

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)  
ENTITLEMENT PROGRAM

2010 CONSOLIDATED PLAN

FOR

THE CITY OF SARATOGA SPRINGS

B10-MC-36-0017

SCOTT T. JOHNSON, MAYOR  
SARATOGA SPRINGS, NEW YORK 12866-2216



*SUBMITTED TO THE:*  
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT



Equal Housing  
Opportunity

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*THE CITY OF SARATOGA SPRINGS DOES NOT DISCRIMINATE ON THE BASIS OF  
AGE, RACE, COLOR, GENDER, RELIGION, HANDICAP, SEXUAL ORIENTATION, FAMILIAL STATUS  
OR NATIONAL ORIGIN IN EMPLOYMENT OR THE PROVISION OF SERVICES.*



# 3-5 Year Strategic Plan

This document includes Narrative Responses to specific questions that grantees of the Community Development Block Grant, HOME Investment Partnership, Housing Opportunities for People with AIDS and Emergency Shelter Grants Programs must respond to in order to be compliant with the Consolidated Planning Regulations.

## GENERAL

### Executive Summary

The Executive Summary is optional, but encouraged. If you choose to complete it, please provide a brief overview that includes major initiatives and highlights that are proposed throughout the 3-5 year strategic planning period.

#### 3-5 Year Strategic Plan Executive Summary:

##### Consolidated Plan Purpose

The City's Consolidated Plan is a multi-year planning document that identifies City housing and non-housing community development priorities and establishes a coordinated plan for annual Entitlement funding to achieve program objectives.

##### Time Period

The 2010 Consolidated Plan covers a 5-year period beginning July 1, 2010 and ending June 30, 2015 with interim updates as necessary.

##### Administration and Institutional Structure

The Office of Community Development is responsible for administering the City's community development program. A multi-member Community Development Citizen Advisory Committee, with staff support from the Office of Community Development, is responsible for community outreach and with assistance in preparing the Consolidated Plan and annual Action Plans. The five-member City Council has the legislative authority for Consolidated Plan and Action Plan approval.

##### Community Profile

The City of Saratoga Springs is the fourth central city in the Albany-Schenectady-Troy Metropolitan Statistical Area (MSA), located 30 miles north of Albany and 200 miles north of New York City. The City of Saratoga Springs has witnessed significant improvements and beneficial change over the last three decades. Through much hard work, concerted planning efforts and ongoing investment in the community, local government, the business sector and the non-profit community have developed the City of Saratoga Springs into a destination location, a place of great attraction to visit and to call home.

The City is renowned for its year-round attractions including equine events (thoroughbred and harness racing, dressage, and polo matches), live cultural performances (ballet, opera, symphony, theatre), and outdoor recreation (Saratoga

Spa State Park and Saratoga Lake) and educational institutions (Skidmore and Empire State colleges). Nevertheless, there are still community needs that remain unfilled.

At the height of the post-millennial housing construction boom, the Saratoga Springs housing market was on relative par with some of the highest cost areas in all of New York State – including the New York metropolitan/Long Island area. However, the more recent economic crises and housing construction slow-down has affected the City in a similar fashion to surrounding communities.

Building permit activity over the last 4 years has slowed as indicated in the following City Building Department data. The permitting of single and 2-family structures has slowed while the permitting of multifamily structures has dropped significantly.

| <u>Building Permit Activity 2006 – 2009</u> | <u>2006</u> | <u>2007</u> | <u>2008</u> | <u>2009</u> |
|---|-------------|-------------|-------------|-------------|
| New detached single-family units            | 54          | 51          | 35          | 31          |
| New 2-family (total units)                  | 04          | 02          | 02          | 00          |
| New multifamily (total units)               | 81          | 43          | 66          | 00          |

(Source: City of Saratoga Springs Building Department records)

The lack of available affordable housing has significant implications for continuing our economic stability and maintaining community diversity. 2000 Census data indicate:

- 40% of the City's total households are of low and moderate income (earning less than 80% of Metropolitan Statistical Area median figure)
- 26% of all Saratoga Springs households spend more than 30% of their annual income on housing and experience a housing cost burden
- Over 35% of rental households experience a housing cost burden and spend more than 30% of their annual income on housing

High housing costs place an even greater burden on our most vulnerable populations in the community:

- 42% of elderly (64 yrs+) renter households experience a housing cost burden and spend more than 30% of their annual income on housing
- Nearly 57% of the City's low- & moderate-income households experience a housing cost burden and spend more than 30% of their annual household income on housing
- 28% of the City's low- & moderate-income households experience a severe housing cost burden and spend more than 50% of their household income on housing

Evaluation of Past Performance

In light of these indicators, the City has demonstrated a commitment to assisting activities that address the City's identified funding priorities. Since 2000, the City has received and allocated nearly \$5.2 Million in federal funding in the following manner (See Attachment SSCP-2):

75% High Priority Activities

- 37% High Priority – Housing, including:
  - New affordable housing construction
  - Affordable housing reconstruction
  - Housing rehabilitation (City grant and loan programs)
  
- 29% High Priority – Homeless and Special Needs Population, including:
  - Additional affordable housing opportunities
  - Expanded emergency shelter/transitional housing for homeless youth/families
  - Supportive Services to assist homeless individuals & families
  
- 9% High Priority – Public Service Activities, including:
  - Homelessness prevention
  - Programs for youth
  - Programs for elderly
  - Programs for special needs population

25% Medium Priority Activities

- 11% Infrastructure improvement in low- to moderate-income areas
- 7% Economic Development – City revolving loan program
- 6% Public facility improvements
- 1% Historic preservation

Philosophy of Investment

The City of Saratoga Springs currently receives in the neighborhood of \$350,000 - \$400,000 in annual CDBG funding. This level of funding classifies Saratoga Springs as one of the smallest Entitlement communities, in terms of CDBG funding, in New York State. Noting these funding constraints, the Community Development Citizen Advisory Committee evaluated activity funding for the past ten years and confirmed the funding priorities for the next five years.

Proposed 2010 – 2015 Consolidated Plan Funding Priorities

“High Priority”

The following activities will continue to receive the highest priority for federal funding:

1. Housing Needs

It is recommended that the highest funding priority be for activities that address the “continuum” of housing needs for persons of low- and moderate-income:

- homelessness prevention
- emergency shelter
- transitional housing and related support services (especially for families with children)
- rehabilitation of existing housing stock
- creation of new permanent affordable housing

2. Public Service Activities for At-risk Youth, Seniors and Special Needs population

In addition to housing needs, it is recommended that public service activities that assist the most vulnerable segments of our population such as at-risk youth, seniors and the special needs population are also considered high funding priorities.

In addition, the Citizen Advisory Committee recognizes that non-housing community development activities are integral components in promoting a sound living environment and encouraging expanded economic opportunities for persons of low- and moderate-income. As such, the following activities are attributed with a medium, yet important, funding priority.

### "Medium Priority"

The following activities are identified as a medium priority and may be expected to receive funding particularly in concert with leveraged, non-federal sources.

#### 1. Targeted Infrastructure Improvements

Recognizing the successful revitalization of Beekman Street, and input from the Westside Neighborhood Association regarding the benefit of "tangible improvements with a permanent effect", the Committee encourages infrastructure projects that focus on discrete "target" areas with a combination of improvements including water, sewer, storm water, curbing, sidewalks, lighting, and streetscape enhancements.

#### 2. Economic Development

Recognizing the success of the City's Economic Development Revolving Loan Program, the Committee encourages economic development activities that promote job creation and long-term financial stability for persons of low- and moderate-income.

Given the limited amount of funding available in the foreseeable future, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified funding priorities, and the ability to demonstrate identifiable accomplishments to gauge activity success.

### **Strategic Plan**

Due every three, four, or five years (length of period is at the grantee's discretion) no less than 45 days prior to the start of the grantee's program year start date. HUD does not accept plans between August 15 and November 15.

Mission:

#### Consolidated Plan Mission

The "consolidated planning process" was designed by the U.S. Department of Housing and Urban Development to be a collaborative process to encourage broad public participation in identifying and addressing priority community needs. This process provides the opportunity for the City of Saratoga Springs to engage its citizens, prioritize its community development needs, and develop a coordinated and unified vision of strategies to reduce duplication of effort in addressing these needs.

At the heart of this consolidated planning process is the City's intent to meet the three statutory goals embodied in Federal housing legislation governing community development programs:

1. Provide Increased Access to Decent, Affordable Housing
  - Maintain the affordability of existing housing stock
  - Assist homeless and persons at risk of becoming homeless to obtain affordable housing
  - Increase the availability of safe, decent, affordable permanent housing to all

- persons without discrimination
- Increase supportive housing and services that enable persons with special needs to live in dignity and independence
- Provide affordable housing that is accessible to job opportunities

## 2. Promote a Suitable Living Environment

- Improve the safety and livability of neighborhoods
- Increase access to quality public and private facilities and services
- Reduce the isolation of income groups through a broad distribution of affordable housing opportunities and the continued revitalization of deteriorating neighborhoods
- Restore and preserve properties of special historic, architectural, or aesthetic value
- Conserve energy resources

## 3. Encourage Expanded Economic Opportunities

- Promote job creation and retention for persons of low and moderate income
- Establish, stabilize and expand small business
- Develop and maintain public services needed to enhance employment opportunities
- Ensure the availability of mortgage financing using non-discriminatory lending practices
- Provide access to capital and credit for development activities that promote the long-term economic and social viability of the City
- Encourage self-sufficiency to reduce generational poverty in public and assisted housing

The Saratoga Springs Consolidated Plan is intended, therefore, as a multi-year planning document that identifies the City's housing and non-housing community development priorities and establishes a coordinated plan for federal and non-federal investment to achieve the objectives of the Saratoga Springs community development program.

## General Questions

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed.
2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) and the basis for assigning the priority (including the relative priority, where required) given to each category of priority needs (91.215(a)(2)).
3. Identify any obstacles to meeting underserved needs (91.215(a)(3)).

3-5 Year Strategic Plan General Questions response:

### 1. Geographic Jurisdiction

The City of Saratoga Springs is the fourth central city in the Albany-Schenectady-Troy Metropolitan Statistical Area (MSA), located 30 miles north of Albany and 200 miles north of New York City. It encompasses just over 29 square miles in total area (3.4% of the mostly rural and suburban Saratoga County) and ranks 2nd in the County in population. The U.S. Department of the Census indicated a 2000 population of 26,186 persons - demonstrating a steady population growth rate of approximately 4.7% over each of the last two decades.

In terms of housing, owner-occupied housing units constitute 56% of total occupied units in comparison to 44% of rental units. Nearly 47% of the City's housing is over 50 years old (built prior to 1960) and 78% is over 30 years old (built before 1980).

More than 24% of the total population is younger than 20 years old; nearly 58% of the total population is between 20 and 54 years old; and 18% are older than 60 years. The average household size is 2.21 persons and average family size is 2.88.

The percentage of persons identified in the 2000 Census with low- to moderate-income (earning less than 80% of the Area Median) citywide is 40% with six Census Block Groups containing low- to moderate-income populations exceeding 50%.

Census Block Group L/M income population

|         |  |
|---------|--|
| 611.005 | 101.1% - site of Jefferson/Vanderbilt Terraces public housing  |
| 612.004 | 84.0% - site of Wesley/Embury senior subsidized housing        |
| 610.006 | 74.2% - site of Stonequist & R. Watkin senior subsidized apts. |
| 610.001 | 58.9%  |
| 612.001 | 56.7%  |
| 610.002 | 55.7%  |

According to the 2000 Census, 8.8% of the City's total population (for whom poverty status is determined) fell below poverty, and 5.5% of all "families" fell below poverty level.

In terms of race and Hispanic origin, the 2000 Census indicates 98.6% of the population identified themselves as "one race" (of that, 93.5% White; 3.1% Black or African American; 1% Asian; 0.2% American Indian or Alaska Native; 0.6% Other), and 1.4% were indicated as two or more races. Persons identifying themselves as Hispanic or Latino (of any race) totaled 1.4%.

A series of reference maps (general geography and areas of low- and moderate-income) are included as Attachment SSCP-1.

## 2. Basis for funding priorities and investment

The intent of the Consolidated Plan process is to ensure a collaborative approach to community development planning and programming. The City, as a leader and active participant the multi-county continuum of care planning process and Saratoga County Housing Alliance, receives continuing input on housing and support service needs from local providers. This, in conjunction with response from the specific Consolidated Plan outreach, enabled the City's Community Development Citizen Advisory Committee to identify the next five years of funding priorities and investment.

## 3. Obstacles to meeting underserved needs

The greatest obstacle in meeting underserved needs in the City of Saratoga Springs is the decreasing availability of resources, changing policy directives, and uncertain future funding. Nevertheless, it is the City's intent to maximize these resources and encourage collaboration among the private sector, the non-profit community, local government, and the public housing authority to promote and fund activities that address the needs identified in this plan. In this manner, the City will ensure a focused and efficient approach towards accomplishing its multi-year strategy of community development objectives.

## **Managing the Process (91.200 (b))**

1. Lead Agency. Identify the lead agency or entity for overseeing the development of the plan and the major public and private agencies responsible for administering programs covered by the consolidated plan.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the

process.

3. Describe the jurisdiction's consultations with housing, social service agencies, and other entities, including those focusing on services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, and homeless persons.

\*Note: HOPWA grantees must consult broadly to develop a metropolitan-wide strategy and other jurisdictions must assist in the preparation of the HOPWA submission.

### 3-5 Year Strategic Plan Managing the Process response:

#### 1. Lead Agency and Administration

The City's Community Development Citizen Advisory Committee (CDcac), with staff support from the Office of Community Development, is responsible for preparing the Consolidated Plan and annual Action Plans. The five member City Council, consisting of the Mayor and commissioners of Accounts, Finance, Public Safety and Public Works, maintains the authority to approve the Consolidated Plan and Action Plans.

The Office of Community Development is responsible for administration and management of the City's community development program with technical assistance provided by other City departments as needed. The City maintains a close working relationship with the Saratoga Economic Development Corporation and local banks for technical assistance and guidance in the operation of its housing rehabilitation and economic development revolving loan programs. The Office of Community Development also collaborates with the Saratoga Springs Housing Authority to ensure that both federally funded programs are run in an efficient and coordinated manner that best serves the needs of the community.

#### 2. Plan Development and Coordination

The consolidated plan process ensures a coordinated and collaborative approach to community development planning and programming. Given the amount of the City's annual Entitlement grant, it is imperative that the City continues to develop partnerships among public, private, and non-profit groups to efficiently coordinate resources to achieve community development objectives.

In February 2010, the Citizen Advisory Committee held two public hearings to elicit citizen input in preparation of the City's 2010 Consolidated Plan and Entitlement Action Plan. The first meeting was held on February 1 in City Hall, a central community location. The second was held on February 17 in the William J. Ford Neighborhood Center in the Jefferson/Vanderbilt Terrace Public Housing Area on the Eastside of the City - the area of greatest low/moderate income concentration.

At its February 25, 2010 meeting, the Citizen Advisory Committee evaluated the manner in which to update the City's Consolidated Plan for the next five years. The level of past and current federal funding was reviewed along with the accomplishments derived from activity funding over the past ten years. It was determined that a questionnaire instrument would be employed to identify a current perspective on priorities within six categories: permanent housing needs, homeless (and transitional) housing facility needs, public service activity needs, and non-housing community development needs such as infrastructure, public facilities and economic development.

The questionnaire instrument (See Attachment SSCP-3) reviewed and approved by the Citizen Advisory Committee and the Committee reviewed and approved the questionnaire distribution list (See SSCP-4)) of nearly 200 local, regional, and state-wide housing and support service agencies, planning and coordinating entities, neighborhood

groups, non-profit organizations, media, business and educational institutions.

In addition, the Mayor issued a press release notifying the public of this outreach effort and identified that the questionnaire was available in City Hall, at the Saratoga Springs Public Library, and on the City's web site: [www.saratoga-springs.org](http://www.saratoga-springs.org). Citizen Advisory Committee members also distributed additional questionnaires at a variety of other public events including a meeting of "Sustainable Saratoga", a grassroots volunteer organization encouraging efforts to improve life in Saratoga by conserving the vitality and diversity of regional resources, and the Mayor's Senior Citizen Committee.

The responses to this widely publicized questionnaire were then tabulated and presented to the Citizen Advisory Committee in advance of the Committee's March 18 meeting. The Committee evaluated these responses and, after much discussion, reached consensus on the proposed funding priorities for the next five years of federal funding.

This far-reaching, collaborative process resulted in significant public input, a greater awareness and priority identification of housing and non-housing community development needs, the establishment of a realistic and efficient strategy of investment to address these needs and, ultimately, an effective multi-year plan to achieve the City's community development objectives.

### 3. Consultation with Service Organizations

As described above, this Consolidated Plan was developed in coordination with a wide range of public and private groups.

In addition, the City of Saratoga Springs maintains its role as coordinating lead agent for the Saratoga Housing Alliance (See Attachment SSCP-5) and the continuum of care planning process. The Alliance is representative of the area's housing and other support service agencies including those focusing on special needs services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, and homeless persons.

## **Citizen Participation (91.200 (b))**

1. Provide a summary of the citizen participation process.
2. Provide a summary of citizen comments or views on the plan.
3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

\*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

3-5 Year Strategic Plan Citizen Participation response:

### 1. Citizen Participation Process

#### 2010 Action Plan

The 2010 consolidated planning and annual action plan process began in December 2009 with the notice of the release of the 2010 CDBG funding applications. By the application deadline of January 29, 2010, the City received eighteen applications

seeking a total of over \$733,000 in funding.

Following this deadline, the Citizen Advisory Committee noticed and held two public meetings: the first, on February 1, 2010, held at 5:30 pm in the centrally-located City Hall; and the second, on February 4, 2010, at 5:30 pm in the William J. Ford Neighborhood Center in the Jefferson/Vanderbilt Terrace Public Housing Area on the Eastside of the City - the area of greatest low/moderate income concentration.

The Citizen Advisory Committee then met in the evening of February 10 to discuss each application in detail and then they were asked to evaluate and score each proposal on an 8-variable matrix including project readiness, organizational capacity, number of persons assisted, financial leveraging, long-term benefit, and consistency with identified City Consolidated Plan priorities. On February 18, the Committee met again to review the matrix evaluation and, after much deliberation and discussion, reached consensus on a slate of recommended funding.

The Citizen Advisory Committee recommendations were presented to the City Council on March 2 and a 30-day public comment began through April 2, 2010. During this comment period, the City Council noticed and held a formal public hearing on these recommendations on Tuesday, March 16. At its April 6 meeting, the City Council unanimously approved the 2010 funding recommendations as presented.

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#### 2010 Consolidated Plan

At its February 25, 2010 meeting, the Citizen Advisory Committee evaluated the manner in which to update the City's Consolidated Plan for the next five years. The level of past and current federal funding was reviewed along with the accomplishments derived from activity funding over the past ten years.

It was determined that a questionnaire instrument would be employed to identify a current perspective on priorities within six categories: permanent housing needs, homeless (and transitional) housing facility needs, public service activity needs, and non-housing community development needs such as infrastructure, public facilities and economic development.

The questionnaire instrument (See SSCP-3) was reviewed and approved by the Citizen Advisory Committee and the Committee reviewed and approved the questionnaire distribution list (). This list contains nearly 200 local, regional, and state-wide housing and support service agencies, planning and coordinating entities, neighborhood groups, non-profit organizations, media, business and educational institutions.

In addition, the Mayor issued a press release (See SSCP-6) notifying the public of this outreach effort and identified that the questionnaire instrument was available at the Saratoga Springs Public Library and on the City's web site: [www.saratoga-springs.org](http://www.saratoga-springs.org). Citizen Advisory Committee members also distributed additional questionnaires at a variety of other public events such as a meeting of "Sustainable Saratoga", a grassroots volunteer organization encouraging efforts to improve life in Saratoga by conserving the vitality and diversity of regional resources, and the Mayor's Senior Citizen Committee.

The responses to this widely publicized questionnaire were then tabulated and presented to the Citizen Advisory Committee. The Committee evaluated these responses and, after much discussion, reached consensus on recommendations on the City's funding priorities for the next five years.

These recommended funding priorities were then incorporated into the Draft 2010 3-5 Year Strategic Plan

Consolidated Plan, including an update to the City's Citizen Participation Plan (See SSCP-7), and made available to the public on March 30, 2010. A 30-day comment period ensued through April 30. During this time, the City Council held a formal public hearing on the Draft 2010 Consolidated Plan and associated documents on April 20. On May 4, the City Council approved the submission of the City's updated 2010 Consolidated Plan and associated materials to the U.S. Department of Housing and Urban Development.

## 2. Summary of Comments

All general input was included as part of the consolidated planning process. Correspondence from the Westside Neighborhood Association indicated a preference for infrastructure activities that promote "tangible improvements with a permanent effect". The Committee incorporated this input into its funding philosophy by encouraging infrastructure projects that focus on discrete "target" areas with a combination of improvements including water, sewer, storm water, curbing, sidewalks, lighting, and streetscape enhancements.

## 3. Efforts to Broaden Public Participation

Individual meetings with Community Development staff were available for those citizens and groups who were unable to attend the public hearings or who wished a more in-depth understanding of the CDBG process.

Community Development staff consult with a variety of other public and private agencies on an ongoing process concerning housing, human service, economic development and other community needs including: Saratoga Springs Housing Authority, Saratoga Neighborhood Development Company, Shelters of Saratoga, Saratoga County Office for the Aging, various neighborhood associations, and the range of agencies within the Crises Action Network.

In addition, information on the City's community development program and Consolidated Planning process is placed on the City's website.

## 4. Explanation of Comments not Accepted

No comments were refused in developing the Consolidated Plan.

## **Institutional Structure (91.215 (i))**

1. Explain the institutional structure through which the jurisdiction will carry out its consolidated plan, including private industry, non-profit organizations, and public institutions.
2. Assess the strengths and gaps in the delivery system.
3. Assess the strengths and gaps in the delivery system for public housing, including a description of the organizational relationship between the jurisdiction and the public housing agency, including the appointing authority for the commissioners or board of housing agency, relationship regarding hiring, contracting and procurement; provision of services funded by the jurisdiction; review by the jurisdiction of proposed capital improvements as well as proposed development, demolition or disposition of public housing developments.

### 3-5 Year Strategic Plan Institutional Structure response:

#### 1. Implementation of Consolidated Plan

The City will carry out its housing and community development plan through coordinated efforts between public institutions and private groups as appropriate and as required by the investment of each annual Action Plan.

The City's Office of Community Development will have the primary responsibility for administering funded projects under the City's CDBG programs. Community Development also maintains ongoing implementation of the housing rehabilitation grant and loan programs and works with property owners and nonprofit organizations to develop other affordable housing projects for potential funding through the CDBG grants.

Non-profit organizations are major providers of affordable housing and support services in the City of Saratoga Springs and are often consulted to help the City plan for future affordable housing and community development policies and programs. In the private sector, area contractors, lending institutions, real estate agents and professional associations have periodically donated funds and/or technical assistance to local affordable housing projects or participated in planning and policy discussions on affordable housing issues. Many such professionals also serve on the boards of area housing and human service agencies and the City's Community Development Citizen Advisory Committee.

In order to better coordinate and enhance the delivery of housing and non-housing support services, the Office of Community Development routinely collaborates with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelters of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (county community action program agency for housing & support services including weatherization, WIC, food pantry, etc.), the Saratoga Housing Alliance (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

#### 2. Assessment of Institutional Structure Strengths and Gaps

The Office of Community Development is responsible for the successful management and administration of the City's Community Development Block Grant Entitlement program. This program entails an annual allocation of approximately \$350,000-\$400,000 in federal funding to assist activities that promote increased access to safe, decent, affordable housing, improve economic opportunity, and rehabilitate distressed areas of the City.

Other ongoing activities administered by the Office of Community Development include a housing rehabilitation grant and low-interest revolving loan program for eligible homeowners and rental property owners, and a low-interest economic development revolving loan program to encourage the creation of new employment opportunities for person of low- and moderate-income.

It was the consensus of the Saratoga Housing Alliance that the City of Saratoga Springs, represented by the Office of Community Development, serve as Chair for this collaborative effort. This relationship ensures that the Office of Community Development is keenly aware of, and may facilitate the provision of, area housing opportunities and support services. Over 30 agencies from Saratoga and surrounding

counties meet monthly to discuss the homeless problems in our region and how to best assist the homeless. The agencies work to enhance communication and coordination in investigation of additional sources of program funds for affordable transitional and permanent housing.

In addition, the Saratoga Springs Office of Community Development serves as Chair of the Upstate Community Development Consortium for Community Development Directors providing networking capacity throughout a larger geographic region for increased collaboration, cooperation, and coordination of services.

While there are unmet needs within the City of Saratoga Springs, no significant gaps have been identified in the current service delivery system for the City of Saratoga Springs other than the limitation of financial resources to expand the current work of the Community Development Office.

### 3. Public Housing Delivery System

The Saratoga Springs Housing Authority, established in 1951 and chartered in 1953 under State Law, Chapter 913, as a New York State non-profit government agency to provide housing assistance to low-income families, has responsibility for operating 339 units of conventional housing and 248 Section 8 rental assistance vouchers and certificates allowing lower income persons to afford private apartments within the City.

The independent governing body for the Saratoga Springs Housing Authority, the Board of Housing Commissioners, is comprised of 7 City residents, 2 of whom are elected by the Authority's tenants and 5 are appointed by the Mayor. The appointed commissioners serve 5-year staggered terms while the 2 elected commissioners serve 2-year terms.

The Board of Housing Commissioners sets and approves the operational policies of the Saratoga Springs Housing Authority ensuring compliance with federal mandated statutes and regulations. The Chief Executive Officer responsible for the management of daily operations is the Housing Authority Executive Director. The Board of Commissioners holds an open public meeting on the second Tuesday of each month at the William Ford Neighborhood Center at the Terraces or in Stonequist Apartments boardroom.

The City's Office of Community Development enjoys a close working relationship with the Housing Authority. The Housing Authority is an active partner in the Shelter Plus Care Rental Assistance program and generously hosts, and is an enthusiastic participant in, the monthly Rental Assistance Program and continuum of care meetings.

## **Monitoring (91.230)**

1. Describe the standards and procedures the jurisdiction will use to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

3-5 Year Strategic Plan Monitoring response:

### 1. Monitoring Strategy

The Office of Community Development is responsible for administering and managing the City's community development program. As part of this oversight, it maintains close working relationships with each of the organizations undertaking activities with

annual Action Plan funding.

Depending on the specifics for each funded activity, financial reporting and activity accomplishments are submitted to the Office of Community Development monthly and quarterly. Documentation on adherence to national benefit criteria and income eligibility is maintained by Community Development. When an agency undertakes an activity benefiting low-and moderate-income persons, Community Development requires the agency to obtain information on family size and income so that the activity is limited to only those persons eligible under federal regulation. For the City's Residential Rehabilitation Grant and Loan Programs, Community Development staff reviews each eligible participant's file to insure that proper certification procedures have been followed. Area benefit activities are monitored by the Office of Community Development to ensure that they take place within eligible Census block groups within the City.

The Office of Community Development has developed and implemented a schedule to monitor all funded subrecipients on a periodic basis. If areas of concern arise regarding the implementation of a funded activity, additional contact and communication with the subrecipient, including on-site visits, is conducted to clarify and resolve the situation.

In addition, the City submits an assessment of program performance and accomplishments, the Consolidated Annual Performance and Evaluation Report (CAPER), to the U.S. Department of Urban Development on an annual basis.

### **Priority Needs Analysis and Strategies (91.215 (a))**

1. Describe the basis for assigning the priority given to each category of priority needs.
2. Identify any obstacles to meeting underserved needs.

3-5 Year Strategic Plan Priority Needs Analysis and Strategies response:

1. Prioritization of Need

The intent of the Consolidated Plan process is to ensure a collaborative approach to community development planning and programming. The City, as a leader and active participant the multi-county continuum of care planning process and Saratoga County Housing Alliance, receives continuing input on housing and support service needs from local providers. This, in conjunction with response from the specific Consolidated Plan outreach, enabled the City's Community Development Citizen Advisory Committee to identify the next five years of funding priorities and investment.

The City of Saratoga Springs currently receives in the neighborhood of \$350,000 - \$400,000 in annual CDBG funding. This level of funding classifies Saratoga Springs as one of the smallest Entitlement communities, in terms of CDBG funding, in New York State. Noting these funding constraints, the Community Development Citizen Advisory Committee evaluated activity funding for the past ten years and confirmed the funding priorities for the next five years.

Proposed 2010 – 2015 Consolidated Plan Funding Priorities

#### "High Priority"

The following activities will continue to receive the highest priority for federal funding:

## Housing Needs

It is recommended that the highest funding priority be for activities that address the “continuum” of housing needs for persons of low- and moderate-income:

- homelessness prevention
- emergency shelter
- transitional housing and related support services (especially for families with children)
- rehabilitation of existing housing stock
- creation of new permanent affordable housing

## Public Service Activities for At-risk Youth, Seniors and Special Needs population

In addition to housing needs, it is recommended that public service activities that assist the most vulnerable segments of our population such as at-risk youth, seniors and the special needs population are also considered high funding priorities.

In addition, the Citizen Advisory Committee recognizes that non-housing community development activities are integral components in promoting a sound living environment and encouraging expanded economic opportunities for persons of low- and moderate-income. As such, the following activities are attributed with a medium, yet important, funding priority.

### “Medium Priority”

The following activities are identified as a medium priority and may be expected to receive funding particularly in concert with leveraged, non-federal sources.

### Targeted Infrastructure Improvements

Recognizing the successful revitalization of Beekman Street, and input from the Westside Neighborhood Association regarding the benefit of “tangible improvements with a permanent effect”, the Committee encourages infrastructure projects that focus on discrete “target” areas with a combination of improvements including water, sewer, storm water, curbing, sidewalks, lighting, and streetscape enhancements.

### Economic Development

Recognizing the success of the City’s Economic Development Revolving Loan Program, the Committee encourages economic development activities that promote job creation and long-term financial stability for persons of low- and moderate-income.

Given the limited amount of funding available in the foreseeable future, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City’s identified funding priorities, and the ability to demonstrate identifiable accomplishments to gauge activity success.

### 2. Obstacles to meeting underserved needs

The greatest obstacle in meeting underserved needs in the City of Saratoga Springs is the decreasing availability of resources, changing policy directives, and uncertainty of future funding. Nevertheless, it is the City’s intent to maximize these resources and encourage collaboration among the private sector, the non-profit community, local government, and the public housing authority to promote and fund activities

that address the needs identified in this plan.

## Lead-based Paint (91.215 (g))

1. Estimate the number of housing units that contain lead-based paint hazards, as defined in section 1004 of the Residential Lead-Based Paint Hazard Reduction Act of 1992, and are occupied by extremely low-income, low-income, and moderate-income families.
2. Outline actions proposed or being taken to evaluate and reduce lead-based paint hazards and describe how lead based paint hazards will be integrated into housing policies and programs.

3-5 Year Strategic Plan Lead-based Paint response:

### 1. Housing Units with Lead-based Hazards

Lead, a metal found naturally in the environment, was routinely used in gasoline and house fixtures, and to make brighter, more durable interior and exterior paint. In 1978, the use of lead as a paint additive was nationally banned due to the health hazards posed by exposure to lead-based paint. The accumulation and concentration of lead in the human body can cause health problems including damage to the brain, nervous system, and red blood cells.

Youth and young children between the ages of 6 months and 6 years are most likely to suffer health problems from lead exposure. Lead poisoning can slow a child's physical growth and mental development, and can cause behavioral problems, mental retardation, kidney and liver damage, blindness, and even death.

Using the following standard national methodology (National Center for Lead Safe Housing; 2000 Census data), it is estimated that 6453 (nearly 60%) of the City's housing units may contain lead-based paint.

| Year Built: | Owner-occupied housing units | Renter-occupied housing units | Lead-paint multiplier | Estimated No. units w/lead-based paint |
|-------------|------------------------------|-------------------------------|-----------------------|--|
| 1980 &after | 1705                         | 656                           | (0%)                  | 0                                      |
| 1960-1979   | 2118                         | 1400                          | (62%)                 | 2181                                   |
| 1940-1959   | 583                          | 842                           | (80%)                 | 1140                                   |
| before 1940 | 1607                         | 1873                          | (90%)                 | 3132                                   |
| total:      | 6013                         | 4771                          |                       | 6453                                   |

Clearly, the mere presence of lead-based paint does not necessarily constitute a hazard. Of greatest concern is when lead-based paint is caused to become airborne or otherwise capable of being ingested. The substantial percentage of the City's housing stock that may contain lead-based paint is evidence to the priority need for investment in the rehabilitation of existing housing stock and the continuing need for education on lead-based paint hazards and methods to address those hazards.

Furthermore, there is a greater chance that persons of low- and moderate-income experience more exposure to lead-based paint hazards since these persons have fewer housing alternatives and may only afford housing with less maintenance and in poor conditions.

### 2. Strategy to Address Lead-based Paint Hazards

As part of its revolving loan and housing rehabilitation programs, the City distributes educational material to residents to notify them of the potential hazards of lead-based paint and of methods to deal with this situation. The City also provides "no-"

to low-interest loans through its Housing Rehabilitation Revolving Loan fund for abatement of lead-based paint hazards occupied by residents of low- and moderate-income. In addition, the Community Development web site contains information and links to additional information relating to lead-based paint hazards.

The City has implemented changes to its housing rehabilitation grant and loan programs to comply with the current lead-based paint hazard regulations. Community Development staff has attended numerous informational sessions, seminars, and lead safe work practices trainings in an effort to increase organizational capacity to address lead hazards in the City's housing stock. The City continues to seek technical and financial assistance to sufficiently train and certify rehabilitation and maintenance workers for its community development programs.

In order to address the potentially significant hazards of lead-based paint in the City of Saratoga Springs, the following actions are recommended:

- Continue collaboration with the Saratoga County Public Health Nurses and the New York State Department of Health to increase awareness of lead-based paint hazards.
- Continue rehabilitation grant and low-interest loan programs in conformance with regulations relating to lead-based paint hazards as contained in Title X of the Housing and Community Development Act of 1992.
- Provide residential rehabilitation program participants with information on lead-based paint hazards and blood screening programs.
- Designate rehabilitation program households identified with lead-based paint hazards as "emergency priority" to address immediate health and safety needs.

## HOUSING

### Housing Needs (91.205)

\*Please also refer to the Housing Needs Table in the Needs.xls workbook

1. Describe the estimated housing needs projected for the next five year period for the following categories of persons: extremely low-income, low-income, moderate-income, and middle-income families, renters and owners, elderly persons, persons with disabilities, including persons with HIV/AIDS and their families, single persons, large families, public housing residents, families on the public housing and section 8 tenant-based waiting list, and discuss specific housing problems, including: cost-burden, severe cost-burden, substandard housing, and overcrowding (especially large families).
2. To the extent that any racial or ethnic group has a disproportionately greater need for any income category in comparison to the needs of that category as a whole, the jurisdiction must complete an assessment of that specific need. For this purpose, disproportionately greater need exists when the percentage of persons in a category of need who are members of a particular racial or ethnic group is at least ten percentage points higher than the percentage of persons in the category as a whole.

3-5 Year Strategic Plan Housing Needs response:

#### 1. Estimated Housing Needs

The current strong housing market has led to increased housing costs and,

understandably, this has greater impact and implications for those of more modest income and those with fewer housing options.

#### Housing Affordability Defined

The generally accepted definition of affordability is for a household to pay no more than 30% of its annual income on housing. Families who pay more than 30% of their income for housing are considered cost burdened and may have difficulty affording necessities such as food, clothing, transportation, and medical care. A household experiences severe cost burden if its housing costs exceed 50% of its income.

#### Housing Needs Glossary

##### Extremely low-income:

Households with annual incomes at or below 30% of the area median income

##### Low-income:

Households with annual incomes at or below 50% of the median.

##### Moderate income:

Households with annual incomes between 51% and 80% of the median.

##### Cost burden:

Paying more than 30% of annual household income for housing costs including utilities.

##### Severe cost burden:

Paying more than 50% of annual household income for housing including utilities.

##### Substandard housing:

Housing that lacks kitchen facilities, plumbing facilities, or has more than 1 person per room.

The Housing Needs Table provides a very clear indication of the City's housing needs based upon income levels and housing tenure. Of the figures identified in this table, the following are particularly worthy of attention.

- Renter households constitute nearly 80% (1119) of all extremely low-income households (1400) earning less than 30% of the area median income - of those, the vast majority report cost burdens exceeding 30% with significant numbers with severe cost burdens exceeding 50%.
- Between 80% and 100% of extremely low-income owner households earning less than 30% of the area median, understandably, report cost burdens over 30% and severe cost burdens over 50%
- Renter households constitute nearly 69% (810) of all low-income households (1177) earning between 30% and 50% of the area median income - of those, more than 85% of "all other" renter HH report cost burdens exceeding 30%, nearly 63% of "small-related" report cost burdens exceeding 30%, and over 50% of elderly households report cost burdens over 30%.
- Nearly 49% of low-income owner Households report cost burdens over 30%, and between 70% and 100% of other low-income owner Households report severe cost burdens over 50%.
- the number of moderate-income households earning between 50% and 80% of the area median are split nearly equally between renter households (856) and owner

households (850). Within this moderate-income range, nearly 1/3 of all renter households report cost burdens exceeding 30%, and a significant percentage of owner households report severe cost burdens exceeding 50% including nearly 54% of small-related households.

- the number of substandard units (self-reported 2000 Census information) is quite low within the City (107 substandard rental units and 41 substandard owner units). However, given the age of the housing stock, the generally strong housing demand, and the added influence of the seasonal demand for housing, it is rational to believe that the level of substandard housing in the City is underreported.

## 2. Disproportionate Need

A disproportionately greater need exists when the percentage of persons in a category of need who are members of a particular racial or ethnic group is at least ten percentage points higher than the percentage of persons in the category as a whole.

According to 2000 CHAS data, the City of Saratoga Springs contains 10,746 total households. Of that number, 94% (10,114) are identified as "white, non-Hispanic" households. "Black, non-Hispanic" households constitute 2.7% (296) of total households. "Hispanic" households constitute 1.1% (122) of total households. "Asian, non-Hispanic" households constitute 0.6% (67) of total households. "Native American, non-Hispanic" households constitute 0.4% (48) of total households.

With respect to proportionality, the CHAS data indicates the following:

Black, non-Hispanic Households=296; 2.7% of total households

- 4 (100%) Black, non-Hispanic elderly renter households earning >30 to <=50% MFI self-report "any housing problems" compared to 50.6% (237) of all households in this income/tenure category.
- 12 (66.7%) Black, non-Hispanic elderly renter households earning >50 to <=80% MFI self-report "any housing problems" compared to 32.6% (227) of all households in this income/tenure category.
- 30 (100%) Black, non-Hispanic family renter households earning >30 to <=50% MFI self-report "any housing problems" compared to 60% (143) of all households in this income/tenure category.
- 24 (100%) Black, non-Hispanic all-other renter households earning <30 MFI self-report "any housing problems" compared to 80% (544) of all households in this income/tenure category.
- 48 (50%) Black, non-Hispanic all-other renter households of any income self-report "any housing problems" compared to 35.7% (2348) of all households in this income/tenure category.
- 34 (100%) Black, non-Hispanic total renter households earning >30 to <=50% MFI self-report "any housing problems" compared to 67.7% (810) of all households in this income/tenure category.
- 69 (29%) Black, non-Hispanic family owner households of any income self-report "any housing problems" compared to 17% (622) of all households in this income/tenure category.
- 4 (100%) Black, non-Hispanic all-other owner households earning >50 to <=80% MFI self-report "any housing problems" compared to 28.5% (130) of all households in this income/tenure category.
- 10 (100%) Black, non-Hispanic all-other owner households earning >80 MFI self-report "any housing problems" compared to 19.2% (619) of all households in this income/tenure category.
- 14 (100%) Black, non-Hispanic all-other owner households of any income

- self-report "any housing problems" compared to 31.8% (875) of all households in this income/tenure category.
- 44 (22.7%) Black, non-Hispanic total owner households earning >80 MFI self-report "any housing problems" compared to 9.1% (4482) of all households in this income/tenure category.
- 128 (34.4%) Black, non-Hispanic total owner households of any income self-report "any housing problems" compared to 20.1% (5980) of all households in this income/tenure category.
- 54 (81.5%) Black, non-Hispanic total households earning >30 to <=50% MFI self-report "any housing problems" compared to 67.7% (1177) of all households in this income/tenure category.
- 296 (39.9%) Black, non-Hispanic total households of any income self-report "any housing problems" compared to 27% (10746) of all households in this income/tenure category.

Hispanic Households=122; 1.1% of total households

- 8 Hispanic renter family households earning <=30% MFI (100%) self-report "any housing problems" compared to 73% (157) of all households in this income/tenure category.
- 4 total Hispanic owner households earning >30 to <=50% MFI (100%) self-report "any housing problems" compared to 67.8% (367) of all households in this income/tenure category.

Asian, non-Hispanic Households=67; 0.6% of total households

- 4 (100%) Asian total renter households earning <=30% MFI self-report "any housing problems" compared to 72.6% (1119) of all households in this income/tenure category.
- 10 (100%) Asian total renter households earning >50% to <=80% MFI self-report "any housing problems" compared to 31.5% (856) of all households in this income/tenure category.
- 18 (77.8%) Asian total renter households of any income self-report "any housing problems" compared to 35.7% (4766) of all households in this income/tenure category.
- 4 (100%) Asian total households (including renter and owner) earning <=30% MFI self-report "any housing problems" compared to 76.1% (1400) of all households in this income/tenure category.
- 20 (50%) Asian total households (including renter and owner) earning >30% to <=50% MFI self-report "any housing problems" compared to 33% (1706) of all households in this income/tenure category.

Native American, non-Hispanic Households=48; 0.4% of total households

- 10 (100%) Native American, non-Hispanic total renter households earning >30% to <=50% MFI self-report "any housing problems" compared to 67.7% (810) of all households in this income/tenure category.
- 10 (100%) Native American, non-Hispanic total households earning >30% to <=50% MFI self-report "any housing problems" compared to 67.7% (1177) of all households in this income/tenure category.

## Priority Housing Needs (91.215 (b))

1. Identify the priority housing needs in accordance with the categories specified in the Housing Needs Table (formerly Table 2A). These categories correspond with special tabulations of U.S. census data provided by HUD for the preparation of the Consolidated Plan.
2. Provide an analysis of how the characteristics of the housing market and the severity of housing problems and needs of each category of residents provided the basis for determining the relative priority of each priority housing need category.

Note: Family and income types may be grouped in the case of closely related categories of residents where the analysis would apply to more than one family or income type.

3. Describe the basis for assigning the priority given to each category of priority needs.
4. Identify any obstacles to meeting underserved needs.

3-5 Year Strategic Plan Priority Housing Needs response:

### 1. Housing Needs

The need for additional affordable housing in the City of Saratoga Springs is well established in this and previous Consolidated Plans. Towards addressing this need, the City will continue to pursue and support collaborative efforts to develop additional affordable housing within the legal parameters of the City's current Zoning law and land use goals adopted by the City Council in the Comprehensive Plan for the City of Saratoga Springs.

The City of Saratoga Springs will continue to place a high priority on the development of new affordable housing opportunities especially for income levels below 80% of the MFI. The City will also place a high priority on the reconstruction and rehabilitation of existing housing to revitalize neighborhoods, maintain affordability, and reintroduce decent affordable units into the City's housing stock to provide more housing opportunities for those in need.

### 2. Analysis of Relative Priority

Given that those with the most modest incomes are the most severely limited in their housing choice, the City will continue to place the highest priority on housing opportunities that address the needs of the most vulnerable: the elderly, those with special needs, those in danger of becoming homeless, and those at the lower end of the economic scale.

### 3. Basis for Priority

The Community Development Citizen Advisory Committee recommended, and the City Council approved, the high priority designation for housing based upon an evaluation of the funding levels and accomplishments from the past five years and from input gathered during the Consolidated Plan outreach efforts.

### 4. Obstacles to meeting underserved needs

The greatest obstacle in meeting underserved needs in the City of Saratoga Springs is the limited availability of resources, changing policy directives, and uncertainty of future funding. With respect to housing, specifically, a significant obstacle to meeting underserved needs is the sustained competitive market for land which makes the development of non-, or sub-market, housing more expensive and more difficult without substantial monetary subsidies or alternative below-market rate financing.

