relating to lead-based paint hazards.

In its housing rehabilitation grant and loan programs, the City complies with the current lead-based paint hazard regulations. Community Development staff has attended numerous informational sessions, seminars, and lead safe work practices trainings in an effort to increase organizational capacity to address lead hazards in the City's housing stock. The City continues to seek technical and financial assistance to sufficiently train and certify rehabilitation and maintenance workers for its community development programs.

In order to address the potentially significant hazards of lead-based paint in the City of Saratoga Springs, the following actions are recommended:

- Continue collaboration with the Saratoga County Public Health Nurses and the New York State Department of Health to increase awareness of lead-based paint hazards.

- Continue rehabilitation grant and low-interest loan programs in conformance with regulations relating to lead-based paint hazards as contained in Title X of the Housing and Community Development Act of 1992.

- Provide residential rehabilitation program participants with information on lead-based paint hazards and blood screening programs.

- Designate rehabilitation program households identified with lead-based paint hazards as "emergency priority" to address immediate health and safety needs.

Actions planned to reduce the number of poverty-level families

The Office of Community Development routinely collaborates with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelter of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (county community action program agency for housing & support services including weatherization, WIC, Food pantry, etc.), the Workforce Housing Partnership of Saratoga County, Saratoga-North Country Homeless Alliance (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

Furthermore, the communication, mutual problem-solving and referral discussions that occur at the monthly meetings of the Saratoga County Rental Assistance Program and the Saratoga - North Country Homeless Alliance has directly improved the efficacy and coordination of local housing and support service delivery.

The City remains committed to assisting its neediest residents to become more self-sufficient through the combined efforts of these social service and housing agencies. This common goal and close interagency cooperation and referral ensures that a full continuum of care is available to those in need. These organizations demonstrate a continuing commitment to make the most efficient use of resources to benefit the most people.

Actions planned to develop institutional structure

The City's Office of Community Development will have the primary responsibility for administering

funded projects under the City's CDBG programs. Community Development also maintains ongoing implementation of the housing rehabilitation grant and loan.

In order to better coordinate and enhance the delivery of housing and non-housing services, the Office of Community Development will collaborate with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelter of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (The County Community Action Agency for housing & support services including weatherization, WIC, Food pantry, etc.), the Saratoga Affordable Housing Group, Saratoga Homeless Committee (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

Actions planned to enhance coordination between public and private housing and social service agencies

Over the next year, the City will continue its commitment to collaborate with private and public housing, health and social service agencies. The Office of Community Development maintains a very close working relationship with the Housing Authority and the City of Saratoga Springs maintains its role as coordinating lead agent for the Saratoga-North Country Continuum of Care and Saratoga County Housing Committee and continuum of care planning process. The Committee is representative of the area's housing and support service agencies including those focusing on special needs services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, and homeless persons.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

The activities identified in this Action Plan are in response to the priorities identified during the consolidated planning process. Given the limited amount of funding available, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities and the ability to demonstrate identifiable accomplishments to gauge activity success.

The City's intent throughout this challenging process is to select activities for funding that address the City's priority needs and assist the greatest number of persons within the City's limited resources.

These activities would be fulfilled with the expected Federal funds from the 2015 CDBG funds of $342,430 and program income of over $12,690 from the revolving loan programs. Also available are funds from the competitive McKinney-Vento Homeless Assistance Act funds in the amount of $290,967. In addition, projects anticipate over $1.3 million in leveraged funds from other private and local resources. The City of Saratoga Springs Community Development office encourages all subrecipients to leverage at least 20% of the amount they are requesting.

Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	**0**

OMB Control No: 2506-0117 (exp. 07/31/2015)

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income.Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	98.00%

Discussion:

Appendix - Alternate/Local Data Sources

SF 424

The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the 1CPMP.xls document of the CPMP tool.

SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

6/15/15	14-6002423	**Type of Submission**	
Date Received by state	State Identifier	**Application**	**Pre-application**
Date Received by HUD	Federal Identifier	☐ Construction	☐ Construction
		☒ Non Construction	☐ Non Construction
Applicant Information			
City of Saratoga Springs		NY365800 SARATOGA SPRINGS	
474 Broadway – City Hall		36580 05-452-0564	
Saratoga Springs	New York	Office of Community Development	
12866	USA	0	
Employer Identification Number (EIN):		Saratoga County	
14-6002423		07/01	
Applicant Type:		**Specify Other Type if necessary:**	
Program Funding		**U.S. Department of Housing and Urban Development**	
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Funding			
Community Development Block Grant		14.218 Entitlement Grant	
2015 PY Consolidated Plan and Action Plan		City of Saratoga Springs	
$342,430	$Additional HUD Grant(s) Leveraged	Describe	
$Additional Federal Funds Leveraged		$Additional State Funds Leveraged	
$1,331,332		$Grantee Funds Leveraged	
$Anticipated Program Income $12,703		Other (Describe)	
Total Funds Leveraged for CDBG-based Project(s)			
Home Investment Partnerships Program		14.239 HOME	
HOME Project Titles		Description of Areas Affected by HOME Project(s)	
$HOME Grant Amount	$Additional HUD Grant(s) Leveraged	Describe	
$Additional Federal Funds Leveraged		$Additional State Funds Leveraged	
$Locally Leveraged Funds		$Grantee Funds Leveraged	

$Anticipated Program Income	Other (Describe)
Total Funds Leveraged for HOME-based Project(s)	

Housing Opportunities for People with AIDS	14.241 HOPWA	
HOPWA Project Titles	Description of Areas Affected by HOPWA Project(s)	
$HOPWA Grant Amount	$Additional HUD Grant(s) Leveraged	Describe
$Additional Federal Funds Leveraged	$Additional State Funds Leveraged	
$Locally Leveraged Funds	$Grantee Funds Leveraged	
$Anticipated Program Income	Other (Describe)	
Total Funds Leveraged for HOPWA-based Project(s)		

Emergency Shelter Grants Program	14.231 ESG	
ESG Project Titles	Description of Areas Affected by ESG Project(s)	
$ESG Grant Amount	$Additional HUD Grant(s) Leveraged	Describe
$Additional Federal Funds Leveraged	$Additional State Funds Leveraged	
$Locally Leveraged Funds	$Grantee Funds Leveraged	
$Anticipated Program Income	Other (Describe)	
Total Funds Leveraged for ESG-based Project(s)		

Congressional Districts of:		Is application subject to review by state Executive Order 12372 Process?	
20th District	20th District		
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		☐ Yes	This application was made available to the state EO 12372 process for review on DATE
		☒ No	Program is not covered by EO 12372
☐ Yes	☒ No	☐ N/A	Program has not been selected by the state for review

Person to be contacted regarding this application		
Joanne	D.	Yepsen
Mayor	518-587-3550	518-587-1688
Joanne.yepsen@saratoga-springs.org	www.saratoga-springs.org	
Signature of Authorized Representative		Date Signed

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

_____

Signature/Authorized Official Date

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) _____ , _____(a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

   However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

   The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

_____ _____

Signature/Authorized Official Date

_____

Title

OPTIONAL CERTIFICATION
CDBG

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

> The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

_____ _____
Signature/Authorized Official Date

_____
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.