## Housing Market Analysis (91.210)

\*Please also refer to the Housing Market Analysis Table in the Needs.xls workbook

1. Based on information available to the jurisdiction, describe the significant characteristics of the housing market in terms of supply, demand, condition, and the cost of housing; the housing stock available to serve persons with disabilities; and to serve persons with HIV/AIDS and their families.
2. Describe the number and targeting (income level and type of household served) of units currently assisted by local, state, or federally funded programs, and an assessment of whether any such units are expected to be lost from the assisted housing inventory for any reason, (i.e. expiration of Section 8 contracts).
3. Indicate how the characteristics of the housing market will influence the use of funds made available for rental assistance, production of new units, rehabilitation of old units, or acquisition of existing units. Please note, the goal of affordable housing is not met by beds in nursing homes.

3-5 Year Strategic Plan Housing Market Analysis responses:

### 1. Housing Market Characteristics

The City of Saratoga Springs is the fourth central city in the Albany-Schenectady-Troy Metropolitan Statistical Area (MSA), located 30 miles north of Albany and 200 miles north of New York City. The City of Saratoga Springs has witnessed significant improvements and beneficial change over the last three decades. Through much hard work, concerted planning efforts and ongoing investment in the community, local government, the business sector and the non-profit community have developed the City of Saratoga Springs into a destination location, a place of great attraction to visit and to call home.

The City is renowned for its year-round attractions including equine events (thoroughbred and harness racing, dressage, and polo matches), live cultural performances (ballet, opera, symphony, theatre), and outdoor recreation (Saratoga Spa State Park and Saratoga Lake) and educational institutions (Skidmore and Empire State colleges). Nevertheless, there are still community needs that remain unfilled.

At the height of the post-millennial housing construction boom, the Saratoga Springs housing market was on relative par with some of the highest cost areas in all of New York State – including the New York metropolitan/Long Island area. However, the more recent economic crises and housing construction slow-down has affected the City in a similar fashion to surrounding communities.

Building permit activity over the last 4 years has slowed as indicated in the following City Building Department data. The permitting of single and 2-family structures has slowed while the permitting of multifamily structures has dropped significantly.

| <u>Building Permit Activity 2006 – 2009</u> | <u>2006</u> | <u>2007</u> | <u>2008</u> | <u>2009</u> |
|---|-------------|-------------|-------------|-------------|
| New detached single-family units            | 54          | 51          | 35          | 31          |
| New 2-family (total units)                  | 04          | 02          | 02          | 00          |
| New multifamily (total units)               | 81          | 43          | 66          | 00          |

(Source: City of Saratoga Springs Building Department records)

The lack of available affordable housing has significant implications for continuing our economic stability and maintaining community diversity. 2000 Census data indicate:

- 40% of the City's total households are of low and moderate income (earning less

than 80% of Metropolitan Statistical Area median figure)

- 26% of all Saratoga Springs households spend more than 30% of their annual income on housing and experience a housing cost burden
- Over 35% of rental households experience a housing cost burden and spend more than 30% of their annual income on housing

High housing costs place an even greater burden on our most vulnerable populations in the community:

- 42% of elderly (64 yrs+) renter households experience a housing cost burden and spend more than 30% of their annual income on housing
- Nearly 57% of the City’s low- & moderate-income households experience a housing cost burden and spend more than 30% of their annual household income on housing
- 28% of the City’s low- & moderate-income households experience a severe housing cost burden and spend more than 50% of their household income on housing

## 2. Local, State, Federal Funded Units

### SARATOGA SPRINGS HOUSING AUTHORITY

- 339 total housing units (206 elderly, 133 non-elderly)
- Assists households earning <50% of area median income

#### Family Units:

|   |                                 |
|---|---------------------------------|
| Jefferson Terrace (built 1952, expanded 1962) | Vanderbilt Terrace (built 1970) |
| 8 1-bedroom apartments                        | 20 2-bedroom apartments         |
| 43 2-bedroom apartments                       | 36 3-bedroom apartments         |
| 20 3-bedroom apartments                       | <u>2</u> 4-bedroom apartments   |
| <u>4</u> 4-bedroom apartments                 | 58 total units                  |
| 75 total units                                |                                 |

#### Elderly Units:

|                                |                               |
|--------------------------------|-------------------------------|
| Stonequist Apartments          | Jefferson Terrace             |
| 105 1-room efficiencies        | 18 1-bedroom apartments       |
| <u>71</u> 1-bedroom apartments | 10 2-bedroom apartments       |
| 176 total elderly units        | 4 3-bedroom apartments        |
|                                | <u>2</u> 4-bedroom apartments |
|                                | 30 total elderly units        |

- 62 "Section 8" vouchers – (90 allocated vouchers) tenant-based housing subsidy vouchers
- Assists households earning <50% of area median income
- 186 Enhanced Vouchers (Embury) (State Vouchers)

### EMBURY APARTMENTS - constructed 1973

- 192 subsidized units for elderly (62+yrs) (104 studio apts., 104 1-bedroom apts.)
- Assists households earning <50% of area median income

### RAYMOND WATKIN APARTMENTS – constructed 1979

- 111 one-bedroom apts. reserved for elderly (62+ yrs) or disabled persons of any age
- Assists households earning <50% of area median income

FRANKLIN MANOR – FRANKLIN COMMUNITY CENTER - constructed in 1987

- 17 efficiency apts. for low-income persons
- Assists households earning <30% of area median income

SARATOGA NEIGHBORHOOD DEVELOPMENT COMPANY – SHELTERS OF SARATOGA, INC.

- Assists households earning <50% of area median income
- 3 affordable low income apts. - 112 Washington
- 4 affordable low income apts. - 128 Grand Avenue
- 3 affordable Transitional Housing – 14 Walworth Street

TRANSITIONAL SERVICES ASSOCIATION (TSA)

- 2 units of permanent affordable rental housing
- Assists households earning <60% of area median income

WESTVIEW APTS. - West and Grand avenues

- 105 subsidized senior units – for 55+yrs and older.
- Bedroom mix: 75 1-bedroom apts. and 30 2-bedroom apts.
- Assists households earning <60% of area median income

KIRBY VILLAGE & SARATOGA WEST APTS – KIRBY ROAD

- 64 subsidized 1 bedroom units 62+ yrs and older
- Assists household earning <50 % of the area median income

ASHGROVE APTS. – Allen Drive

- 24 existing apartments recently acquired by the Saratoga Affordable Housing Group, Inc. and converted to permanent affordable housing
- 15 additional affordable units approved for, and currently undergoing, construction
- Assists households earning <60% of area median income

JEFFERSON STREET WORKFORCE HOUSING – 287 Jefferson Street

- 7 total units of permanent owner-occupied workforce housing: 5 units available to household earning no more than 80% of Area Median Income; 2 units available to household earning no more than 100% of Area Median Income
- 2 units constructed; 5 additional units anticipated in near future

SHELTER PLUS CARE PROGRAM

- A City-administered scattered site, housing assistance providing rental subsidies to homeless, disabled persons and their families
- 26 households currently assisted

It is anticipated that no units will be lost in the near future.

### 3. Impact of Housing Market on Use of Funds

Given the identified need for affordable housing opportunities, the City has identified that the highest funding priority be for activities that address the “continuum” of housing needs for persons of low- and moderate-income:

- homelessness prevention
- emergency shelter
- transitional housing and related support services (especially for families with children)
- rehabilitation of existing housing stock
- creation of new permanent affordable housing

## **Specific Housing Objectives (91.215 (b))**

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve over a specified time period.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the strategic plan.

3-5 Year Strategic Plan Specific Housing Objectives response:

### Housing Objectives

Over the next five years, the City will focus its housing efforts on activities that address the "continuum" of housing needs for persons of low- and moderate-income:

- homelessness prevention
- emergency shelter
- transitional housing and related support services (especially for families with children)
- rehabilitation of existing housing stock
- creation of new permanent affordable housing

Over the next five years, it is anticipated that:

- Homelessness prevention services and emergency shelter opportunities will be provided to at least 750 persons including victims of domestic violence.
- The City's Shelter Plus Care program will assist at least 26 disabled homeless persons each year to find permanent housing with necessary supportive services.
- The City's housing rehabilitation grant and loan programs will assist at least 35 income-eligible households.
- The Saratoga Affordable Housing Group will provide permanent affordable housing opportunities for at least 28 families each year.
- The Saratoga Affordable Housing Group will construct at least 15 new units of affordable housing.

## **Needs of Public Housing (91.210 (b))**

In cooperation with the public housing agency or agencies located within its boundaries, describe the needs of public housing, including the number of public housing units in the jurisdiction, the physical condition of such units, the restoration and revitalization needs of public housing projects within the jurisdiction, and other factors, including the number of families on public housing and tenant-based waiting lists and results from the Section 504 needs assessment of public housing projects located within its boundaries (i.e. assessment of needs of tenants and applicants on waiting list for accessible units as required by 24 CFR 8.25). The public housing agency and jurisdiction can use the optional Priority Public Housing Needs Table (formerly Table 4) of the Consolidated Plan to identify priority public housing needs to assist in this process.

3-5 Year Strategic Plan Needs of Public Housing response:

The following information was obtained from consultation with the Saratoga Springs Housing Authority.

The Saratoga Springs Housing Authority administers 339 units and reports that the physical condition of these units is considered to be very good with all units meeting the present day building codes and housing quality standards. They report no health

or safety deficiencies. The Housing Authority has received a REAC Score of 92% across the board.

Total Applicant on the Active list: 138  
Families with Children: 55  
Elderly Families: 17  
Families with Disabilities: 50

Totals by Income Percentage

Extremely Low Income: 101      Very Low Income: 35      Low Income: 0

Totals by Ethnicity Group

Black/African American: 23      White: 92

Totals by Racial Group:      Hispanic: 9      Non-Hispanic: 12

Totals by Certificate and Voucher Size

| All Applicants: | Elderly Applicants: |
|-----------------|---------------------|
| 0 bedroom: 63   | 8                   |
| 1 bedroom: 17   | 7                   |
| 2 bedrooms: 39  | 1                   |
| 3 bedrooms: 14  | 1                   |
| 4 bedrooms: 4   | 0                   |
| 5+ bedrooms: 0  | 0                   |

The Housing Authority administers 90 section 8 vouchers and reports that all approved vouchers have passed a physical inspection for housing quality standards as required by federal regulations.

Section 8 Total Applicant on the Active list: 248  
Families with Children: 76  
Elderly Families: 56  
Families with Disabilities: 105

Section 8 Totals by Income Percentage

Extremely Low Income: 167      Very Low Income: 57      Low Income: 19

Section 8 Totals by Ethnicity Group

Amer. Indian/Alaska Native: 1      Black/African American: 24      White: 177

Section 8 Totals by Racial Group:      Hispanic: 16      Non-Hispanic: 41

Section 8 Totals by Certificate and Voucher Size

| All Applicants: | Elderly Applicants: |
|-----------------|---------------------|
| 0 bedroom: 122  | 38                  |
| 1 bedroom: 43   | 16                  |
| 2 bedrooms: 52  | 1                   |
| 3 bedrooms: 27  | 1                   |
| 4 bedrooms: 4   | 0                   |
| 5+ bedrooms: 0  | 0                   |

**Saratoga Affordable Housing Group – Allen Drive**

Total Applicant on the Active list: 8  
Families with Children: 3  
Elderly Families: 2  
Families with Disabilities: 3

Totals by Income Percentage

Extremely Low Income: 3                      Very Low Income: 0                      Low Income: 0

Totals by Ethnicity Group

Black/African American: 3                      White: 5

Totals by Racial Group:                      Hispanic: 0                      Non-Hispanic: 2

Totals by Certificate and Voucher Size

All Applicants:                      Elderly Applicants:

|                |   |
|----------------|---|
| 0 bedroom: 0   | 1 |
| 1 bedroom: 2   | 1 |
| 2 bedrooms: 5  | 0 |
| 3 bedrooms: 0  | 0 |
| 4 bedrooms: 1  | 0 |
| 5+ bedrooms: 0 | 0 |

## Public Housing Strategy (91.210)

1. Describe the public housing agency's strategy to serve the needs of extremely low-income, low-income, and moderate-income families residing in the jurisdiction served by the public housing agency (including families on the public housing and section 8 tenant-based waiting list), the public housing agency's strategy for addressing the revitalization and restoration needs of public housing projects within the jurisdiction and improving the management and operation of such public housing, and the public housing agency's strategy for improving the living environment of extremely low-income, low-income, and moderate families residing in public housing.
2. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake to encourage public housing residents to become more involved in management and participate in homeownership. (NAHA Sec. 105 (b)(11) and (91.215 (k))
3. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation. (NAHA Sec. 105 (g))

### 3-5 Year Strategic Plan Public Housing Strategy response:

The following information was obtained from consultation with the Saratoga Springs Housing Authority.

The Saratoga Springs Housing Authority was established in 1951 and chartered in 1953 under State Law, Chapter 913, as a New York State non-profit government agency to provide housing assistance to low-income families.

Jefferson Terrace (75 units) was constructed in 1952 with an additional 30 units built in 1962. Vanderbilt Terrace (58 units) and Stonequist Apartments (176 units) were built in 1970.

Eligibility for housing assistance is contingent upon meeting the following federal criteria:

- Income must be within federal guidelines, and current rent payment exceeds 30% of an individual's or family's total income
- Person is homeless, or about to become homeless due to government action or

other recognized reason

- Person is in need of housing due to substandard living conditions

The independent governing body for the Saratoga Springs Housing Authority, the Board of Housing Commissioners, is comprised of 7 City residents, 2 of whom are elected by the Authority's tenants and 5 are appointed by the Mayor. The appointed commissioners serve 5-year staggered terms while the 2 elected commissioners serve 2-year terms.

The Board of Housing Commissioners sets and approves the operational policies of the Saratoga Springs Housing Authority ensuring compliance with federal mandated statutes and regulations. The Chief Executive Officer responsible for the management of daily operations is the Housing Authority Executive Director. The Board of Commissioners holds an open public meeting on the fourth Tuesday of each month at the main office located at One South Federal Street, Saratoga Springs, New York.

The Authority has 9 full-time employees, 3 part-time employees. The Authority's administrative employees are responsible for ensuring compliance with all federal regulations governing housing programs including Title 24 CFR statutes.

The Authority receives funding from the federal government to assist in its operation. The U.S. Department of Housing and Urban Development provides the Authority a subsidy to cover utility costs and the difference in operational expenses. The Authority has a cooperation agreement with the City of Saratoga Springs to provide a PILOT ("payment in lieu of taxes") payment in exchange for city services such as water, sewer, fire protection, etc.

The Authority currently administers four budgets:

- Conventional Budget for operations of the Authority (approx. \$1,600,000)
- Section 8 Budget for private market rental assistance programs (approx. \$340,000)
- Capital Fund Program (CFP) for site and quality of life improvements (approx. \$500,000 total) and the Capital Funds Stimulus Program (approx. \$577,000)
- ROSS program (approx. \$83,000)

The Authority performed a comprehensive review of its operations and property in 1990 and a plan was developed to undertake operational changes and to upgrade the properties. This plan is reviewed and updated annually. Since 1991, the Authority has received and expended capital grant funds from the Comprehensive Improvement Assistance Programs (CIAP) and Comprehensive Grant Funds (CGP) in excess of \$10,900,000 for long term capital improvements to buildings and the physical plant.

Currently the Authority is using an estimated \$500,000 in CGP funds and \$577,000 in Capital Funds stimulus monies to upgrade kitchens and hallways at Stonequist Apartments and to improve the site work at Jefferson and Vanderbilt Terraces. After physical improvements are completed, the Authority will explore establishing resident life improvement programs such as job skills workshops and a home ownership program.

The Authority has also assisted residents to establish a Resident Association to improve the quality of residential living. The Authority has consulted with its residents in the planning and application process of the above mentioned capital improvement programs to assist with the goals of providing safe, decent, sanitary and affordable housing to those eligible members of the Saratoga Springs community.

The Department of Housing and Urban Development uses the PHAS rating system for public housing authorities. The four indicators of the PHAS are financial and management assessment, physical inspections, and the resident survey. The Saratoga Springs Housing Authority is ranked a "high performer" in the 90% to 100% range.

#### Partnership with Housing Authority:

The City has nurtured a strong working relationship with the Saratoga Springs Housing Authority. Since 2001, the City has allocated nearly \$25,000 in CDBG funding to improve the infrastructure that serves the subsidized residential units administered by the Housing Authority. The City will continue to seek collaborative enterprises with the Housing Authority to meet our common goals of decent affordable housing and increased economic opportunities.

### **Barriers to Affordable Housing (91.210 (e) and 91.215 (f))**

1. Explain whether the cost of housing or the incentives to develop, maintain, or improve affordable housing are affected by public policies, particularly those of the local jurisdiction. Such policies include tax policy affecting land and other property, land use controls, zoning ordinances, building codes, fees and charges, growth limits, and policies that affect the return on residential investment.
2. Describe the strategy to remove or ameliorate negative effects of public policies that serve as barriers to affordable housing, except that, if a State requires a unit of general local government to submit a regulatory barrier assessment that is substantially equivalent to the information required under this part, as determined by HUD, the unit of general local government may submit that assessment to HUD and it shall be considered to have complied with this requirement.

#### 3-5 Year Strategic Plan Barriers to Affordable Housing response:

##### Housing Barriers

The greatest barrier to affordable housing in Saratoga Springs is the strong competition for developable land and the significant resulting costs associated with the acquisition and development of that land. Without some level of government or public policy intervention, land owners will generally seek to reap the highest economic return from the sale of land and, in turn, the purchaser will seek to maximize the value of that land to recoup the costs of acquisition and to earn an appropriate profit. This relatively free market process poses a significant challenge to the creation of housing units available at below-market rates to those with limited spending power.

To attempt to balance this supply and demand tug-of-war over the costs and value of land development, a municipality has few broad policy options: mandates; incentives; direct assistance.

##### Mandates: Inclusionary Zoning

Beginning in 2005, the City undertook an 18-month exercise in developing a regulatory framework to require the construction of affordable housing along with the construction of market-rate housing units. With the assistance of a citizen committee comprised of representatives from the City Planning and Zoning Boards, the banking and residential construction industries, and a national expert on housing finance, construction and underwriting, Monte Franke, a draft inclusionary zoning ordinance was developed mandating that every new residential development over a certain size provide a percentage of affordable units in exchange for an increase in the otherwise allowable density for that area. After extensive public dialog, this legislation was presented to the City Council. While not acted upon, this legislation remains a public policy

option.

#### Incentives: Density Bonus

In contrast to a mandate that would require affordable housing construction, the City currently provides incentives to those who volunteer to provide a public benefit including the construction of affordable housing. The City's Comprehensive Plan directs a 20% increase in allowable density in exchange for affordable housing throughout the City's extensive Conservation Development District. The City's Zoning Ordinance provides a 20% increase in allowable density in exchange for affordable housing in the Urban Residential-1 and Suburban Residential-2 zoning districts.

#### Incentives: Flexible Zoning Regulation

Recognizing that land use controls impact the availability, use and value of land, the City has introduced a variety of mixed-use zoning districts to allow for more flexible utilization of land and to allow for a cost-balancing of uses on that land. In what were once solely residential districts, the City has introduced a series of three Neighborhood Complementary Use Districts to allow for the greater utilization of street-level properties (retail, office, etc.) with the opportunity to balance the costs of adjacent residential uses. Furthermore, the City has adopted a series of "Transect" mixed-use zoning districts that greatly reduce dimensional requirements and provide property owners and developers significant flexibility in the types (commercial, retail, residential), density and intensity of allowable uses.

#### Direct Financial Assistance:

Whether locally funded or supported by state and federal resources, a municipality may also reduce the cost of housing development and construction by providing financial assistance through a variety of programs.

##### - Infrastructure subsidies

The City currently provides reduced water connection fees to developments that include affordable housing units.

##### - Loan guarantees

The City has provided a loan guarantee to allow the Saratoga Affordable Housing Group to purchase 28 units of existing housing and convert to affordable housing.

##### - Payment In Lieu of Taxes (PILOT) programs

The City has approved PILOT programs to promote affordable housing development. Most recently, the City extended a PILOT program to Raymond Watkin Apartments, a site-based affordable facility for income-eligible elderly.

##### - Housing Trust Fund Grants

The City established and funded a dedicated trust fund for the development of affordable housing. This fund has provided grant funding for the acquisition of 1 Waterbury Street and the conversion of its two market rate units to affordable housing for the next 30 years. This fund has also assisted the acquisition of the Ashgrove Apartments on Allen Drive – the conversion of 24 market rate units to affordable housing and the construction of at least 15 new affordable housing units.

##### - Shelter Plus Care Program

Since 2001, the City has successfully applied for over \$3.2 Million of new funding into this area for housing and support services to assist the chronically homeless and persons with disabilities. Funded programs include a tenant-based rental assistance program to provide rent subsidy to 26 disabled homeless persons and a permanent supportive housing facility for persons with disabilities including a specific focus on assisting U.S. Military veterans.

- American Recovery and Reinvestment Act of 2009  
The City successfully applied for nearly \$100,000 in federal “stimulus” funding to subsidize necessary infrastructure costs associated with the construction of 15 new affordable housing units on Allen Drive.

## HOMELESS

### **Homeless Needs (91.205 (b) and 91.215 (c))**

\*Please also refer to the Homeless Needs Table in the Needs.xls workbook

Homeless Needs— The jurisdiction must provide a concise summary of the nature and extent of homelessness in the jurisdiction, (including rural homelessness where applicable), addressing separately the need for facilities and services for homeless persons and homeless families with children, both sheltered and unsheltered, and homeless subpopulations, in accordance with Table 1A. The summary must include the characteristics and needs of low-income individuals and children, (especially extremely low-income) who are currently housed but are at imminent risk of either residing in shelters or becoming unsheltered. In addition, to the extent information is available, the plan must include a description of the nature and extent of homelessness by racial and ethnic group. A quantitative analysis is not required. If a jurisdiction provides estimates of the at-risk population(s), it should also include a description of the operational definition of the at-risk group and the methodology used to generate the estimates.

3-5 Year Strategic Plan Homeless Needs response:

Homeless Needs

In contrast to many other communities, homelessness in the Saratoga–North Country region is not necessarily exemplified by people living continuously “on the street”. Rather, homelessness in this region is often typified by short-term stays in emergency shelters with periodic stays with acquaintances, friends, family and then time “on the street”. Severe winter weather will force even the most intransigent to seek some form of refuge from the elements. Emergency shelters provide a measure of shelter for this population; however, shelter capacity, length of stay limitations and program requirements will ultimately limit the time one is able to remain in the shelter.

In addition, this region is uniquely impacted by the effects of an active summer tourist season. During this season, the area is flooded with visitors and staff and support service employees assisting seasonal activities such as the thoroughbred racing track, summer performing arts venues, a wide range of cultural events, and the seasonal draw of upstate New York. Given the extremely high demand for short-term housing during this period, housing costs dramatically increase as the housing supply is redirected towards the summer trade.

Even the low-cost motels traditionally used for emergency and transitional shelter are redirected towards the tourist trade. During these full-occupancy periods, persons in need of emergency shelter must seek accommodations in other less-serviced areas in Saratoga, Warren, Washington, and Hamilton counties and the balance of the Capital District.

Ultimately, the success of any strategy to achieve an objective is dependent upon the quality of data that supports the original identification of need. Effective strategies to address the needs of the homeless and chronically homeless must be supported by accurately identifying the needs of these individuals and families and matching them with appropriate community housing and support services. Given the

interrelated geography, transportation, government and services within the Capital District, the most effective method to gather and analyze this data is through a coordinated regional approach incorporating the skills, experience and capacity of each of the homeless coalitions in this region. The City of Saratoga Springs is fully supportive of this strategy and is committed to the development of, and participation in, a regional HMIS.

The most recent point in time count of homeless persons in the City of Saratoga Springs identified a total of 207 sheltered (emergency and transitional) and 75 unsheltered homeless persons. This number includes persons identified by Shelters of Saratoga, CAPTAIN, Domestic Violence Services and other Saratoga - North Country Homeless Alliance participants. This number is the most reliable current count. However, the more Alliance participants are able to communicate and learn from each other, the more families and individuals that require services are more clearly identified and enumerated. It is hoped that the implementation of the regional HMIS will make this process more precise and produce a more accurate number.

## **Homeless Priority Needs**

1. Using the results of the Continuum of Care planning process, identify the jurisdiction's homeless and homeless prevention priorities specified in Table 1A, the Homeless and Special Needs Populations Chart. The description of the jurisdiction's choice of priority needs and allocation priorities must be based on reliable data meeting HUD standards and should reflect the required consultation with homeless assistance providers, homeless persons, and other concerned citizens regarding the needs of homeless families with children and individuals. The jurisdiction must provide an analysis of how the needs of each category of residents provided the basis for determining the relative priority of each priority homeless need category. A separate brief narrative should be directed to addressing gaps in services and housing for the sheltered and unsheltered chronic homeless.
2. A community should give a high priority to chronically homeless persons, where the jurisdiction identifies sheltered and unsheltered chronic homeless persons in its Homeless Needs Table - Homeless Populations and Subpopulations.

### **3-5 Year Strategic Plan Priority Homeless Needs response: Homeless Needs Priorities**

The City of Saratoga Springs serves as Chair and Lead Agency for the Saratoga – North Country Homeless Alliance. This broad alliance of housing and support service providers meet on a monthly basis to develop a needs assessment of the homeless system continuum of care to assist participants towards the ultimate goal of permanent housing.

In recent years, the City has facilitated the receipt of lines of funding to address the needs of local homeless and chronically homeless. Since 2001, the Saratoga Homeless Alliance applied for, and was granted, nearly \$1.4 million in Federal funding for the Shelter Plus Care program providing rental assistance and support services to disabled homeless persons and families. This Shelter Plus Care program continues to provide ongoing rental assistance for 26 units of permanent housing for disabled (formerly) homeless individuals and families. Since its inception the program has successfully housed over 72 single persons and 25 families with 37 children. 24 clients have successfully completed to program and graduated to living on their own. From 2001-2009, the expanded Saratoga – North Country

Homeless Alliance applied for, and was granted, over \$1.8 million in new Federal funding to fund four new supportive housing programs to assist the homeless and chronically homeless.

Over the next five years, the City shall give a high priority to activities that prevent homelessness and that assist in the provision of housing and support service needs for homeless and, especially, the chronically homeless as defined by the U.S. Department of Housing and Urban Development. Furthermore, the City shall continue to be an enthusiastic participant in the local Continuum of Care system and shall pursue activity funding through this means as it becomes available.

## **Homeless Inventory (91.210 (c))**

The jurisdiction shall provide a concise summary of the existing facilities and services (including a brief inventory) that assist homeless persons and families with children and subpopulations identified in Table 1A. These include outreach and assessment, emergency shelters and services, transitional housing, permanent supportive housing, access to permanent housing, and activities to prevent low-income individuals and families with children (especially extremely low-income) from becoming homeless. The jurisdiction can use the optional Continuum of Care Housing Activity Chart and Service Activity Chart to meet this requirement.

3-5 Year Strategic Plan Homeless Inventory response:

Fundamental Components in the Homeless Continuum of Care System

### **COMPONENT: PREVENTION**

#### **CASE MANAGEMENT AND COUNSELING TO PREVENT HOMELESSNESS**

- AIDS Council of Northeastern New York - provides a host of services including case management.

- Catholic Charities of Saratoga, Warren and Washington Counties - provides support services and programs for the community, elderly, youth and persons with HIV/AIDS. Services include education, prevention, crises intervention and support groups, case management, and mediation for elderly and frail elderly.

- Domestic Violence Services - provides "aftercare" services including crises intervention to prevent victims of domestic violence from reentering the cycle of homelessness and receives HIP funding for homelessness prevention.

- Saratoga County Economic Opportunity Council (EOC) - a county-wide community action agency administering programs including Emergency Services Program assisting families with information, advocacy, transportation, utility terminations, evictions, crises intervention, and advocacy in finding other services; Housing Services Program assisting families facing eviction, locating affordable housing, and preventing homelessness; Emergency food programs including a soup kitchen averaging 95 meals per day, 6 days per week, a food pantry serving more than 200 families per month, and a food buying club assisting more than 100 families per month expand their grocery purchasing power.

- Shelters of Saratoga - provides post-shelter follow-up with education, training, monitoring and advocacy to reduce instances of recidivism.

- Transitional Services Association - provides an "Intensive Case Management" program for the seriously and persistently mentally ill including essential service linking, monitoring and advocacy to ensure continuity of care and services.

## LEGAL ADVOCACY

- Legal Aid Society of Northeastern New York - provides free civil legal services to low income homeless individuals including advice, brief service, settlement negotiation, and full representation in court or before an administrative agency. The Legal Aid Society also has a program of community legal education including publications of interest to Shelter Plus Care participants and in-person community legal education sessions.

## SHORT-TERM FINANCIAL ASSISTANCE

- AIDS Council of Northeastern New York - provides rental support and short-term financial assistance and outreach to assist persons with HIV/AIDS from entering the cycle of homelessness.

- Catholic Charities of Saratoga, Warren and Washington Counties - provides funding for emergency assistance through financial support from FEMA.

## HOW PERSONS ACCESS/RECEIVE ASSISTANCE:

Support services that prevent homelessness are clearly critical components of any continuum of care system. Effective support services successfully prevent persons from initially becoming homeless and provide the means to prevent a person from re-entering the costly and damaging cycle of homelessness.

The task of identifying/assisting persons at risk of homelessness is accomplished by a broad network of organizations within Saratoga, Warren, Washington, and Hamilton counties including the County Departments of Social Services, local food pantries, local service providers, churches and places of worship, and through communication with local police departments and even shopping centers. Service coordination then occurs through interagency communication.

## COMPONENT: OUTREACH

### OUTREACH IN PLACE FOR HOMELESS LIVING ON THE STREETS

Outreach services to the homeless and chronically homeless are an essential component in the Continuum of Care system. Effective outreach and assessment services to each of these populations halt the freefall into crises that accompanies homelessness and begins the networking of housing and support services to stabilize one's living situation.

Within the region, outreach to the homeless and chronically homeless individuals and families occurs in two ways: 1) street outreach; and 2) identification and referral by service providers and governmental agencies that work with the homeless, or those at risk of becoming homeless.

Chronically homeless typically face periods of secure refuge within emergency shelters, with friends, family and acquaintances, interspersed with less secure areas such as parks, building hallways, bus stations, railroad tracks, and other marginally outdoor locations. The type of outreach specifically targeting the needs of this population includes information and referral services, transportation, and the basic requirements of food, clothing and blankets. Within the Saratoga-North Country region, agencies providing these critical services include:

- CAPTAIN
- Shelters of Saratoga
- Saratoga County Economic Opportunity Council
- Saratoga County Rural Preservation Company
- VA Homeless Outreach Program
- Warren - Washington Community Action

In 2010, the Shelters of Saratoga launched the Mobile Outreach program using a

vehicle donated by the Alfred Z. Solomon Charitable Trust. The vehicle is staffed with SOS workers who travel throughout the county, reaching out to homeless people in the area who may feel isolated from the community.

It must also be recognized that other community entities are involved in this process of identification and referral such as the police departments, local business, banks, emergency rooms, etc.

#### OUTREACH IN PLACE FOR OTHER HOMELESS

Given the lack of a centralized intake and assessment position within the region, general outreach and referrals occur through great interagency cooperation and communication with local police departments, the County Departments of Social Services, local service providers, and medical providers including hospital emergency rooms. Homeless persons are also identified and referred by soup kitchens, the clergy, and human service organizations that provide a full range of supportive services.

#### FOR VETERANS

Agencies that provide referral and advocacy specifically targeting the needs of the homeless veteran population include:

- Adirondack Vets House
- County and State Divisions of Veteran's Affairs
- Saratoga County Rural Preservation Company
- VA Medical Center

#### FOR THE SERIOUSLY MENTALLY ILL

Agencies that provide referral and advocacy specifically targeting the needs of the seriously mentally ill include:

- County departments of Mental Health and Social Services
- County-wide Single Point of Entry (SPOE) program
- Transitional Services Association

#### FOR SUBSTANCE ABUSERS

Agencies that provide referral and advocacy specifically targeting the needs of the substance abusers include:

- Clinical Services
- County departments of Social Services
- Saratoga County Alcohol and Substance Abuse Services
- The Prevention Council

#### FOR PERSONS WITH HIV/AIDS

Agencies that provide referral and advocacy specifically targeting the needs of persons with HIV/AIDS include:

- Albany Medical Center AIDS Treatment Program
- AIDS Council of NENY
- Catholic Charities of Saratoga, Warren and Washington counties
- County departments of Social Service

#### FOR VICTIMS OF DOMESTIC VIOLENCE

Agencies that provide referral and advocacy specifically targeting the needs of victims of domestic violence include:

- Catholic Charities of Saratoga, Warren and Washington counties
- County departments of Social Service
- Domestic Violence Services (of Saratoga County)

#### FOR YOUTH

Agencies that provide street outreach, referral and advocacy specifically targeting

the needs of homeless youth include:

- CAPTAIN
- County departments of Social Service

#### COMPONENT: SUPPORTIVE SERVICES

##### CASE MANAGEMENT

Case management is the process through which service providers work with homeless individuals or families to develop, implement, monitor and modify an appropriate program of services to address the particular needs of that individual or family.

Case management is provided throughout all phases of the Continuum of Care including homelessness prevention, outreach, emergency shelter, transitional housing, permanent supportive housing, and supportive services and by the full range of Alliance service providers. Of particular note, is the range of Youth, General and Intensive Case Management programs offered by Transitional Services Association.

##### LIFE SKILLS

Life skills training is another critical component of the continuum of assistance provided by homeless support service providers. Alliance support service providers assist individuals and families in acquiring critical life management skills such as resource budgeting, money and household management, conflict resolution, food shopping and preparation, use of public transportation, and parenting skills to break the bonds of continuing homelessness. While the list of agencies that provide this assistance is expansive, examples include Transitional Assistance Association, Cornell Cooperative Extension, Shelters of Saratoga, Saratoga County Economic Opportunity Council, and Adirondack Vets House. This life skills training is a particular emphasis for agencies serving chronically homeless persons, youth, and persons with disabilities such as mental illness, chemical dependency and/or HIV/AIDS.

##### ALCOHOL AND SUBSTANCE ABUSE

Alcohol and substance abuse treatment is provided in the Saratoga–North Country Alliance area by the following:

- Baywood Center
- Clinical Services
- County departments of Social Services
- The Prevention Council
- Saratoga County Alcohol and Substance Abuse Services

##### MENTAL HEALTH TREATMENT

Mental health treatment is delivered in the Saratoga–North Country Alliance area by the following:

- Adirondack Samaritan Counseling Center
- Catholic Charities of Saratoga, Warren and Washington Counties
- County departments of Mental Health and Social Services
- County-wide Single Point of Entry (SPOE) program
- Saratoga County Mental Health Clinic
- Transitional Services Association

##### HIV/AIDS-RELATED TREATMENT

HIV/AIDS-related treatment is delivered in the Saratoga–North Country Alliance area by the following:

- Albany Medical Center AIDS Treatment Program
- AIDS Council of NENY
- Catholic Charities of Saratoga, Warren and Washington Counties
- HIV/AIDS Coalition

- VA Medical Center
- Whitney M. Young, Jr. Health Care Center

#### EDUCATION

A number of local agencies provide General Equivalency Diploma (GED) programs for persons that did not have the opportunity to graduate from high school including those that are homeless. These organizations include:

- Adult education at local high schools and Adirondack Community College
- BOCES
- Glens Falls Independent Living Center
- Literacy Foundation

#### EMPLOYMENT ASSISTANCE

Agencies that provide education leading to employment, vocational training, and job placement in the Saratoga–North Country Alliance region include:

- Career Connections
- County departments of Labor
- Northeast Career Planning
- Saratoga County ARC
- Unlimited Potential
- VA Vocational Rehabilitation

#### CHILDCARE

Agencies that provide assistance with childcare needs specifically targeting those at risk of homelessness and those of low- and moderate income include:

- County departments of social services through Childcare Block Grant funding
- Head Start

#### TRANSPORTATION

Within the Saratoga–North Country Alliance region, the Capital District Transportation Authority provides transportation in the Capital District Region with Glens Falls Transit providing that service in the north country. In addition to these two transit systems, transportation assistance to veterans, persons of low- and moderate-income, those with special needs, and as part of an agency’s program of assistance is provided by the following:

- ACCESS
- County departments of social services (bus tokens)
- Shelters of Saratoga (bus tokens), Saratoga Co. Rural Preservation Co., Adirondack Vets Home (on an as-needed basis)
- VA Van Service (Warren and Washington counties)

#### LEGAL SERVICES

The Legal Aid Society of Northeastern New York provides low-income individuals with advice, brief service, settlement negotiation, and full representation in matters involving entitlement advocacy, Social Security Disability payments, healthcare coverage, and housing-related assistance.

#### DOMESTIC VIOLENCE SERVICES

In addition to the local hospitals and emergency care facilities, service to assist the victims of domestic violence are provided by the Domestic Violence Services (Saratoga) and Domestic Violence Project of Warren and Washington counties.

#### HOW PERSONS ACCESS/RECEIVE ASSISTANCE:

There is a two-way referral mechanism between supportive service and housing providers in the Saratoga–North Country region. Agencies that provide supportive services to specific populations refer their clients to emergency shelters, transitional housing, and permanent housing programs. Conversely, housing programs refer residents to local agencies that provide the type of supportive services needed by

each population. Linkage to supportive services is the primary task of case managers employed by both supportive service providers and housing agencies. These formal and informal linkages among these organizations will be further enhanced through the monthly meetings of the Saratoga Homelessness Alliance.

Homeless persons are assisted in their progression through the Continuum of Care by the provision of supportive services that are appropriate for their needs. These supportive services address the particular needs of each population and include, but are not limited to, case management, life skills training, alcohol and substance abuse treatment, mental health treatment, domestic violence services, HIV-related services, health care, education, employment assistance, child care, transportation, and assistance with entitlement benefits. There is a wide range of service providers in the region that are able to offer the level of service needed by various members of each population.

### **Homeless Strategic Plan (91.215 (c))**

1. Homelessness— Describe the jurisdiction's strategy for developing a system to address homelessness and the priority needs of homeless persons and families (including the subpopulations identified in the needs section). The jurisdiction's strategy must consider the housing and supportive services needed in each stage of the process which includes preventing homelessness, outreach/assessment, emergency shelters and services, transitional housing, and helping homeless persons (especially any persons that are chronically homeless) make the transition to permanent housing and independent living. The jurisdiction must also describe its strategy for helping extremely low- and low-income individuals and families who are at imminent risk of becoming homeless.
2. Chronic homelessness—Describe the jurisdiction's strategy for eliminating chronic homelessness by 2012. This should include the strategy for helping homeless persons make the transition to permanent housing and independent living. This strategy should, to the maximum extent feasible, be coordinated with the strategy presented Exhibit 1 of the Continuum of Care (CoC) application and any other strategy or plan to eliminate chronic homelessness. Also describe, in a narrative, relationships and efforts to coordinate the Conplan, CoC, and any other strategy or plan to address chronic homelessness.
3. Homelessness Prevention—Describe the jurisdiction's strategy to help prevent homelessness for individuals and families with children who are at imminent risk of becoming homeless.
4. Institutional Structure—Briefly describe the institutional structure, including private industry, non-profit organizations, and public institutions, through which the jurisdiction will carry out its homelessness strategy.
5. Discharge Coordination Policy—Every jurisdiction receiving McKinney-Vento Homeless Assistance Act Emergency Shelter Grant (ESG), Supportive Housing, Shelter Plus Care, or Section 8 SRO Program funds must develop and implement a Discharge Coordination Policy, to the maximum extent practicable. Such a policy should include "policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons." The jurisdiction should describe its planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how the community will move toward such a policy.

## 3-5 Year Homeless Strategic Plan response:

### 1. Homelessness Strategy

Given the reoccurring nature of homelessness in this region, the Saratoga Housing Alliance has focused much of its effort on addressing the needs of the chronically homeless. Towards this goal, Alliance participants such as the Shelters of Saratoga, CAPTAIN Youth Shelter, and the Saratoga County Rural Preservation Company expanded their street outreach programs including information and referral, transportation, food and blankets, which can slowly develop the rapport needed to move persons into housing.

Saratoga Housing Alliance participants are steadfast in their experienced belief that the struggle to end chronic homelessness can only be effectively advanced through an increase in the availability of permanent supportive housing and transitional housing with accompanying support services. The Alliance's commitment to this strategy is evidenced by the successful Continuum of Care Homeless Assistance Shelter + Care rental subsidy program for transitional and permanent supportive housing.

The following goals and action steps to end chronic homelessness is produced in conjunction with the participation and input from the Saratoga Housing Alliance and its Continuum of Care program.

#### Goal #1:

Maintain & expand shelter and supportive services for chronically homeless

- Seek funding to increase capacity of emergency shelters food pantries, & crisis support services

#### Goal #2:

Expand transitional supportive housing opportunities

- Facilitate annual program renewal funding for 2002 funded Adirondack Vets House and the 2006 and 2008 Domestic Violence/Rape Crisis - Seek future Continuum of Care funding for additional transitional supportive housing opportunities when new funds become available.

#### Goal #3:

Expand permanent supportive housing opportunities

- Continue the original 2001 Shelter + Care rental subsidy program and facilitate successful program renewal funding as in 2007, 2008 and 2009.
- Continue to facilitate successful program renewal funding for 2002 Transitional Services Association and Saratoga County Rural Preservation Company and the 2006 and 2008 Domestic Violence/Rape Crisis permanent supportive housing programs as in 2005 – 2009.
- Seek future Continuum of Care funding for additional permanent supportive housing when new funds become available.

#### Goal #4:

Maintain and expand advocacy for chronically homeless persons to enroll in mainstream programs

- Seek future Continuum of Care, when new funds are available for funding to continue providing this type of legal advocacy for the expanded Saratoga–North Country region

#### Goal #5:

Establish more accurate data to better identify needs and obstacles facing the chronically homeless

- Continue and support implementation of a regional Homeless Management

- Information System program in Capital District
- Continue to facilitate the annual Point In Time Count.

Goal #6:

Educate community on issues of chronic homelessness

- Promote community discussions on homeless housing/service needs, de-stigmatization, affordable housing, etc.
- Continue participation in area affordable housing discussions

## 2. Chronic Homelessness Strategy

"Chronic homelessness refers to an unaccompanied homeless individual with a disabling condition who has either been continuously homeless for a year or has had at least 4 episodes of homelessness in the past 3 years. To be considered chronically homeless, persons must have been sleeping in a place not meant for human habitation and/or living in an emergency shelter during that time."

In light of this definition, the objective to end chronic homelessness can only be met if sufficient resources are provided to 1) identify chronically homeless individuals and their particular support needs, 2) develop a sufficient continuum of housing options from emergency shelter, to transitional supportive housing, to permanent supportive housing, to non-supportive permanent housing, 3) provide sufficient resources to fund the extremely high level of support services and medical attention necessary to prepare these individuals for the necessary increasing levels of personal and financial responsibility and increased social and community participation.

Notwithstanding the tireless and dedicated efforts of the government, non-profit and faith-based organizations that provide housing and support services to the homeless and chronically homeless, there remain entrenched obstacles to achieving their ultimate objective. The Saratoga Housing Alliance identifies the following obstacles to ending chronic homelessness:

- need for greater community awareness/understanding of needs of chronically homeless
- concern that increasing homeless housing and support services will attract more homeless and impoverished persons from neighboring and nearby communities
- increasing neighborhood opposition to the construction of special needs housing
- difficulty in community acceptance of "low-demand" housing construction
- insufficient funding for support service programs
- ineligibility of most chronically homeless persons for many "mainstream" programs
- need for additional transitional and permanent supportive housing
  - need for additional resources to provide sufficiently high level of support to assist chronically homeless to more stable living environments

## 3. Homelessness Prevention

Within the continuum of housing and support services aimed at assisting the homeless, often the critical first, and reoccurring, service is that of homelessness prevention. The City has in the past and will in the future place a high priority on providing the emergency housing and homelessness support services to prevent the occurrence of homelessness.

## 4. Institutional Structure

Saratoga County is fortunate to have dedicated public non-profit and governmental organizations working in close collaboration to address the housing and support service needs of the homeless. The City's homelessness strategy is carried out via the Saratoga-North Country Homeless Alliance with the enthusiastic participation by a range of organizations serving the needs of Saratoga, Warren, Washington and Hamilton counties, who have collaborated since 2005. This Alliance's intent is to

encourage participation from veterans' organizations; agencies representing persons living with disabilities, mental illness, chronic substance abuse and/or HIV/AIDS; emergency shelter providers; support service providers; faith-based organizations; city, county, and state governmental agencies; housing developers, foundations; neighborhood organizations; and formerly homeless persons.

It was the consensus of this widely inclusive group that the City of Saratoga Springs, represented by its Office of Community Development, act as lead agency for the Saratoga Housing Alliance.

In 2001, the NYS Rural Housing Coalition provided generous technical assistance and resources to assist our individual organizations to establish the Saratoga Homeless Alliance to work towards a common goal. That is, to engage in coordinated area partnership to increase the availability and quality of housing and supportive services aimed at reducing homelessness.

Through the efforts of the Saratoga Housing Alliance, the identified needs analysis, and coordinated program strategies, participating agencies individually, and as part of the Alliance, have been successful in pursuing new and expanded governmental and non-governmental sources of funding for their housing and service programs.

This success, and the Alliance's continuing investigation into area needs, service gaps and program geographies has prompted the incorporation of Warren, Washington, and now Hamilton counties into the Continuum of Care service area to create an efficient and coordinated approach to reducing homelessness in the Saratoga-North Country region.

The expanded Saratoga-North Country Homeless Alliance now consists of approximately 90 member participants including veterans' organizations; agencies representing persons living with disabilities, mental illness, chronic substance abuse and/or HIV/AIDS; emergency shelter providers; support service providers; faith-based organizations; city, county, and state governmental agencies; foundations; neighborhood organizations; and formerly homeless persons. The Saratoga-North Country Homeless Alliance is recognized by local government and community as the responsible entity for conducting the Continuum of Care planning process. There is no duplication of effort or overlap with other local entities.

## 5. Discharge Coordination Policy

Support service providers within the Saratoga Housing Alliance region coordinate admissions, services, and planning with the discharge plans of local health care facilities, mental health care providers, substance abuse treatment providers, foster care and youth facilities, and jails and prisons.

Individual agencies routinely plan discharges from the above facilities into State or locally funded programs such as the Saratoga Springs Housing Authority, Transitional Services Association or rooms/apartments in the community. Case Managers regularly go to hospital, foster care, and jail discharge planning sessions to coordinate discharges into non-McKinney funded programs. For example, staff from all local mental health agencies meet on a bi-weekly basis for Single Point of Entry (SPOE) meetings, in which discharges of homeless persons who are mentally ill are prioritized and planned. Case managers, social workers, and other staff routinely meet with housing providers, hospital discharge teams, foster care planners, Saratoga County jail representatives, and the Discharge Coordinator from the Capital District Psychiatric Center to plan discharges into permanent housing whenever possible.

Individual agencies will continue to plan with local and State government to ensure as many persons as possible are placed into permanent housing in order to avoid homelessness. Moreover, the Saratoga Housing Alliance will continue to address the issues of discharge planning in a systematic way in conjunction with appropriate divisions of State and local government.

## **Emergency Shelter Grants (ESG)**

(States only) Describe the process for awarding grants to State recipients, and a description of how the allocation will be made available to units of local government.

3-5 Year Strategic Plan ESG response:

The City does not receive ESG funding.

## **COMMUNITY DEVELOPMENT**

### **Community Development (91.215 (e))**

\*Please also refer to the Community Development Table in the Needs.xls workbook

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), i.e., public facilities, public improvements, public services and economic development.
2. Describe the basis for assigning the priority given to each category of priority needs.
3. Identify any obstacles to meeting underserved needs.
4. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

NOTE: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.

3-5 Year Strategic Plan Community Development response:

Non-housing Community Development Needs

High Priority: Public Service Activities for at-risk youth, persons w/special needs and seniors

The City recognizes that non-housing community development activities are integral components in promoting a sound living environment and encouraging expanded economic opportunities for persons of low- and moderate-income. As such, public service activities that assist the most vulnerable segments of our population such as at-risk youth, persons with special needs, and seniors are also considered high funding priorities.

The City, therefore, encourages activities that provide educational opportunities to assist at-risk youth and their families, programs that address the challenges for persons with special needs, and engaging activities to promote healthful living for our senior population.

In addition,

As such, the following activities are attributed with a medium, yet important, funding priority.

#### Medium Priority: Targeted Infrastructure Improvements

Recognizing the successful revitalization of Beekman Street, and input from the Westside Neighborhood Association regarding the benefit of "tangible improvements with a permanent effect", the City encourages infrastructure projects that focus on discrete "target" areas with a combination of improvements including water, sewer, storm water, curbing, sidewalks, lighting, and streetscape enhancements.

#### Medium Priority: Economic Development Activities

Recognizing the success of the City's Economic Development Revolving Loan Program, the Committee encourages economic development activities that promote job creation and long-term financial stability for persons of low- and moderate-income.

While Saratoga Springs has successfully developed a vibrant downtown business area, the City continues to pursue mechanisms to improve economic opportunities. Towards this goal, the City established the "Economic Development Revolving Loan Program" that provides low-interest business loans to stimulate job creation for persons of low and moderate income. This program is provided technical assistance by the Saratoga Economic Development Corporation and the Adirondack Trust Company and has the following guidelines:

- Eligible applicants must have a business physically located within City limits
- Eligible business include manufacturing, warehousing and wholesaling enterprises; service oriented businesses and retail establishments are evaluated on a case-by-case basis
- trade, finance, insurance and real estate businesses are not eligible
- Program goal is to create at least 1 job for every \$25,000 loaned

#### 2. Basis for Priority

The Community Development Citizen Advisory Committee recommended, and the City Council approved, the medium priority designation for non-housing community development needs based upon an evaluation of the funding levels and accomplishments from the past five years and from input gathered during the Consolidated Plan outreach efforts.

#### 3. Obstacles to meeting underserved needs

The greatest obstacle in meeting underserved needs in the City of Saratoga Springs is the limited availability of resources.

### **Antipoverty Strategy (91.215 (h))**

1. Describe the jurisdiction's goals, programs, and policies for reducing the number of poverty level families (as defined by the Office of Management and Budget and revised annually). In consultation with other appropriate public and private agencies, (i.e. TANF agency) state how the jurisdiction's goals, programs, and

policies for producing and preserving affordable housing set forth in the housing component of the consolidated plan will be coordinated with other programs and services for which the jurisdiction is responsible.

2. Identify the extent to which this strategy will reduce (or assist in reducing) the number of poverty level families, taking into consideration factors over which the jurisdiction has control.

3-5 Year Strategic Plan Antipoverty Strategy response:

#### Anti-poverty Strategy

The ability to reduce the number of poverty-level families is directly related to sufficient funding, ongoing coordination, and the efficacy of local housing and support service delivery.

While overall program funding continues to decrease, especially for critical education, case management and support service needs, the City is committed to the coordination and enhancement of housing and non-housing support service delivery. Towards this end, the Office of Community Development routinely collaborates with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelter of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (county community action program agency for housing & support services including weatherization, WIC, Food pantry, etc.), the Workforce Housing Partnership of Saratoga County, Saratoga-North Country Homeless Alliance (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

Furthermore, the communication, mutual problem-solving and referral discussions that occur at the monthly meetings of the Saratoga County Rental Assistance Program and the Saratoga - North Country Homeless Alliance has directly improved the efficacy and coordination of local housing and support service delivery.

The City remains committed to assisting its neediest residents to become more self-sufficient through the combined efforts of these social service and housing agencies. This common goal and close interagency cooperation and referral ensures that a full continuum of care is available to those in need. These organizations demonstrate a continuing commitment to make the most efficient use of resources to benefit the most people.

### **Low Income Housing Tax Credit (LIHTC) Coordination (91.315 (k))**

1. (States only) Describe the strategy to coordinate the Low-income Housing Tax Credit (LIHTC) with the development of housing that is affordable to low- and moderate-income families.

3-5 Year Strategic Plan LIHTC Coordination response:

Not applicable.

## **NON-HOMELESS SPECIAL NEEDS**

### **Specific Special Needs Objectives (91.215)**

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve

over a specified time period.

2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the strategic plan.

3-5 Year Non-homeless Special Needs Analysis response:

The City has identified housing and support service assistance as a high priority for our most vulnerable populations including those with special needs.

As such, the City intends to continue its administration of the Shelter Plus Care program providing rental subsidies to homeless, disabled persons and their families. It is anticipated at least 26 households will be assisted annually through this program.

In addition, the City will continue to provide a leadership role in the Saratoga County, and expanded Saratoga-North Country, Housing Alliance to provide the necessary communication, coordination and linkages to best serve our special needs population.

### **Non-homeless Special Needs (91.205 (d) and 91.210 (d)) Analysis (including HOPWA)**

\*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Estimate, to the extent practicable, the number of persons in various subpopulations that are not homeless but may require housing or supportive services, including the elderly, frail elderly, persons with disabilities (mental, physical, developmental, persons with HIV/AIDS and their families), persons with alcohol or other drug addiction, and any other categories the jurisdiction may specify and describe their supportive housing needs. The jurisdiction can use the Non-Homeless Special Needs Table (formerly Table 1B) of their Consolidated Plan to help identify these needs.

\*Note: HOPWA recipients must identify the size and characteristics of the population with HIV/AIDS and their families that will be served in the metropolitan area.

2. Identify the priority housing and supportive service needs of persons who are not homeless but require supportive housing, i.e., elderly, frail elderly, persons with disabilities (mental, physical, developmental, persons with HIV/AIDS and their families), persons with alcohol or other drug addiction by using the Non-homeless Special Needs Table.
3. Describe the basis for assigning the priority given to each category of priority needs.
4. Identify any obstacles to meeting underserved needs.
5. To the extent information is available, describe the facilities and services that assist persons who are not homeless but require supportive housing, and programs for ensuring that persons returning from mental and physical health institutions receive appropriate supportive housing.
6. If the jurisdiction plans to use HOME or other tenant based rental assistance to assist one or more of these subpopulations, it must justify the need for such assistance in the plan.

3-5 Year Non-homeless Special Needs Analysis response:

#### Basis for Priority

As identified previously, it is difficult to project numerical goals for the next five years without sufficient and guaranteed funding to support the implementation of these efforts. Nevertheless, the City will select activities that demonstrate a competitive advantage each year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities, and the ability to demonstrate identifiable accomplishments to gauge activity success.

#### Obstacles to meeting underserved needs

The very nature of "special needs" population requires ongoing investment above and beyond the simple provision of selected services and the acquisition of housing. The success of any program to address "special needs" population is greatly dependent upon the continuing investment and availability of education, outreach and case management services. Housing without a coordinated and reliable program of supportive services for the "special needs" population will not produce the desired results of permanent housing and stable, productive living environments. Therefore, the greatest obstacle in meeting underserved needs in the City of Saratoga Springs is the decreasing availability of resources, changing policy directives, and the uncertainty of future funding.

#### Facilities and Services

Given the City's historical role as the "urban center" for the predominantly rural Saratoga County, its central location, and its significant population base, Saratoga Springs has become the desired location for a host of organizations serving the City and surrounding communities. The following is a sampling of the diversity of services offered to those with broadly defined special needs in the City.

#### WESLEY HEALTH CARE CENTER

- A 356 bed residential health care center predominantly serving the frail elderly
- Facility includes services for patients with HIV/AIDS and a 30 bed Alzheimer's unit

#### HOME OF THE GOOD SHEPHERD

- A 42 unit facility providing market rate assisted living opportunities for the elderly

#### SARATOGA SPRINGS SENIOR CENTER

- Facility is owned by the City with oversight provided by a community board
- Services provided include low cost meals and a host of subsidized recreation activities funded, in part, by the City and the Saratoga County Office for the Aging.

#### SARATOGA COUNTY OFFICE FOR THE AGING

- Provides a wide range of coordination, support, and referral services for the elderly and frail elderly including subsidized housekeeping and personal care services

#### SARATOGA HOSPITAL MENTAL HEALTH UNIT

- A 16 bed in-patient treatment center for persons suffering from mental illness

#### SARATOGA COUNTY MENTAL HEALTH CLINIC

- Provides day-treatment, crises intervention services, and recreational activities through Friendship House located on Church Street

#### FOUR WINDS PSYCHIATRIC CENTER

- A 60 bed in-patient treatment center for persons suffering from mental illness

#### TRANSITIONAL SERVICES ASSOCIATION, INC. (TSA)

- Operates 2 community residences with 24 hr/day care for adults with psychiatric disabilities
- Hammond House and Stonebridge each houses 11 persons with 2 additional emergency beds at Stonebridge

- Operates the 9 bed Kaydeross House for girls exhibiting behavioral or emotional problems
- Also operates scattered site programs serving 61 persons
- All residential programs provide case management, counseling, daily living skills training, social activities and referrals to vocational rehabilitation, day treatment and out-patient therapy programs

#### UNLIMITED POTENTIAL, INC.

- Assists with job training and employment placement for persons with developmental disabilities
- Includes on-site contract work and supervised off-site training and employment

#### SARATOGA BRIDGES

- Coordinates information on residential and day services for all Saratoga County residents with developmental disabilities
- Manages 2 group homes in the City housing a total of 18 persons
- Provides case management and support services including respite care, independent living training, adult social club, and home care

#### LIVING RESOURCES CORPORATION, INC.

- Manages a number of intermediate care facilities for developmentally disabled adults
- Currently provides housing facilities for 37 residents with plans for an additional 4 bed house

#### AIM SERVICES, INC.

- Owns and manages 3 group residences for the developmentally disabled
- Provides housing, 24 hr/day supervisions and support services for a total of 20 persons

#### SARATOGA COUNTY OPTIONS FOR INDEPENDENT LIVING

- Provides a range of services to persons with physical disabilities or impairments
- Services include identification of benefits, counseling and skills training, equipment loans and construction guidance for accessibility modifications and improvements

#### CATHOLIC CHARITIES OF SARATOGA, WARREN AND WASHINGTON COUNTIES

- Provides a host of services and programs for the community, elderly and youth including:
  - Support and services for persons with HIV/AIDS including education, prevention, intervention and support groups
  - A prevention/diversion program, Saratoga Youth Mentoring, that develops mentoring relationships between at-risk youth and successful area professional persons
  - Case management, crisis intervention and mediation for elderly and frail elderly
  - Youth employment training and placement

#### FRANKLIN COMMUNITY CENTER

- Provides case management, crisis intervention and a variety of supportive programs including "Project Lift", a youth drug/delinquency prevention program for at-risk families and children

#### SARATOGA CENTER FOR THE FAMILY

- Provides a range of programs and services to prevent child abuse and educate and strengthen families to reduce the effects of child abuse and neglect
- Services include case management, counseling, crises intervention and prevention, child care, transportation, individual and group therapy

## Housing Opportunities for People with AIDS (HOPWA)

\*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. The Plan includes a description of the activities to be undertaken with its HOPWA Program funds to address priority unmet housing needs for the eligible population. Activities will assist persons who are not homeless but require supportive housing, such as efforts to prevent low-income individuals and families from becoming homeless and may address the housing needs of persons who are homeless in order to help homeless persons make the transition to permanent housing and independent living. The plan would identify any obstacles to meeting underserved needs and summarize the priorities and specific objectives, describing how funds made available will be used to address identified needs.
2. The Plan must establish annual HOPWA output goals for the planned number of households to be assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. The plan can also describe the special features or needs being addressed, such as support for persons who are homeless or chronically homeless. These outputs are to be used in connection with an assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.
3. For housing facility projects being developed, a target date for the completion of each development activity must be included and information on the continued use of these units for the eligible population based on their stewardship requirements (e.g. within the ten-year use periods for projects involving acquisition, new construction or substantial rehabilitation).
4. The Plan includes an explanation of how the funds will be allocated including a description of the geographic area in which assistance will be directed and the rationale for these geographic allocations and priorities. Include the name of each project sponsor, the zip code for the primary area(s) of planned activities, amounts committed to that sponsor, and whether the sponsor is a faith-based and/or grassroots organization.
5. The Plan describes the role of the lead jurisdiction in the eligible metropolitan statistical area (EMSA), involving (a) consultation to develop a metropolitan-wide strategy for addressing the needs of persons with HIV/AIDS and their families living throughout the EMSA with the other jurisdictions within the EMSA; (b) the standards and procedures to be used to monitor HOPWA Program activities in order to ensure compliance by project sponsors of the requirements of the program.
6. The Plan includes the certifications relevant to the HOPWA Program.

3-5 Year Strategic Plan HOPWA response:

The City of Saratoga Springs does not receive HOPWA funding..

### Specific HOPWA Objectives

1. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the strategic plan.

3-5 Year Specific HOPWA Objectives response:

The City of Saratoga Springs does not receive HOPWA funding.

## OTHER NARRATIVE

Include any Strategic Plan information that was not covered by a narrative in any other section.

# Tables







**City of Saratoga Springs, New York**

**Housing Market Analysis**

*Complete cells in blue.*

|   | Vacancy Rate | 0 & 1 Bedroom | 2 Bedrooms | 3+ Bedroom | Total | Substandard Units |
|---|--------------|---------------|------------|------------|-------|-------------------|
| <b>Housing Stock Inventory</b>                |              |               |            |            |       |                   |
| <b><u>Affordability Mismatch</u></b>          |              |               |            |            |       |                   |
| Occupied Units: Renter                        |              | 2109          | 1797       | 854        | 4760  | 1511              |
| Occupied Units: Owner                         |              | 172           | 800        | 4940       | 5912  | 58                |
| Vacant Units: For Rent                        | 4%           | 90            | 89         | 14         | 193   |                   |
| Vacant Units: For Sale                        | 1%           | 0             | 8          | 42         | 50    |                   |
| Total Units Occupied & Vacant                 |              | 2371          | 2694       | 5850       | 10915 | 1569              |
| Rents: Applicable FMRs (in \$s)               |              | 690-716       | 874        | 1,046      |       |                   |
| Rent Affordable at 30% of 50% of MFI (in \$s) |              | 648-741       | 833-926    | 1,000      |       |                   |
| <b>Public Housing Units</b>                   |              |               |            |            |       |                   |
| Occupied Units                                |              | 202           | 69         | 68         | 339   | 0                 |
| Vacant Units                                  |              | 0             | 0          | 0          | 0     | 0                 |
| Total Units Occupied & Vacant                 |              | 202           | 69         | 68         | 339   | 0                 |
| <b>Rehabilitation Needs (in \$s)</b>          |              |               |            |            |       |                   |
|   |              |               |            |            | 0     |                   |

## Continuum of Care Homeless Population and Subpopulations Chart

| Part 1: Homeless Population                    | Sheltered  |              | Un-sheltered | Total      | Jurisdiction<br>Data Quality       |
|--|------------|--------------|--------------|------------|------------------------------------|
|  | Emergency  | Transitional |              |            |                                    |
| 1. Homeless Individuals                        | 91         | 22           | 75           | 188        | (N) enumerations ▼                 |
| 2. Homeless Families with Children             | 13         | 19           | 0            | 32         |                                    |
| 2a. Persons in Homeless with Children Families | 47         | 47           | 0            | 94         |                                    |
| <b>Total (lines 1 + 2a)</b>                    | <b>138</b> | <b>69</b>    | <b>75</b>    | <b>282</b> |                                    |
| Part 2: Homeless Subpopulations                | Sheltered  |              | Un-sheltered | Total      | Data Quality<br>(N) enumerations ▼ |
|  |            |              |              |            |                                    |
| 1. Chronically Homeless                        |            | 8            | 22           | 30         |                                    |
| 2. Severely Mentally Ill                       |            | 39           | 0            | 39         |                                    |
| 3. Chronic Substance Abuse                     |            | 35           | 0            | 35         |                                    |
| 4. Veterans                                    |            | 15           | 0            | 15         |                                    |
| 5. Persons with HIV/AIDS                       |            | 13           | 0            | 13         |                                    |
| 6. Victims of Domestic Violence                |            | 32           | 0            | 32         |                                    |
| 7. Youth (Under 18 years of age)               |            | 28           | 0            | 28         |                                    |

| Part 3: Homeless Needs Table: Individuals | Needs      | Currently Available | Gap        | 5-Year Quantities |          |          |          |          |          |          |          |          |          | Total    | Priority H, M, L | Plan to Fund? Y N | Fund Source:<br>CDBG, HOME,<br>HOPWA, ESG, or<br>Other |             |        |           |
|---|------------|---------------------|------------|-------------------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|------------------|-------------------|--|-------------|--------|-----------|
|   |            |                     |            | Year 1            |          | Year 2   |          | Year 3   |          | Year 4   |          | Year 5   |          |          |                  |                   |  | Goal        | Actual | % of Goal |
|   |            |                     |            | Goal              | Complete | Goal     | Complete | Goal     | Complete | Goal     | Complete | Goal     | Complete |          |                  |                   |  |             |        |           |
| Emergency Shelters                        | 91         | 22                  | 69         | 0                 | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0                | 0                 | 0  | ###H        |        |           |
| Transitional Housing                      | 72         | 35                  | 37         | 0                 | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0                | 0                 | 0  | ###H        |        |           |
| Permanent Supportive Housing              | 165        | 47                  | 118        | 0                 | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0        | 0                | 0                 | 0  | ###H        |        |           |
| <b>Total</b>                              | <b>328</b> | <b>104</b>          | <b>224</b> | <b>0</b>          | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b>         | <b>0</b>          | <b>0</b>   | <b>###H</b> |        |           |
| Chronically Homeless                      | 30         | 18                  |            |                   |          |          |          |          |          |          |          |          |          |          |                  |                   |  |             |        |           |

| Part 4: Homeless Needs<br>Table: Families | Needs | Currently Available | Gap | 5-Year Quantities |          |        |          |        |          |        |          |        |          | Priority H, M, L | Plan to Fund? Y N | Fund Source:<br>CDBG, HOME,<br>HOPWA, ESG or<br>Other |       |          |
|---|-------|---------------------|-----|-------------------|----------|--------|----------|--------|----------|--------|----------|--------|----------|------------------|-------------------|---|-------|----------|
|   |       |                     |     | Year 1            |          | Year 2 |          | Year 3 |          | Year 4 |          | Year 5 |          |                  |                   |   | Total |          |
|   |       |                     |     | Goal              | Complete | Goal   | Complete | Goal   | Complete | Goal   | Complete | Goal   | Complete |                  |                   |   | Goal  | Complete |
| Emergency Shelters                        | 75    | 4                   | 71  | 0                 | 0        | 0      | 0        | 0      | 0        | 0      | 0        | 0      | 0        | 0                | 0                 | 0   | ###H  |          |
| Transitional Housing                      | 47    | 30                  | 17  | 0                 | 0        | 0      | 0        | 0      | 0        | 0      | 0        | 0      | 0        | 0                | 0                 | 0   | ###H  |          |
| Permanent Supportive Housing              | 105   | 20                  | 85  | 0                 | 0        | 0      | 0        | 0      | 0        | 0      | 0        | 0      | 0        | 0                | 0                 | 0   | ###H  |          |
| Total                                     | 227   | 54                  | 173 | 0                 | 0        | 0      | 0        | 0      | 0        | 0      | 0        | 0      | 0        | 0                | 0                 | 0   | ###H  |          |

Completing Part 1: Homeless Population. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The counts must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Completing Part 2: Homeless Subpopulations. This must be completed using statistically reliable, unduplicated counts or estimates of homeless persons in sheltered and unsheltered locations at a one-day point in time. The numbers must be from: (A) administrative records, (N) enumerations, (S) statistically reliable samples, or (E) estimates. The quality of the data presented in each box must be identified as: (A), (N), (S) or (E).

Sheltered Homeless. Count adults, children and youth residing in shelters for the homeless. "Shelters" include all emergency shelters and transitional shelters for the homeless, including domestic violence shelters, residential programs for runaway/homeless youth, and any hotel/motel/apartment voucher arrangements paid by a public/private agency because the person or family is homeless. Do not count: (1) persons who are living doubled up in conventional housing; (2) formerly homeless persons who are residing in Section 8 SRO, Shelter Plus Care, SHP permanent housing or other permanent housing units; (3) children or youth, who because of their own or a parent's homelessness or abandonment, now reside temporarily and for a short anticipated duration in hospitals, residential treatment facilities, emergency foster care, detention facilities and the like; and (4) adults living in mental health facilities, chemical dependency facilities, or criminal justice facilities.

Unsheltered Homeless. Count adults, children and youth sleeping in places not meant for human habitation. Places not meant for human habitation include streets, parks, alleys, parking ramps, parts of the highway system, transportation depots and other parts of transportation systems (e.g. subway tunnels, railroad car), all-night commercial establishments (e.g. movie theaters, laundromats, restaurants), abandoned buildings, building roofs or stairwells, chicken coops and other farm outbuildings, caves, campgrounds, vehicles, and other similar places.



| Housing and Community Development Activities                      | Needs | Current | Gap  | 5-Year Quantities |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
|---|-------|---------|------|-------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|------------|--------|--|--|--|--|--|
|   |       |         |      | Year 1            |        | Year 2 |        | Year 3 |        | Year 4 |        | Year 5 |        | Cumulative |        |  |  |  |  |  |
|   |       |         |      | Goal              | Actual | Goal   | Actual | Goal   | Actual | Goal   | Actual | Goal   | Actual | Goal       | Actual |  |  |  |  |  |
| 01 Acquisition of Real Property 570.201(a)                        | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 02 Disposition 570.201(b)   | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03 Public Facilities and Improvements (General) 570.201(c)        | 4228  | 0       | 4228 | 4228              |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03A Senior Centers 570.201(c)                                     | 550   | 0       | 550  | 550               |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03B Handicapped Centers 570.201(c)                                | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03C Homeless Facilities (not operating costs) 570.201(c)          | 22    | 0       | 22   | 22                |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03D Youth Centers 570.201(c)                                      | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03E Neighborhood Facilities 570.201(c)                            | 1     | 0       | 1    | 1                 |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03F Parks, Recreational Facilities 570.201(c)                     | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03G Parking Facilities 570.201(c)                                 | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03H Solid Waste Disposal Improvements 570.201(c)                  | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03I Flood Drain Improvements 570.201(c)                           | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03J Water/Sewer Improvements 570.201(c)                           | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03K Street Improvements 570.201(c)                                | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03L Sidewalks 570.201(c)  | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03M Child Care Centers 570.201(c)                                 | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03N Tree Planting 570.201(c)                                      | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03O Fire Stations/Equipment 570.201(c)                            | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03P Health Facilities 570.201(c)                                  | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03Q Abused and Neglected Children Facilities 570.201(c)           | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03R Asbestos Removal 570.201(c)                                   | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03S Facilities for AIDS Patients (not operating costs) 570.201(c) | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 03T Operating Costs of Homeless/AIDS Patients Programs            | 12    | 0       | 12   | 12                |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 04 Clearance and Demolition 570.201(d)                            | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 04A Clean-up of Contaminated Sites 570.201(d)                     | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05 Public Services (General) 570.201(e)                           | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05A Senior Services 570.201(e)                                    | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05B Handicapped Services 570.201(e)                               | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05C Legal Services 570.201(E)                                     | 50    | 0       | 50   | 50                |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05D Youth Services 570.201(e)                                     | 78    | 0       | 78   | 78                |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05E Transportation Services 570.201(e)                            | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05F Substance Abuse Services 570.201(e)                           | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05G Battered and Abused Spouses 570.201(e)                        | 45    | 0       | 45   | 45                |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05H Employment Training 570.201(e)                                | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05I Crime Awareness 570.201(e)                                    | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05J Fair Housing Activities (if CDBG, then subject to 570.201(e)) | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05K Tenant/Landlord Counseling 570.201(e)                         | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |
| 05L Child Care Services 570.201(e)                                | 0     | 0       | 0    |                   |        |        |        |        |        |        |        |        |        |            |        |  |  |  |  |  |

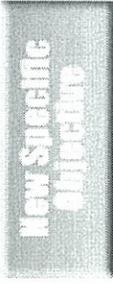






**Summary of Specific Annual Objectives**

| Specific Obj. #                             | Outcome/Objective<br>Specific Annual Objectives   | Sources of Funds   | Performance Indicators  | Year | Expected Number | Actual Number | Percent Completed |         |
|---|---|--------------------|---|------|-----------------|---------------|-------------------|---------|
| <b>DH-2 Affordability of Decent Housing</b> |   |                    |   |      |                 |               |                   |         |
| <b>DH-2 (1)</b>                             | Improve the quality of affordable owner/renter housing<br><br>2010: Bring 34 units of substandard housing to HQS and bring 34 units into compliance with lead safe housing rule | CDBG               | # of units brought from substandard to HQS, # of units brought into compliance with lead safe housing rules (2010: 7,8,9,15,17) | 2010 | 34              |               | 0%                |         |
|   |   | Source of Funds #2 |   | 2011 |                 |               | #DIV/0!           |         |
|   |   | Source of Funds #3 |   | 2012 |                 |               | #DIV/0!           |         |
|   |   |                    |   | 2013 |                 |               | #DIV/0!           |         |
|   |   |                    |   | 2014 |                 |               | #DIV/0!           |         |
|   |   |                    | <b>MULTI-YEAR GOAL</b>  |      |                 |               | <b>0</b>          | #DIV/0! |
|   |   | Source of Funds #1 | Performance Indicator #2  | 2010 |                 |               | #DIV/0!           |         |
|   |   | Source of Funds #2 |   | 2011 |                 |               | #DIV/0!           |         |
|   |   | Source of Funds #3 |   | 2012 |                 |               | #DIV/0!           |         |
|   |   |                    |   | 2013 |                 |               | #DIV/0!           |         |
|   |   |                    |   |      | 2014            |               |                   | #DIV/0! |
|   |   |                    | <b>MULTI-YEAR GOAL</b>  |      |                 |               | <b>0</b>          | #DIV/0! |
|   |   | Source of Funds #1 | Performance Indicator #3  | 2010 |                 |               | #DIV/0!           |         |
|   |   | Source of Funds #2 |   | 2011 |                 |               | #DIV/0!           |         |
| Source of Funds #3                          | 2012  |                    |   |      | #DIV/0!         |               |                   |         |
|   | 2013  |                    |   |      | #DIV/0!         |               |                   |         |
|   |   |                    | 2014  |      |                 | #DIV/0!       |                   |         |
|   | <b>MULTI-YEAR GOAL</b>  |                    |   |      | <b>0</b>        | #DIV/0!       |                   |         |



**Summary of Specific Annual Objectives**

| Specific Obj. #   | Outcome/Objective<br>Specific Annual Objectives  | Sources of Funds       | Performance Indicators  | Year | Expected Number | Actual Number  | Percent Completed |
|---|--|------------------------|---|------|-----------------|----------------|-------------------|
| <b>SL-1 Availability/Accessibility of Suitable Living Environment</b> |  |                        |   |      |                 |                |                   |
| <b>SL-1 (1)</b>   | Improve the availability/accessibility of services for low/mod persons   | CDBG                   | Performance Indicator #1: # of homeless persons given homeless services (2010:1)                      | 2010 | 45              |                | 0%                |
|   |  |                        |   | 2011 |                 |                | #DIV/0!           |
|   |  |                        |   | 2012 |                 |                | #DIV/0!           |
|   |  |                        |   | 2013 |                 |                | #DIV/0!           |
|   |  |                        |   | 2014 |                 |                | #DIV/0!           |
|   |  |                        | <b>MULTI-YEAR GOAL</b>  |      |                 | <b>0</b>       | <b>#DIV/0!</b>    |
|   | Specific Annual Objective: provide 45 homeless persons with homeless services; provide legal services to 50 persons to prevent homelessness; provide new access to services to 78 persons. | Source of Funds #1     | Performance Indicator #2: # of households receiving legal assistance to prevent homelessness (2010:2) | 2010 | 50              |                | 0%                |
|   |  |                        |   | 2011 |                 | #DIV/0!        |                   |
|   |  |                        |   | 2012 |                 |                | #DIV/0!           |
|   |  |                        |   | 2013 |                 |                | #DIV/0!           |
|   |  |                        |   | 2014 |                 |                | #DIV/0!           |
|   |  |                        | <b>MULTI-YEAR GOAL</b>  |      |                 | <b>0</b>       | <b>#DIV/0!</b>    |
|   |  | Source of Funds #1     | Performance Indicator #3: # of persons assisted w/new access to services (2010:3,4,6)                 | 2010 | 78              |                | 0%                |
|   |  |                        |   | 2011 |                 | #DIV/0!        |                   |
|   |  |                        |   | 2012 |                 |                | #DIV/0!           |
|   |  | 2013                   |   |      |                 | #DIV/0!        |                   |
|   |  | 2014                   |   |      |                 | #DIV/0!        |                   |
|   |  | <b>MULTI-YEAR GOAL</b> |   |      | <b>0</b>        | <b>#DIV/0!</b> |                   |



**Summary of Specific Annual Objectives**

| Specific Obj. #   | Outcome/Objective<br>Specific Annual Objectives   | Sources of Funds         | Performance Indicators  | Year | Expected Number | Actual Number | Percent Completed |
|---|---|--------------------------|---|------|-----------------|---------------|-------------------|
| <b>SL-2 AvailabAffordability of Suitable Living Environment</b> |   |                          |   |      |                 |               |                   |
| <b>SL-2 (1)</b>   | Specific Objective: Improve the quality/increase the quantity of neighborhood facilities for low/mod income persons                         | CDBG                     | Performance Indicator #1: # of homeless persons given overnight shelter (2010: 5, 13)           | 2010 | 12              |               | 0%                |
|   |   | Source of Funds #2       |   | 2011 |                 |               | #DIV/0!           |
|   |   | Source of Funds #3       |   | 2012 |                 |               | #DIV/0!           |
|   |   |                          |   | 2013 |                 |               | #DIV/0!           |
|   |   |                          |   | 2014 |                 |               | #DIV/0!           |
|   |   |                          |   |      |                 | <b>0</b>      |                   |
|   | Specific Annual Objective: 2010: Provide overnight shelter to 12 homeless persons; provide new access to a public facility for 567 persons. | Source of Funds #1       | Performance Indicator #2: # of persons assisted with improved access to a facility (2010:10,14) | 2010 | 567             |               | 0%                |
|   |   | Source of Funds #2       |   | 2011 |                 |               | #DIV/0!           |
|   |   | Source of Funds #3       |   | 2012 |                 |               | #DIV/0!           |
|   |   |                          |   | 2013 |                 |               | #DIV/0!           |
|   |   |                          |   | 2014 |                 |               | #DIV/0!           |
|   |   |                          |   |      |                 | <b>0</b>      |                   |
|   | Source of Funds #1  | Performance Indicator #3 | 2010  |      |                 | #DIV/0!       |                   |
|   | Source of Funds #2  |                          | 2011  |      |                 | #DIV/0!       |                   |
|   | Source of Funds #3  |                          | 2012  |      |                 | #DIV/0!       |                   |
|   |   |                          | 2013  |      |                 | #DIV/0!       |                   |
|   |   |                          | 2014  |      |                 | #DIV/0!       |                   |
|   |   |                          |   |      | <b>0</b>        | #DIV/0!       |                   |
|   |   |                          | <b>MULTI-YEAR GOAL</b>  |      |                 |               | #DIV/0!           |



**Summary of Specific Annual Objectives**

| Specific Obj. #    | Outcome/Objective<br>Specific Annual Objectives  | Sources of Funds         | Performance Indicators   | Year | Expected Number | Actual Number  | Percent Completed |
|--------------------|--|--------------------------|--|------|-----------------|----------------|-------------------|
| <b>SL-3</b>        | <b>Sustainability of Suitable Living Environment</b>   |                          |  |      |                 |                |                   |
| <b>SL-3 (1)</b>    | Specific Objective: Improve the quality & increase the quantity of neighborhood facilities for low/mod persons | CDBG                     | Performance Indicator #1: # of persons assisted with improved access to a facility (2010:11) | 2010 | 4228            |                | 0%                |
|                    |  | Source of Funds #2       |  | 2011 |                 |                | #DIV/0!           |
|                    |  | Source of Funds #3       |  | 2012 |                 |                | #DIV/0!           |
|                    |  |                          |  | 2013 |                 |                | #DIV/0!           |
|                    |  |                          |  | 2014 |                 |                | #DIV/0!           |
|                    |  | <b>MULTI-YEAR GOAL</b>   |  |      |                 | <b>0</b>       | <b>#DIV/0!</b>    |
|                    | Specific Annual Objective: provide improvements to infrastructure in low/moderate income areas                 | Source of Funds #1       | Performance Indicator #2   | 2010 |                 |                | #DIV/0!           |
|                    |  | Source of Funds #2       |  | 2011 |                 |                | #DIV/0!           |
|                    |  | Source of Funds #3       |  | 2012 |                 |                | #DIV/0!           |
|                    |  |                          |  | 2013 |                 |                | #DIV/0!           |
|                    |  | 2014                     |  |      |                 | #DIV/0!        |                   |
|                    | <b>MULTI-YEAR GOAL</b>   |                          |  |      | <b>0</b>        | <b>#DIV/0!</b> |                   |
|                    | Source of Funds #1   | Performance Indicator #3 | 2010   |      |                 | #DIV/0!        |                   |
| Source of Funds #2 | 2011   |                          |  |      | #DIV/0!         |                |                   |
| Source of Funds #3 | 2012   |                          |  |      | #DIV/0!         |                |                   |
|                    | 2013   |                          |  |      | #DIV/0!         |                |                   |
|                    | 2014   |                          |  |      | #DIV/0!         |                |                   |
|                    | <b>MULTI-YEAR GOAL</b>   |                          |  |      | <b>0</b>        | <b>#DIV/0!</b> |                   |



**Summary of Specific Annual Objectives**

| Specific Obj. #    | Outcome/Objective<br>Specific Annual Objectives  | Sources of Funds   | Performance Indicators   | Year                     | Expected Number | Actual Number  | Percent Completed |                |
|--------------------|--|--------------------|--|--------------------------|-----------------|----------------|-------------------|----------------|
| <b>EO-1</b>        | <b>Availability/Accessibility of Economic Opportunity</b>  |                    |  |                          |                 |                |                   |                |
| <b>EO-1 (1)</b>    | Specific Objective: Improve availability/accessibility of economic opportunities for low/mod income persons<br><br>Specific Annual Objective: 2010:create 1 FTE employment positions for persons of low/mod income | CDBG               | Performance Indicator #1: # of jobs created and filled by person of low/mod income (2010:18) | 2010                     | 1               |                | 0%                |                |
|                    |  | Source of Funds #2 |  | 2011                     |                 |                | #DIV/0!           |                |
|                    |  | Source of Funds #3 |  | 2012                     |                 |                | #DIV/0!           |                |
|                    |  |                    |  | 2013                     |                 |                | #DIV/0!           |                |
|                    |  |                    |  | 2014                     |                 |                | #DIV/0!           |                |
|                    |  |                    | <b>MULTI-YEAR GOAL</b>   |                          |                 |                | <b>0</b>          | <b>#DIV/0!</b> |
|                    |  |                    | Source of Funds #1   | Performance Indicator #2 | 2010            |                |                   | #DIV/0!        |
|                    |  | Source of Funds #2 | 2011   |                          |                 |                | #DIV/0!           |                |
|                    |  | Source of Funds #3 | 2012   |                          |                 |                | #DIV/0!           |                |
|                    |  |                    | 2013   |                          |                 |                | #DIV/0!           |                |
|                    |  |                    | 2014   |                          |                 |                | #DIV/0!           |                |
|                    |  |                    | <b>MULTI-YEAR GOAL</b>   |                          |                 |                | <b>0</b>          | <b>#DIV/0!</b> |
|                    |  |                    | Source of Funds #1   | Performance Indicator #3 | 2010            |                |                   | #DIV/0!        |
|                    |  | Source of Funds #2 | 2011   |                          |                 |                | #DIV/0!           |                |
| Source of Funds #3 | 2012   |                    |  |                          | #DIV/0!         |                |                   |                |
|                    | 2013   |                    |  |                          | #DIV/0!         |                |                   |                |
|                    | 2014   |                    |  |                          | #DIV/0!         |                |                   |                |
|                    | <b>MULTI-YEAR GOAL</b>   |                    |  |                          | <b>0</b>        | <b>#DIV/0!</b> |                   |                |



**Summary of Specific Annual Objectives**

| Specific Obj. #    | Outcome/Objective<br>Specific Annual Objectives   | Sources of Funds   | Performance Indicators   | Year                     | Expected Number | Actual Number | Percent Completed |         |         |
|--------------------|---|--------------------|--|--------------------------|-----------------|---------------|-------------------|---------|---------|
| O-1                | Other   |                    |  |                          |                 |               |                   |         |         |
| O-1 (1)            | Specific Objective: Enhance suitable living environment through the reduction of spot blight<br><br>Specific Annual Objective: 2010: address areas of spot blight through the structural stabilization & rehabilitation of historic structures. | CDBG               | Performance Indicator #1: 1 structural stabilization & rehabilitation of a historic structure. (2010:12) | 2010                     | 1               |               | 0%                |         |         |
|                    |   | Source of Funds #2 |  | 2011                     |                 |               | #DIV/0!           |         |         |
|                    |   | Source of Funds #3 |  | 2012                     |                 |               | #DIV/0!           |         |         |
|                    |   |                    |  | 2013                     |                 |               | #DIV/0!           |         |         |
|                    |   |                    |  | 2014                     |                 |               | #DIV/0!           |         |         |
|                    |   |                    | <b>MULTI-YEAR GOAL</b>   |                          |                 |               | <b>0</b>          |         | #DIV/0! |
|                    |   |                    | Source of Funds #1   | Performance Indicator #2 | 2010            |               |                   | #DIV/0! |         |
|                    |   | Source of Funds #2 | 2011   |                          |                 |               | #DIV/0!           |         |         |
|                    |   | Source of Funds #3 | 2012   |                          |                 |               | #DIV/0!           |         |         |
|                    |   |                    | 2013   |                          |                 |               | #DIV/0!           |         |         |
|                    |   |                    | 2014   |                          |                 |               | #DIV/0!           |         |         |
|                    |   |                    | <b>MULTI-YEAR GOAL</b>   |                          |                 |               | <b>0</b>          |         | #DIV/0! |
|                    |   |                    | Source of Funds #1   | Performance Indicator #3 | 2010            |               |                   | #DIV/0! |         |
|                    |   | Source of Funds #2 | 2011   |                          |                 |               | #DIV/0!           |         |         |
| Source of Funds #3 | 2012  |                    |  |                          | #DIV/0!         |               |                   |         |         |
|                    | 2013  |                    |  |                          | #DIV/0!         |               |                   |         |         |
|                    | 2014  |                    |  |                          | #DIV/0!         |               |                   |         |         |
|                    | <b>MULTI-YEAR GOAL</b>  |                    |  |                          | <b>0</b>        |               | #DIV/0!           |         |         |

ATTACHMENT  
SSCP-1

# Google Map Link:

[http://maps.google.com/maps/ms?source=ss\\_q&hl=en&geocode=&g=175+south+broadway,+Saratoga+Springs,+NY+12866&ie=UTF8&hq=&hnear=86+Court+St,+Saratoga+Springs,+Saratoga,+New+York+12866&msq=0&msid=11828764730345744524.0004485dc5a89579947eb6&ll=43.078104,-73.796282&spm=0.027021,0.044074&t=h&z=15](http://maps.google.com/maps/ms?source=ss_q&hl=en&geocode=&g=175+south+broadway,+Saratoga+Springs,+NY+12866&ie=UTF8&hq=&hnear=86+Court+St,+Saratoga+Springs,+Saratoga,+New+York+12866&msq=0&msid=11828764730345744524.0004485dc5a89579947eb6&ll=43.078104,-73.796282&spm=0.027021,0.044074&t=h&z=15)

[Get Directions](#) [My Maps](#)

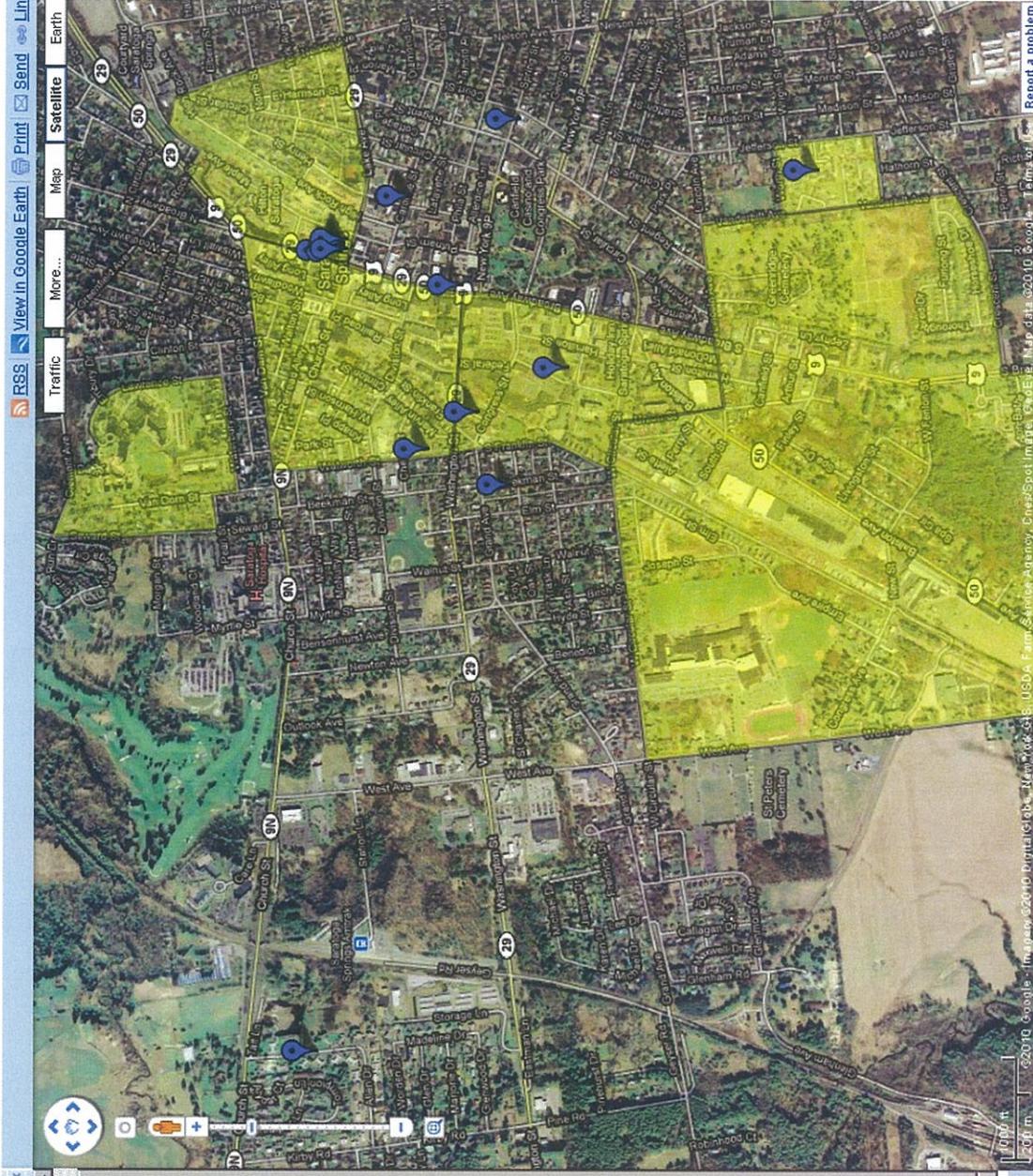
### 2010 CDBG Activities

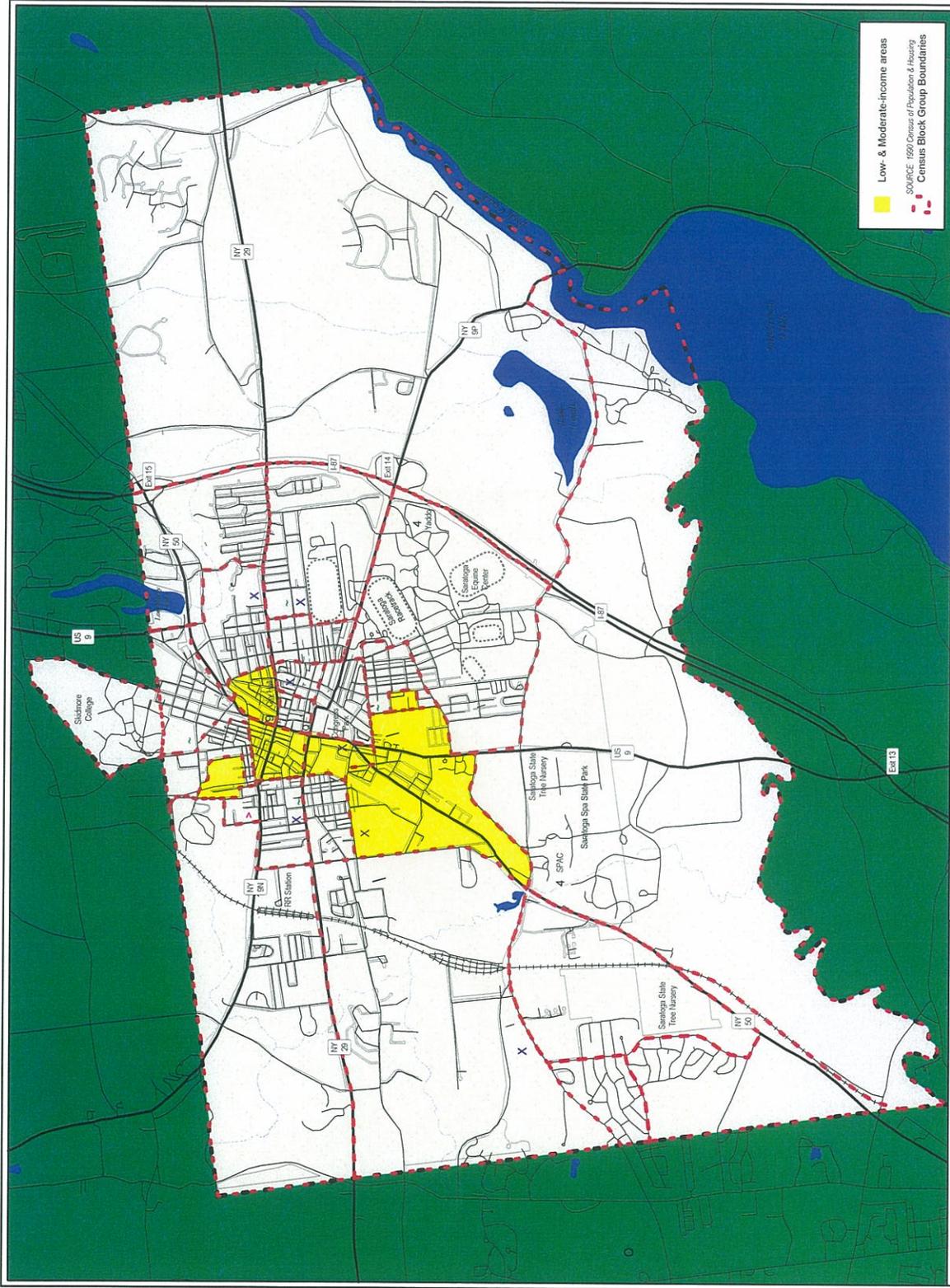
2010 Entitlement Action Plan activities w/in City of Saratoga Springs, NY  
0 views - Public  
Created on May 5 - Updated < 1 minute ago  
By Bradley  
[Rate this map](#) - [Write a comment](#)

-  **SAHG - Allen Drive Apts**  
\$100,000 - To fund energy efficiency improvements
-  **City-wide Rehabilitation Grant Program**  
\$70,000 - Funding for continuation of City's
-  **Shelters of Saratoga Transitional Housing**  
\$25,000 - Funding for architectural and
-  **Senior Center Facility Improvements**  
\$14,000 - Funding energy efficiency, and other,
-  **Infrastructure Improvements**  
\$10,000 - Funding for the repair of accessible
-  **Frederick Allen Lodge Historic Building**  
\$10,000 - Funding to further stabilize and preserve
-  **Franklin Community Manor**  
\$8,000 - Funding for interior improvements to
-  **Mother Susan Anderson Emergency Shelter**  
\$8,000 - Public facility improvements to this
-  **Rebuilding Together Housing Rehabilitation**  
\$5,000 - To assist in funding this volunteer-
-  **Domestic Violence/Rape Crises Emergency**  
\$17,000 - A continuation of funding for a full-time
-  **Legal Aid Homelessness Prevention Program**  
\$12,000 - The continuation of a homelessness
-  **Catholic Charities Mentoring Program**  
\$9,000 - The continuation of a community-based
-  **Prevention Council Youth Court**  
\$8,000 - To recruit and train resident youth, living in
-  **Mother Susan Anderson Emergency Shelter**  
\$3,000 - To fund utility and program costs
-  **Saratoga Sponsor a Scholar**  
\$1,000 - To fund school buses to take students in
-  **CD Program Administration**  
\$70,000 - These funds finance the Community

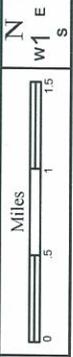
**2000 L-W Areas** | 12866

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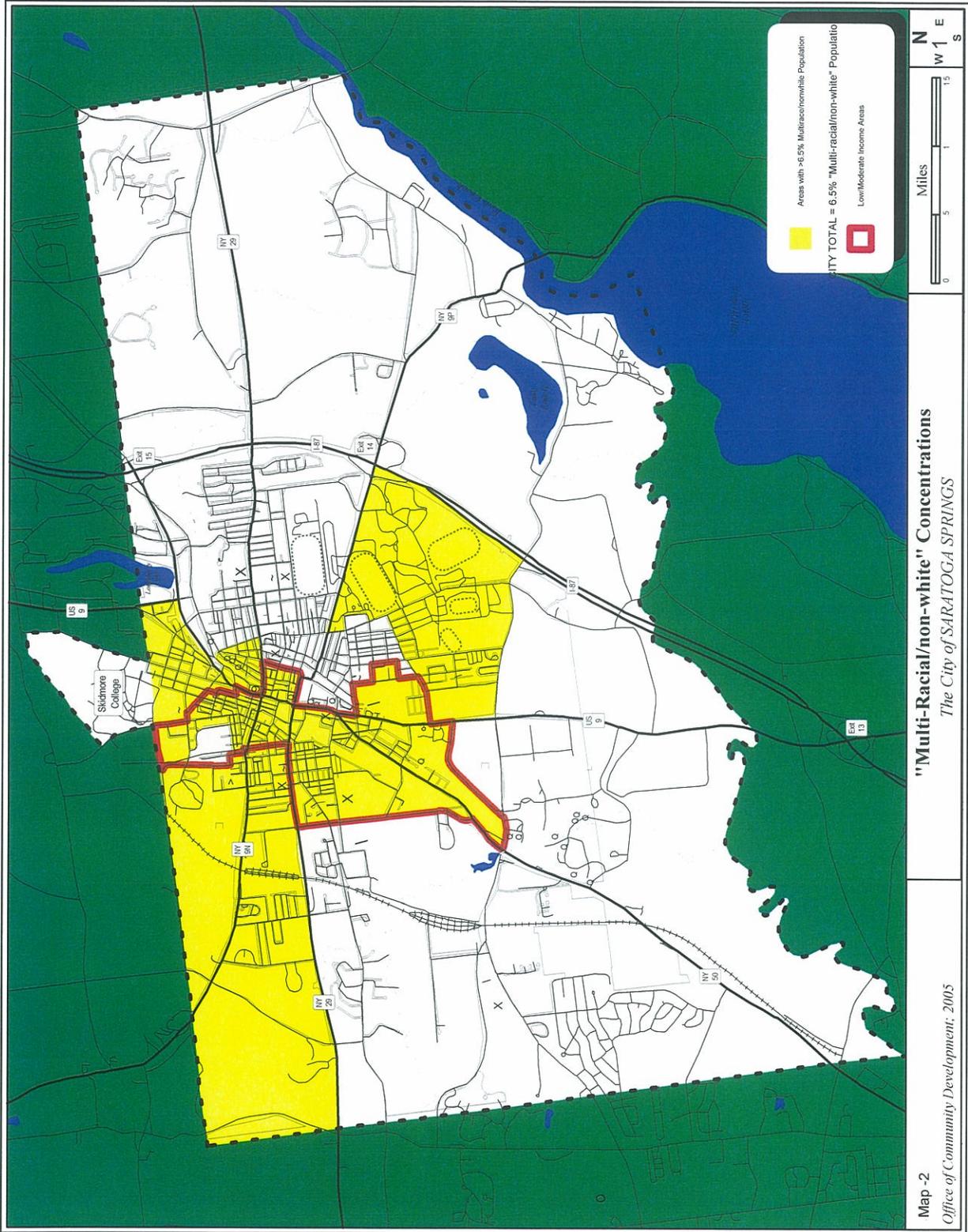


Low- & Moderate-income areas  
 SOURCE: 1990 Census of Population & Housing  
 Census Block Group Boundaries



**2000 Census Geography & Low-/Moderate-income Areas**  
**The City of SARATOGA SPRINGS**

MAP - 1  
 Office of Community Development, 2003

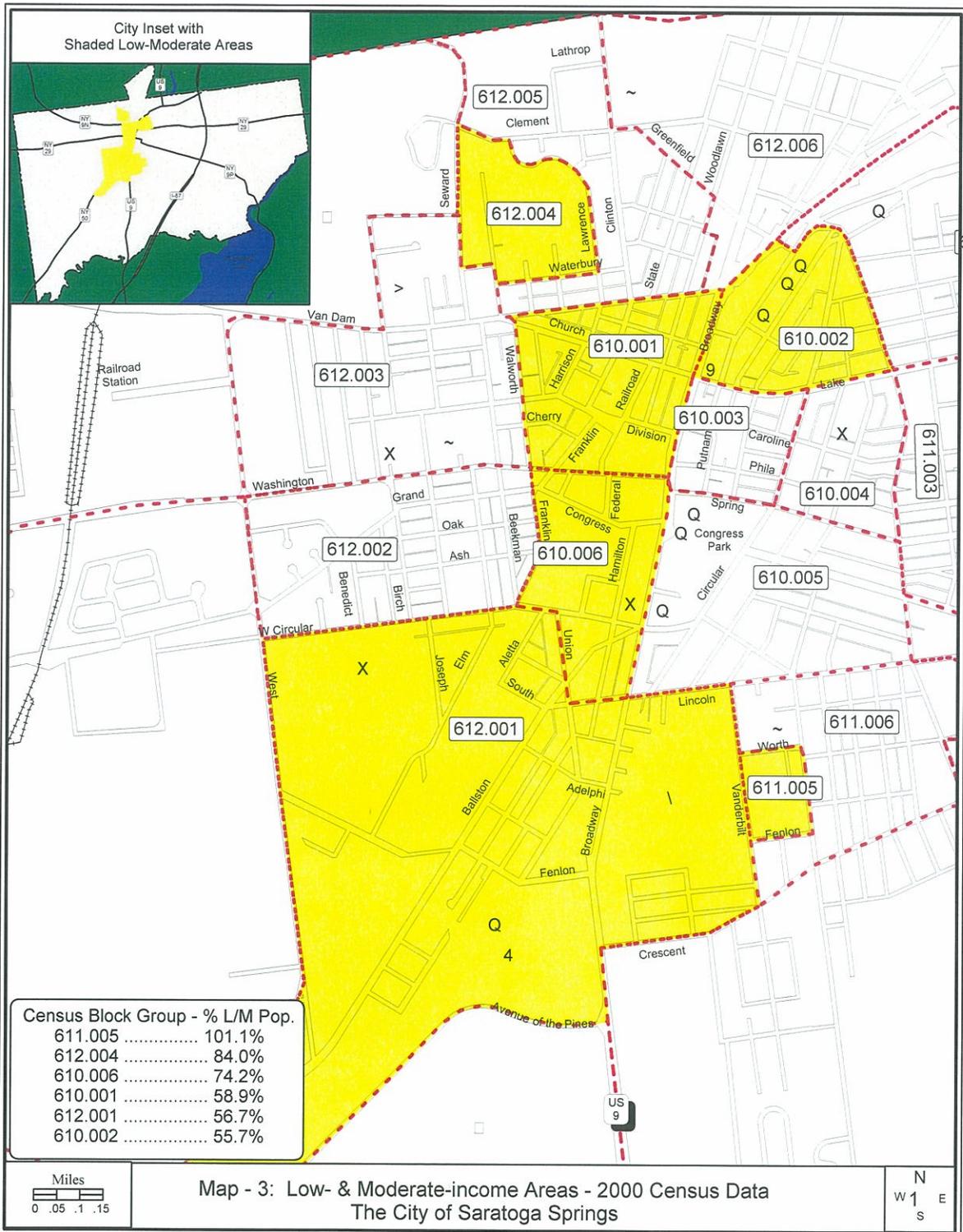


■ Areas with >6.5% Multi-racial/non-white Population  
 Low/Moderate Income Areas  
 CITY TOTAL = 6.5% "Multi-racial/non-white" Population

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**"Multi-Racial/non-white" Concentrations**  
*The City of SARATOGA SPRINGS*

Map -2  
 Office of Community Development: 2005



ATTACHMENT  
SSCP-2

## 2000 - 2009 Consolidated Plan - Activities & Accomplishment to date

| Category/Priority<br>(hh = households; pp = persons) | Activity   | Year    | Allocation | Accomplishments   | Total Amount | %<br>of Total |
|--|--|---------|------------|---|--------------|---------------|
| HOUSING  |  |         |            |   |              |               |
| High Priority  | New Affordable Housing Construction<br>Saratoga Affordable Housing, Inc.             | 2008-R  | \$ 99,239  | New Affordable Housing Building Site Plan - in progress | \$ 99,239    | 0.02          |
| High Priority  | Affordable Housing Reconstruction/Opportunities<br>85 Beekman St.<br>HARP            | 2000    | \$ 46,700  | 1 unit completed  | \$ 756,700   | 0.15          |
|  | 1st Time Homebuyers Education & Grant  | 2001    | \$ 90,000  | Reprogrammed to 2004 Residential Rehab. Program         |              |               |
|  | Affordable Housing Trust Fund  | 2001    | \$ 95,000  | 60 pp completed education; 9 grants delivered           |              |               |
|  | Affordable Housing Trust Fund  | 2004    | \$ 125,000 | 2 hh assisted   |              |               |
|  | Saratoga Affordable Housing, Inc.  | 2005    | \$ 100,000 | 25 hh assisted  |              |               |
|  | Saratoga Affordable Housing, Inc.  | 2008    | \$ 150,000 | 25 hh assisted and 48 pp                                |              |               |
|  | Saratoga Affordable Housing, Inc.  | 2009    | \$ 150,000 | in progress   |              |               |
| High Priority  | Housing Rehabilitation<br>Citywide Res. Rehab. Program                               | 2000    | \$ 120,000 | 18 hh completed   | \$ 965,697   | 0.19          |
|  | Shelters of Saratoga - Dublin Rehab.   | 2000    | \$ 10,000  | 20 hh completed - leveraged w/NYSDHCR funds             |              |               |
|  | Citywide Res. Rehab. Program   | 2001    | \$ 52,500  | 10 hh completed   |              |               |
|  | Citywide Res. Rehab. Program   | 2002    | \$ 100,000 | 19 hh completed   |              |               |
|  | Shelters of Saratoga - Dublin Rehab.   | 2002    | \$ 14,000  | 19 hh completed   |              |               |
|  | Citywide Res. Rehab. Program   | 2003    | \$ 100,000 | 10 hh completed   |              |               |
|  | Shelters of Saratoga - Emergency Repair  | 2003    | \$ 43,800  | 19 hh completed   |              |               |
|  | Citywide Res. Rehab. Program   | 2004    | \$ 100,000 | 8 hh completed  |              |               |
|  | Shelters of Saratoga - Dublin Rehab.   | 2004    | \$ 20,000  | 7 hh completed  |              |               |
|  | Citywide Res. Rehab. Revolving Loan Program  | 2005    | \$ 2,618   | 1 hh completed  |              |               |
|  | Citywide Res. Rehab. Program   | 2006    | \$ 128,546 | 8 1/2 hh completed                                      |              |               |
|  | Citywide Res. Rehab. Program   | 2007    | \$ 119,051 | 10 hh assisted, in progress                             |              |               |
|  | Citywide Res. Rehab. Program   | 2008    | \$ 60,000  | in progress   |              |               |
|  | Citywide Res. Rehab. Program   | 2009    | \$ 69,982  | in progress   |              |               |
|  | Shelters of Saratoga - Rehab. Program  | 2009    | \$ 15,200  | 1 hh assisted; in progress                              |              |               |
|  | Rebuilding Together  | 2009    | \$ 10,000  | in progress   |              |               |
| High Priority  | Housing Rehabilitation Loans<br>4 loans provided                                     | ongoing | \$ 26,424  | 4 units assisted  | \$ 26,424    | 0.01          |
| High Priority  | Expand Section 8 Rental Subsidy Program  |         |            | no expansion of vouchers has been requested by SSHA     |              |               |
| HOMELESS & SPECIAL NEEDS POPULATION                  |  |         |            |   |              |               |
| High Priority  | Additional Affordable Housing Opportunities<br>Shelters of Saratoga - 128 Grand Ave. | 2001    | \$ 71,500  | 4 elderly units; completed                              | \$ 1,290,568 | 0.25          |
|  | Continuum of Care - Rental Assistance program  | 2001    | \$ 731,700 | 26 disabled homeless hh completed (5 years)             |              |               |
|  |  | 2007    | \$ 241,272 | 26 disabled homeless hh completed                       |              |               |
|  |  | 2008    | \$ 246,096 | 26 disabled homeless hh currently housed                |              |               |

%

| <u>Category/Priority</u>         | <u>Activity</u>   | <u>Year</u> | <u>Allocation</u> | <u>Accomplishments</u>              | <u>Total Amount</u> | <u>of Total</u> |
|----------------------------------|---|-------------|-------------------|-------------------------------------|---------------------|-----------------|
| High Priority                    | Expanded Emergency Shelter and Transitional Housing for Homeless Youth and Families with Children<br>Mother Andersen Emergency Shelter                | 2004        | \$ 10,000         | 5 pp completed                      | \$ 123,277          | 0.02            |
|                                  |   | 2005        | \$ 25,000         | 14 pp completed                     |                     |                 |
|                                  |   | 2006        | \$ 25,000         | 17 pp completed                     |                     |                 |
|                                  |   | 2007        | \$ 28,000         | 29 pp completed                     |                     |                 |
|                                  |   | 2008        | \$ 15,577         | 18 pp completed                     |                     |                 |
|                                  |   | 2009        | \$ 14,700         | 6 pp; in progress                   |                     |                 |
|                                  |   | 2009        | \$ 5,000          | in progress                         |                     |                 |
| High Priority                    | Shelters of Saratoga - Transitional Housing   |             |                   |                                     |                     |                 |
| High Priority                    | Supportive Services to Assist Homeless Individuals and Families with Children<br>EOC - Bldg. Expansion<br>Center for the Family - Child Advocacy Ctr. | 2001        | \$ 34,000         | 1 facility completed                |                     |                 |
|                                  |   | 2002        | \$ 25,000         | 1 facility completed                |                     |                 |
|                                  |   | 2003        | \$ 8,000          | 1 facility completed                |                     |                 |
|                                  |   | 2006        | \$ 14,185         | 1 facility completed                |                     |                 |
| <b>PUBLIC SERVICE ACTIVITIES</b> |   |             |                   |                                     |                     |                 |
| High Priority                    | Public Service Activities to prevent homelessness & address the needs of the elderly, youth and Special Needs population.<br>HOMELESS                 |             |                   |                                     | \$ 272,500          | 0.05            |
|                                  | Domestic Violence/Center for the Family   | 2000        | \$ 14,000         | 128 pp assisted                     |                     |                 |
|                                  | Domestic Violence Shelter case manager  | 2004        | \$ 13,000         | 140 pp assisted                     |                     |                 |
|                                  |   | 2005        | \$ 16,000         | 125 pp assisted                     |                     |                 |
|                                  |   | 2006        | \$ 16,000         | 105 pp assisted                     |                     |                 |
|                                  |   | 2007        | \$ 15,000         | 99 pp assisted                      |                     |                 |
|                                  |   | 2008        | \$ 15,000         | 103 pp assisted                     |                     |                 |
|                                  |   | 2009        | \$ 15,000         | 65 pp assisted to date; in progress |                     |                 |
|                                  | EOC - Spanish Center  | 2005        | \$ 11,000         | 797 pp assisted                     |                     |                 |
|                                  | EOC - Homeless Bilingual Outreach   | 2007        | \$ 8,500          | 315 pp assisted                     |                     |                 |
|                                  | EOC- Racecourse Housing & Support Program   | 2008        | \$ 12,000         | 184 pp assisted to date             |                     |                 |
|                                  | EOC - Multicultural Services  | 2009        | \$ 5,000          | in progress                         |                     |                 |
|                                  | Mother Susan Anderson Emergency Shelter   | 2008        | \$ 3,000          | 18pp completed                      |                     |                 |
|                                  | Legal Aid - homelessness prevention   | 2001        | \$ 15,000         | 37 hh assisted                      |                     |                 |
|                                  |   | 2002        | \$ 15,000         | 46 hh assisted                      |                     |                 |
|                                  |   | 2003        | \$ 15,000         | 61 hh assisted                      |                     |                 |
|                                  |   | 2004        | \$ 15,000         | 120 pp assisted                     |                     |                 |
|                                  |   | 2005        | \$ 16,000         | 47 hh assisted                      |                     |                 |
|                                  |   | 2006        | \$ 16,000         | 98 pp assisted                      |                     |                 |
|                                  |   | 2007        | \$ 13,000         | 30 hh assisted                      |                     |                 |
|                                  |   | 2008        | \$ 12,000         | 39 hh assisted                      |                     |                 |
|                                  |   | 2009        | \$ 12,000         | 24 hh assisted to date; in progress |                     |                 |

| Category/Priority                 | Activity   | Year | Allocation | Accomplishments  | Total Amount | % of Total |
|-----------------------------------|--|------|------------|--|--------------|------------|
| High Priority                     | YOUTH  |      |            |  | \$ 174,140   | 0.03       |
|                                   | Catholic Charities - Mentoring Program Targeting Youth | 2000 | \$ 26,000  | 66 pp assisted   |              |            |
|                                   |  | 2001 | \$ 20,000  | 40 pp assisted   |              |            |
|                                   |  | 2002 | \$ 20,000  | 45 pp assisted   |              |            |
|                                   |  | 2003 | \$ 20,000  | 68 pp assisted   |              |            |
|                                   |  | 2004 | \$ 15,000  | 84 pp assisted   |              |            |
|                                   |  | 2005 | \$ 6,000   | 110 pp assisted  |              |            |
|                                   |  | 2006 | \$ 9,500   | 114 pp assisted  |              |            |
|                                   |  | 2007 | \$ 12,000  | 140 pp assisted  |              |            |
|                                   |  | 2008 | \$ 8,000   | 139 pp assisted  |              |            |
|                                   |  | 2009 | \$ 10,000  | 153 pp assisted to date; in progress                     |              |            |
|                                   | Police Dept. - Child Safety Seats                      | 2000 | \$ 3,000   | 28 pp assisted   |              |            |
|                                   | Tomorrow's Promise/Dance Alliance                      | 2002 | \$ 1,500   | 26 pp assisted   |              |            |
|                                   |  | 2003 | \$ 2,250   | 114 pp assisted  |              |            |
|                                   |  | 2004 | \$ 2,000   | 183 pp assisted  |              |            |
|                                   |  | 2005 | \$ 3,000   | 177 pp assisted  |              |            |
|                                   |  | 2006 | \$ 3,500   | 40 pp assisted   |              |            |
|                                   |  | 2007 | \$ 1,500   | 54 pp assisted   |              |            |
|                                   | Salvation Army - targeting youth                       | 2002 | \$ 5,000   | Reprogrammed   |              |            |
|                                   | Social Justice Center - targeting youth                | 2003 | \$ 5,890   | Reprogrammed   |              |            |
| Med. Priority                     | ELDERLY  |      |            |  | \$ 53,025    | 0.01       |
|                                   | Senior Citizen Center - targeting elderly              | 2000 | \$ 6,000   | 38 pp assisted   |              |            |
|                                   |  | 2001 | \$ 15,000  | 396 pp assisted  |              |            |
|                                   |  | 2003 | \$ 17,025  | 270 pp assisted  |              |            |
|                                   |  | 2004 | \$ 10,000  | 642 pp assisted  |              |            |
|                                   | Home Made Theater - targeting elderly                  | 2003 | \$ 5,000   | 150 pp assisted  |              |            |
|                                   | SPEICAL NEEDS POPULATION                               |      |            |  | \$ 8,000     | 0.00       |
|                                   | Literacy NENY  | 2009 | \$ 8,000   | 25 pp assisted to date; in progress                      |              |            |
| NON-HOUSING COMMUNITY DEVELOPMENT |  |      |            |  | \$ 570,123   | 0.11       |
| Med. Priority                     | Infrastructure Improvements                            |      |            |  |              |            |
|                                   | Henry St. Area curbs, sidewalk                         | 2000 | \$ 30,785  | 9,291 sq. ft. completed                                  |              |            |
|                                   | Northside Area curbs, sidewalk                         | 2000 | \$ 46,177  | 6,678 sq. ft. completed                                  |              |            |
|                                   | Curb, sidewalk in eligible l/m areas                   | 2001 | \$ 50,000  | 8,217 sq ft. completed                                   |              |            |
|                                   | Jefferson St. Drainage                                 | 2001 | \$ 14,000  | 350 ft. completed  |              |            |
|                                   | Elm St. stormwater                                     | 2001 | \$ 5,051   | 260 ft. completed  |              |            |
|                                   | Beekman St. Redevelopment                              | 2002 | \$ 40,000  | 16 St. lights, sidewalks and curbs completed             |              |            |
|                                   | 128 Grand Ave. infrastructure                          | 2003 | \$ 5,000   | Completed 2006 4 Senior Apartments                       |              |            |
|                                   | Curb, sidewalk in eligible l/m areas                   | 2003 | \$ 78,647  | 8,349 sq.ft. sidewalk, 1140 l.f. curbs to date completed |              |            |
|                                   | Beekman St. Redevelopment                              | 2003 | \$ 59,553  | 990 pp in Census Block                                   |              |            |
|                                   | SSHA - Infrastructure Proj.                            | 2004 | \$ 7,000   | storm drainage/resurfacing completed                     |              |            |
|                                   | DPW - Infrastructure Proj                              | 2004 | \$ 75,000  | 821 pp in Census Block                                   |              |            |
|                                   | DPW - Infrastructure Proj                              | 2005 | \$ 56,910  | 8535 sq ft sidewalks & 788 lin ft. curbs completed       |              |            |

| <u>Category/Priority</u> | <u>Activity</u>                            | <u>Year</u> | <u>Allocation</u> | <u>Accomplishments</u>                             | <u>Total Amount</u> | <u>% of Total</u> |
|--------------------------|--|-------------|-------------------|--|---------------------|-------------------|
|                          | Southside Recreation Field Improvements    | 2005        | \$ 20,000         | 436 pp in Census Block                             |                     |                   |
|                          | DPW - Infrastructure Proj                  | 2007        | \$ 50,000         | 750 ft sidewalk, 155 li. Ft. curbs                 |                     |                   |
|                          | DPW - Vanderbilt Ave. Drainage Proj.       | 2007        | \$ 22,000         | 20' 8" pipe, frame & grate.                        |                     |                   |
|                          | DPW - Infrastructure Proj                  | 2009        | \$ 10,000         | 1360 ft. of sidewalk; in progress                  |                     |                   |
|                          |  |             |                   |  | \$ 330,047          | 0.06              |
| Med. Priority            | Public Facility                            | 2002        | \$ 18,000         | 2 recreational units & sidewalk completed          |                     |                   |
|                          | SSHA - Playground & Walk                   | 2002        | \$ 16,000         | completed  |                     |                   |
|                          | EOC - soup kitchen flooring                | 2004        | \$ 15,000         | completed  |                     |                   |
|                          | EOC - Access Parking Lot                   | 2006        | \$ 168,678        | 17,503 duplicated pp assisted; complete            |                     |                   |
|                          | Senior Center Public Facility Improvements | 2007        | \$ 15,000         | 1 public facility completed, 550 pp assisted       |                     |                   |
|                          | Senior Center Public Facility Improvements | 2009        | \$ 11,500         | in progress  |                     |                   |
|                          | Senior Center Public Facility Improvements | 2009        | \$ 2,328          | in progress  |                     |                   |
|                          | Planned Parenthood                         | 2006        | \$ 25,000         | 1611 pp; complete                                  |                     |                   |
|                          | Franklin Community Center                  | 2006        | \$ 23,541         | 13016 pp; completed                                |                     |                   |
|                          |  | 2007        | \$ 10,000         | 1283 pp; completed                                 |                     |                   |
|                          |  | 2008        | \$ 10,000         | 1512 pp; completed                                 |                     |                   |
|                          |  | 2009        | \$ 15,000         | 778 pp to date; in progress                        |                     |                   |
| Med. Priority            | Economic Development Revolving Loans       | 2002        | \$ 79,500         | completed  | \$ 358,500          | 0.07              |
|                          | ED RLProgram recapitalization              | ongoing     | \$ 279,000        | 24 total FTE positions to be created               |                     |                   |
|                          | 5 Economic Dev. Loans delivered            |             |                   |  |                     |                   |
| Med. Priority            | Planning                                   | 2001        | \$ 10,000         | completed; Upstate NY & National APA awards winner | \$ 10,000           | 0.00              |
|                          | Westside Neighborhood Plan                 |             |                   |  |                     |                   |
| Med. Priority            | Transportation                             |             |                   | no projects to date                                |                     |                   |
| Med. Priority            | Historic Preservation                      | 2007        | \$ 25,000         |  | \$ 47,000           | 0.01              |
|                          | Frederick Allen Lodge #609                 | 2008        | \$ 10,000         |  |                     |                   |
|                          |  | 2009        | \$ 12,000         |  |                     |                   |
| Total                    |  |             |                   |  | \$ 5,166,425        | 1.00              |

ATTACHMENT  
SSCP-3



# CITY OF SARATOGA SPRINGS

## PLANNING & ECONOMIC DEVELOPMENT

CITY HALL - 474 BROADWAY  
SARATOGA SPRINGS, NEW YORK 12866  
TEL: 518-587-3550 FAX: 518-580-9480  
WWW.SARATOGA-SPRINGS.ORG

BRADLEY BIRGE  
ADMINISTRATOR  
JACLYN HAKES, AICP  
PRINCIPAL PLANNER  
SUSAN BARDEN  
SENIOR PLANNER  
CINDY PHILLIPS  
CD COORDINATOR  
DEBORAH WERTHEIM  
SECRETARY

To All Interested Parties:

The City of Saratoga Springs is seeking your input to develop its 2010 Consolidated Plan in conformance with the U.S. Department of Housing and Urban Development requirements and the needs of our City. Your response will influence community development priorities and funding for the next five years.

The City's Consolidated Plan identifies the housing and non-housing community development needs and priorities to assist low- and moderate-income residents and areas of the City (*see attached map and income levels*). It is developed, and periodically updated, to shape housing and community development priorities into multi-year strategies and to provide a unified vision for community development actions.

The Consolidated Plan also serves as a guide for allocating annual federal entitlement funds granted to the City of Saratoga Springs. The statutory purpose of this funding is to ensure decent and affordable housing opportunities, provide a suitable living environment, and expand economic opportunities, principally for low- and moderate-income residents and areas of the City.

We invite you to participate in the development of the 2010 Consolidated Plan and to respond to the attached questionnaire on the housing and non-housing community development needs of the City of Saratoga Springs for the next five years. Feel free to elaborate on these issues by including additional written comment.

Please return your questionnaire by March 10, 2010 to the Saratoga Springs Office of Community Development, City Hall – 474 Broadway, Saratoga Springs, NY 12866, or by fax at 580-9480. A copy of this questionnaire is also available on the Saratoga Springs web site at: [www.saratoga-springs.org](http://www.saratoga-springs.org)

I thank you for your time, insight, and participation in the City of Saratoga Springs consolidated planning process. If you have any questions or would like additional information, please contact me at (518) 587-3550 x2515 or by email at: [bradley.birge@saratoga-springs.org](mailto:bradley.birge@saratoga-springs.org).

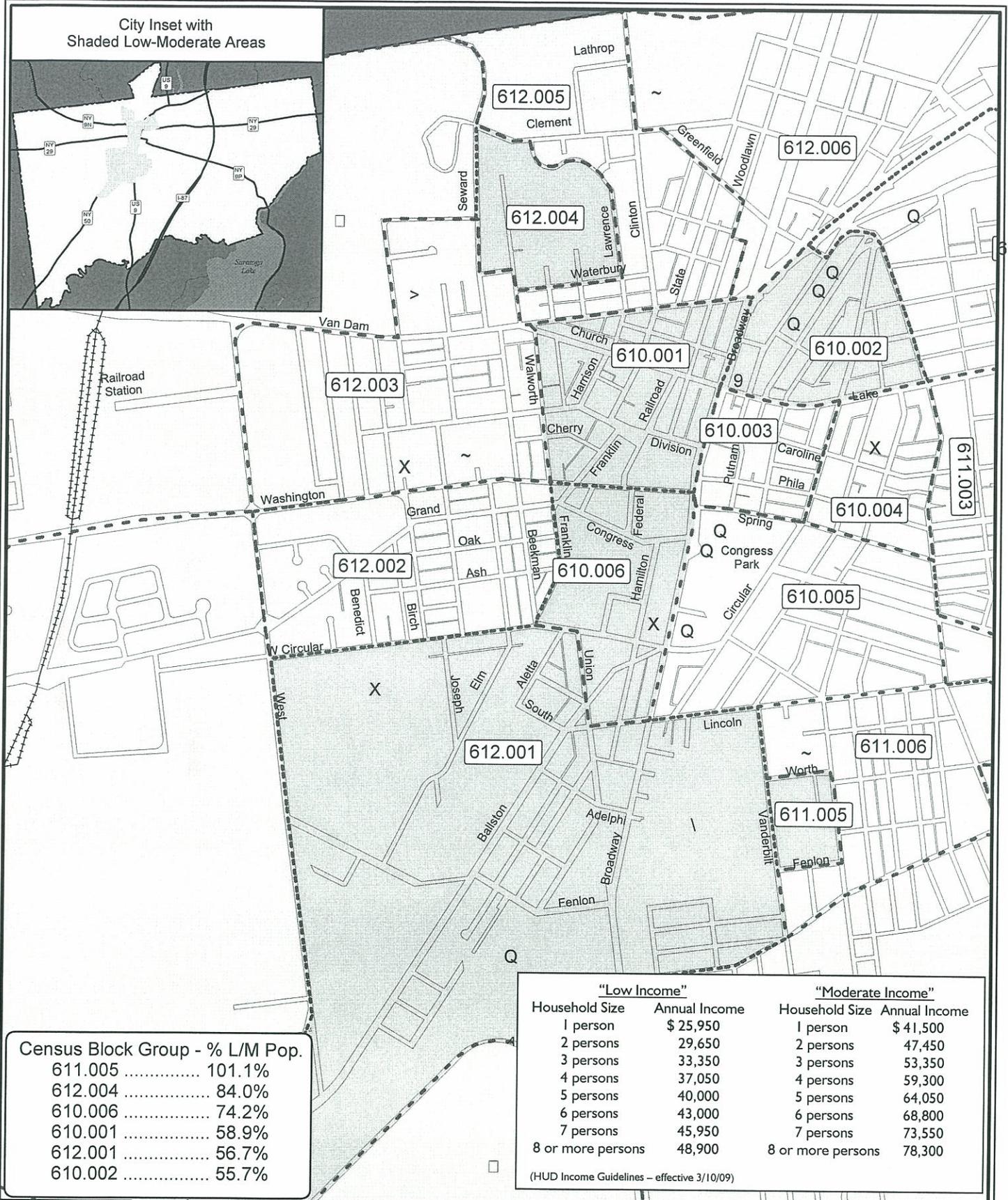
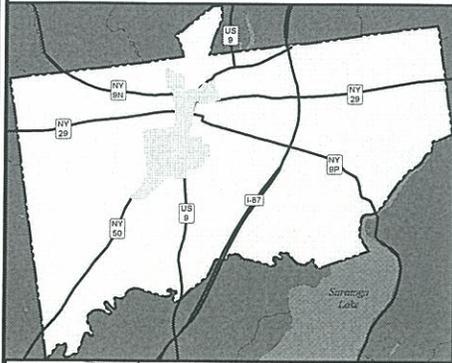
Sincerely yours,

Bradley S. Birge  
Administrator, Office of Planning and Economic Development

Attachments: Questionnaire, Census "low to moderate-income areas" map



City Inset with Shaded Low-Moderate Areas

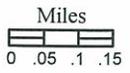


**Census Block Group - % L/M Pop.**

|         |        |
|---------|--------|
| 611.005 | 101.1% |
| 612.004 | 84.0%  |
| 610.006 | 74.2%  |
| 610.001 | 58.9%  |
| 612.001 | 56.7%  |
| 610.002 | 55.7%  |

| "Low Income"      |               | "Moderate Income" |               |
|-------------------|---------------|-------------------|---------------|
| Household Size    | Annual Income | Household Size    | Annual Income |
| 1 person          | \$ 25,950     | 1 person          | \$ 41,500     |
| 2 persons         | 29,650        | 2 persons         | 47,450        |
| 3 persons         | 33,350        | 3 persons         | 53,350        |
| 4 persons         | 37,050        | 4 persons         | 59,300        |
| 5 persons         | 40,000        | 5 persons         | 64,050        |
| 6 persons         | 43,000        | 6 persons         | 68,800        |
| 7 persons         | 45,950        | 7 persons         | 73,550        |
| 8 or more persons | 48,900        | 8 or more persons | 78,300        |

(HUD Income Guidelines - effective 3/10/09)



Low- & Moderate-income Areas - 2000 Census Data  
The City of Saratoga Springs



## 2010 SARATOGA SPRINGS CONSOLIDATED PLAN QUESTIONNAIRE

Please return all written comments by **March 10, 2010** to:

Saratoga Springs Planning & Economic Development, City Hall – 474 Broadway, Saratoga Springs, NY 12866  
 Fax) 580-9480      email) [bradley.birge@saratoga-springs.org](mailto:bradley.birge@saratoga-springs.org)

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Agency/Organization: \_\_\_\_\_

Address: \_\_\_\_\_

Ph: \_\_\_\_\_ Fx: \_\_\_\_\_ Email: \_\_\_\_\_

For all questions:      High Priority = “federal \$ should be used for this purpose”  
                                  Medium Priority = “some federal and other \$ should be used for this purpose”  
                                  Low Priority = “may be beneficial but no federal \$ should be used for this purpose”  
                                  None = “no such need”

**PERMANENT HOUSING NEEDS**

Over the next 5 years, what is the need for additional permanent housing for persons of low- and moderate-income?

|  | High Priority            | Medium Priority          | Low Priority             | None                     |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| New rental unit construction .....                               | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| New homeowner unit construction.....                             | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| New senior/accessible unit construction.....                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Rehabilitation of existing buildings for housing.....            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Homebuyer Assistance (down payment, closing costs, etc.) ...     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Rental Assistance (rent subsidies, security deposits, etc.)..... | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (identify)? _____ .....                                    | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Comments: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**HOMELESS HOUSING FACILITY NEEDS**

Over the next 5 years, what is the need for additional homeless housing facilities (including facilities for victims of domestic violence)?

|  | High Priority            | Medium Priority          | Low Priority             | None                     |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| EMERGENCY SHELTER                      |                          |                          |                          |                          |
| for homeless individuals.....          | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| for homeless families w/children ..... | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

|  | High Priority            | Medium Priority          | Low Priority             | None                     |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| TRANSITIONAL HOUSING                   |                          |                          |                          |                          |
| for homeless individuals.....          | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| for homeless families w/children ..... | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (identify)? _____ .....          | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Comments: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**PUBLIC SERVICE NEEDS**

Over the next 5 years, what is the need for the following public services? To be eligible for federal funding, these services must focus on assisting low- and moderate-income persons.

|   | High Priority            | Medium Priority          | Low Priority             | None                     |
|---|--------------------------|--------------------------|--------------------------|--------------------------|
| Homelessness prevention .....                   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Childcare services.....                         | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Health services (elderly, HIV/AIDS, etc.) ..... | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Employment training .....                       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Recreation programs .....                       | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Senior services & programming.....              | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Public safety .....                             | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Education.....                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Youth services & programming .....              | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Transportation.....                             | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (identify)? _____ .....                   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Comments: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**NON-HOUSING COMMUNITY DEVELOPMENT NEEDS**

Over the next 5 years, what is the need for the following non-housing community development items? To be eligible for federal funding, these items must serve low- and moderate-income persons or areas of the City.

High Priority    Medium Priority    Low Priority    None

**INFRASTRUCTURE**

|  |                          |                          |                          |                          |
|--|--------------------------|--------------------------|--------------------------|--------------------------|
| Sidewalks & accessible ramps.....                            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Curbs & storm water drainage improvements.....               | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| New infrastructure extensions (water, sewer, storm water) .. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Lighting & Streetscapes .....                                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (identify)? .....                                      | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Comments: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

High Priority    Medium Priority    Low Priority    None

**PUBLIC FACILITIES**

|                                      |                          |                          |                          |                          |
|--------------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Neighborhood/community centers ..... | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Youth activity centers.....          | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Senior centers.....                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Park & recreation facilities.....    | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Health care facilities.....          | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (identify)? .....              | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Comments: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

High Priority    Medium Priority    Low Priority    None

**ECONOMIC DEVELOPMENT**

|   |                          |                          |                          |                          |
|---|--------------------------|--------------------------|--------------------------|--------------------------|
| Small business assistance .....                         | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Micro enterprise assistance (5 employees or less).....  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Rehabilitation of commercial/industrial buildings ..... | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Commercial/industrial infrastructure extensions .....   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (identify)? .....                                 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Comments: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

ATTACHMENT  
SSCP-4

Consultation with Public/Private Entities

LEGISLATORS:

U.S. Congressman Scott Murphy  
 N.Y.S. Senator Roy J. McDonald  
 N.Y.S. Senator Hugh T. Farley  
 N.Y.S. Assemblyman Jim Tedisco

NEW YORK STATE

NYS Office for the Aging  
 NYS Department of Environmental Conservation  
 NYS Office of Temporary and Disability Assistance  
 NYS Historic Preservation Division of Parks and Recreation  
 NYS Dept. of Housing and Community Renewal  
 NYS Dept. of Mental Health, Capital District Psychiatric Center  
 NYS Office of Children and Family Services

REGIONAL AUTHORITIES:

Capital District Regional Planning Commission  
 Capital District Transportation Committee  
 Capital District DDS Office  
 Capital District Transportation Authority

SARATOGA CO. BOARD OF SUPERVISORS

Klein, Philip W.  
 Scirocco, Anthony Jr.

COUNTY OF SARATOGA

Saratoga County Administrator  
 Saratoga County Alcohol/ Substance Abuse Council of Saratoga  
 Saratoga County Association for Retarded Citizens  
 Saratoga County Arts Council  
 Saratoga County Chapter American Red Cross  
 Saratoga County Citizens Committee for Mental Health  
 Saratoga County Cooperative Extension  
 Saratoga County Department of Social Services  
 Saratoga County Economic Opportunity Council  
 Saratoga County Maplewood Manor  
 Saratoga County Mental Health  
 Saratoga County NAACP  
 Saratoga County Office for the Aging  
 Saratoga County Options for Independent Living  
 Saratoga County Planning Board  
 Saratoga County Probation  
 Saratoga County Public Health Nursing Service  
 Saratoga County Rural Preservation Company  
 Saratoga County Treatment Court  
 Saratoga County Youth Bureau

ADJACENT UNITS OF LOCAL GOV.

Village of Ballston Spa  
 Town of Greenfield  
 Town of Malta  
 Town of Milton  
 Town of Saratoga  
 Town of Wilton

HOUSING ORGANIZATIONS

Workforce Housing Partnership of Saratoga County  
 Saratoga Springs Housing Authority  
 Wesley Health Care Center, Inc.  
 Raymond Watkin Apartments  
 Home of the Good Sheperd  
 Saratoga West, Belmont Development  
 Woodlawn Commons Senior Living

NEIGHBORHOOD ASSOCIATIONS

Geyser Road Neighborhood Association  
 Geyser Road Neighborhood Association  
 Interlaken Home Owners Association  
 Jefferson Street Neighborhood Association  
 Maple Ave/Marion Ave. Maple Del Neighborhood Association

|   |  |
|---|--|
|   | Northern Civic Association                                   |
|   | Saratoga Village Home Owners Association                     |
|   | South Broadway Neighborhood Association                      |
|   | Southwest Neighborhood Association                           |
|   | Southwest Neighborhood Association                           |
|   | Southwest Neighborhood Association                           |
|   | Stonequist Resident Association                              |
|   | United States Navy Family Service Center                     |
|   | Westside Neighborhood Association                            |
|   | Westside Neighborhood Association                            |
| <b>SARATOGA SPRINGS CITY COUNCIL</b>                      |  |
|   | Michael Lenz, Mayor  |
|   | Recreation Department  |
|   | Urban Heritage Area Visitors Center                          |
|   | Matt McCabe, Commissioner of Finance                         |
|   | Stephen E. Towne, Commissioner of Accounts                   |
|   | Thomas J. Curley, Commissioner of Public Safety              |
|   | Thomas McTygue, Commissioner of Public Works                 |
| <b>SARATOGA SPRINGS PLANNING &amp; DEVELOPMENT BOARDS</b> |  |
|   | Planning Board Chair: Robert Bristol                         |
|   | Zoning Board of Appeals Chair: Christian Mathiesen           |
|   | Design Review Commission Chair: Patrick Kane                 |
| <b>COMMUNITY ORGANIZATIONS</b>                            |  |
|   | Adirondack Tobacco Free Network                              |
|   | AIDS Council of Northeastern, NY                             |
|   | Al-Anon, Four Winds  |
|   | ALPHA Industries   |
|   | Alternatives to Mankind                                      |
|   | American Red Cross, Adirondack Saratoga Chapter              |
|   | Ballston Spa Community Center                                |
|   | BERK/SARA Prevention Program                                 |
|   | Big Brother/ Big Sister                                      |
|   | Birthright   |
|   | Capital District DDSO  |
|   | CAPTAIN, Youth and Family Services                           |
|   | Casa of Saratoga County, Inc.                                |
|   | Catholic Charities of Saratoga                               |
|   | Catholic Charities of Warren, Washington & Saratoga Counties |
|   | Citizens Committee for Mental Health                         |
|   | Cornell Cooperative Extension                                |
|   | Domestic Violence/ Rape Crisis Services                      |
|   | Family Matters   |
|   | Family Meds  |
|   | Four Winds Hospital  |
|   | Friendship House   |
|   | Franklin Community Center                                    |
|   | Glens Falls Association for the Blind                        |
|   | Greater Adirondack Home Aides                                |
|   | Historical Society of Saratoga Springs                       |
|   | Home Made Theater, Inc.                                      |
|   | Hospice of Saratoga  |
|   | Kidsnet, Betsy Hamilton                                      |
|   | Legal Aid Society of NENY                                    |
|   | Literacy Volunteers of America                               |
|   | Living Resources Crop.                                       |
|   | Mechanicville Area Community Center                          |
|   | Mediation Services of Saratoga County                        |
|   | Mother Susan Anderson Shelter                                |
|   | National Multiple Sclerosis Society                          |
|   | Nolan House  |
|   | Northeast Career Planning                                    |
|   | Open Space Project   |
|   | Planned Parenthood   |
|   | The Prevention Council                                       |
|   | The Salvation Army   |
|   | Tomorrow's Promise - Dance Alliance                          |
|   | Saratoga ARC   |
|   | Saratoga Care Foundation                                     |

|  |
|--|
| Saratoga Center for the Family                     |
| Saratoga Hospital                                  |
| Saratoga Mediation Services                        |
| Saratoga Mentoring Program                         |
| Saratoga Senior Citizens Center                    |
| Saratoga Springs Preservation Foundation           |
| Saratoga Springs Public Library                    |
| Saratoga Springs Universal Preservation Hall       |
| Senior Solutions of Saratoga                       |
| Shelters of Saratoga                               |
| Social Justice Center, Inc.                        |
| St. Colman's Home                                  |
| Stillwater Community Center                        |
| Transitional Services Association, Inc.            |
| United Way of Northeastern NY                      |
| Unlimited Potential                                |
| Wesley Health Care Center                          |
| WIC  |
| YMCA   |
| <b>FAITH-BASED ORGANIZATIONS</b>                   |
| Assemply of God Church                             |
| Bethesda Episcopal Church                          |
| Centenary Free Methodist Church                    |
| Church of Jesus Christ Latter Day Saints           |
| Church of the Nazarene                             |
| Congregation Shaara T'fille                        |
| First Free Methodist Church                        |
| Lighthouse Bible Church                            |
| Mt. Olive Baptist Church                           |
| Presbyterian New England Congregational UCC Church |
| St. Clements RC Church                             |
| St. Paul's Lutheran Church                         |
| St. Peter's RC Church                              |
| Saratoga Abundany Life Church                      |
| Seventh Day Adventist                              |
| Soul Saving Station for Every Nation               |
| Unitarian Univeralist Congregation                 |
| United Methodist Church of Saratoga                |
| Temple Sinai                                       |
| Universal Baptist Church                           |
| <b>COLLEGES</b>                                    |
| Adirondack Community College                       |
| Empire State College                               |
| Skidmore College                                   |
| <b>SCHOOL DISTRICT</b>                             |
| Superintendent, Saratoga Springs School Dist.      |
| St. Clements Regional Catholic School              |
| Saratoga Central Catholic High School              |
| Saratoga Independent School                        |
| Waldorf School of Saratoga (1grade-8grade)         |
| <b>BANKS</b>                                       |
| Adirondack Trust Co.                               |
| City National Bank                                 |
| First National Bank of Scotia                      |
| Saratoga National Bank and Trust Co.               |
| <b>BUILDERS &amp; DEVELOPERS</b>                   |
| Bonacio Construction, Inc.                         |
| Boghosian Brothers, Inc.                           |
| Thomas J. Farone & Son, Inc.                       |
| W.J. Grande & Son, Inc.                            |
| Robert Israel, Franklin Square Associates          |
| Metzger & Sons                                     |
| William McNeary III&IV, III-IV Partnership         |
| Merlin Development                                 |
| Jeffory Myers, Sheltertherm                        |
| Bourne Rigano                                      |
| R.J. Taylor Builders, Inc.                         |
| Tom Roohan   |

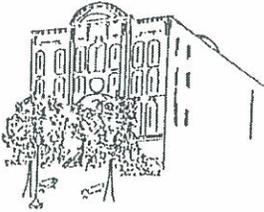
| REALTORS        |   |
|-----------------|---|
|                 | Jeffrey E. Brown Realty                 |
|                 | Carr Realty                             |
|                 | Coldwell Banker Prime Properties        |
|                 | Kelleher Realty                         |
|                 | Maple Avenue Realty                     |
|                 | McNeary Realty, Inc.                    |
|                 | William J. Moore Realty                 |
|                 | Pfeil & Company, Inc.                   |
|                 | Prudential Manor Homes Realtors         |
|                 | Realty USA                              |
|                 | Re/Max Unlimited Realty                 |
|                 | Roohan Realty                           |
|                 | Saratoga County Board of Realtors, Inc. |
| BUSINESS GROUPS |   |
|                 | Downtown Business Association           |
|                 | Quad Graphics                           |
|                 | Saratoga Economic Development Crop.     |
|                 | Saratoga Convention & Tourism Bureau    |
|                 | Saratoga County Chamber of Commerce     |
|                 | Target - Northeast Regional             |
| NEWSPAPERS      |   |
|                 | The Saratogian                          |
|                 | The Daily Gazette                       |
|                 | Times Union                             |
|                 |   |

ATTACHMENT  
SSCP-5

SARATOGA-NORTH COUNTRY  
HOMELESS ALLIANCE

| <u>First Name</u> | <u>Last Name</u> | <u>Organization</u>                 | <u>E-Mail</u>  | <u>Phone</u> | <u>Fax</u> |
|-------------------|------------------|-------------------------------------|--|--------------|------------|
| Tom               | Bendon           | St. Peter's Addiction Recovery      | <a href="mailto:tdendon@stpetershealthcare.org">tdendon@stpetershealthcare.org</a>           | 885-6884     | 885-0077   |
| Bradley           | Birge            | Community Development               | <a href="mailto:bradley.birge@saratoga-springs.org">bradley.birge@saratoga-springs.org</a>   | 587-3550     | 580-9480   |
| Tara              | Brennan          | VA                                  | <a href="mailto:tara.brennan@va.gov">tara.brennan@va.gov</a>                                 |              |            |
| Angelea           | Bronzene         | Transitional Services               | <a href="mailto:abronzene@hotmail.com">abronzene@hotmail.com</a>                             | 583-3640     |            |
| JoAnn             | Buchas           | United Way                          | <a href="mailto:jbuchas@nycap.rr.com">jbuchas@nycap.rr.com</a>                               |              |            |
| Cathy             | Burch            | Saratoga Care                       | <a href="mailto:cburch@saratogaCARE.org">cburch@saratogaCARE.org</a>                         |              |            |
| Rev. Arnold       | Byrd             | Mother Anderson Emergency Shelter   | <a href="mailto:AJBSAB@aol.com">AJBSAB@aol.com</a>   | 584-3122     |            |
| Sr. Charla        | Commins          | Catholic Charities                  | <a href="mailto:ccommins@spa.net">ccommins@spa.net</a>                                       | 587-5000     | 587-3127   |
| Kari              | Cushing          | Franklin Community Center           | <a href="mailto:kari@franklincommunitycenter.org">kari@franklincommunitycenter.org</a>       | 587-9826     | 587-5293   |
| Robin             | Deierlein        | Saratoga County RPC                 | <a href="mailto:rpcsect8@nycap.rr.com">rpcsect8@nycap.rr.com</a>                             | 885-0091     | 885-0998   |
| Rebecca           | Dixon            | Saratoga County Treatment Court     | <a href="mailto:rldixone@courts.state.ny.us">rldixone@courts.state.ny.us</a>                 | 884-4781     | 884-4782   |
| Matthew           | Durham           | Transitional Services               | <a href="mailto:mdurham15@yahoo.com">mdurham15@yahoo.com</a>                                 | 587-6193     | 587-8703   |
| Richard           | Ferguson         | Saratoga National Bank              | <a href="mailto:fergstar@spa.net">fergstar@spa.net</a>                                       |              |            |
| Maggie            | Fronk            | Domestic Violence/Rape Crisis       | <a href="mailto:dvr@crisny.org">dvr@crisny.org</a>   | 583-0280     | 583-2215   |
| William           | Graul            | Saratog County Mental Health        | <a href="mailto:billeg@aol.com">billeg@aol.com</a>   | 584-9030     | 583-3416   |
| Fr. Dominic       | Ingemie          | St. Peter's Church                  | <a href="mailto:dingemie@nycap.rr.com">dingemie@nycap.rr.com</a>                             |              |            |
| Lisa              | Irizarry         | NYS Div. of Housing                 | <a href="mailto:lirizarry@dhcr.state.ny.us">lirizarry@dhcr.state.ny.us</a>                   | 473-2080     | 486-3410   |
| Peter             | Lacy             | Friendship House                    | <a href="mailto:placy@saratogacountyny.gov">placy@saratogacountyny.gov</a>                   | 587-7190     | 587-8255   |
| Courtney          | Lamport          | Ballston Spa School District        | <a href="mailto:clamport@bscsd.org">clamport@bscsd.org</a>                                   |              |            |
| Hans              | Lehr             | Saratoga Co. Community Services Bd. |  | 584-9030     |            |
| Dawn              | Lincoln          | SAIL                                |  | 584-8202     | 584-1195   |
| A.C. Budd         | Mazurek          | Rural Preservation Company          | <a href="mailto:rpcdirector@nycap.rr.com">rpcdirector@nycap.rr.com</a>                       | 885-0091     | 885-0998   |
| Lillian           | McCarthy         | Saratoga EOC                        | <a href="mailto:l.mccarthy@saratogaeoc.org">l.mccarthy@saratogaeoc.org</a>                   | 587-3158     | 580-9283   |
| John              | Menzer           | Transitional Services               | <a href="mailto:jmenzer@tsamail.org">jmenzer@tsamail.org</a>                                 | 587-6193     | 587-8703   |
| Julie             | O'Toole          | Saratoga School Dist.               | <a href="mailto:j.o'toole@saratogaschools.org">j.o'toole@saratogaschools.org</a>             | 693-1439     | 583-4490   |
| Donna             | Packard-Mahoney  | Council Comm. Services              | <a href="mailto:dmahoney@ccsnys.org">dmahoney@ccsnys.org</a>                                 | 434-9194     | 434-0392   |
| John              | Penzer           | Shelters of Saratoga                | <a href="mailto:sosed@nycap.rr.com">sosed@nycap.rr.com</a>                                   | 581-1097     | 581-8735   |
| Cindy             | Phillips         | Community Development               | <a href="mailto:cindy.phillips@saratoga-springs.org">cindy.phillips@saratoga-springs.org</a> | 587-3550     | 580-9480   |
| Megan             | Quillman         | Mechanicville Area Comm. Ser.       | <a href="mailto:mquillnan@mechanicvilleacsc.org">mquillnan@mechanicvilleacsc.org</a>         | 664-8322     | 664-9457   |
| Irene             | Safford          | Domestic Violence/Rape Crisis       | <a href="mailto:esafford@crisny.org">esafford@crisny.org</a>                                 | 583-0280     | 583-2215   |
| Katie             | Sicko            | SS Housing Authority                | <a href="mailto:ksicko@saratogaspringspha.org">ksicko@saratogaspringspha.org</a>             | 584-6600     | 583-3006   |
| Edward            | Spychalski       | SS Housing Authority                | <a href="mailto:espychalski@saratogaspringspha.org">espychalski@saratogaspringspha.org</a>   | 584-6600     | 583-3006   |
| Lauren            | Wainwright       | Legal Aid Society                   | <a href="mailto:lwainwright@lasnny.org">lwainwright@lasnny.org</a>                           | 587-5188     | 587-0959   |
| Laura             | Weil             | Alliance Coordinator                | <a href="mailto:lauraweil@yahoo.com">lauraweil@yahoo.com</a>                                 |              |            |
| Melissa           | Williams         | Sara. Co. Citizens Comm for MH      | <a href="http://unlimitedpotentialonline.com">unlimitedpotentialonline.com</a>               | 587-2851     | 587-4367   |
| Windly            | Wyczawski        | Shelters of Saratoga                | <a href="mailto:sosdevelopment@nycap.rr.com">sosdevelopment@nycap.rr.com</a>                 | 581-1097     | 581-8735   |
| Miriam            | Davis-Doern      | BOCES                               | <a href="mailto:mdavisdoern@WSWHEBOCES.org">mdavisdoern@WSWHEBOCES.org</a>                   | 746-3640     |            |

ATTACHMENT  
SSCP-6



# CITY OF SARATOGA SPRINGS

## OFFICE OF COMMUNITY DEVELOPMENT

CITY HALL - 474 BROADWAY  
SARATOGA SPRINGS, NEW YORK 12866-2296  
TEL: 518-587-3550 X.575 FAX: 518-580-9480  
[HTTP://WWW.CD.SARATOGA-SPRINGS.ORG](http://www.cd.saratoga-springs.org)



### PRESS RELEASE

## THE DRAFT 2010 CONSOLIDATED PLAN FOR THE CITY OF SARATOGA SPRINGS IS NOW AVAILABLE FOR REVEVIEW

The 2010 Consolidated Plan identifies the City's housing and non-housing community development priorities and establishes a coordinated plan for federal and non-federal investment to achieve the City's community development objectives for the period of July 2010 to June 2015. The 2010 Consolidated Plan contains the four following elements:

#### CITIZEN PARTICIPATION PLAN

This establishes the policies and procedures to encourage public awareness and participation in the development, implementation, and ongoing assessment of the Community Development Block Grant Entitlement Program.

#### ASSESSMENT OF EXISTING CONDITIONS

This provides a review of existing conditions influencing the provision of affordable housing and supportive services to special needs populations and residents of low and moderate income.

#### STRATEGIC PLAN

This identifies the City's housing and non-housing community development needs and funding priorities for the 5-year period.

#### ANNUAL ACTION PLAN

The "Action Plan" is the annual slate of Entitlement funded activities designed to achieve the objectives established in the Strategic Plan.

The draft 2010 Consolidated Plan is available for review at the Saratoga Springs Public Library reference desk, the offices of the Saratoga Springs Housing Authority, the Office of Community Development, room 10, City Hall, and on the City's Website at: <http://saratoga-springs.org>.

A Public Hearing to receive comment on this Plan is scheduled before the City Council meeting on 20 April 2010; written comment may be submitted to the Office of Community Development until the close of business on 30 April 2010. After approval by the City Council, the 2010 Consolidated Plan will be submitted to the U.S. Department of Urban Development.

For additional information, please contact the Office of Community Development at (518) 587-3550 ext. 2575.

ATTACHMENT  
SSCP-7

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Citizen Participation Plan  
for the  
Community Development Block Grant Program

City of Saratoga Springs, New York

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Published for review: April 1, 2010 – April 30, 2010  
Adopted by City Council: May 4, 2010

## Public Participation

The Citizen Participation Plan is established to encourage broad public participation in the development, implementation, and ongoing assessment of the City's Community Development Block Grant Entitlement Program including:

- Development of the "Consolidated Plan" identifying housing and non-housing community development priority needs and the multi-year strategic plan to address these needs
- Development of the annual Action Plan of Entitlement-funded activities
- "Substantial" amendment to approved Consolidated and Action Plans
- Review and assessment of the annual performance report

## Substantial Amendment

A "substantial amendment" to the Consolidated Plan or annual Action Plans shall be defined as:

- Carrying out an activity not identified as a priority in the approved Consolidated Plan
- Carrying out an activity not approved in an annual Action Plan
- A substantial change in the purpose of an activity approved in an annual Action Plan
- A substantial change in the scope of an activity approved in an annual Action Plan
- A change in the use of Entitlement funds (exceeding \$30,000) from one approved activity to another

## Focused Outreach

Since the purpose of the City's community development program is to increase the availability of decent, affordable housing, enhance the living environment, and increase economic opportunity for its residents of low and moderate income, the City especially encourages input and participation from:

- Residents of predominantly low- and moderate-income areas (see attached map) or areas of significant Entitlement investment
- Residents of public and assisted housing including recipients of tenant-based assistance and the public housing authority
- Minority, elderly, and disabled residents

## Citizen Advisory Committee

The City has established a Citizen Advisory Committee to promote broad public participation and assist in the development, implementation, and evaluation of the community development program. This Committee shall have the following characteristics:

- The Committee shall serve in an advisory capacity to the City Council and Office of Community Development
- The Committee shall have no more than 12 members to be appointed by the Mayor in consultation with the City Council. The Chair shall be designated by the Mayor.
- The Committee shall broadly represent the diversity of the City and include, to the extent possible, persons of low and moderate income, residents of public and assisted housing, residents of areas of Entitlement investment, members of minority groups, the elderly, the disabled, the business community, and civic groups that are concerned with the community development program
- Four Committee members shall be appointed at a time on an annual rotating basis to serve 3-year terms commencing at the start of the federal program year (currently July 1)
- Members not attending 60% of scheduled meetings in a program year may be replaced by the Mayor

## CDBG Guidebook

To assist in clarifying the Community Development Block Grant program and provide a reader-friendly summary of the City's funding priorities and application process, the City has developed "A Guide to the Community Development Block Grant (CDBG) Entitlement Program." This document is available from the City Office of Planning and Economic Development, at the reference desk of the Saratoga Springs Public Library – 49 Henry Street, at the offices of the Saratoga Springs Housing Authority - 1 South Federal Street, and also on the Community Development page of the City's web site: [www.saratoga-springs.org](http://www.saratoga-springs.org).

## Technical Assistance and Efforts to Broaden Public Participation

Technical assistance shall be provided, within the limits of available staff and resources, to the citizen advisory committee and groups representative of low- and moderate-income persons that request such assistance in developing proposals for funding assistance under any of the programs covered by the Consolidated Plan.

Individual meetings with Community Development staff are available for those citizens and groups who are unable to attend the scheduled public meetings or who wish a more in-depth understanding of the CDBG process. Community Development staff also consult with a variety of other public and private agencies on an ongoing basis concerning housing, human service, economic development and other community needs including: Saratoga Springs Housing Authority, Saratoga Neighborhood Development Company, Shelters of Saratoga, Saratoga County Office for the Aging, Saratoga Homeless Alliance, Saratoga Economic Development Corporation, various neighborhood associations, and the range of agencies within the Crises Action Network.

## Document Review

The City may adopt a Consolidated Plan, annual Action Plan, or substantial amendment only after residents, public agencies, and other interested parties, including those most affected, are provided with the opportunity to review and submit comment on the following:

- Description of activities to be undertaken
- Amount of expected assistance (grant funds and program income)
- Estimated amount expected to benefit residents of low and moderate income
- Plan to minimize displacement of persons and to assist any persons displaced

The Consolidated Plan, annual Action Plans, substantial amendments to these Plans, and annual performance reports are available for review to all interested parties at the following locations:

- Office of Community Development, City Hall, 474 Broadway, Saratoga Springs, NY  
(518) 587-3550
- Reference desk of the Saratoga Springs Public Library - 49 Henry Street, Saratoga Springs, NY  
(518) 584-7860
- Saratoga Springs Housing Authority, 1 South Federal Street, Saratoga Springs, NY  
(518) 584-6600
- Saratoga Springs Community Development web site: <http://www.saratoga-springs.org/cd>

A reasonable number of these documents will also be made available at no charge and upon request to interested parties and in a form accessible to persons with disabilities including large-format and digital editions.

### Publication

The City will publish a notice of the proposed Consolidated Plan, annual Action Plans, substantial amendments to the Plans, and performance reports in a paper of local circulation to provide interested parties the opportunity to review and submit comments on these documents. This notice may take the form of a display ad and will describe the contents and purpose of the proposed Plans and identify the locations where documents may be examined.

The City will publish at least seven (7) days before any formal Public Hearing a notice in The Saratogian indicating the date, time, place, and procedures of the Public Hearing with sufficient information about the subject of the hearing to permit informed comment.

In addition, all public meetings relating to proposed Consolidated Plan, annual Action Plans, substantial amendments to the Plans, and performance reports will be noticed on the City's web site: [www.saratoga-springs.org](http://www.saratoga-springs.org).

### Outreach to Minority and Disabled

Special arrangements to accommodate non-English speaking persons or persons with visual or hearing impairments will be made upon request. A magnification reader is available on the second floor of the Saratoga Springs Public Library, 49 Henry Street. Spanish/English translation assistance is available through the City's Office of Community Development. Additional language assistance may be available through The International Center of the Capital Region, Skidmore College, Empire State College, or similar resources.

### Public Meetings

At least one formal Public Hearing will be held before a proposed Consolidated Plan, annual action plan or substantial amendment is approved by the City Council. In addition, the Community Development Citizen Advisory Committee will hold at least two public meetings each program year to receive public input and to respond to proposals and questions. Together, these public meetings are intended to encourage public awareness and participation in addressing housing and community development needs, developing coordinated and sound activity proposals for each annual Action Plan, and in general review of program performance.

### Accessibility

All public meetings of the Committee shall be conducted in an open manner with freedom of access for all interested persons. Public meetings shall be held in facilities accessible to the mobility-impaired, with accommodation for persons with visual and hearing disabilities available upon request, and in locations that promote attendance by residents of low and moderate income, residents of low and moderate income areas, and areas of significant Entitlement investment. In general, the meetings shall be held at times outside of the traditional workday to avoid conflict with the traditional 9 to 5 work week. The needs of non-English speaking residents will be met, as needed, through the assistance of an interpreter from an area college or The International Center of the Capital Region.

### Public Comment

The Office of Community Development shall receive written comment from interested parties on proposed Consolidated and Action Plans, substantial amendments to these Plans, and Performance Reports before these documents are submitted to the U.S. Department of Housing and Urban Development. The City shall consider these written and oral Public Hearing comments and attach a summary of these comments in its final document.

The public comment period for proposed Consolidated Plan, annual Action Plans, and substantial amendments to these Plans shall be a minimum of 30 days. The public comment period for performance reports shall be a minimum of 15 days.

#### Anti-displacement

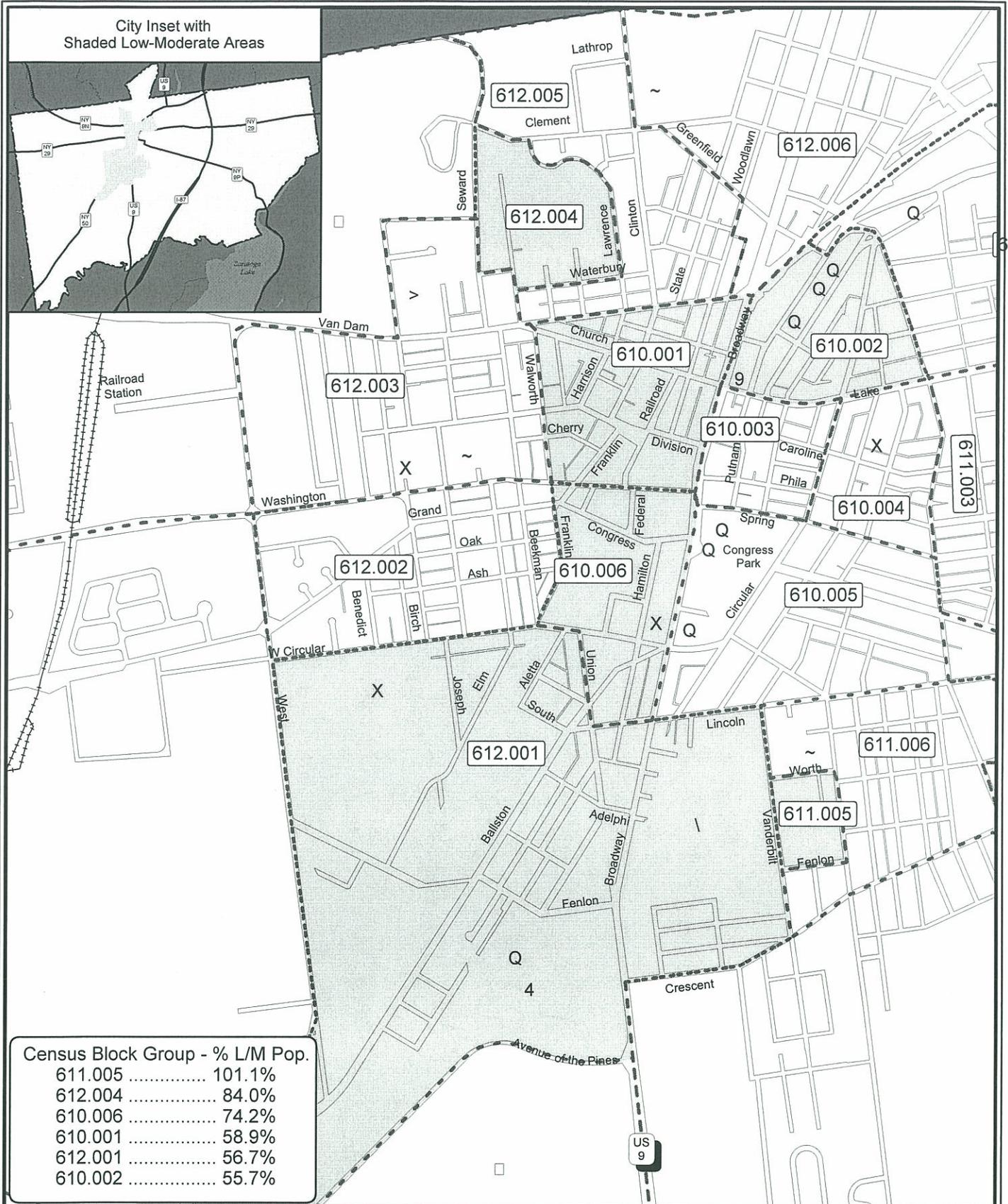
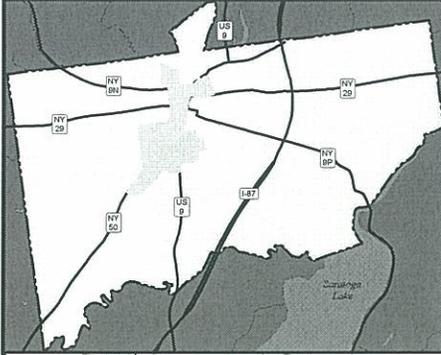
The City of Saratoga Springs will administer its Community Development Block Grant program in full compliance with federal anti-displacement strategies. The city will undertake a range of steps to minimize displacement of residents including the following:

- The City of Saratoga Springs will not include activities that will result in residential displacement under its Community Development Block Grant programs unless the City determines that the overall public benefit of such activities is sufficient to allow their implementation
- Where such activities which will produce sufficient public benefit to allow implementation are proposed, the City will consider all appropriate alternative sites prior to approving the activities and will give preference, all other conditions being equal, to those sites resulting in the least displacement of residential households.
- The City of Saratoga Springs will provide location assistance, as described in 24 CFR 570.606(b)(2), to each household displaced by demolition of housing or by the conversion of a low/moderate income to another use as a direct result of assisted activities. It is understood that any person may elect assistance under the Uniform Relocation Assistance Act in lieu of the assistance described in 24 CFR 570.606(b)(1).

#### Complaint Procedures

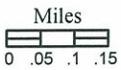
The City of Saratoga Springs assures that it will provide for a timely, substantive written response to every written citizen complaint within fifteen working days, where practicable. In the event that a concern is of a more complex nature, the Office of Community Development shall respond within 15 days with an estimation of the time required to adequately address such concern. All citizen complaints regarding the Consolidated Plan, amendments, and the performance reports shall be addressed to the Saratoga Springs Office of Community Development, City Hall, 474 Broadway, Saratoga Springs, New York 12866.

City Inset with Shaded Low-Moderate Areas



Census Block Group - % L/M Pop.

|         |        |
|---------|--------|
| 611.005 | 101.1% |
| 612.004 | 84.0%  |
| 610.006 | 74.2%  |
| 610.001 | 58.9%  |
| 612.001 | 56.7%  |
| 610.002 | 55.7%  |



Low- & Moderate-income Areas - 2000 Census Data  
The City of Saratoga Springs



# CERTIFICATIONS



# CPMP Non-State Grantee Certifications

**Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.**

- This certification does not apply.  
 This certification is applicable.

## NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
  - a. The dangers of drug abuse in the workplace;
  - b. The grantee's policy of maintaining a drug-free workplace;
  - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
  - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
  - a. Abide by the terms of the statement; and
  - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted –
  - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



May 10, 2010

Signature/Authorized Official

Date

Scott T. Johnson

Name

Mayor of Saratoga Springs

Title

City Hall - 474 Broadway

Address

Saratoga Springs, NY 12866

City/State/Zip

518-587-3550

Telephone Number

- |  |
|--|
| <input type="checkbox"/> <b>This certification does not apply.</b>           |
| <input checked="" type="checkbox"/> <b>This certification is applicable.</b> |

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation --** It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan --** Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan --** It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds --** It has complied with the following criteria:

11. Maximum Feasible Priority - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
12. Overall Benefit - The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2\_\_\_, 2\_\_\_, 2\_\_\_, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
13. Special Assessments - It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force --** It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

**Compliance with Laws** -- It will comply with applicable laws.



May 10, 2010

Signature/Authorized Official

Date

Scott T. Johnson

Name

Mayor of Saratoga Springs

Title

City Hall - 474 Broadway

Address

Saratoga Springs, NY 12866

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518-587-3550

Telephone Number

- This certification does not apply.  
 This certification is applicable.

**APPENDIX TO CERTIFICATIONS**

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

**Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

| Place Name                 | Street       | City             | County   | State | Zip   |
|----------------------------|--------------|------------------|----------|-------|-------|
| Saratoga Springs City Hall | 474 Broadway | Saratoga Springs | Saratoga | NY    | 12866 |
|                            |              |                  |          |       |       |
|                            |              |                  |          |       |       |
|                            |              |                  |          |       |       |
|                            |              |                  |          |       |       |
|                            |              |                  |          |       |       |
|                            |              |                  |          |       |       |

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any

controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- All "direct charge" employees;
- all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- a. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

1. Analysis of Impediments to Fair Housing
2. Citizen Participation Plan
3. Anti-displacement and Relocation Plan



May 10, 2010

Signature/Authorized Official

Date

Scott T. Johnson

Name

Mayor of Saratoga Springs

Title

City Hall - 474 Broadway

Address

Saratoga Springs, NY 12866

City/State/Zip

518-587-3550

Telephone Number

# 2010 ANNUAL ACTION PLAN



# SF 424

The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the 1CPMP.xls document of the CPMP tool.

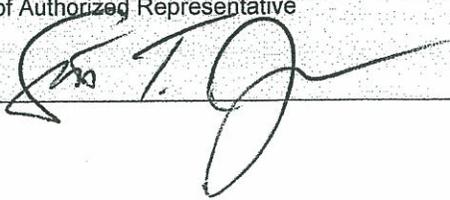
## SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

|  |                                     |   |   |
|--|-------------------------------------|---|---|
| 05/10/10   | 14-6002423                          | <b>Type of Submission</b>                               |   |
| Date Received by state   | State Identifier                    | <b>Application</b>                                      | <b>Pre-application</b>                    |
| Date Received by HUD   | Federal Identifier                  | <input type="checkbox"/> Construction                   | <input type="checkbox"/> Construction     |
|  |                                     | <input checked="" type="checkbox"/> Non Construction    | <input type="checkbox"/> Non Construction |
| <b>Applicant Information</b>   |                                     |   |   |
| Jurisdiction   |                                     | NY365800 SARATOGA SPRINGS                               |   |
| City Hall - 474 Broadway   |                                     | 190013545   |   |
|  |                                     | Office of Community Development                         |   |
| Saratoga Springs   | New York                            | 0   |   |
| 12866  | USA                                 | 0   |   |
| <b>Employer Identification Number (EIN):</b>   |                                     | Saratoga County   |   |
| 146002423  |                                     | 7/1   |   |
| <b>Applicant Type:</b>   |                                     | <b>Specify Other Type if necessary:</b>                 |   |
| Local Government: City   |                                     | Specify Other Type                                      |   |
| <b>Program Funding</b>   |                                     | <b>U.S. Department of Housing and Urban Development</b> |   |
| Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding |                                     |   |   |
| <b>Community Development Block Grant</b>   |                                     | 14.218 Entitlement Grant                                |   |
| 2010 PY Consolidated and Action Plans  |                                     | City of Saratoga Springs                                |   |
| \$400,116  | \$Additional HUD Grant(s) Leveraged | Describe  |   |
| \$Additional Federal Funds Leveraged   |                                     | \$Additional State Funds Leveraged                      |   |
| \$280,848  | \$Grantee Funds Leveraged           |   |   |
| \$56,032   | Other (Describe)                    |   |   |
| \$336,880  |                                     |   |   |
| <b>Home Investment Partnerships Program</b>  |                                     | 14.239 HOME   |   |
| HOME Project Titles  |                                     | Description of Areas Affected by HOME Project(s)        |   |
| \$HOME Grant Amount  | \$Additional HUD Grant(s) Leveraged | Describe  |   |
| \$Additional Federal Funds Leveraged   |                                     | \$Additional State Funds Leveraged                      |   |
| \$Locally Leveraged Funds  |                                     | \$Grantee Funds Leveraged                               |   |

|   |  |  |  |
|---|--|--|--|
| \$Anticipated Program Income  |  | Other (Describe)   |  |
| Total Funds Leveraged for HOME-based Project(s)   |  |  |  |
| <b>Housing Opportunities for People with AIDS</b>   |  | 14.241 HOPWA   |  |
| HOPWA Project Titles  |  | Description of Areas Affected by HOPWA Project(s)                        |  |
| \$HOPWA Grant Amount  | \$Additional HUD Grant(s) Leveraged    | Describe   |  |
| \$Additional Federal Funds Leveraged  |  | \$Additional State Funds Leveraged                                       |  |
| \$Locally Leveraged Funds   |  | \$Grantee Funds Leveraged  |  |
| \$Anticipated Program Income  |  | Other (Describe)   |  |
| Total Funds Leveraged for HOPWA-based Project(s)  |  |  |  |
| <b>Emergency Shelter Grants Program</b>   |  | 14.231 ESG   |  |
| ESG Project Titles  |  | Description of Areas Affected by ESG Project(s)                          |  |
| \$ESG Grant Amount  | \$Additional HUD Grant(s) Leveraged    | Describe   |  |
| \$Additional Federal Funds Leveraged  |  | \$Additional State Funds Leveraged                                       |  |
| \$Locally Leveraged Funds   |  | \$Grantee Funds Leveraged  |  |
| \$Anticipated Program Income  |  | Other (Describe)   |  |
| Total Funds Leveraged for ESG-based Project(s)  |  |  |  |
| Congressional Districts of:   |  | Is application subject to review by state Executive Order 12372 Process? |  |
| 20 <sup>th</sup> District   | 20 <sup>th</sup> District              |  |  |
| Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation. |  | <input type="checkbox"/> Yes   | This application was made available to the state EO 12372 process for review on DATE |
|   |  | <input checked="" type="checkbox"/> No                                   | Program is not covered by EO 12372   |
| <input type="checkbox"/> Yes  | <input checked="" type="checkbox"/> No | <input type="checkbox"/> N/A   | Program has not been selected by the state for review                                |

|   |                          |                             |
|---|--------------------------|-----------------------------|
| Person to be contacted regarding this application |                          |                             |
| Scott   | T.                       | Johnson                     |
| Mayor   | 518-587-3550             | 518-587-1688                |
| scott.johnson@saratoga-springs.org                | www.saratoga-springs.org |                             |
| Signature of Authorized Representative            |                          | Date Signed<br>May 10, 2010 |





# First Program Year Action Plan

The CPMP First Annual Action Plan includes the SF 424 and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

## Narrative Responses

### GENERAL

#### **Executive Summary**

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Program Year 1 Action Plan Executive Summary:

Following 2 public hearings, 2 Committee meetings, much discussion, dialog and deliberation, the Community Development Citizen Advisory Committee achieved consensus and presented its recommendations for the 2010 CDBG Entitlement Grant to the City Council on Tuesday, March 2, 2010. Total 2010 funding is \$400,116.

Following the Committee's presentation to the City Council, a 30-day public comment period occurred through April 1, 2010. The City Council scheduled an additional Public Hearing on Tuesday, March 16, 2010 and at the April 6, 2010 City Council meeting, the Council unanimously approved the recommended funding plan as presented.

#### Committee Selection Process

Eighteen applications were received requesting nearly \$733,070 in funding. The 9-member Citizen Advisory Committee met to discuss each application in detail and then individually evaluated the proposals using an 8 variable matrix including project readiness, organization capacity, # of persons assisted, financial leveraging, long-term benefit, and consistency with identified City Consolidated Plan priority.

The results of this aggregate ranking formed the basis of discussion for funding allocation. The Committee's intent throughout this challenging process was to fund activities consistent with the City's identified priorities and to assist the greatest number of persons with its limited resources.

2010 Action Plan Activities:

Domestic Violence /Rape Crisis - \$17,000

Funding to support a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and living in the emergency shelter, with assistance in accessing entitlements, rental assistance, securing housing, financial literacy and referrals to community support groups.

Legal Aid Society Homelessness Prevention Project - \$12,000

The continuation of a homelessness prevention program to provide free legal advice, referrals and representation to prevent unwarranted or illegal evictions of persons of low and moderate income within Saratoga Springs.

Catholic Charities Mentoring Program - \$9,000

The continuation of a community-based prevention/diversion program to develop mentoring relationships between at-risk Saratoga Springs youth and successful area business/professional persons.

Prevention Council / Youth Court - \$8,000

To recruit and train resident youth, living in low- and moderate-income neighborhoods, as Youth Court Officers and to provide community service projects within the neighborhoods and community agencies that serve them.

Mother Susan Anderson Emergency Shelter - \$3,000

To fund utility and program costs associated with this emergency shelter serving women and children.

Saratoga Sponsor-A-Scholar - \$1,000

To fund school buses to take students in the program on four group orientation sessions at college campuses within driving distance of Saratoga Springs.

City-wide Housing Rehabilitation Grant Program – \$100,116

Funding for continuation of City's successful residential rehabilitation grant program providing income-eligible homeowners with grants of up to \$15,000 for housing and emergency repairs.

Saratoga Affordable Housing Group – Property Rehabilitation - \$100,000

To fund energy efficiency improvements for the recently acquired and preserved 16 units of affordable housing on Allen Drive including window replacements.

Shelters of Saratoga Transitional Housing Development - \$25,000

Funding for architectural and engineering services for the proposed construction of an 8-12 bed transitional housing facility connected to 14 Walworth Street.

Dept. of Public Works – Senior Center Improvements - \$14,000

Funding energy efficiency, and other, improvements to the Senior Center public facility.

Dept. of Public Works – Infrastructure Improvement - \$10,000  
Funding for the repair of accessible curbs, sidewalks and curbing within eligible areas of the City.

Frederick Allen Lodge Historic Building Preservation - \$10,000  
Funding to further stabilize and preserve this building, eligible for listing on the National Register of Historic Places, within the Beekman Street arts district and currently the home of the Frederick Allen Lodge #609 and Mary A. Carter Temple #362.

Franklin Community Center Facilities Improvements - \$8,000  
Funding for interior improvements to Franklin Community Manor, a permanent, safe and affordable housing building with 17 apartment for low-income adults.

Mother Susan Anderson Emergency Shelter Rehabilitation Project - \$8,000  
Public facility improvements to this emergency shelter serving women and children.

Rebuilding Together Rehabilitation Project - \$5,000  
To assist in funding this volunteer-assisted housing rehabilitation program.

Community Development Program Administration - \$70,000  
These funds finance the Community Development operating budget to cover the cost of payroll, fringe and program/office expenses.

## General Questions

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.
3. Describe actions that will take place during the next year to address obstacles to ting underserved needs.
4. Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.

Program Year 1 Action Plan General Questions response:  
Program activities for the 2010 Action Plan will take place within the geographic confines of the City of Saratoga Springs. A map, Attachment SSCP-1, identifies the 2010 activities and their respective locations in the City.

The activities identified in this Action Plan are in response to the priorities identified during the consolidated planning process. Given the limited amount of funding available, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities and the ability to demonstrate identifiable accomplishments to gauge activity success.

The City's intent throughout this challenging process is to select activities for funding that address the City's priority needs and assist the greatest number of persons within the City's limited resources.

## **Managing the Process**

1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.
3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.

Program Year 1 Action Plan Managing the Process response:

Lead Agency

The City's Community Development Citizen Advisory Committee (CDcac), with staff support from the Office of Community Development, is responsible for preparing the Consolidated Plan and annual Action Plans. The five member City Council, consisting of the Mayor and commissioners of Accounts, Finance, Public Safety and Public Works, maintains the authority to approve the Consolidated Plan and Action Plans. The City Council has demonstrated its leadership and support of the consolidated planning process through the unanimous approval of each annual action plan, as presented, since 1990.

The Office of Community Development is responsible for administration and management of the City's community development program with technical assistance provided by other City departments as needed. The City maintains a close working relationship with the Saratoga Economic Development Corporation and local banks for technical assistance and guidance in the operation of its housing rehabilitation and economic development revolving loan programs. The Office of Community Development also collaborates with the Saratoga Springs Housing Authority to ensure that both federally funded programs are run in an efficient and coordinated manner that best serves the needs of the community.

Action Plan Development Process

In preparation of its 2010 Action Plan, the City of Saratoga Springs solicited input from and consulted with a broad range of public and private entities. These entities include providers of health, housing, homeless and social services, the local public housing authority and those involved in education/awareness/treatment of lead-based paint hazards.

This far-reaching, collaborative process resulted in significant public input, a greater awareness and priority identification of housing and non-housing community development needs, the establishment of a realistic and efficient strategy of investment to address these needs and, ultimately, an effective multi-year plan to achieve the City's community development objectives.

#### Agency Coordination

Over the next year, the City will continue its commitment to collaborate with private and public housing, health and social service agencies. The Office of Community Development maintains a very close working relationship with the Housing Authority and the City of Saratoga Springs maintains its role as coordinating lead agent for the Saratoga-North Country Homeless Alliance and continuum of care planning process. The Alliance is representative of the area's housing and support service agencies including those focusing on special needs services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, and homeless persons.

### **Citizen Participation**

1. Provide a summary of the citizen participation process.
2. Provide a summary of citizen comments or views on the plan.
3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

\*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

Program Year 1 Action Plan Citizen Participation response:

In conformance with the City's Citizen Participation Plan, the 2010 Action Plan process began the Community Development Citizen Advisory Committee holding two public hearings, advertised in conformance with the Citizen Participation Plan, in February of 2010 to elicit additional citizen input in preparation of the City's 2010 Consolidated Plan and to hear presentations by organizations seeking funding under the Action Plan. The first meeting was held on February 1 in City Hall, a central community location. The second was held on February 4 in the William J. Ford Neighborhood Center in the Jefferson/Vanderbilt Terrace Public Housing Area on the Eastside of the City - the area of greatest low/moderate income concentration.

Eighteen applications were received requesting nearly \$733,070 in funding. The 9-member Citizen Advisory Committee then met on two additional occasions to discuss each application in detail and individually evaluate the proposals using an 8 variable matrix including project readiness, organizational capacity, # of persons assisted, financial leveraging, long-term benefit, and consistency with identified City Consolidated Plan priorities.

The results of this aggregate ranking formed the basis of discussion for funding allocation. The Committee's intent throughout this challenging process was to fund activities consistent with the City's identified priorities and to assist the greatest number of persons with its limited resources.

The funding recommendations were presented to the City Council on March 2. A 30-day comment period ensued beginning on March 3 and ending on April 2, 2010. During the public comment period, the City Council held a third public hearing on the funding recommendations.

On April 6, the City Council voted unanimously to approve the funding recommendations as presented and on May 4 the Council approved the draft Consolidated Plan and authorized the Mayor to sign and submit it to the U.S. Department of Housing and Urban Development.

#### Summary of Comments

All general input was included as part of the consolidated planning process. No additional formal written comments or complaints were received.

#### Efforts to Broaden Public Participation

Individual meetings with Community Development staff were available for those citizens and groups who were unable to attend the public hearings or who wished a more in-depth understanding of the CDBG process. Community Development staff routinely consult with a variety of public and private agencies on an ongoing basis concerning housing, human service, economic development and other community needs. The consultations including the Saratoga Springs Housing Authority, Saratoga Neighborhood Development Company, Shelters of Saratoga, Saratoga County Office for the Aging, various neighborhood associations, and the range of agencies within the Crises Action Network. In addition, information on the City's community development program and Consolidated Planning process is placed on the City's website.

#### Explanation of Comments not Accepted.

No comments were refused in developing the Consolidated Plan.

## **Institutional Structure**

1. Describe actions that will take place during the next year to develop institutional structure.

#### Program Year 1 Action Plan Institutional Structure response:

Over the next year, the City will carry out its Action Plan through coordinated efforts with public institutions and private groups as appropriate to each activity.

The City's Office of Community Development will have the primary responsibility for administering funded projects under the City's CDBG programs. Community Development also maintains ongoing implementation of the housing rehabilitation grant and loan.

Furthermore, in order to better coordinate and enhance the delivery of housing and non-housing services, the Office of Community Development will collaborate with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelter of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (The County Community Action Agency for housing & support services including weatherization, WIC, Food pantry, etc.), the Saratoga Affordable Housing Group, Saratoga Homeless Alliance (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

## **Monitoring**

1. Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

Program Year 1 Action Plan Monitoring response:

The Office of Community Development is responsible for administering and managing the City's community development program. As part of this oversight, it maintains close working relationships with each of the organizations undertaking activities with annual Action Plan funding.

Depending on the specifics for each funded activity, financial reporting and activity accomplishments are submitted to the Office of Community Development monthly and quarterly. Documentation on adherence to national benefit criteria and income eligibility is maintained by Community Development. When an agency undertakes an activity benefiting low-and moderate-income persons, Community Development requires the agency to obtain information on family size and income so that the activity is limited to only those persons eligible under federal regulation. For the City's Residential Rehabilitation Grant and Loan Programs, Community Development staff reviews each eligible participant's file to insure that proper certification procedures have been followed. Area benefit activities are monitored by the Office of Community Development to ensure that they take place within eligible Census block groups within the City.

The Office of Community Development has developed and implemented a schedule to monitor all funded subrecipients on a periodic basis. If areas of concern arise regarding the implementation of a funded activity, additional contact and communication with the subrecipient, including on-site visits, is conducted to clarify and resolve the situation.

In addition, the City submits an assessment of program performance and accomplishments, the Consolidated Annual Performance and Evaluation Report (CAPER), to the U.S. Department of Urban Development on an annual basis.

## Lead-based Paint

1. Describe the actions that will take place during the next year to evaluate and reduce the number of housing units containing lead-based paint hazards in order to increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families, and how the plan for the reduction of lead-based hazards is related to the extent of lead poisoning and hazards.

Program Year 1 Action Plan Lead-based Paint response:

As part of its housing rehabilitation revolving loan and grant programs, the City distributes educational material to residents to notify them of the potential hazards of lead-based paint and methods to deal with this situation. In addition, the Community Development web site contains information and links to additional information relating to lead-based paint hazards.

The City has implemented changes to its housing rehabilitation grant and loan programs to comply with the current lead-based paint hazard regulations. Community Development staff has attended numerous informational sessions, seminars, and lead safe work practices trainings in an effort to increase organizational capacity to address lead hazards in the City's housing stock. The City continues to seek technical and financial assistance to sufficiently train and certify rehabilitation and maintenance workers for its community development programs.

Furthermore, the City, in its role as Chair of the Upstate Community Development Consortium, is seeking to enhance resource materials on lead-based paint hazards and a regional list of certified rehabilitation and maintenance workers for distribution to consortium members.

In order to address the potentially significant hazards of lead-based paint in the City of Saratoga Springs, the following actions are recommended over the next year:

- Continue collaboration with the Saratoga County Public Health Nurses and the New York State Department of Health to increase awareness of lead-based paint hazards
- Continue rehabilitation grant and low-interest loan programs in conformance with regulations relating to lead-based paint hazards as contained in Title X of the Housing and Community Development Act of 1992.
- Provide residential rehabilitation program participants with information on lead-based paint hazards and blood screening programs
- Designate rehabilitation program households identified with lead-based paint hazards as "emergency priority" to address immediate health and safety needs

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## HOUSING

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### Specific Housing Objectives

\*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 1 Action Plan Specific Objectives response:

Over the next year, the City of Saratoga Springs intends to address its identified High Priority need for affordable housing through the following activities.

Residential Rehabilitation Grant Program - \$100,116

The continuation of the City's residential rehabilitation grant program administered by the Office of Community Development offering housing rehabilitation grants to income-eligible homeowners and matching grants to rental property owners that provide affordable rental units within the City. While not receiving additional funding through this year's allocation, this ongoing program has received considerable investment in recent years and will continue to provide rehabilitation assistance to improve the City's housing stock as funding permits.

Saratoga Affordable Housing Group – Property Rehabilitation - \$100,000

To fund energy efficiency improvements for the recently acquired and preserved 16 units of affordable housing on Allen Drive including window replacements.

Shelters of Saratoga Transitional Housing Development - \$25,000

Funding for architectural and engineering services for the proposed construction of an 8-12 bed transitional housing facility connected to 14 Walworth Street.

Rebuilding Together Rehabilitation Project - \$5,000

To assist in funding this volunteer-assisted housing rehabilitation program.

Residential Rehabilitation Revolving Loan Program - \$3,238

A continuing revolving loan program offering low-interest (0%-3%) loans for up to \$10,000 with repayment terms up to 5 years for eligible owner-occupants, and 3%-below-prime rate loans for rental property owners that provide affordable apartments to eligible tenants for 4 years. Anticipated program income for PY2010 is \$3,238.

### Needs of Public Housing

1. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.
2. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Program Year 1 Action Plan Public Housing Strategy response:

The City of Saratoga Springs will continue to collaborate with and assist the Saratoga Springs Housing Authority to address the needs of its residents within available funding and resources.

The City of Saratoga Springs is committed to facilitating and assisting the Housing Authority with any activities it undertakes to encourage public housing residents to become more involved in management and participate in homeownership.

The Saratoga Springs Housing Authority is currently not designated as "troubled" by HUD.

## **Barriers to Affordable Housing**

1. Describe the actions that will take place during the next year to remove barriers to affordable housing.

Program Year 1 Action Plan Barriers to Affordable Housing response:

To attempt to balance this supply and demand tug-of-war over the costs and value of land development, a municipality has few broad policy options: mandates; incentives; direct assistance.

### **Mandates: Inclusionary Zoning**

Beginning in 2005, the City undertook an 18-month exercise in developing a regulatory framework to require the construction of affordable housing along with the construction of market-rate housing units. With the assistance of a citizen committee comprised of representatives from the City Planning and Zoning Boards, the banking and residential construction industries, and a national expert on housing finance, construction and underwriting, Monte Franke, a draft inclusionary zoning ordinance was developed mandating that every new residential development over a certain size provide a percentage of affordable units in exchange for an increase in the otherwise allowable density for that area. After extensive public dialog, this legislation was presented to the City Council. While not acted upon, this legislation remains a public policy option.

### **Incentives: Density Bonus**

In contrast to a mandate that would require affordable housing construction, the City currently provides incentives to those who volunteer to provide a public benefit including the construction of affordable housing. The City's Comprehensive Plan directs a 20% increase in allowable density in exchange for affordable housing

throughout the City's extensive Conservation Development District. The City's Zoning Ordinance provides a 20% increase in allowable density in exchange for affordable housing in the Urban Residential-1 and Suburban Residential-2 zoning districts.

**Incentives: Flexible Zoning Regulation**

Recognizing that land use controls impact the availability, use and value of land, the City has introduced a variety of mixed-use zoning districts to allow for more flexible utilization of land and to allow for a cost-balancing of uses on that land. In what were once solely residential districts, the City has introduced a series of three Neighborhood Complementary Use Districts to allow for the greater utilization of street-level properties (retail, office, etc.) with the opportunity to balance the costs of adjacent residential uses. Furthermore, the City has adopted a series of "Transect" mixed-use zoning districts that greatly reduce dimensional requirements and provide property owners and developers significant flexibility in the types (commercial, retail, residential), density and intensity of allowable uses.

**Direct Financial Assistance:**

Whether locally funded or supported by state and federal resources, a municipality may also reduce the cost of housing development and construction by providing financial assistance through a variety of programs.

**- Infrastructure subsidies**

The City currently provides reduced water connection fees to developments that include affordable housing units.

**- Loan guarantees**

The City has provided a loan guarantee to allow the Saratoga Affordable Housing Group to purchase 28 units of existing housing and convert to affordable housing.

**- Payment In Lieu of Taxes (PILOT) programs**

The City has approved PILOT programs to promote affordable housing development. Most recently, the City extended a PILOT program to Raymond Watkin Apartments, a site-based affordable facility for income-eligible elderly.

**- Housing Trust Fund Grants**

The City established and funded a dedicated trust fund for the development of affordable housing. This fund has provided grant funding for the acquisition of 1 Waterbury Street and the conversion of its two market rate units to affordable housing for the next 30 years. This fund has also assisted the acquisition of the Ashgrove Apartments on Allen Drive – the conversion of 24 market rate units to affordable housing and the construction of at least 15 new affordable housing units.

**- Shelter Plus Care Program**

Since 2001, the City has successfully applied for over \$3.2 Million of new funding into this area for housing and support services to assist the chronically homeless and persons with disabilities. Funded programs include a tenant-based rental assistance program to provide rent subsidy to 26 disabled homeless persons and a permanent supportive housing facility for persons with disabilities including a specific focus on assisting U.S. Military veterans.

- American Recovery and Reinvestment Act of 2009

The City successfully applied for nearly \$100,000 in federal "stimulus" funding to subsidize necessary infrastructure costs associated with the construction of 15 new affordable housing units on Allen Drive.

### **HOME/ American Dream Down payment Initiative (ADDI)**

1. Describe other forms of investment not described in § 92.205(b).
2. If the participating jurisdiction (PJ) will use HOME or ADDI funds for homebuyers, it must state the guidelines for resale or recapture, as required in § 92.254 of the HOME rule.
3. If the PJ will use HOME funds to refinance existing debt secured by multifamily housing that is that is being rehabilitated with HOME funds, it must state its refinancing guidelines required under § 92.206(b). The guidelines shall describe the conditions under which the PJ will refinance existing debt. At a minimum these guidelines must:
  - a. Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing.
  - b. Require a review of management practices to demonstrate that disinvestments in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated.
  - c. State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.
  - d. Specify the required period of affordability, whether it is the minimum 15 years or longer.
  - e. Specify whether the investment of HOME funds may be jurisdiction-wide or limited to a specific geographic area, such as a neighborhood identified in a neighborhood revitalization strategy under 24 CFR 91.215(e)(2) or a Federally designated Empowerment Zone or Enterprise Community.
  - f. State that HOME funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.
4. If the PJ is going to receive American Dream Down payment Initiative (ADDI) funds, please complete the following narratives:
  - a. Describe the planned use of the ADDI funds.
  - b. Describe the PJ's plan for conducting targeted outreach to residents and tenants of public housing and manufactured housing and to other families assisted by public housing agencies, for the purposes of ensuring that the ADDI funds are used to provide down payment assistance for such residents, tenants, and families.
  - c. Describe the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain homeownership, such as provision of housing counseling to homebuyers.

Program Year 1 Action Plan HOME/ADDI response:  
Not applicable.

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## HOMELESS

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### Specific Homeless Prevention Elements

\*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Sources of Funds—Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property. Please describe, briefly, the jurisdiction's plan for the investment and use of funds directed toward homelessness.
2. Homelessness—In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.
3. Chronic homelessness—The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2012. Again, please identify barriers to achieving this.
4. Homelessness Prevention—The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.
5. Discharge Coordination Policy—Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

#### Program Year 1 Action Plan Special Needs response:

The City identified, through its Consolidated Plan process, the high priority need for activities that prevent homelessness and that assist in the provision of housing and support service needs for homeless and, especially, the chronically homeless as defined by the U.S. Department of Housing and Urban Development. Over the next year, the City of Saratoga Springs intends to address its identified homeless and chronic homeless needs through the following activities.

Domestic Violence/Rape Crises Center Shelter Case Manager - \$17,000  
Funding to support a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and living in the emergency shelter, with assistance in accessing entitlements, rental assistance, securing housing, financial literacy and referrals to community support services.

Legal Aid Society Homelessness Prevention Project - \$12,000  
The continuation of a homelessness prevention program to provide free legal advice, referrals and representation to prevent unwarranted or illegal evictions of persons of low and moderate income within Saratoga Springs.

Mother Susan Anderson Emergency Shelter Rehabilitation Project - \$8,000

Public facility improvements including plumbing and heating repairs to rehabilitate this emergency shelter to provide a safe, comfortable, energy efficient environment for homeless women and children.

The City shall continue the administration of the annual Shelter + Care rental subsidy program providing rental assistance subsidies and integral supportive services to homeless and disabled persons and families.

The City shall continue to support the successful implementation of a regional Homeless Management Information System program to improve homelessness program development, efficacy and delivery.

The City shall continue to be an enthusiastic participant in the Saratoga - North Country Homeless Alliance and shall pursue funding through Continuum of Care opportunities, as available, to further its homelessness objectives.

Furthermore, the City shall continue to support local service providers within the Saratoga-North Country region to coordinate admissions, services, and planning with the discharge plans of local health care facilities, mental health care providers, substance abuse treatment providers, foster care and youth facilities, and jails and prisons.

### **Emergency Shelter Grants (ESG)**

(States only) Describe the process for awarding grants to State recipients, and a description of how the allocation will be made available to units of local government.

Program Year 1 Action Plan ESG response:  
Not applicable

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## **COMMUNITY DEVELOPMENT**

### **Community Development**

\*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), public facilities, public improvements, public services and economic development.
2. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

\*Note: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.

Program Year 1 Action Plan Community Development response:

Non-housing Community Development Needs

The City recognizes that non-housing community development activities are integral components in promoting a sound living environment and encouraging expanded economic opportunities for persons of low and moderate income. The City identified the following priority needs for the next five years within available funding during that period.

#### INFRASTRUCTURE

Medium priority:

- Sidewalk improvements to improve pedestrian access and handicap accessibility
- Curb and stormwater drainage improvements to improve the health, safety, and pedestrian access for neighborhoods of lower income

#### ECONOMIC DEVELOPMENT

Medium priority:

- Expansion of City Economic Development Revolving Loan program to more actively promote employment opportunities for persons of low and moderate income

Over the next year, the City of Saratoga Springs intends to address its identified non-housing community development needs through the following activities.

Infrastructure Improvements to Eligible City Areas - \$10,000

The continuation of an infrastructure improvement program to renovate and restore sidewalks, curbs, handicap-accessible ramps, and storm water drainage in eligible areas of City.

Economic Development Revolving Loan Program - \$52,793

A continuing revolving loan program offering low-interest loans to businesses that create full-time equivalent positions for persons of low and moderate income.

Anticipated program income for PY2010 is \$52,793.

### **Antipoverty Strategy**

1. Describe the actions that will take place during the next year to reduce the number of poverty level families.

Program Year 1 Action Plan Antipoverty Strategy response:

The City remains committed to assisting its neediest residents to become more self-sufficient through the combined efforts of a host of social service and housing agencies. This common goal and close interagency cooperation and referral ensures that a full continuum of care is available to those in need.

Over the next year, the City of Saratoga Springs will continue to collaborate with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelter of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (Co. community action agency for housing & support services including weatherization, WIC, Food pantry, etc.), Saratoga Affordable Housing Group, Saratoga Homeless Alliance (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

In addition, the Office of Community Development will continue to be the lead coordinating agent for the Shelter Plus Care Rental Assistance Program and an active participant in the Saratoga-North Country Homeless Alliance.

## NON-HOMELESS SPECIAL NEEDS HOUSING

### **Non-homeless Special Needs (91.220 (c) and (e))**

\*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 1 Action Plan Specific Objectives response:

Within its Consolidated Plan process, the City identified a high priority need for activities that assist the most vulnerable segments of our population including children, youth and seniors (including the frail elderly). Over the next year, the City of Saratoga Springs intends to address this High Priority need to address the non-homeless special needs population through the following activities.

Catholic Charities Mentoring Program - \$9,000

The continuation of a community-based prevention/diversion program to develop mentoring relationships between at-risk Saratoga Springs youth and successful area business/professional persons.

Prevention Council / Youth Court - \$8,000

To recruit and train resident youth, living in low- and moderate-income neighborhoods, as Youth Court Officers and to provide community service projects within the neighborhoods and community agencies that serve them.

Saratoga Sponsor-A-Scholar - \$1,000

To fund school buses to take students in the program on four group orientation sessions at college campuses within driving distance of Saratoga Springs.

Franklin Community Center Facilities Improvements - \$8,000

Funding for interior improvements to Franklin Community Manor, a permanent, safe and affordable housing building with 17 apartment for low-income adults.

Dept. of Public Works – Senior Center Improvements - \$14,000

Funding energy efficiency, and other, improvements to the Senior Center public facility.

### **Housing Opportunities for People with AIDS**

\*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Provide a Brief description of the organization, the area of service, the name of

the program contacts, and a broad overview of the range/ type of housing activities to be done during the next year.

2. Report on the actions taken during the year that addressed the special needs of persons who are not homeless but require supportive housing, and assistance for persons who are homeless.
3. Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The evaluation can address any related program adjustments or future plans.
4. Report on annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.
5. Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.
6. Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.
7. Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.
8. Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.
9. Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.

Program Year 1 Action Plan HOPWA response:  
Not applicable

### **Specific HOPWA Objectives**

Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the Action Plan.

Program Year 1 Specific HOPWA Objectives response:  
Not applicable

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## Other Narrative

Include any Action Plan information that was not covered by a narrative in any other section.

|  |                |  |   |                   |                           |                |   |                       |  |
|--|----------------|--|---|-------------------|---------------------------|----------------|---|-----------------------|--|
| <b>Project Name:</b>   |                | Domestic Violence/Rape Crisis Center Services  |   |                   |                           |                |   |                       |  |
| <b>Description:</b>  |                | <b>IDIS Project #:</b>   | 2010-1  | <b>UOG Code:</b>  | NY365800 SARATOGA SPRINGS |                |   |                       |  |
| Funding to support a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and living in the emergency shelter, with assistance in accessing entitlements, rental assistance, securing housing, financial literacy and referrals to community support services. |                |  |   |                   |                           |                |   |                       |  |
| <b>Location:</b>   |                | <b>Priority Need Category</b>  |   |                   |                           |                |   |                       |  |
| Safe shelter location is protected - Office is located at 480 Broadway, Saratoga Springs - serving persons city-wide.  |                | <b>Select one:</b>   |   | Homeless/HIV/AIDS |                           | ▼              |   |                       |  |
|  |                | <b>Explanation:</b>  |   |                   |                           |                |   |                       |  |
| <b>Expected Completion Date:</b>   |                | This activity will expand access to, and the level of, services available at the only domestic violence safe shelter within Saratoga County. This activity will address the City's identified need for safe shelter for special needs persons and to prevent homelessness. |   |                   |                           |                |   |                       |  |
| 6/30/2011  |                |  |   |                   |                           |                |   |                       |  |
| Objective Category   |                |  |   |                   |                           |                |   |                       |  |
| <input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity   |                |  |   |                   |                           |                |   |                       |  |
| <b>Outcome Categories</b>  |                | <b>Specific Objectives</b>   |   |                   |                           |                |   |                       |  |
| <input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability  |                | 1  | Increase range of housing options & related services for persons w/ special needs |                   |                           | ▼              |   |                       |  |
|  |                | 2  |   |                   |                           | ▼              |   |                       |  |
|  |                | 3  |   |                   |                           | ▼              |   |                       |  |
| <b>Project-level Accomplishments</b>   | 01 People      | ▼  | <b>Proposed</b>   | 45                |                           | Accompl. Type: | ▼ | <b>Proposed</b>       |  |
|  |                |  | <b>Underway</b>   |                   |                           |                |   | <b>Underway</b>       |  |
|  |                |  | <b>Complete</b>   |                   |                           |                |   | <b>Complete</b>       |  |
|  | Accompl. Type: | ▼  | <b>Proposed</b>   |                   |                           | Accompl. Type: | ▼ | <b>Proposed</b>       |  |
|  |                |  | <b>Underway</b>   |                   |                           |                |   | <b>Underway</b>       |  |
|  |                |  | <b>Complete</b>   |                   |                           |                |   | <b>Complete</b>       |  |
|  | Accompl. Type: | ▼  | <b>Proposed</b>   |                   |                           | Accompl. Type: | ▼ | <b>Proposed</b>       |  |
|  |                |  | <b>Underway</b>   |                   |                           |                |   | <b>Underway</b>       |  |
|  |                |  | <b>Complete</b>   |                   |                           |                |   | <b>Complete</b>       |  |
| <b>Proposed Outcome</b>  |                | <b>Performance Measure</b>   |   |                   | <b>Actual Outcome</b>     |                |   |                       |  |
| Enhance access to services for the purpose of creating suitable living environments  |                | # of homeless persons given overnight shelter and services   |   |                   |                           |                |   |                       |  |
| 05G Battered and Abused Spouses 570.201(e)   |                | ▼  |   |                   | Matrix Codes ▼            |                |   |                       |  |
| Matrix Codes   |                | ▼  |   |                   | Matrix Codes ▼            |                |   |                       |  |
| Matrix Codes   |                | ▼  |   |                   | Matrix Codes ▼            |                |   |                       |  |
| <b>Program Year 1</b>  | CDBG           | ▼  | <b>Proposed Amt.</b>  | 17000             |                           | Fund Source:   | ▼ | <b>Proposed Amt.</b>  |  |
|  |                |  | <b>Actual Amount</b>  |                   |                           |                |   | <b>Actual Amount</b>  |  |
|  | Fund Source:   | ▼  | <b>Proposed Amt.</b>  |                   |                           | Fund Source:   | ▼ | <b>Proposed Amt.</b>  |  |
|  |                |  | <b>Actual Amount</b>  |                   |                           |                |   | <b>Actual Amount</b>  |  |
|  | 01 People      | ▼  | <b>Proposed Units</b>   | 45                |                           | Accompl. Type: | ▼ | <b>Proposed Units</b> |  |
|  |                |  | <b>Actual Units</b>   |                   |                           |                |   | <b>Actual Units</b>   |  |
|  | Accompl. Type: | ▼  | <b>Proposed Units</b>   |                   |                           | Accompl. Type: | ▼ | <b>Proposed Units</b> |  |
|  |                |  | <b>Actual Units</b>   |                   |                           |                |   | <b>Actual Units</b>   |  |

|                       |                       |                      |                  |                       |                      |  |
|-----------------------|-----------------------|----------------------|------------------|-----------------------|----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |

|   |  |                            |                  |                       |
|---|--|----------------------------|------------------|-----------------------|
| <b>Project Name:</b> Legal Aid Society Homelessness Prevention Project  |  |                            |                  |                       |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-2 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS   |                            |                  |                       |
| The continuation of a homelessness prevention program to provide free legal advice, referrals and representation to prevent unwarranted or illegal evictions of persons of low-moderate income within the City of Saratoga Springs. |  |                            |                  |                       |
| <b>Location:</b><br>Office is located at 112 Spring Street, Saratoga Springs - serving persons City wide.   | <b>Priority Need Category</b><br><b>Select one:</b> Homeless/HIV/AIDS ▼  |                            |                  |                       |
| <b>Expected Completion Date:</b><br>6/30/2011   | <b>Explanation:</b><br>This activity will address the City's identified need for services to prevent homelessness. |                            |                  |                       |
| Objective Category<br><input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity  | <b>Specific Objectives</b>   |                            |                  |                       |
| Outcome Categories<br><input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability   | 1 Improve the services for low/mod income persons ▼<br>2 ▼<br>3 ▼  |                            |                  |                       |
| <b>Project-level Accomplishments</b>  | 04 Households ▼  | <b>Proposed</b> 50         | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼   | <b>Proposed</b>            | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼   | <b>Proposed</b>            | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                  | <b>Complete</b>       |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>   | <b>Actual Outcome</b>      |                  |                       |
| Enhance access to services for the purpose of creating suitable living environments   | # of households receiving legal assistance to prevent homelessness.  |                            |                  |                       |
| 05C Legal Services 570.201(E) ▼   | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| <b>Program Year 1</b>   | CDBG ▼   | <b>Proposed Amt.</b> 12000 | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>       |                  | <b>Actual Amount</b>  |
|   | Fund Source: ▼   | <b>Proposed Amt.</b>       | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>       |                  | <b>Actual Amount</b>  |
|   | 04 Households ▼  | <b>Proposed Units</b> 50   | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>        |                  | <b>Actual Units</b>   |
|   | Accompl. Type: ▼   | <b>Proposed Units</b>      | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>        |                  | <b>Actual Units</b>   |

|                       |                       |                      |                  |                       |                      |  |
|-----------------------|-----------------------|----------------------|------------------|-----------------------|----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |

|   |                  |  |   |                   |                           |                       |   |
|---|------------------|--|---|-------------------|---------------------------|-----------------------|---|
| <b>Project Name:</b>  |                  | Catholic Charities Youth Mentoring Program   |   |                   |                           |                       |   |
| <b>Description:</b>   |                  | <b>IDIS Project #:</b>   | 2010-3  | <b>UOG Code:</b>  | NY365800 SARATOGA SPRINGS |                       |   |
| The continuation of a community-based prevention/diversion program to develop mentoring relationships between at-risk youth and successful business/professional persons.                         |                  |  |   |                   |                           |                       |   |
| <b>Location:</b>  |                  | <b>Priority Need Category</b>  |   |                   |                           |                       |   |
| Office is located at 386 Broadway, Saratoga Springs - serving youth City-wide.  |                  | <b>Select one:</b>   |   | Public Services ▼ |                           |                       |   |
| <b>Expected Completion Date:</b>  |                  | <b>Explanation:</b>  |   |                   |                           |                       |   |
| 6/30/2011   |                  | This activity will address the City's need for services to assist the most vulnerable populations (youth). |   |                   |                           |                       |   |
| <input type="checkbox"/> Objective Category<br><input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity |                  | <b>Specific Objectives</b>   |   |                   |                           |                       |   |
| Outcome Categories<br><input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability                         |                  | 1  | Improve the services for low/mod income persons ▼ |                   |                           |                       | ▼ |
|   |                  | 2  |   |                   |                           |                       | ▼ |
|   |                  | 3  |   |                   |                           |                       | ▼ |
| <b>Project-level Accomplishments</b>  | 01 People ▼      | <b>Proposed</b>  | 60  |                   | Accompl. Type: ▼          | <b>Proposed</b>       |   |
|   |                  | <b>Underway</b>  |   |                   |                           | <b>Underway</b>       |   |
|   |                  | <b>Complete</b>  |   |                   |                           | <b>Complete</b>       |   |
|   | Accompl. Type: ▼ | <b>Proposed</b>  |   |                   | Accompl. Type: ▼          | <b>Proposed</b>       |   |
|   |                  | <b>Underway</b>  |   |                   |                           | <b>Underway</b>       |   |
|   |                  | <b>Complete</b>  |   |                   |                           | <b>Complete</b>       |   |
|   | Accompl. Type: ▼ | <b>Proposed</b>  |   |                   | Accompl. Type: ▼          | <b>Proposed</b>       |   |
|   |                  | <b>Underway</b>  |   |                   |                           | <b>Underway</b>       |   |
|   |                  | <b>Complete</b>  |   |                   |                           | <b>Complete</b>       |   |
| <b>Proposed Outcome</b>   |                  | <b>Performance Measure</b>   |   |                   | <b>Actual Outcome</b>     |                       |   |
| Enhanced access to services for the purpose of creating a suitable living environments  |                  | # of persons assisted w/improved access to a service.  |   |                   |                           |                       |   |
| 05D Youth Services 570.201(e) ▼   |                  | Matrix Codes ▼   |   |                   | Matrix Codes ▼            |                       |   |
| Matrix Codes ▼  |                  | Matrix Codes ▼   |   |                   | Matrix Codes ▼            |                       |   |
| Matrix Codes ▼  |                  | Matrix Codes ▼   |   |                   | Matrix Codes ▼            |                       |   |
| <b>Program Year 1</b>   | CDBG ▼           | <b>Proposed Amt.</b>   | 9000  |                   | Fund Source: ▼            | <b>Proposed Amt.</b>  |   |
|   |                  | <b>Actual Amount</b>   |   |                   |                           | <b>Actual Amount</b>  |   |
|   | Fund Source: ▼   | <b>Proposed Amt.</b>   |   |                   | Fund Source: ▼            | <b>Proposed Amt.</b>  |   |
|   |                  | <b>Actual Amount</b>   |   |                   |                           | <b>Actual Amount</b>  |   |
|   | 01 People ▼      | <b>Proposed Units</b>  | 60  |                   | Accompl. Type: ▼          | <b>Proposed Units</b> |   |
|   |                  | <b>Actual Units</b>  |   |                   |                           | <b>Actual Units</b>   |   |
|   | Accompl. Type: ▼ | <b>Proposed Units</b>  |   |                   | Accompl. Type: ▼          | <b>Proposed Units</b> |   |
|   |                  | <b>Actual Units</b>  |   |                   |                           | <b>Actual Units</b>   |   |

|                       |                       |                      |                  |                       |                      |  |
|-----------------------|-----------------------|----------------------|------------------|-----------------------|----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |

|   |                  |  |   |                   |                           |                       |  |
|---|------------------|--|---|-------------------|---------------------------|-----------------------|--|
| <b>Project Name:</b>  |                  | Prevention Council - Saratoga Youth Court  |   |                   |                           |                       |  |
| <b>Description:</b>   |                  | <b>IDIS Project #:</b>   | 2010-4  | <b>UOG Code:</b>  | NY365800 SARATOGA SPRINGS |                       |  |
| Saratoga Youth Court is a peer to peer sentencing court, whose goal is to address early anti-social, delinquent and criminal behavior in order to prevent youth crime and recidivism. |                  |  |   |                   |                           |                       |  |
| <b>Location:</b>  |                  | <b>Priority Need Category</b>  |   |                   |                           |                       |  |
| Office is located at: 36 Phila Street, Saratoga Springs.  |                  | <b>Select one:</b>   |   | Public Services ▼ |                           |                       |  |
| <b>Explanation:</b>   |                  |  |   |                   |                           |                       |  |
| <b>Expected Completion Date:</b>  |                  | Saratoga Youth Court program will serve low-moderate income neighborhoods by recruiting and training resident youth to serve as officers and providing community service projects within the neighborhoods and community agencies that serve them. |   |                   |                           |                       |  |
| 6/30/2011   |                  |  |   |                   |                           |                       |  |
| Objective Category  |                  |  |   |                   |                           |                       |  |
| <input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity                                    |                  |  |   |                   |                           |                       |  |
| <b>Outcome Categories</b>   |                  | <b>Specific Objectives</b>   |   |                   |                           |                       |  |
| <input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability                                   |                  | 1  | Improve the services for low/mod income persons ▼ |                   |                           |                       |  |
|   |                  | 2  |   |                   |                           |                       |  |
|   |                  | 3  |   |                   |                           |                       |  |
| <b>Project-level Accomplishments</b>  | 01 People ▼      | <b>Proposed</b>  | 8   |                   | Accompl. Type: ▼          | <b>Proposed</b>       |  |
|   |                  | <b>Underway</b>  |   |                   |                           | <b>Underway</b>       |  |
|   |                  | <b>Complete</b>  |   |                   |                           | <b>Complete</b>       |  |
|   | Accompl. Type: ▼ | <b>Proposed</b>  |   |                   | Accompl. Type: ▼          | <b>Proposed</b>       |  |
|   |                  | <b>Underway</b>  |   |                   |                           | <b>Underway</b>       |  |
|   |                  | <b>Complete</b>  |   |                   |                           | <b>Complete</b>       |  |
|   | Accompl. Type: ▼ | <b>Proposed</b>  |   |                   | Accompl. Type: ▼          | <b>Proposed</b>       |  |
|   |                  | <b>Underway</b>  |   |                   |                           | <b>Underway</b>       |  |
|   |                  | <b>Complete</b>  |   |                   |                           | <b>Complete</b>       |  |
| <b>Proposed Outcome</b>   |                  | <b>Performance Measure</b>   |   |                   | <b>Actual Outcome</b>     |                       |  |
| Enhanced access to services for the purpose of creating a suitable living environments  |                  | # of persons assisted w/improved access to a service.  |   |                   |                           |                       |  |
| 05D Youth Services 570.201(e) ▼   |                  | Matrix Codes ▼   |   |                   | Matrix Codes ▼            |                       |  |
| Matrix Codes ▼  |                  | Matrix Codes ▼   |   |                   | Matrix Codes ▼            |                       |  |
| Matrix Codes ▼  |                  | Matrix Codes ▼   |   |                   | Matrix Codes ▼            |                       |  |
| <b>Program Year 1</b>   | CDBG ▼           | <b>Proposed Amt.</b>   | 8000  |                   | Fund Source: ▼            | <b>Proposed Amt.</b>  |  |
|   |                  | <b>Actual Amount</b>   |   |                   |                           | <b>Actual Amount</b>  |  |
|   | Fund Source: ▼   | <b>Proposed Amt.</b>   |   |                   | Fund Source: ▼            | <b>Proposed Amt.</b>  |  |
|   |                  | <b>Actual Amount</b>   |   |                   |                           | <b>Actual Amount</b>  |  |
|   | 01 People ▼      | <b>Proposed Units</b>  | 8   |                   | Accompl. Type: ▼          | <b>Proposed Units</b> |  |
|   |                  | <b>Actual Units</b>  |   |                   |                           | <b>Actual Units</b>   |  |
|   | Accompl. Type: ▼ | <b>Proposed Units</b>  |   |                   | Accompl. Type: ▼          | <b>Proposed Units</b> |  |
|   |                  | <b>Actual Units</b>  |   |                   |                           | <b>Actual Units</b>   |  |

|                |                  |                |  |                  |                |  |
|----------------|------------------|----------------|--|------------------|----------------|--|
| Program Year 2 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 3 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 4 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 5 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |

|   |                  |  |   |                  |                           |                       |  |
|---|------------------|--|---|------------------|---------------------------|-----------------------|--|
| <b>Project Name:</b>  |                  | Mother Susan Anderson Emergency Shelter - Program Funds  |   |                  |                           |                       |  |
| <b>Description:</b>   |                  | <b>IDIS Project #:</b>   | 2010-5  | <b>UOG Code:</b> | NY365800 SARATOGA SPRINGS |                       |  |
| To assist with programming costs associated with providing short and longer-term emergency shelter for women and their children.  |                  |  |   |                  |                           |                       |  |
| <b>Location:</b>  |                  | <b>Priority Need Category</b>  |   |                  |                           |                       |  |
| Shelter is located at 60 Caroline Street, Saratoga Springs - serving persons City-wide.   |                  | <b>Select one:</b>   |   |                  | Homeless/HIV/AIDS ▼       |                       |  |
|   |                  | <b>Explanation:</b>  |   |                  |                           |                       |  |
| <b>Expected Completion Date:</b>  |                  | This activity will address the City's identified need for shelter and services to assist the homeless and to prevent further homelessness. |   |                  |                           |                       |  |
| 6/30/2011   |                  |  |   |                  |                           |                       |  |
| <input type="checkbox"/> Objective Category<br><input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity |                  | <b>Specific Objectives</b>   |   |                  |                           |                       |  |
| Outcome Categories<br><input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability                         |                  | 1  | Improve the services for low/mod income persons ▼ |                  |                           |                       |  |
|   |                  | 2  | ▼   |                  |                           |                       |  |
|   |                  | 3  | ▼   |                  |                           |                       |  |
| <b>Project-level Accomplishments</b>  | 01 People ▼      | <b>Proposed</b>  | 12  |                  | Accompl. Type: ▼          | <b>Proposed</b>       |  |
|   |                  | <b>Underway</b>  |   |                  |                           | <b>Underway</b>       |  |
|   |                  | <b>Complete</b>  |   |                  |                           | <b>Complete</b>       |  |
|   | Accompl. Type: ▼ | <b>Proposed</b>  |   |                  | Accompl. Type: ▼          | <b>Proposed</b>       |  |
|   |                  | <b>Underway</b>  |   |                  |                           | <b>Underway</b>       |  |
|   |                  | <b>Complete</b>  |   |                  |                           | <b>Complete</b>       |  |
|   | Accompl. Type: ▼ | <b>Proposed</b>  |   |                  | Accompl. Type: ▼          | <b>Proposed</b>       |  |
|   |                  | <b>Underway</b>  |   |                  |                           | <b>Underway</b>       |  |
|   |                  | <b>Complete</b>  |   |                  |                           | <b>Complete</b>       |  |
| <b>Proposed Outcome</b>   |                  | <b>Performance Measure</b>   |   |                  | <b>Actual Outcome</b>     |                       |  |
| Enhanced access to services for the purpose of creating a suitable living environments  |                  | # of homeless persons given overnight shelter and services   |   |                  |                           |                       |  |
| 03T Operating Costs of Homeless/AIDS Patients Programs ▼  |                  | Matrix Codes ▼   |   |                  | Matrix Codes ▼            |                       |  |
| Matrix Codes ▼  |                  | Matrix Codes ▼   |   |                  | Matrix Codes ▼            |                       |  |
| Matrix Codes ▼  |                  | Matrix Codes ▼   |   |                  | Matrix Codes ▼            |                       |  |
| <b>Program Year 1</b>   | CDBG ▼           | <b>Proposed Amt.</b>   | 3000  |                  | Fund Source: ▼            | <b>Proposed Amt.</b>  |  |
|   |                  | <b>Actual Amount</b>   |   |                  |                           | <b>Actual Amount</b>  |  |
|   | Fund Source: ▼   | <b>Proposed Amt.</b>   |   |                  | Fund Source: ▼            | <b>Proposed Amt.</b>  |  |
|   |                  | <b>Actual Amount</b>   |   |                  |                           | <b>Actual Amount</b>  |  |
|   | 01 People ▼      | <b>Proposed Units</b>  | 12  |                  | Accompl. Type: ▼          | <b>Proposed Units</b> |  |
|   |                  | <b>Actual Units</b>  |   |                  |                           | <b>Actual Units</b>   |  |
|   | Accompl. Type: ▼ | <b>Proposed Units</b>  |   |                  | Accompl. Type: ▼          | <b>Proposed Units</b> |  |
|   |                  | <b>Actual Units</b>  |   |                  |                           | <b>Actual Units</b>   |  |

|                       |                       |                      |                  |                       |                      |  |
|-----------------------|-----------------------|----------------------|------------------|-----------------------|----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |

|   |   |                           |                  |                       |
|---|---|---------------------------|------------------|-----------------------|
| <b>Project Name:</b> Saratoga Sponsor-A-Scholar   |   |                           |                  |                       |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-6 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS  |                           |                  |                       |
| The Saratoga Sponsor-A-Scholar program seeks to help disadvantaged students at Saratoga Springs High School finish high school and graduate from college.                 |   |                           |                  |                       |
| <b>Location:</b><br>Enter location, address, zip codes, census tracks, or other elements that will help to identify the location of the project.                          | <b>Priority Need Category</b><br><b>Select one:</b> Public Services ▼   |                           |                  |                       |
| <b>Expected Completion Date:</b><br>(mm/dd/yyyy)  | <b>Explanation:</b><br>The Saratoga Sponsor-A-Scholar program is requesting funds to cover the expense of school buses to transport the students on four group orientations sessions at college campuses in the Capital District. |                           |                  |                       |
| Objective Category<br><input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity  | <b>Specific Objectives</b>  |                           |                  |                       |
| Outcome Categories<br><input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability | 1 Improve the services for low/mod income persons ▼<br>2 ▼<br>3 ▼   |                           |                  |                       |
| <b>Project-level Accomplishments</b>  | 01 People ▼   | <b>Proposed</b> 10        | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |   | <b>Underway</b>           |                  | <b>Underway</b>       |
|   |   | <b>Complete</b>           |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼  | <b>Proposed</b>           | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |   | <b>Underway</b>           |                  | <b>Underway</b>       |
|   |   | <b>Complete</b>           |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼  | <b>Proposed</b>           | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |   | <b>Underway</b>           |                  | <b>Underway</b>       |
|   |   | <b>Complete</b>           |                  | <b>Complete</b>       |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>  | <b>Actual Outcome</b>     |                  |                       |
| Enhanced access to services for the purpose of creating a suitable living environments  | # of students having access to college campus tours   |                           |                  |                       |
| 05D Youth Services 570.201(e) ▼   | Matrix Codes ▼  | Matrix Codes ▼            |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼  | Matrix Codes ▼            |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼  | Matrix Codes ▼            |                  |                       |
| <b>Program Year 1</b>   | CDBG ▼  | <b>Proposed Amt.</b> 1000 | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |   | <b>Actual Amount</b>      |                  | <b>Actual Amount</b>  |
|   | Fund Source: ▼  | <b>Proposed Amt.</b>      | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |   | <b>Actual Amount</b>      |                  | <b>Actual Amount</b>  |
|   | 01 People ▼   | <b>Proposed Units</b> 10  | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |   | <b>Actual Units</b>       |                  | <b>Actual Units</b>   |
|   | Accompl. Type: ▼  | <b>Proposed Units</b>     | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |   | <b>Actual Units</b>       |                  | <b>Actual Units</b>   |

|                |                  |                |  |                  |                |  |
|----------------|------------------|----------------|--|------------------|----------------|--|
| Program Year 2 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 3 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 4 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 5 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |

|   |   |                       |        |                |                |
|---|---|-----------------------|--------|----------------|----------------|
| <b>Project Name:</b> Saratoga Affordable Housing Group - Rehabilitation of Allen Dr. Apartments   |   |                       |        |                |                |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-7 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS  |                       |        |                |                |
| To fund repairs needed for the recently acquired and preserve 16 units of affordable housing at 35,37,39, & 41 Allen Drive including replacement of 35 year old windows.  |   |                       |        |                |                |
| <b>Location:</b><br>35,37,39 & 41 Allen Drive,<br>Saratoga Springs  | <b>Priority Need Category</b><br><b>Select one:</b> Rental Housing  |                       |        |                |                |
| <b>Expected Completion Date:</b><br>6/30/2011   | <b>Explanation:</b><br>This activity will address the City's need for affordable housing for low-moderate income persons. |                       |        |                |                |
| Objective Category<br><input type="radio"/> Decent Housing<br><input type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity             | <b>Specific Objectives</b>  |                       |        |                |                |
| Outcome Categories<br><input type="checkbox"/> Availability/Accessibility<br><input checked="" type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability | 1 Increase the supply of affordable rental housing<br>2<br>3  |                       |        |                |                |
| <b>Project-level Accomplishments</b>  | 04 Households   | Proposed              | 16     | Accompl. Type: | Proposed       |
|   |   | Underway              |        |                | Underway       |
|   |   | Complete              |        |                | Complete       |
|   | Accompl. Type:  | Proposed              |        | Accompl. Type: | Proposed       |
|   |   | Underway              |        |                | Underway       |
|   |   | Complete              |        |                | Complete       |
|   | Accompl. Type:  | Proposed              |        | Accompl. Type: | Proposed       |
|   |   | Underway              |        |                | Underway       |
|   |   | Complete              |        |                | Complete       |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>  | <b>Actual Outcome</b> |        |                |                |
| Enhanced access to services for the purpose of creating a suitable living environments  | # of units brought from substandard to HQS  |                       |        |                |                |
| 14B Rehab; Multi-Unit Residential 570.202   | Matrix Codes  |                       |        |                |                |
| Matrix Codes  | Matrix Codes  |                       |        |                |                |
| Matrix Codes  | Matrix Codes  |                       |        |                |                |
| <b>Program Year 1</b>   | CDBG  | Proposed Amt.         | 100000 | Fund Source:   | Proposed Amt.  |
|   |   | Actual Amount         |        |                | Actual Amount  |
|   | Fund Source:  | Proposed Amt.         |        | Fund Source:   | Proposed Amt.  |
|   |   | Actual Amount         |        |                | Actual Amount  |
|   | 04 Households   | Proposed Units        | 16     | Accompl. Type: | Proposed Units |
|   |   | Actual Units          |        |                | Actual Units   |
|   | Accompl. Type:  | Proposed Units        |        | Accompl. Type: | Proposed Units |
|   |   | Actual Units          |        |                | Actual Units   |

|                       |                       |                      |                  |                       |                      |  |
|-----------------------|-----------------------|----------------------|------------------|-----------------------|----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |

|   |   |                       |        |                |                       |
|---|---|-----------------------|--------|----------------|-----------------------|
| <b>Project Name:</b> Residential Rehabilitation Grant Program   |   |                       |        |                |                       |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-8 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS  |                       |        |                |                       |
| Funding for the continuation of the City's residential rehabilitation grant program that provides grants of up to \$15,000 to eligible households for home repairs to improve the safety, energy efficiency, and aesthetics of existing structures. |   |                       |        |                |                       |
| <b>Location:</b>  | <b>Priority Need Category</b>   |                       |        |                |                       |
| Program is administered through the City's CD Office located at 474 Broadway, Saratoga Springs serving eligible households City wide.   | <b>Select one:</b> Owner Occupied Housing   |                       |        |                |                       |
| <b>Expected Completion Date:</b><br>6/30/2011   | <b>Explanation:</b><br>This activity will address the need to improve, increase the affordability, and address lead-based paint hazards in the City's existing housing stock. Grants are available to both owner occupied and rental housing. |                       |        |                |                       |
| Objective Category<br><input checked="" type="radio"/> Decent Housing<br><input type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity  |   |                       |        |                |                       |
| Outcome Categories<br><input type="checkbox"/> Availability/Accessibility<br><input checked="" type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability   | 1 Improve the quality of owner housing<br>2<br>3  |                       |        |                |                       |
| <b>Project-level Accomplishments</b>  | 04 Households   | <b>Proposed</b>       | 6      | Accompl. Type: | <b>Proposed</b>       |
|   |   | <b>Underway</b>       |        |                | <b>Underway</b>       |
|   |   | <b>Complete</b>       |        |                | <b>Complete</b>       |
|   | Accompl. Type:  | <b>Proposed</b>       |        | Accompl. Type: | <b>Proposed</b>       |
|   |   | <b>Underway</b>       |        |                | <b>Underway</b>       |
|   |   | <b>Complete</b>       |        |                | <b>Complete</b>       |
|   | Accompl. Type:  | <b>Proposed</b>       |        | Accompl. Type: | <b>Proposed</b>       |
|   |   | <b>Underway</b>       |        |                | <b>Underway</b>       |
|   |   | <b>Complete</b>       |        |                | <b>Complete</b>       |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>  | <b>Actual Outcome</b> |        |                |                       |
| Enhanced affordability for the purpose of providing decent housing  | # of units brought from substandard to HQS standards; # of units brought into compliance with lead safe housing rule  |                       |        |                |                       |
| 14A Rehab; Single-Unit Residential 570.202  | Matrix Codes  | Matrix Codes          |        |                |                       |
| Matrix Codes  | Matrix Codes  | Matrix Codes          |        |                |                       |
| Matrix Codes  | Matrix Codes  | Matrix Codes          |        |                |                       |
| <b>Program Year 1</b>   | CDBG  | <b>Proposed Amt.</b>  | 100116 | Fund Source:   | <b>Proposed Amt.</b>  |
|   |   | <b>Actual Amount</b>  |        |                | <b>Actual Amount</b>  |
|   | Fund Source:  | <b>Proposed Amt.</b>  |        | Fund Source:   | <b>Proposed Amt.</b>  |
|   |   | <b>Actual Amount</b>  |        |                | <b>Actual Amount</b>  |
|   | 04 Households   | <b>Proposed Units</b> | 6      | Accompl. Type: | <b>Proposed Units</b> |
|   |   | <b>Actual Units</b>   |        |                | <b>Actual Units</b>   |
|   | Accompl. Type:  | <b>Proposed Units</b> |        | Accompl. Type: | <b>Proposed Units</b> |
|   |   | <b>Actual Units</b>   |        |                | <b>Actual Units</b>   |

|                       |                       |                      |                  |                       |                      |  |
|-----------------------|-----------------------|----------------------|------------------|-----------------------|----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |

|  |  |                            |                  |                       |
|--|--|----------------------------|------------------|-----------------------|
| <b>Project Name:</b> Shelters of Saratoga - Second Step - Transitional Housing   |  |                            |                  |                       |
| <b>Description:</b>  | <b>IDIS Project #:</b> 2010-9 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS   |                            |                  |                       |
| This project is related to the construction of a 10 bed transitional housing residence, which constitutes an unduplicated cost-effective solution that bridges the gap between the existing emergency shelter and independent permanent housing. |  |                            |                  |                       |
| <b>Location:</b>   | <b>Priority Need Category</b>  |                            |                  |                       |
| The site of the new transitional housing is 20 Walworth Street, Saratoga Spring  | <b>Select one:</b> Homeless/HIV/AIDS ▼   |                            |                  |                       |
| <b>Explanation:</b>  |  |                            |                  |                       |
| <b>Expected Completion Date:</b><br>6/30/2011  | Funding related to the construction of a 10 bed transitional housing residence including Architectural/Engineering Services including site design, architectural design, structural engineering and HVAC, plumbing and electrical engineering. |                            |                  |                       |
| Objective Category<br><input checked="" type="radio"/> Decent Housing<br><input type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity   | <b>Specific Objectives</b>   |                            |                  |                       |
| Outcome Categories<br><input type="checkbox"/> Availability/Accessibility<br><input checked="" type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability  | 1 Increase range of housing options & related services for persons w/ special needs ▼<br>2 ▼<br>3 ▼  |                            |                  |                       |
| <b>Project-level Accomplishments</b>   | 01 People ▼  | <b>Proposed</b> 10         | Accompl. Type: ▼ | <b>Proposed</b>       |
|  |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|  |  | <b>Complete</b>            |                  | <b>Complete</b>       |
|  | Accompl. Type: ▼   | <b>Proposed</b>            | Accompl. Type: ▼ | <b>Proposed</b>       |
|  |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|  |  | <b>Complete</b>            |                  | <b>Complete</b>       |
|  | Accompl. Type: ▼   | <b>Proposed</b>            | Accompl. Type: ▼ | <b>Proposed</b>       |
|  |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|  |  | <b>Complete</b>            |                  | <b>Complete</b>       |
| <b>Proposed Outcome</b>  | <b>Performance Measure</b>   | <b>Actual Outcome</b>      |                  |                       |
| Enhanced access to services for the purpose of creating a suitable living environments   | # of persons provided with housing and supportive services   |                            |                  |                       |
| 03C Homeless Facilities (not operating costs) 570.201(c) ▼   | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| Matrix Codes ▼   | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| Matrix Codes ▼   | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| <b>Program Year 1</b>  | CDBG ▼   | <b>Proposed Amt.</b> 25000 | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|  |  | <b>Actual Amount</b>       |                  | <b>Actual Amount</b>  |
|  | Fund Source: ▼   | <b>Proposed Amt.</b>       | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|  |  | <b>Actual Amount</b>       |                  | <b>Actual Amount</b>  |
|  | 01 People ▼  | <b>Proposed Units</b> 10   | Accompl. Type: ▼ | <b>Proposed Units</b> |
|  |  | <b>Actual Units</b>        |                  | <b>Actual Units</b>   |
|  | Accompl. Type: ▼   | <b>Proposed Units</b>      | Accompl. Type: ▼ | <b>Proposed Units</b> |
|  |  | <b>Actual Units</b>        |                  | <b>Actual Units</b>   |

|                       |                       |                       |                  |                       |                       |  |
|-----------------------|-----------------------|-----------------------|------------------|-----------------------|-----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Accompl. Type: ▼      | <b>Proposed Units</b> |                  | Accompl. Type: ▼      | <b>Proposed Units</b> |  |
|                       |                       | <b>Actual Units</b>   |                  |                       | <b>Actual Units</b>   |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                       | Accompl. Type: ▼ | <b>Proposed Units</b> |                       |  |
|                       | <b>Actual Units</b>   |                       |                  | <b>Actual Units</b>   |                       |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Accompl. Type: ▼      | <b>Proposed Units</b> |                  | Accompl. Type: ▼      | <b>Proposed Units</b> |  |
|                       |                       | <b>Actual Units</b>   |                  |                       | <b>Actual Units</b>   |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                       | Accompl. Type: ▼ | <b>Proposed Units</b> |                       |  |
|                       | <b>Actual Units</b>   |                       |                  | <b>Actual Units</b>   |                       |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Accompl. Type: ▼      | <b>Proposed Units</b> |                  | Accompl. Type: ▼      | <b>Proposed Units</b> |  |
|                       |                       | <b>Actual Units</b>   |                  |                       | <b>Actual Units</b>   |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                       | Accompl. Type: ▼ | <b>Proposed Units</b> |                       |  |
|                       | <b>Actual Units</b>   |                       |                  | <b>Actual Units</b>   |                       |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Accompl. Type: ▼      | <b>Proposed Units</b> |                  | Accompl. Type: ▼      | <b>Proposed Units</b> |  |
|                       |                       | <b>Actual Units</b>   |                  |                       | <b>Actual Units</b>   |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                       | Accompl. Type: ▼ | <b>Proposed Units</b> |                       |  |
|                       | <b>Actual Units</b>   |                       |                  | <b>Actual Units</b>   |                       |  |

|  |  |   |                  |                       |
|--|--|---|------------------|-----------------------|
| <b>Project Name:</b> DPW - Senior Citizen Center - Improvements  |  |   |                  |                       |
| <b>Description:</b>  | <b>IDIS Project #:</b> 2010-10 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS  |   |                  |                       |
| Public facility improvements to the Senior Citizen Center of Saratoga Springs which includes the repair/installation of doors and windows.         |  |   |                  |                       |
| <b>Location:</b>   | <b>Priority Need Category</b>  |   |                  |                       |
| This public facility is located at 5 William Street, Saratoga Springs serving seniors city-wide.   | <b>Select one:</b> Public Facilities ▼   |   |                  |                       |
|  | <b>Explanation:</b>  |   |                  |                       |
| <b>Expected Completion Date:</b>   | This activity will address the City's need for adequate public facilities to house programs that assist the most vulnerable populations (elderly). |   |                  |                       |
| 6/30/2011  |  |   |                  |                       |
| Objective Category   | <b>Specific Objectives</b>   |   |                  |                       |
| <input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity |  |   |                  |                       |
| Outcome Categories   | 1 Improve quality / increase quantity of neighborhood facilities for low-income persons ▼  |   |                  |                       |
| <input checked="" type="checkbox"/> Availability/Accessibility   | 2 ▼  |   |                  |                       |
| <input type="checkbox"/> Affordability   | 3 ▼  |   |                  |                       |
| <input type="checkbox"/> Sustainability  |  |   |                  |                       |
| <b>Project-level Accomplishments</b>   | 01 People ▼  | <b>Proposed</b> 550                                   | Accompl. Type: ▼ | <b>Proposed</b>       |
|  |  | <b>Underway</b>                                       |                  | <b>Underway</b>       |
|  |  | <b>Complete</b>                                       |                  | <b>Complete</b>       |
|  | Accompl. Type: ▼   | <b>Proposed</b>                                       | Accompl. Type: ▼ | <b>Proposed</b>       |
|  |  | <b>Underway</b>                                       |                  | <b>Underway</b>       |
|  |  | <b>Complete</b>                                       |                  | <b>Complete</b>       |
|  | Accompl. Type: ▼   | <b>Proposed</b>                                       | Accompl. Type: ▼ | <b>Proposed</b>       |
|  |  | <b>Underway</b>                                       |                  | <b>Underway</b>       |
|  |  | <b>Complete</b>                                       |                  | <b>Complete</b>       |
| <b>Proposed Outcome</b>  | <b>Performance Measure</b>   | <b>Actual Outcome</b>                                 |                  |                       |
| Accompl. Type: ▼   | ices for the purpose of creating a suitable living environments  | # of persons assisted w/improved access to a facility |                  |                       |
| 03A Senior Centers 570.201(c) ▼  | Matrix Codes ▼   | Matrix Codes ▼  |                  |                       |
| Matrix Codes ▼   | Matrix Codes ▼   | Matrix Codes ▼  |                  |                       |
| Matrix Codes ▼   | Matrix Codes ▼   | Matrix Codes ▼  |                  |                       |
| <b>Program Year 1</b>  | CDBG ▼   | <b>Proposed Amt.</b> 14000                            | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|  |  | <b>Actual Amount</b>                                  |                  | <b>Actual Amount</b>  |
|  | Fund Source: ▼   | <b>Proposed Amt.</b>                                  | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|  |  | <b>Actual Amount</b>                                  |                  | <b>Actual Amount</b>  |
|  | 11 Public Facilities: ▼  | <b>Proposed Units</b> 1                               | Accompl. Type: ▼ | <b>Proposed Units</b> |
|  |  | <b>Actual Units</b>                                   |                  | <b>Actual Units</b>   |
|  | Accompl. Type: ▼   | <b>Proposed Units</b>                                 | Accompl. Type: ▼ | <b>Proposed Units</b> |
|  |  | <b>Actual Units</b>                                   |                  | <b>Actual Units</b>   |

|                |                  |                |  |                  |                |  |
|----------------|------------------|----------------|--|------------------|----------------|--|
| Program Year 2 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 3 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 4 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 5 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |

|   |  |                            |                  |                       |
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| <b>Project Name:</b> DPW-Infrastructure Improvements  |  |                            |                  |                       |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-11 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS  |                            |                  |                       |
| Renovation and restoration of sidewalks, curbs, accessible ramps, etc. to enhance public health and pedestrian safety.  |  |                            |                  |                       |
| <b>Location:</b>  | <b>Priority Need Category</b>  |                            |                  |                       |
| Eligible areas of City: Census Block Groups 610.001, 610.002, 610.006, 611.005, 612.001, 612.004  | <b>Select one:</b> Infrastructure ▼  |                            |                  |                       |
| <b>Expected Completion Date:</b> 6/30/2011  | <b>Explanation:</b> Funding for renovation and restoration of sidewalks, curbs, accessible ramps, etc. to enhance public health and pedestrian safety. |                            |                  |                       |
| Objective Category<br><input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity  | <b>Specific Objectives</b>   |                            |                  |                       |
| Outcome Categories<br><input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability | 1 Improve quality / increase quantity of public improvements for lower income persons ▼<br>2 ▼<br>3 ▼  |                            |                  |                       |
| <b>Project-level Accomplishments</b>  | 01 People ▼  | <b>Proposed</b> 4228       | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼   | <b>Proposed</b>            | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼   | <b>Proposed</b>            | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                  | <b>Complete</b>       |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>   | <b>Actual Outcome</b>      |                  |                       |
| Enhanced Neighborhood Utility Service   | Curbs/sidewalk renovated; storm draining improvement   |                            |                  |                       |
| 03 Public Facilities and Improvements (General) 570.201(c) ▼  | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| <b>Program Year 1</b>   | CDBG ▼   | <b>Proposed Amt.</b> 10000 | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>       |                  | <b>Actual Amount</b>  |
|   | Fund Source: ▼   | <b>Proposed Amt.</b>       | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>       |                  | <b>Actual Amount</b>  |
|   | 01 People ▼  | <b>Proposed Units</b> 4228 | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>        |                  | <b>Actual Units</b>   |
|   | Accompl. Type: ▼   | <b>Proposed Units</b>      | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>        |                  | <b>Actual Units</b>   |

|                |                  |                |  |                  |                |  |
|----------------|------------------|----------------|--|------------------|----------------|--|
| Program Year 2 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 3 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 4 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 5 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |

|   |  |                            |                                     |                       |
|---|--|----------------------------|-------------------------------------|-----------------------|
| <b>Project Name:</b> Frederick Allen Lodge Historic Building Preservation   |  |                            |                                     |                       |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-12 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS  |                            |                                     |                       |
| Funding for structural improvement to stabilize and preserve this building eligible for listing on the National Register of Historic Places, within the Beekman Street Arts District and currently the home of the Frederick Allen Lodge #609 and Mary A. Carter Temple #362. |  |                            |                                     |                       |
| <b>Location:</b>  | <b>Priority Need Category</b>  |                            |                                     |                       |
| Located at 69 Beekman Street, Saratoga Springs  | <b>Select one:</b> Other <input type="text"/>  |                            |                                     |                       |
| <b>Expected Completion Date:</b><br>6/30/2011   | <b>Explanation:</b><br>This activity will address the City's need to address areas of spot blight and to stabilize and rehabilitate its historic contributing structures. This building is located in Block Group 612-002. |                            |                                     |                       |
| Objective Category<br><input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity  | <b>Specific Objectives</b>   |                            |                                     |                       |
| Outcome Categories<br><input type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input checked="" type="checkbox"/> Sustainability   | 1 <input type="text"/>   |                            |                                     |                       |
|   | 2 <input type="text"/>   |                            |                                     |                       |
|   | 3 <input type="text"/>   |                            |                                     |                       |
| <b>Project-level Accomplishments</b>  | 11 Public Facilities <input type="text"/>  | <b>Proposed</b> 1          | Accompl. Type: <input type="text"/> | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                                     | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                                     | <b>Complete</b>       |
|   | Accompl. Type: <input type="text"/>  | <b>Proposed</b>            | Accompl. Type: <input type="text"/> | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                                     | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                                     | <b>Complete</b>       |
|   | Accompl. Type: <input type="text"/>  | <b>Proposed</b>            | Accompl. Type: <input type="text"/> | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                                     | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                                     | <b>Complete</b>       |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>   | <b>Actual Outcome</b>      |                                     |                       |
| Enhance suitable living environment through improved/new sustainability   | Structural stabilization and rehabilitation of historic property   |                            |                                     |                       |
| 16B Non-Residential Historic Preservation 570.202(d) <input type="text"/>   | Matrix Codes <input type="text"/>  |                            |                                     |                       |
| Matrix Codes <input type="text"/>   | Matrix Codes <input type="text"/>  |                            |                                     |                       |
| Matrix Codes <input type="text"/>   | Matrix Codes <input type="text"/>  |                            |                                     |                       |
| <b>Program Year 1</b>   | CDBG <input type="text"/>  | <b>Proposed Amt.</b> 10000 | Fund Source: <input type="text"/>   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>       |                                     | <b>Actual Amount</b>  |
|   | Fund Source: <input type="text"/>  | <b>Proposed Amt.</b>       | Fund Source: <input type="text"/>   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>       |                                     | <b>Actual Amount</b>  |
|   | 11 Public Facilities <input type="text"/>  | <b>Proposed Units</b> 1    | Accompl. Type: <input type="text"/> | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>        |                                     | <b>Actual Units</b>   |
|   | Accompl. Type: <input type="text"/>  | <b>Proposed Units</b>      | Accompl. Type: <input type="text"/> | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>        |                                     | <b>Actual Units</b>   |

|                       |                       |                      |                  |                       |                      |  |
|-----------------------|-----------------------|----------------------|------------------|-----------------------|----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |

|   |   |                           |                  |                       |
|---|---|---------------------------|------------------|-----------------------|
| <b>Project Name:</b> Mother Susan Anderson Emergency Shelter Rehabilitation Project   |   |                           |                  |                       |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-13 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS<br>Public facility improvement to rehabilitate this 7-bed womens and children emergency shelter |                           |                  |                       |
| <b>Location:</b><br>Shelter is located at 60 Caroline Street, Saratoga Springs - serving persons City-wide  | <b>Priority Need Category</b><br><b>Select one:</b> Homeless/HIV/AIDS ▼   |                           |                  |                       |
| <b>Expected Completion Date:</b><br>6/30/2011   | <b>Explanation:</b><br>This activity will address the City's need for adequate public facilities to assist the homeless and to prevent further homelessness.              |                           |                  |                       |
| Objective Category<br><input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity  |   |                           |                  |                       |
| Outcome Categories<br><input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability | 1 Improve quality / increase quantity of neighborhood facilities for low-income persons ▼<br>2 ▼<br>3 ▼   |                           |                  |                       |
| <b>Project-level Accomplishments</b>  | 01 People ▼   | <b>Proposed</b> 12        | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |   | <b>Underway</b>           |                  | <b>Underway</b>       |
|   |   | <b>Complete</b>           |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼  | <b>Proposed</b>           | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |   | <b>Underway</b>           |                  | <b>Underway</b>       |
|   |   | <b>Complete</b>           |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼  | <b>Proposed</b>           | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |   | <b>Underway</b>           |                  | <b>Underway</b>       |
|   |   | <b>Complete</b>           |                  | <b>Complete</b>       |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>  | <b>Actual Outcome</b>     |                  |                       |
| Enhance suitable living environment through improved/new sustainability   | # of homeless persons given overnight shelter and services  |                           |                  |                       |
| 03C Homeless Facilities (not operating costs) 570.201(c) ▼  | Matrix Codes ▼  |                           |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼  |                           |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼  |                           |                  |                       |
| <b>Program Year 1</b>   | CDBG ▼  | <b>Proposed Amt.</b> 8000 | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |   | <b>Actual Amount</b>      |                  | <b>Actual Amount</b>  |
|   | Fund Source: ▼  | <b>Proposed Amt.</b>      | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |   | <b>Actual Amount</b>      |                  | <b>Actual Amount</b>  |
|   | 11 Public Facilities: ▼   | <b>Proposed Units</b> 1   | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |   | <b>Actual Units</b>       |                  | <b>Actual Units</b>   |
|   | Accompl. Type: ▼  | <b>Proposed Units</b>     | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |   | <b>Actual Units</b>       |                  | <b>Actual Units</b>   |

|                |                  |                |  |                  |                |  |
|----------------|------------------|----------------|--|------------------|----------------|--|
| Program Year 2 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 3 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 4 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 5 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |

|  |  |         |  |
|--|--|---------|--|
| <b>Project Name:</b>   | Franklin Community Center Public Facility Improvements |         |  |
| <b>Description:</b>  | <b>IDIS Project #:</b>                                 | 2010-14 | <b>UOG Code:</b> NY365800 SARATOGA SPRINGS |
| Funding for improvements to Franklin Community Manor building. |  |         |  |

|   |   |
|---|---|
| <b>Location:</b>  | <b>Priority Need Category</b>   |
| The Franklin Manor is located at 95 Congress Street, Saratoga Springs | Select one: <span style="border: 1px solid black; padding: 2px;">Public Facilities</span> ▼ |
| <b>Explanation:</b>   |   |

|  |   |
|--|---|
| <b>Expected Completion Date:</b>   | This activity will address the City's need for adequate public facilities to house the most vulnerable populations (low-moderate income persons). |
| 6/30/2011  |   |
| Objective Category   |   |
| <input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity |   |

|  |   |
|--|---|
| <b>Specific Objectives</b>                                     |   |
| Outcome Categories   | 1 Improve quality / increase quantity of neighborhood facilities for low-income persons ▼ |
| <input checked="" type="checkbox"/> Availability/Accessibility | 2 ▼   |
| <input type="checkbox"/> Affordability                         | 3 ▼   |
| <input type="checkbox"/> Sustainability                        |   |

|                                      |                  |                 |    |                  |                 |  |
|--------------------------------------|------------------|-----------------|----|------------------|-----------------|--|
| <b>Project-level Accomplishments</b> | 01 People ▼      | <b>Proposed</b> | 17 | Accompl. Type: ▼ | <b>Proposed</b> |  |
|                                      |                  | <b>Underway</b> |    |                  | <b>Underway</b> |  |
|                                      |                  | <b>Complete</b> |    |                  | <b>Complete</b> |  |
|                                      | Accompl. Type: ▼ | <b>Proposed</b> |    | Accompl. Type: ▼ | <b>Proposed</b> |  |
|                                      |                  | <b>Underway</b> |    |                  | <b>Underway</b> |  |
|                                      |                  | <b>Complete</b> |    |                  | <b>Complete</b> |  |
|                                      | Accompl. Type: ▼ | <b>Proposed</b> |    | Accompl. Type: ▼ | <b>Proposed</b> |  |
|                                      |                  | <b>Underway</b> |    |                  | <b>Underway</b> |  |
|                                      |                  | <b>Complete</b> |    |                  | <b>Complete</b> |  |

|   |   |                       |
|---|---|-----------------------|
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>                      | <b>Actual Outcome</b> |
| Enhance suitable living environment through improved/new sustainability | # of persons assisted with a improved facility. |                       |

|  |                |
|--|----------------|
| 03E Neighborhood Facilities 570.201(c) ▼ | Matrix Codes ▼ |
| Matrix Codes ▼                           | Matrix Codes ▼ |
| Matrix Codes ▼                           | Matrix Codes ▼ |

|                       |                        |                       |      |                  |                       |  |
|-----------------------|------------------------|-----------------------|------|------------------|-----------------------|--|
| <b>Program Year 1</b> | CDBG ▼                 | <b>Proposed Amt.</b>  | 8000 | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                       |                        | <b>Actual Amount</b>  |      |                  | <b>Actual Amount</b>  |  |
|                       | Fund Source: ▼         | <b>Proposed Amt.</b>  |      | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                       |                        | <b>Actual Amount</b>  |      |                  | <b>Actual Amount</b>  |  |
|                       | 11 Public Facilities ▼ | <b>Proposed Units</b> | 1    | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                       |                        | <b>Actual Units</b>   |      |                  | <b>Actual Units</b>   |  |
|                       | Accompl. Type: ▼       | <b>Proposed Units</b> |      | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                       |                        | <b>Actual Units</b>   |      |                  | <b>Actual Units</b>   |  |

|                       |                       |                      |                  |                       |                      |  |
|-----------------------|-----------------------|----------------------|------------------|-----------------------|----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |

|   |  |                       |      |                  |                       |
|---|--|-----------------------|------|------------------|-----------------------|
| <b>Project Name:</b> Rebuilding Together Rehabilitation Projects  |  |                       |      |                  |                       |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-15 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS  |                       |      |                  |                       |
| To assist in funding this volunteer-assisted housing rehabilitation program   |  |                       |      |                  |                       |
| <b>Location:</b>  | <b>Priority Need Category</b>  |                       |      |                  |                       |
| City-wide   | <b>Select one:</b> Owner Occupied Housing ▼  |                       |      |                  |                       |
| <b>Explanation:</b>   |  |                       |      |                  |                       |
| <b>Expected Completion Date:</b><br>6/30/2011   | This activity will address the need to assist elderly homeowners with necessary repairs to their homes.              |                       |      |                  |                       |
| Objective Category<br><input checked="" type="radio"/> Decent Housing<br><input type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity  | <b>Specific Objectives</b>   |                       |      |                  |                       |
| Outcome Categories<br><input type="checkbox"/> Availability/Accessibility<br><input checked="" type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability | 1 Improve the quality of owner housing ▼<br>2 ▼<br>3 ▼   |                       |      |                  |                       |
| <b>Project-level Accomplishments</b>  | 04 Households ▼  | <b>Proposed</b>       | 2    | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>       |      |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>       |      |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼   | <b>Proposed</b>       |      | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>       |      |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>       |      |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼   | <b>Proposed</b>       |      | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>       |      |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>       |      |                  | <b>Complete</b>       |
|   |  | <b>Proposed</b>       |      |                  | <b>Proposed</b>       |
|   |  | <b>Underway</b>       |      |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>       |      |                  | <b>Complete</b>       |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>   | <b>Actual Outcome</b> |      |                  |                       |
| Enhance suitable living environment through improved/new sustainability   | # of units brought from substandard to HQS standards; # of units brought into compliance with lead safe housing rule |                       |      |                  |                       |
| 14A Rehab; Single-Unit Residential 570.202 ▼  | Matrix Codes ▼   | Matrix Codes ▼        |      |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼   | Matrix Codes ▼        |      |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼   | Matrix Codes ▼        |      |                  |                       |
| <b>Program Year 1</b>   | CDBG ▼   | <b>Proposed Amt.</b>  | 5000 | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>  |      |                  | <b>Actual Amount</b>  |
|   | Fund Source: ▼   | <b>Proposed Amt.</b>  |      | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>  |      |                  | <b>Actual Amount</b>  |
|   | 04 Households ▼  | <b>Proposed Units</b> | 2    | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>   |      |                  | <b>Actual Units</b>   |
|   | Accompl. Type: ▼   | <b>Proposed Units</b> |      | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>   |      |                  | <b>Actual Units</b>   |

|                |                  |                |  |                  |                |  |
|----------------|------------------|----------------|--|------------------|----------------|--|
| Program Year 2 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 3 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 4 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
| Program Year 5 | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Fund Source: ▼   | Proposed Amt.  |  | Fund Source: ▼   | Proposed Amt.  |  |
|                |                  | Actual Amount  |  |                  | Actual Amount  |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |
|                | Accompl. Type: ▼ | Proposed Units |  | Accompl. Type: ▼ | Proposed Units |  |
|                |                  | Actual Units   |  |                  | Actual Units   |  |

|   |   |                       |       |                  |                       |  |
|---|---|-----------------------|-------|------------------|-----------------------|--|
| <b>Project Name:</b> Community Development Program Administration   |   |                       |       |                  |                       |  |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-16 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS     |                       |       |                  |                       |  |
| For eligible costs associated with the general administration of the City's Community Development Block Grant program and associated activities.                          |   |                       |       |                  |                       |  |
| <b>Location:</b><br>Program is administered through the City's CD office located at 474 Broadway, Saratoga Springs  | <b>Priority Need Category</b><br><b>Select one:</b> Planning/Administration ▼ |                       |       |                  |                       |  |
| <b>Expected Completion Date:</b><br>6/30/2011   | <b>Explanation:</b>   |                       |       |                  |                       |  |
| Objective Category<br><input type="radio"/> Decent Housing<br><input checked="" type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity  | <b>Specific Objectives</b>  |                       |       |                  |                       |  |
| Outcome Categories<br><input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability | 1. _____ ▼<br>2. _____ ▼<br>3. _____ ▼  |                       |       |                  |                       |  |
| <b>Project-level Accomplishments</b>  | Accompl. Type: ▼  | <b>Proposed</b>       |       | Accompl. Type: ▼ | <b>Proposed</b>       |  |
|   |   | <b>Underway</b>       |       |                  | <b>Underway</b>       |  |
|   |   | <b>Complete</b>       |       |                  | <b>Complete</b>       |  |
|   | Accompl. Type: ▼  | <b>Proposed</b>       |       | Accompl. Type: ▼ | <b>Proposed</b>       |  |
|   |   | <b>Underway</b>       |       |                  | <b>Underway</b>       |  |
|   |   | <b>Complete</b>       |       |                  | <b>Complete</b>       |  |
|   | Accompl. Type: ▼  | <b>Proposed</b>       |       | Accompl. Type: ▼ | <b>Proposed</b>       |  |
|   |   | <b>Underway</b>       |       |                  | <b>Underway</b>       |  |
|   |   | <b>Complete</b>       |       |                  | <b>Complete</b>       |  |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>  | <b>Actual Outcome</b> |       |                  |                       |  |
| 21A General Program Administration 570.206 ▼  |   | Matrix Codes ▼        |       |                  |                       |  |
| Matrix Codes ▼  |   | Matrix Codes ▼        |       |                  |                       |  |
| Matrix Codes ▼  |   | Matrix Codes ▼        |       |                  |                       |  |
| <b>Program Year 1</b>   | CDBG ▼  | <b>Proposed Amt.</b>  | 70000 | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|   |   | <b>Actual Amount</b>  |       |                  | <b>Actual Amount</b>  |  |
|   | Fund Source: ▼  | <b>Proposed Amt.</b>  |       | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|   |   | <b>Actual Amount</b>  |       |                  | <b>Actual Amount</b>  |  |
|   | Accompl. Type: ▼  | <b>Proposed Units</b> |       | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|   |   | <b>Actual Units</b>   |       |                  | <b>Actual Units</b>   |  |
|   | Accompl. Type: ▼  | <b>Proposed Units</b> |       | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|   |   | <b>Actual Units</b>   |       |                  | <b>Actual Units</b>   |  |

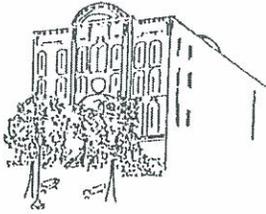
|                |                  |                       |  |                  |                       |  |
|----------------|------------------|-----------------------|--|------------------|-----------------------|--|
| Program Year 2 | Fund Source: ▼   | <b>Proposed Amt.</b>  |  | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                |                  | <b>Actual Amount</b>  |  |                  | <b>Actual Amount</b>  |  |
|                | Fund Source: ▼   | <b>Proposed Amt.</b>  |  | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                |                  | <b>Actual Amount</b>  |  |                  | <b>Actual Amount</b>  |  |
|                | Accompl. Type: ▼ | <b>Proposed Units</b> |  | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                |                  | <b>Actual Units</b>   |  |                  | <b>Actual Units</b>   |  |
|                | Accompl. Type: ▼ | <b>Proposed Units</b> |  | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                |                  | <b>Actual Units</b>   |  |                  | <b>Actual Units</b>   |  |
| Program Year 3 | Fund Source: ▼   | <b>Proposed Amt.</b>  |  | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                |                  | <b>Actual Amount</b>  |  |                  | <b>Actual Amount</b>  |  |
|                | Fund Source: ▼   | <b>Proposed Amt.</b>  |  | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                |                  | <b>Actual Amount</b>  |  |                  | <b>Actual Amount</b>  |  |
|                | Accompl. Type: ▼ | <b>Proposed Units</b> |  | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                |                  | <b>Actual Units</b>   |  |                  | <b>Actual Units</b>   |  |
|                | Accompl. Type: ▼ | <b>Proposed Units</b> |  | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                |                  | <b>Actual Units</b>   |  |                  | <b>Actual Units</b>   |  |
| Program Year 4 | Fund Source: ▼   | <b>Proposed Amt.</b>  |  | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                |                  | <b>Actual Amount</b>  |  |                  | <b>Actual Amount</b>  |  |
|                | Fund Source: ▼   | <b>Proposed Amt.</b>  |  | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                |                  | <b>Actual Amount</b>  |  |                  | <b>Actual Amount</b>  |  |
|                | Accompl. Type: ▼ | <b>Proposed Units</b> |  | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                |                  | <b>Actual Units</b>   |  |                  | <b>Actual Units</b>   |  |
|                | Accompl. Type: ▼ | <b>Proposed Units</b> |  | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                |                  | <b>Actual Units</b>   |  |                  | <b>Actual Units</b>   |  |
| Program Year 5 | Fund Source: ▼   | <b>Proposed Amt.</b>  |  | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                |                  | <b>Actual Amount</b>  |  |                  | <b>Actual Amount</b>  |  |
|                | Fund Source: ▼   | <b>Proposed Amt.</b>  |  | Fund Source: ▼   | <b>Proposed Amt.</b>  |  |
|                |                  | <b>Actual Amount</b>  |  |                  | <b>Actual Amount</b>  |  |
|                | Accompl. Type: ▼ | <b>Proposed Units</b> |  | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                |                  | <b>Actual Units</b>   |  |                  | <b>Actual Units</b>   |  |
|                | Accompl. Type: ▼ | <b>Proposed Units</b> |  | Accompl. Type: ▼ | <b>Proposed Units</b> |  |
|                |                  | <b>Actual Units</b>   |  |                  | <b>Actual Units</b>   |  |

|  |                  |  |  |                  |                           |                       |  |
|--|------------------|--|--|------------------|---------------------------|-----------------------|--|
| <b>Project Name:</b>   |                  | Residential Rehabilitation Revolving Loan Program  |  |                  |                           |                       |  |
| <b>Description:</b>  |                  | <b>IDIS Project #:</b>   | 2010-17                                | <b>UOG Code:</b> | NY365800 SARATOGA SPRINGS |                       |  |
| <p>A continuing revolving loan program offering low-interest (0%-3%) loans up to \$10,000 with repayment terms up to 5 years for eligible owner-occupants, and 3%-below prime rate loans for rental property owners that provide affordable apartments to eligible tenants for 4 years. This program is funded by program income from the repayment of loan principle and interest - anticipated program income for 2010 is \$3,238.</p> |                  |  |  |                  |                           |                       |  |
| <b>Location:</b>   |                  | <b>Priority Need Category</b>  |  |                  |                           |                       |  |
| Program is administered through the City's CD office located at 474 Broadway, Saratoga Springs   |                  | <b>Select one:</b>   |  |                  | Owner Occupied Housing ▼  |                       |  |
|  |                  | <b>Explanation:</b>  |  |                  |                           |                       |  |
| <b>Expected Completion Date:</b>   |                  | This activity will address the need to improve, increase the affordability, and address lead-based paint hazards in the City's exisiting housing stock. Loans are available to both owner occupied |  |                  |                           |                       |  |
| 6/30/2011  |                  |  |  |                  |                           |                       |  |
| Objective Category<br><input checked="" type="radio"/> Decent Housing<br><input type="radio"/> Suitable Living Environment<br><input type="radio"/> Economic Opportunity   |                  | <b>Specific Objectives</b>   |  |                  |                           |                       |  |
| Outcome Categories<br><input type="checkbox"/> Availability/Accessibility<br><input checked="" type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability  |                  | 1  | Improve the quality of owner housing ▼ |                  |                           |                       |  |
|  |                  | 2  | ▼                                      |                  |                           |                       |  |
|  |                  | 3  | ▼                                      |                  |                           |                       |  |
| <b>Project-level Accomplishments</b>   | 04 Households ▼  | <b>Proposed</b>  | 1                                      |                  | Accompl. Type: ▼          | <b>Proposed</b>       |  |
|  |                  | <b>Underway</b>  |  |                  |                           | <b>Underway</b>       |  |
|  |                  | <b>Complete</b>  |  |                  |                           | <b>Complete</b>       |  |
|  | Accompl. Type: ▼ | <b>Proposed</b>  |  |                  | Accompl. Type: ▼          | <b>Proposed</b>       |  |
|  |                  | <b>Underway</b>  |  |                  |                           | <b>Underway</b>       |  |
|  |                  | <b>Complete</b>  |  |                  |                           | <b>Complete</b>       |  |
|  | Accompl. Type: ▼ | <b>Proposed</b>  |  |                  | Accompl. Type: ▼          | <b>Proposed</b>       |  |
|  |                  | <b>Underway</b>  |  |                  |                           | <b>Underway</b>       |  |
|  |                  | <b>Complete</b>  |  |                  |                           | <b>Complete</b>       |  |
| <b>Proposed Outcome</b>  |                  | <b>Performance Measure</b>   |  |                  | <b>Actual Outcome</b>     |                       |  |
| Enhanced affordability for the purpose of providing decent housing   |                  | # of units brought from substandard to HQS standards; # of units brought into compliance with lead safe housing rule   |  |                  |                           |                       |  |
| 14A Rehab; Single-Unit Residential 570.202 ▼   |                  | Matrix Codes ▼   |  |                  | Matrix Codes ▼            |                       |  |
| Matrix Codes ▼   |                  | Matrix Codes ▼   |  |                  | Matrix Codes ▼            |                       |  |
| Matrix Codes ▼   |                  | Matrix Codes ▼   |  |                  | Matrix Codes ▼            |                       |  |
| <b>Program Year 1</b>  | Other ▼          | <b>Proposed Amt.</b>   | 3238                                   |                  | Fund Source: ▼            | <b>Proposed Amt.</b>  |  |
|  |                  | <b>Actual Amount</b>   |  |                  |                           | <b>Actual Amount</b>  |  |
|  | Fund Source: ▼   | <b>Proposed Amt.</b>   |  |                  | Fund Source: ▼            | <b>Proposed Amt.</b>  |  |
|  |                  | <b>Actual Amount</b>   |  |                  |                           | <b>Actual Amount</b>  |  |
|  | 04 Households ▼  | <b>Proposed Units</b>  | 1                                      |                  | Accompl. Type: ▼          | <b>Proposed Units</b> |  |
|  |                  | <b>Actual Units</b>  |  |                  |                           | <b>Actual Units</b>   |  |
|  | Accompl. Type: ▼ | <b>Proposed Units</b>  |  |                  | Accompl. Type: ▼          | <b>Proposed Units</b> |  |
|  |                  | <b>Actual Units</b>  |  |                  |                           | <b>Actual Units</b>   |  |

|                       |                       |                      |                  |                       |                      |  |
|-----------------------|-----------------------|----------------------|------------------|-----------------------|----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b> |                  | Fund Source: ▼        | <b>Proposed Amt.</b> |  |
|                       |                       | <b>Actual Amount</b> |                  |                       | <b>Actual Amount</b> |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                      | Accompl. Type: ▼ | <b>Proposed Units</b> |                      |  |
|                       | <b>Actual Units</b>   |                      |                  | <b>Actual Units</b>   |                      |  |

|   |  |                            |                  |                       |
|---|--|----------------------------|------------------|-----------------------|
| <b>Project Name:</b> Economic Development Revolving Loan Program  |  |                            |                  |                       |
| <b>Description:</b>   | <b>IDIS Project #:</b> 2010-18 <b>UOG Code:</b> NY365800 SARATOGA SPRINGS  |                            |                  |                       |
| A continuing revolving loan program providing low-interest loans to eligible businesses that create full-time equivalent (FTE) employment positions for persons of low-and-moderate income. At least 1 FTE is required for every \$25,000 loaned. This program is funded by program income from the repayment of loan principle and interest - anticipated program income for 2010 is \$52,793. |  |                            |                  |                       |
| <b>Location:</b>  | <b>Priority Need Category</b>  |                            |                  |                       |
| Program is administered through the City's CD office located at 474 Broadway, Saratoga Springs - City wide  | <b>Select one:</b> Economic Development ▼  |                            |                  |                       |
| <b>Expected Completion Date:</b>  | <b>Explanation:</b>  |                            |                  |                       |
| 6/30/2011   | This ongoing revolving loan program addresses the City's need to improve and expand economic opportunities for persons of low-moderate income. |                            |                  |                       |
| Objective Category  | <b>Specific Objectives</b>   |                            |                  |                       |
| <input type="radio"/> Decent Housing<br><input type="radio"/> Suitable Living Environment<br><input checked="" type="radio"/> Economic Opportunity  | 1 Improve economic opportunities for low-income persons ▼  |                            |                  |                       |
| Outcome Categories  | 2 ▼  |                            |                  |                       |
| <input checked="" type="checkbox"/> Availability/Accessibility<br><input type="checkbox"/> Affordability<br><input type="checkbox"/> Sustainability   | 3 ▼  |                            |                  |                       |
| <b>Project-level Accomplishments</b>  | 13 Jobs ▼  | <b>Proposed</b> 1          | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼   | <b>Proposed</b>            | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                  | <b>Complete</b>       |
|   | Accompl. Type: ▼   | <b>Proposed</b>            | Accompl. Type: ▼ | <b>Proposed</b>       |
|   |  | <b>Underway</b>            |                  | <b>Underway</b>       |
|   |  | <b>Complete</b>            |                  | <b>Complete</b>       |
| <b>Proposed Outcome</b>   | <b>Performance Measure</b>   | <b>Actual Outcome</b>      |                  |                       |
| Enhance accessibility for the purpose of creating economic opportunities  | # of jobs created and filled by persons of low-moderate income.  |                            |                  |                       |
| 18A ED Direct Financial Assistance to For-Profits 570.203(b) ▼  | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| Matrix Codes ▼  | Matrix Codes ▼   | Matrix Codes ▼             |                  |                       |
| <b>Program Year 1</b>   | CDBG ▼   | <b>Proposed Amt.</b> 52793 | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>       |                  | <b>Actual Amount</b>  |
|   | Fund Source: ▼   | <b>Proposed Amt.</b>       | Fund Source: ▼   | <b>Proposed Amt.</b>  |
|   |  | <b>Actual Amount</b>       |                  | <b>Actual Amount</b>  |
|   | 13 Jobs ▼  | <b>Proposed Units</b> 1    | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>        |                  | <b>Actual Units</b>   |
|   | Accompl. Type: ▼   | <b>Proposed Units</b>      | Accompl. Type: ▼ | <b>Proposed Units</b> |
|   |  | <b>Actual Units</b>        |                  | <b>Actual Units</b>   |

|                       |                       |                       |                  |                       |                       |  |
|-----------------------|-----------------------|-----------------------|------------------|-----------------------|-----------------------|--|
| <b>Program Year 2</b> | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Accompl. Type: ▼      | <b>Proposed Units</b> |                  | Accompl. Type: ▼      | <b>Proposed Units</b> |  |
|                       |                       | <b>Actual Units</b>   |                  |                       | <b>Actual Units</b>   |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                       | Accompl. Type: ▼ | <b>Proposed Units</b> |                       |  |
|                       | <b>Actual Units</b>   |                       |                  | <b>Actual Units</b>   |                       |  |
| <b>Program Year 3</b> | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Accompl. Type: ▼      | <b>Proposed Units</b> |                  | Accompl. Type: ▼      | <b>Proposed Units</b> |  |
|                       |                       | <b>Actual Units</b>   |                  |                       | <b>Actual Units</b>   |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                       | Accompl. Type: ▼ | <b>Proposed Units</b> |                       |  |
|                       | <b>Actual Units</b>   |                       |                  | <b>Actual Units</b>   |                       |  |
| <b>Program Year 4</b> | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Accompl. Type: ▼      | <b>Proposed Units</b> |                  | Accompl. Type: ▼      | <b>Proposed Units</b> |  |
|                       |                       | <b>Actual Units</b>   |                  |                       | <b>Actual Units</b>   |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                       | Accompl. Type: ▼ | <b>Proposed Units</b> |                       |  |
|                       | <b>Actual Units</b>   |                       |                  | <b>Actual Units</b>   |                       |  |
| <b>Program Year 5</b> | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Fund Source: ▼        | <b>Proposed Amt.</b>  |                  | Fund Source: ▼        | <b>Proposed Amt.</b>  |  |
|                       |                       | <b>Actual Amount</b>  |                  |                       | <b>Actual Amount</b>  |  |
|                       | Accompl. Type: ▼      | <b>Proposed Units</b> |                  | Accompl. Type: ▼      | <b>Proposed Units</b> |  |
|                       |                       | <b>Actual Units</b>   |                  |                       | <b>Actual Units</b>   |  |
| Accompl. Type: ▼      | <b>Proposed Units</b> |                       | Accompl. Type: ▼ | <b>Proposed Units</b> |                       |  |
|                       | <b>Actual Units</b>   |                       |                  | <b>Actual Units</b>   |                       |  |



# CITY OF SARATOGA SPRINGS

OFFICE OF COMMUNITY DEVELOPMENT

— ❖ —  
CITY HALL - 474 BROADWAY  
SARATOGA SPRINGS, NEW YORK 12866  
TEL: 518-587-3550 X.2575 FAX: 518-580-9480  
[HTTP://WWW.SARATOGA-SPRINGS.ORG/CD](http://www.saratoga-springs.org/cd)



## - APPLICATION INFORMATION PACKET -

### COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) ENTITLEMENT PROGRAM 2010 PROGRAM YEAR

The Saratoga Springs Office of Community Development is now seeking applications for funding under the Community Development Block Grant (CDBG) Entitlement Program for the 2010 Program Year (1 July 2010 – 30 June 2011).

**PROGRAM OBJECTIVE:** The objective of this program, funded by the U.S. Department of Housing and Urban Development (HUD), is to assist the development of viable urban communities by providing decent housing, a suitable living environment and expanding economic opportunities principally for persons of low- and moderate-income within the City of Saratoga Springs.

**ELIGIBLE ACTIVITIES:** Eligible activities will address community development needs as prioritized in the City's 2010 Consolidated Plan and may include affordable housing acquisition, construction and rehabilitation; economic development and job creation; public facility and infrastructure improvements; public services and other federally eligible activities.

**AVAILABLE FUNDS:** Approximately \$ 300,000

**APPLICATION DEADLINE:** Completed applications with all necessary information and copies may be submitted to the Office of Community Development, City Hall – 474 Broadway, Saratoga Springs, New York 12866, no later than 4:30 PM on Friday, 29 January 2010.

**REQUIRED COPIES:** 1 original, plus 1 electronic version in “.pdf” format (Please contact us for assistance, if necessary)

**PUBLIC HEARINGS:** All applicants are strongly encouraged to speak on behalf of their proposal at one of the two Public Hearings scheduled below. Persons wishing to speak may sign in at 5:45 PM and speakers will appear in the order in which they sign up.

Public Hearing #1 Monday, February 1, 6:00 PM (5:45 sign-in)  
City Council Room, City Hall, Saratoga Springs, NY 12866

Public Hearing #2 Thursday, February 4, 6:00 PM (5:45 sign-in)  
William H. Ford Neighborhood Center  
37 Fenlon Street, Saratoga Springs, NY 12866

**FOR INFORMATION, PLEASE CONTACT:** Saratoga Springs Office of Community Development  
City Hall - 474 Broadway  
Saratoga Springs, New York 12866  
(518) 587-3550 Ext. 2575

## 2010 PROGRAM YEAR ANTICIPATED SCHEDULE OF EVENTS

- December 9<sup>th</sup> - Wednesday - 2010 Entitlement funding applications and information packets available
- January 29 - Friday - Application deadline (due no later than 4:30 PM)
- February 1 - Monday - PUBLIC HEARING # 1:  
City Hall - 474 Broadway  
6:00 PM (sign-in at 5:45 PM)
- February 4 - Thursday - Public Hearing #2:  
William H. Ford Neighborhood Center, 37 Fenlon Street  
6:00 PM (sign-in at 5:45 PM)
- March 2 - Tuesday - Present recommended 2010 Entitlement Plan to City Council
- March 3 - April 2 - Comment period for recommended 2010 Entitlement Action to Plan
- March 16 - Tuesday - Public Hearing on recommended 2010 Entitlement Plan
- April 6 - Tuesday - City Council vote on final 2010 Entitlement Plan
- May 3 – Monday - Submission of 2010 Entitlement Action Plan to HUD-Buffalo
- July 1 – Thursday - 2010 Entitlement Program Year Begins
- September to October 2010 - Anticipated receipt of HUD Grant Agreement and Release of Funds

### INCOME AND RENT GUIDELINES

#### INCOME GUIDELINES

The following guidelines represent income limits by household size and maximum annual income as determined by HUD, effective February 2009, for assistance under the Community Development Block Grant program.

| “LOW INCOME”          |                              | “MODERATE INCOME”     |                              |
|-----------------------|------------------------------|-----------------------|------------------------------|
| <u>Household Size</u> | <u>Maximum Annual Income</u> | <u>Household Size</u> | <u>Maximum Annual Income</u> |
| 1 person              | \$ 25,950                    | 1 person              | \$ 41,500                    |
| 2 persons             | 29,650                       | 2 persons             | 47,450                       |
| 3 persons             | 33,350                       | 3 persons             | 53,350                       |
| 4 persons             | 37,050                       | 4 persons             | 59,300                       |
| 5 persons             | 40,000                       | 5 persons             | 64,050                       |
| 6 persons             | 43,000                       | 6 persons             | 68,800                       |
| 7 persons             | 45,950                       | 7 persons             | 73,550                       |
| 8 or more persons     | 48,900                       | 8 or more persons     | 78,300                       |

#### AFFORDABLE RENT GUIDELINES

The following rent guidelines are for use in developing your proposal. These rates were established using local Fair Market Rents (FMR), developed by the U.S. Dept. of HUD.

|            | <u>MAXIMUM RENT</u>        | <u>MAXIMUM RENT WITHOUT UTILITIES</u> |            |
|------------|----------------------------|---------------------------------------|------------|
|            | <u>Including Utilities</u> | <u>Electric</u>                       | <u>Gas</u> |
| 1 bedroom  | \$711                      | \$581                                 | \$611      |
| 2 bedrooms | \$868                      | \$738                                 | \$768      |
| 3 bedrooms | \$1,039                    | \$909                                 | \$939      |
| 4 bedrooms | \$1,135                    | \$1,005                               | \$1,035    |

APPLICATION FOR  
COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) ENTITLEMENT PROGRAM  
— 2010 Program Year Funding —

ACTIVITY NAME: \_\_\_\_\_

APPLICANT: \_\_\_\_\_

MAILING ADDRESS: \_\_\_\_\_  
\_\_\_\_\_ Zip: \_\_\_\_\_

PHONE: \_\_\_\_\_ FAX: \_\_\_\_\_ EMAIL: \_\_\_\_\_

CONTACT PERSON: \_\_\_\_\_ TITLE: \_\_\_\_\_

APPLICANT (*select 1*):  City Department  Private non-profit organization  Other Public Agency  
\_\_\_\_\_ (List Dept.) \_\_\_\_\_ (List Federal ID #) \_\_\_\_\_ (Specify)

\_\_\_\_\_ (DUNS #)

NATIONAL OBJECTIVE (*select 1*):

“Benefit persons of Low/moderate income”

- L/M Income Area Benefit
- L/M Income Limited Clientele Activities
- L/M Income Housing Activities
- L/M Income Job Creation/Retention

“Address slum/blight Conditions”

- “N/A” Slum/blighted Area
- Slum/blighted Spot Basis
- “N/A” Urban Renewal Completion

“Urgent CD Need”

- “N/A” Urgent Need

REQUESTED ENTITLEMENT FUNDING: \$ \_\_\_\_\_

Funding Leveraged from Other Sources: \$ \_\_\_\_\_

Total Activity Cost: \$ \_\_\_\_\_

Proposal Abstract - please provide a *brief* overview of your proposal including the number of persons that will be served with this grant in the space below:

\_\_\_\_\_  
(Authorized Signature)

\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Typed or Printed Name)

\_\_\_\_\_  
(Date)

Please respond in writing to each of the following (add additional pages as necessary):

## I. ACTIVITY DESCRIPTION

Provide a detailed description of your proposed activity. In this description, provide responses to the following items:

- A) Identify whether the activity is new, ongoing, or expanded from previous years.
- B) Describe the community need that your activity is intended to address and how your activity will address that need. Provide evidence that this need is currently not being addressed through existing programs or activities.
- C) Identify who will benefit from the proposed activity. If the activity is designed to benefit:
  - C-1) individual persons of low- to moderate-income, describe the process you will use to identify these persons and determine their income eligibility and the number of persons you expect to serve.
  - C-2) the inhabitants of a predominantly low-moderate income area, identify the Census Block Group in which the activity is located.
  - C-3) designed to benefit a low-moderate income "limited clientele", identify the "limited clientele" group.
- D) Identify your performance goals and the types of indicators you will use to document activity accomplishments and success. *(Examples should include: # of persons with new/improved access to services, # of affordable houses rehabilitated, etc.)*
- E) Provide an activity timeframe/schedule (include start, completion dates, and other significant performance stages).
- F) Identify whether the activity requires additional local, state or federal approval (license, permit, design/historic/environmental review, etc.). For construction/site development projects, provide evidence of site control.

## 2. ORGANIZATIONAL CAPACITY

- A) Provide an overview of your organization including length of time in existence. List current officers and board members and identify any prior funding by the City of Saratoga Springs (year, activity, and amount).
- B) Describe your organization's experience in successfully conducting this type of activity. Identify any skills, current services, or special accomplishments that demonstrate your capacity for success.
- C) Identify the person(s) responsible for program and financial management of the activity. Identify all other persons involved in this activity noting whether these positions are current or new, pending this award. For construction/site development projects, identify the development team including proposed contractors, subcontractors, and project manager.
- D) Identify any other agencies/partners involved in this activity and define their roles and responsibilities.

## 3. ACTIVITY BUDGET - (ATTACHMENTS 1, 2)

- A) Include attached budgets (Attachments 1, 2) as appropriate. Depending on the activity, the applicant may need to submit one or both of the attached budget forms. More detailed budgets may be attached (and are recommended) in support of the proposal. If an architect, engineer, or other personnel have conducted a cost analysis, attach a copy noting the author and date of analysis.
  - PROGRAM OPERATING BUDGET (Attachment 1) - for all proposals including public service projects and construction/site development projects
  - CONSTRUCTION/SITE DEVELOPMENT BUDGET (Attachment 2) - for construction/site development projects
- B) Identify the amount and sources of leveraged funding for this activity. Include the status of these funds (i.e. cash on hand, grants received, planned fund-raising, etc.). Attach copies of funding commitment letters or other evidence of funding support.

## 4. MONITORING OF FEDERAL FINANCIAL ASSISTANCE TO SUBRECIPIENTS - (ATTACHMENT 3)

The City of Saratoga Springs is responsible for ensuring that subrecipients expend awards in accordance with applicable laws, regulations, and provisions of contracts and grant.

- A) In accordance with OMB Circular A-133, please complete Attachment 3 and include it with your application.
- B) During your last fiscal year, if your organization expended more than \$500,000 in total federal financial awards (including CDBG and all other federal assistance), please include a copy of your latest Single Audit Report with this application.

(ATTACHMENT I)

PROGRAM OPERATING BUDGET

(Entitlement Grant + Leveraged Funds = Total Activity Cost)

|                           | ENTITLEMENT GRANT | Leveraged Funds* | Total Activity Cost | *Source of leveraged Funds and In-Kind Services |
|---------------------------|-------------------|------------------|---------------------|---|
| <b>PERSONNEL</b>          |                   |                  |                     |   |
| Salaries                  |                   |                  |                     |   |
| Fringe                    |                   |                  |                     |   |
| Other (consultants, etc.) |                   |                  |                     |   |
| <i>Subtotal</i>           |                   |                  |                     |   |

|                       |  |  |  |  |
|-----------------------|--|--|--|--|
| <b>OVERHEAD</b>       |  |  |  |  |
| Advertising/Marketing |  |  |  |  |
| Program Supplies      |  |  |  |  |
| Rent & Utilities      |  |  |  |  |
| Other – list below    |  |  |  |  |
|                       |  |  |  |  |
|                       |  |  |  |  |
|                       |  |  |  |  |
| <i>Subtotal</i>       |  |  |  |  |

|            |  |  |  |
|------------|--|--|--|
| TOTAL COST |  |  |  |
|------------|--|--|--|

(ATTACHMENT 2)

CONSTRUCTION / SITE DEVELOPMENT BUDGET

(Entitlement Grant + Leveraged Funds = Total Activity Cost)

|                        | ENTITLEMENT GRANT | Leveraged Funds* | Total Activity Cost | *Source of leveraged Funds and In-Kind Services |
|------------------------|-------------------|------------------|---------------------|---|
| <b>PRECONSTRUCTION</b> |                   |                  |                     |   |
| Legal                  |                   |                  |                     |   |
| Engineering            |                   |                  |                     |   |
| Architectural/Design   |                   |                  |                     |   |
| Fees and Permits       |                   |                  |                     |   |
| <i>Subtotal</i>        |                   |                  |                     |   |

|                          |  |  |  |  |
|--------------------------|--|--|--|--|
| <b>DEVELOPMENT</b>       |  |  |  |  |
| Relocation               |  |  |  |  |
| Site Preparation         |  |  |  |  |
| Construction - materials |  |  |  |  |
| Construction - labor     |  |  |  |  |
| Construction Financing   |  |  |  |  |
| Other - (explain)        |  |  |  |  |
| <i>Subtotal</i>          |  |  |  |  |

TOTAL COST

|  |  |  |
|--|--|--|
|  |  |  |
|--|--|--|

OFFICE OF MANAGEMENT AND BUDGET (OMB) CIRCULAR A-133  
MONITORING OF FEDERAL FINANCIAL ASSISTANCE TO SUBRECIPIENTS

ORGANIZATION: \_\_\_\_\_

MAILING ADDRESS: \_\_\_\_\_

FEDERAL ID #: \_\_\_\_\_ PHONE: \_\_\_\_\_ FAX: \_\_\_\_\_

DUNS #: \_\_\_\_\_

1. Please identify your fiscal year (mth/yr to mth/yr): \_\_\_\_\_

Please identify below the funding received during your last fiscal year:

2. Community Development Block Grant Entitlement Funding (CDBG):

CDBG Activity Name: \_\_\_\_\_

CDBG Funding Program Year: \_\_\_\_\_ CDBG Funding Amount: \_\_\_\_\_

3. Other Federal Financial Awards (cash & non-cash):

| GIVE NAME & CATALOG OF FEDERAL FINANCIAL ASSISTANCE (CFDA) # | AMOUNT OF AWARDS |
|--|------------------|
| _____  | _____            |
| _____  | _____            |
| _____  | _____            |

4. During your last fiscal year, has your organization expended more than \$500,000 in total federal financial awards (incl. CDBG & all other federal assistance)? YES \* \_\_\_\_\_ NO \_\_\_\_\_

\* If "yes", include a copy of your latest Single Audit Report with this completed and signed form as part of your application. If you answered "no", please complete, sign and return this form.

5. Are you aware of any financial audit violations, findings or questioned costs relating to any activity funded with federal financial assistance? YES \* \_\_\_\_\_ NO \_\_\_\_\_

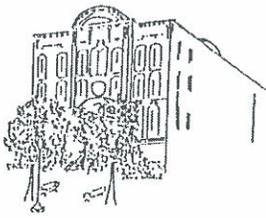
\* If "yes", please describe: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

6. Other Saratoga County Awards (cash & non-cash):

| IDENTIFY PROGRAM NAME & YEAR OF AWARD | IDENTIFY AMOUNT OF CO. AWARDS |
|---------------------------------------|-------------------------------|
| _____                                 | _____                         |
| _____                                 | _____                         |
| _____                                 | _____                         |
| _____                                 | _____                         |

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Date



# CITY OF SARATOGA SPRINGS

OFFICE OF COMMUNITY DEVELOPMENT

CITY HALL - 474 BROADWAY  
 SARATOGA SPRINGS, NEW YORK 12866  
 TEL: 518-587-3550 x.575 FAX: 518-580-9480  
 HTTP://WWW.SARATOGA-SPRINGS.ORG/CD



## COMMUNITY DEVELOPMENT CITIZEN ADVISORY COMMITTEE (CDCAC) - 2010 - program year -

The Community Development Citizen Advisory Committee was established to encourage broad public participation in the City's Community Development activities and Consolidated Plan process. The Mayor, in consultation with the City Council, appoints members to serve two-year terms. The Committee serves in an advisory capacity to the City Council and the Office of Community Development, and may have no more than 15 members.

Committee members are drawn from all areas of the City and include, to the extent possible, low- and moderate-income persons, members of minority groups, residents of public/assisted housing and areas of proposed/ongoing community development investment, the elderly, the disabled, the business community, and civic groups interested in community development activities and the Consolidated Plan process.

|   |  |  |
|---|--|--|
| Richard Ferguson – (Chair)<br>Saratoga National Bank<br>171 South Broadway<br>Saratoga Springs, NY 12866<br>w) 583-3114<br><a href="mailto:fergstar@spa.net">fergstar@spa.net</a><br><i>Term expires: 6/30/11</i>                     | Bob Barile<br>1 Lincoln Court<br>Saratoga Springs, NY 12866<br>(h) 587-5564<br><br><a href="mailto:bbarile@nycap.rr.com">bbarile@nycap.rr.com</a><br><i>Term expires: 6/30/11</i>            | Victor Cinquino<br>1 Roberts Lane<br>Saratoga Springs, NY 12866<br>(w) 587-2005 h) 584-7520<br><br><a href="mailto:vcinquino@verizon.net">vcinquino@verizon.net</a><br><i>TERM EXPIRES: 6/30/11</i>  |
| Linda Harvey-Opiteck<br>27 Andrews Street<br>Saratoga Springs, NY 12866<br>(w) 457-1722 (h) 583-4375<br><a href="mailto:coolkniter@aol.com">coolkniter@aol.com</a><br><i>Term expires: 6/30/11</i>                                    | Andrew Jarosh<br>8 Callagan Drive<br>Saratoga Springs, NY 12866<br>232-5879<br><a href="mailto:ajarosh@gmail.com">ajarosh@gmail.com</a><br><i>Term Expires 6/30/11</i>                       | Jenny Mirling<br>121 Nelson Avenue<br>Saratoga Springs, NY 12866<br><a href="mailto:jennymirling@verizon.net">jennymirling@verizon.net</a><br><a href="mailto:jmirling@prudentialmanor.com">jmirling@prudentialmanor.com</a><br>Vml) 580-0144 x223<br><i>Term expires: 6/30/11</i> |
| Kyran Nigro<br>358 Broadway<br>Saratoga Springs, NY 12866<br>h) 581-1628 w) 584-3900<br><a href="mailto:kdn@ferraraandnigro.com">kdn@ferraraandnigro.com</a><br><i>TERM EXPIRES: 6/30/11</i>  | Pamela Polacsek<br>12 Cherry Tree Lane<br>Wilton, NY 12831<br>h) 584-0366 w) 587-0723 x183<br><a href="mailto:pamelap@nycap.rr.com">pamelap@nycap.rr.com</a><br><i>Term expires: 6/30/11</i> | Rick Thompson<br>26 Frederick Drive<br>Saratoga Springs, NY 12866<br>(h) 587-1452<br><a href="mailto:tryoncb@nycap.rr.com">tryoncb@nycap.rr.com</a><br><i>TERM EXPIRES: 6/30/11</i>  |
| Maxine Washington<br>23 Richard Avenue<br>Saratoga Springs, NY 12866<br>h) 587-2727 w) 884-5408<br><a href="mailto:maxine.a.washington.czt4@statefarm.com">maxine.a.washington.czt4@statefarm.com</a><br><i>Term expires: 6/30/10</i> | Mary Zlotnick<br>6 Laura Lane<br>Saratoga Springs, NY 12866<br>587-1366<br><a href="mailto:mmzlotnick@aol.com">mmzlotnick@aol.com</a><br><i>TERM EXPIRES: 6/30/11</i>                        |  |
|   |  |  |

Bradley Birge, Executive Director ext. 2515  
 email) [bradley.birge@saratoga-springs.org](mailto:bradley.birge@saratoga-springs.org)

Cindy Phillips, CD Coordinator ext. 2575  
 email) [cindy.phillips@saratoga-springs.org](mailto:cindy.phillips@saratoga-springs.org)

ALL THOSE INTERESTED IN APPLYING TO THE CITY OF SARATOGA SPRINGS FOR

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)  
ENTITLEMENT FUNDS

FOR THE

2010 PROGRAM YEAR (JULY 2010-JUNE 2011)

APPLICATIONS WILL BE AVAILABLE

WEDNESDAY, DECEMBER 9<sup>TH</sup>

IN THE OFFICE OF PLANNING AND ECONOMIC DEVELOPMENT  
CITY HALL – ROOM 10  
474 BROADWAY, SARATOGA SPRINGS, NY 12866

These funds are designed to assist activities that address community development needs as prioritized in the City's Consolidated Plan, and that benefit persons of low and moderate income persons. Eligible activities may include job creation; affordable housing acquisition, construction and rehabilitation; infrastructure improvements; and other federally eligible activities.

The 2010 Entitlement grant from the U.S. Dept. of Housing and Urban Development is expected to be approximately \$300,000. Completed applications will be due in the Office of Community Development, City Hall – 474 Broadway, Saratoga Springs 12866 by 4:30 PM on Friday, January 29, 2010.

For additional information, please contact the Saratoga Springs Office of Community Development at 587-3550 ext. 2575.

12/4/09



January 5, 2010

**CITY OF SARATOGA SPRINGS**  
**City Council Meeting**  
**Agenda**

7:00 PM

**CALL TO ORDER**

**ROLL CALL**

**SALUTE TO FLAG**

**PUBLIC COMMENT PERIOD / 15 MINUTES**

**PRESENTATION(S)**

**EXECUTIVE SESSION**

Discussion regarding proposed, pending or current litigation; personal private information of a person or corporation, or matters leading to the appointment, employment, promotion, demotion, discipline, suspension, dismissal or removal of a person or corporation.

**CONSENT AGENDA**

1. Approval of 12/15/09 City Council Minutes
2. Approve Budget Amendments
3. Approve Budget Transfers
4. Approve Payroll 12/31/09 2010 G/L \$69,827.83
5. Approve Payroll 12/18/09 \$486,674.42
6. Approve Payroll 12/24/09 \$419,620.36
7. Approve Payroll 12/31/09 2009 G/L \$484,714.95
8. Approve Warrant 2009 Mid MC2DEC09 \$335,395.36
9. Approve Warrant 2009 Regular 3DEC09 \$2,394,609.56

**MAYOR'S DEPARTMENT**

1. Discussion and Vote: Saratoga County Animal Shelter Agreement
2. Discussion and Vote: Saratoga County Office for the Aging Nutrition and Transportation
3. Discussion: School District Contract
4. Appointment: The Heritage Area Advisory Board
5. Appointment: The Planning Board
6. Announcement: CDBG Funding Applications

**ACCOUNTS DEPARTMENT**

1. Discussion and Vote: Authorization for Mayor to Renew Contract for Article 7
2. Discussion and Vote: Temporary Change to Fleet Safety Policy

**FINANCE DEPARTMENT**

1. Discussion and Vote: Accept Donations-Inauguration
2. Discussion and Vote: Finance Department Payroll Transfer
3. Discussion and Vote: Tax Anticipation Note Resolution
4. Discussion: City Finances

**PUBLIC WORKS DEPARTMENT**

None

**PUBLIC SAFETY**

1. Announcement: Correction Lt. Appointment

**SUPERVISORS**

Joanne Yepsen

1. 2010 County Board Organizational Meeting & Swearing In

Matthew Veitch

1. 2010 County Board Organizational Meeting
2. 2010 Committee Assignments

**ADJOURN**



City of Saratoga Springs  
City Council Meeting  
City Council Room  
Tuesday, January 5, 2010  
7:00 PM

PRESENT: Scott Johnson, Mayor  
Ken Ivins, Commissioner of Finance  
John Franck, Commissioner of Accounts  
Anthony Scirocco, Commissioner of DPW  
Richard Wirth, Commissioner of DPS

Matthew Veitch, Supervisor  
Joanne Yepsen, Supervisor

STAFF PRESENT: Shauna Sutton, Deputy Mayor  
Kate Jarosh, Deputy Commissioner, Finance  
Michele Boxley, Deputy Commissioner, Accounts  
Tim Cogan, Deputy Commissioner, DPW  
Frank Dudla, Deputy Commissioner, DPS  
Joe Scala, City Attorney

**RECORDING OF PROCEEDING**

The proceedings of this meeting were taped for the benefit of the secretary. Because the minutes are not a verbatim record of the proceedings, the minutes are not a word-for-word transcript.

**CALL TO ORDER**

Mayor Johnson called the meeting to order at 7:04 p.m.

**PUBLIC COMMENT**

Mayor Johnson opened the public comment period at 7:05 p.m.

**Mayor Johnson said the public comment period is limited to a total of 15 minutes and individuals are limited to two minutes each according to the rules approved in February of 2004 by the City Council.**

Kyle York of 59 Railroad Place stated he was pleased to see all at the inauguration. He wants to step forward to state that if he can do anything as a volunteer he is willing to do so. He would be willing to help with traffic control, shoveling of sidewalks for those who can't, etc.

Mayor Johnson closed the public comment closed at 7:07 p.m.

## **CONSENT AGENDA**

Mayor Johnson moved and Commissioner Ivins seconded to approve the consent agenda as follows:

1. Approval of 12/15/09 City Council Minutes
2. Approve Budget Amendments
3. Approve Budget Transfers
4. Approve Payroll 12/31/09 2010 G/L \$69,827.83
5. Approve Payroll 12/18/09 \$486,674.42
6. Approve Payroll 12/24/09 \$419,620.36
7. Approve Payroll 12/31/09 2009 G/L \$484,714.95
8. Approve Warrant 2009 Mid MC2DEC09 \$335,395.36
9. Approve Warrant 2009 Regular 3DEC09 \$2,394,609.56

Commissioner Ivins added one item to the consent agenda - 2009 Mid MC3DEC09 for \$32,062.85.

**Ayes - All**

## **MAYOR'S DEPARTMENT**

Discussion and Vote: Saratoga County Animal Shelter Agreement (10-01)

**Mayor Johnson moved and Commissioner Ivins seconded to authorize the mayor to sign the Saratoga County Animal Shelter Agreement as proposed.**

Mayor Johnson stated this is an annual agreement and there is nothing different this year. They will provide the services we need and will respond to emergency or rabies related incidents should our animal control person be unavailable. That would be a separately billed item. The sum of the services the City will pay to the County is \$3,722.87 for the fiscal year.

Commissioner Scirocco asked if there are any costs associated with calling on them for additional services.

Mayor Johnson stated if they come out for an emergency or rabies it will be billed out at \$35 per call plus mileage.

Commissioner Ivins confirmed this agreement is not different from previous agreements.

Mayor Johnson stated that is correct.

**Ayes – All**

Discussion and Vote: Saratoga County Office for the Aging Nutrition and Transportation Agreements (10-02)

Mayor Johnson advised there are two agreements here with the Saratoga County Office for the Aging of similar nature with the County. He will take address each agreement separately.

Mayor Johnson advised the first agreement provides transportation services for our seniors and is in the same amount as last year; \$4,670. Transportation is provided for medical, legal, and other appointments offered through our Senior Center.

**Mayor Johnson moved and Commissioner Scirocco seconded to pass this agreement as proposed.**

**Ayes – All**

Mayor Johnson stated the next agreement is between the City and the Office for the Aging for nutrition services for this calendar year. The County will provide meals and supportive services to our seniors in the Senior Center setting as well as home delivery programs. This is an annual agreement in the amount of \$4,670.

**Mayor Johnson moved and Commissioner Scirocco seconded to enter into the agreement as proposed.**

**Ayes – All**

Discussion: School District Contract

Mayor Johnson withdrew this item from his agenda.

Appointment: The Heritage Area Advisory Board

Mayor Johnson stated this board has the responsibility of making recommendations to his office and City Council regarding policies and activities affecting our City's heritage area. There are over 17 heritage areas state wide. He appointed Lance Ingmire to the board. Lance is a fourth generation Ingmire in Saratoga Springs. He grew up in Saratoga Springs and graduated from Saratoga Springs High School. Lance had a career in the insurance industry prior to retiring and more recently a major organizer and responsible for the annual Saratoga Springs Civil War Living History Encampment in Congress Park. Lance also holds various positions on various other boards.

Appointment: The Planning Board

Mayor Johnson stated he was pleased to appoint Suzanne Bernardi - Cleary to the Planning Board. She is recent to the Saratoga Springs area – she has been here only a few years. She is very involved in our community and brings a great business background and marketing management experience, etc. She currently works for GE Energy.

Mayor Johnson thanked the outgoing member Laura Rappaport. Her term expired last year.

Announcement: CDBG Funding Applications

Mayor Johnson stated the applications for the Community Development Block Grant (CDBG) program applications are available for the 2010 cycle in the Office of Community Development and the City web site. There is about \$300,000 of grant money available. Applications are due back to the office in City Hall by 4:30 p.m., January, 29, 2010.

## **ACCOUNTS DEPARTMENT**

Discussion and Vote: Authorization for Mayor to Renew Contract for Article 7 (10-03)

**Commissioner Franck moved and Commissioner Ivins seconded to authorize the mayor to sign a renewal contract with Towne, Ryan, and Partners for professional legal services relating to**

## Notice of Public Hearings

The public is invited to the following public hearings to discuss the allocation of the Saratoga Springs 2010 Community Development Block Grant (CDBG) Entitlement Award.

These funds, from the U.S. Dept. Of Housing & Urban Development, will be allocated to activities that primarily benefit persons of low and moderate income. Such activities may include job creation, housing creation and rehabilitation, and other projects addressing the City's community development needs as prioritized in the 2005 Consolidated Plan.

Available funds total approximately \$300,000 from the 2010 Entitlement Award and Reprogrammed Funds.

Applicants are encouraged to present their proposals, and public input will be received, at the following public hearings facilitated by the Community Development Citizen Advisory Committee:

**Monday, February 1, 2010**

City Council Room

City Hall - 1<sup>st</sup> Floor

**6:00 P.M. (5:45 speaker sign-up)**

**Thursday, February 4, 2010**

William H. Ford Neighborhood Center

37 E. Fenlon Street

**6:00 P.M. (5:45 speaker sign-up)**

For additional information, call 587-3550, ext. 2575.

Mayor Scott T. Johnson  
City of Saratoga Springs

*Saratogian 1/29/10*



# CITY OF SARATOGA SPRINGS

## PLANNING & ECONOMIC DEVELOPMENT

CITY HALL - 474 BROADWAY  
SARATOGA SPRINGS, NEW YORK 12866  
TEL: 518-587-3550 FAX: 518-580-9480  
WWW.SARATOGA-SPRINGS.ORG

BRADLEY BIRGE  
ADMINISTRATOR  
JACLYN HAKES, AICP  
PRINCIPAL PLANNER  
SUSAN BARDEN  
SENIOR PLANNER  
CINDY PHILLIPS  
CD COORDINATOR  
DEBORAH WERTHEIM  
SECRETARY

### Memorandum

To: Mayor Scott Johnson  
Commissioners Franck, Ivins, Scirocco, and Wirth

From: Bradley S. Birge

Date: 22 February 2010

Re: Citizen Advisory Committee recommendations for 2010 Entitlement Action Plan

Following 2 public hearings, 2 Committee meetings and much discussion, the Community Development Citizen Advisory Committee would like to present its recommendations for 2010 CDBG Entitlement Grant funding to the City Council on Tuesday, March 2.

Total 2010 funding is anticipated to be \$370,000. Following the Committee's presentation to the City Council, a 30-day public comment period shall occur through April 2. The Committee would like to request that the City Council schedule a Public Hearing for Tuesday, March 16 and it is hoped that the City Council will vote on these recommendations at the April 6 Council meeting. The final 2010 Entitlement Action Plan will then be submitted to the U.S. Dept. of Housing & Urban Development for approval.

#### COMMITTEE'S SELECTION PROCESS

Eighteen applications were received requesting over \$733,000 in funding. The Citizen Advisory Committee met to discuss each application in detail and then individually evaluated the proposals with respect to project readiness, organizational capacity, number of persons assisted, financial leveraging, long-term benefit, and consistency with identified City Consolidated Plan priorities. The Committee's intent throughout this challenging process was to fund activities consistent with the City's identified priorities and to assist the greatest number of persons with its limited resources.

#### RECOMMENDED ACTIVITIES:

Domestic Violence/Rape Crisis Center Emergency Shelter Counselor - \$17,000

A continuation of funding for a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and residing in the emergency shelter, with assistance in accessing rental assistance, securing housing, financial literacy and referrals to community support services.

Legal Aid Society Homelessness Prevention Project - \$12,000

The continuation of a homelessness prevention program to provide free legal advice, referrals and representation to prevent unwarranted or illegal evictions of persons of low and moderate income within Saratoga Springs.

Catholic Charities Mentoring Program - \$9,000

The continuation of a community-based prevention/diversion program to develop mentoring relationships between at-risk Saratoga Springs youth and successful area business/professional persons.

Prevention Council / Youth Court - \$8,000

To recruit and train resident youth, living in low- and moderate-income neighborhoods, as Youth Court Officers and to provide community service projects within the neighborhoods and community agencies that serve them.

Saratoga Sponsor-A-Scholar - \$1,000

To fund school buses to take students in the program on four group orientation sessions at college campuses within driving distance of Saratoga Springs.

Mother Susan Anderson Emergency Shelter - \$3,000

To fund utility and program costs associated with this emergency shelter serving women and children.

Saratoga Affordable Housing Group – Property Rehabilitation - \$100,000

To fund energy efficiency improvements for the recently acquired and preserved 16 units of affordable housing on Allen Drive including window replacements.

City-wide Rehabilitation Grant Program - \$70,000

Funding for continuation of City's successful residential rehabilitation grant program providing income-eligible homeowners with grants of up to \$15,000 for housing and emergency repairs.

Shelters of Saratoga Transitional Housing Development - \$25,000

Funding for architectural and engineering services for the proposed construction of an 8-12 bed transitional housing facility connected to 14 Walworth Street.

Dept. of Public Works – Senior Center Improvements - \$14,000

Funding energy efficiency, and other, improvements to the Senior Center public facility.

Dept. of Public Works – Infrastructure Improvement - \$10,000

Funding for the repair of accessible curbs, sidewalks and curbing within eligible areas of the City.

Frederick Allen Lodge Historic Building Preservation - \$10,000

Funding to further stabilize and preserve this building, eligible for listing on the National Register of Historic Places, within the Beekman Street arts district and currently the home of the Frederick Allen Lodge #609 and Mary A. Carter Temple #362.

Franklin Community Center Facilities Improvements - \$8,000

Funding for interior improvements to Franklin Community Manor, a permanent, safe and affordable housing building with 17 apartment for low-income adults.

Mother Susan Anderson Emergency Shelter Rehabilitation Project - \$8,000

Public facility improvements to this emergency shelter serving women and children.

Rebuilding Together Rehabilitation Project - \$5,000

To assist in funding this volunteer-assisted housing rehabilitation program.

Community Development Program Administration - \$70,000

These funds finance the Community Development operating budget to cover the cost of payroll, fringe and program/office expenses.

I thank you for your attention to this matter. Should you have any questions regarding these recommendations, please contact me at extension 2515, or the Citizen Advisory Committee Chair, Richard Ferguson, at 583-3114.

Community Development Citizen Advisory Committee  
February 25 2010  
6:00 PM – Visitor's Center  
-----

AGENDA

- I. 2010 Recommended Activity Funding
  - method of funding adjustment, if necessary – Options:
    - increase/lower funding to one activity
    - increase/lower proportionally across all activities
    - other?
  - presentation – 3/2
  
2. Consolidated Plan Update
  - outreach
    - questionnaire
    - outreach listing
    - Saratoga Housing Alliance
    - web site
    - City Council announcements & Public Hearings
    - other?
  - calendar of events
  
3. Next Steps
  - questionnaire tabulation
  - review funding priorities
  - citizen participation plan review

Materials:

- agenda
- 2010 funding recommendations
- 2000 – 2009 funded activity by priority
- Draft Questionnaire w/cover letter
- Draft outreach list of local, regional, state agencies
- 2005 Strategic Plan



March 2, 2010

CITY OF SARATOGA SPRINGS  
City Council Meeting  
Agenda

6:00 P.M. – Workshop for Paid Parking

7:00 PM

CALL TO ORDER

ROLL CALL

SALUTE TO FLAG

PUBLIC COMMENT PERIOD / 15 MINUTES

PRESENTATION(S)

EXECUTIVE SESSION

CONSENT AGENDA

1. Approval of City Council Minutes 2/16/10
2. Approval Budget Amendments
3. Approve Budget Transfers
4. Approve Payroll 2/19/10 \$384,707.49
5. Approve Payroll 2/26/10 \$409,890.76
6. Approve Warrant 2010 Mid 10MWFEB5 \$1,133,111.04
7. Approve Warrant 2010 Regular 10MAR1 \$900,212.12

MAYOR'S DEPARTMENT

1. Presentation: 2010 CDBG Funding Recommendations
2. Set Public Hearing: Citizen Advisory Committee Recommendations for 2010 Entitlement Action Plan
3. Discussion and Vote: Authorization for Mayor to Sign Agreement with US Census Bureau
4. Discussion and Vote: Authorization for Mayor to Sign MOU with CDTA and Submit Application for Bicycle Racks
5. Discussion and Vote: Referral to Planning Board Advisory Opinion of Zoning Amendment Request for 126 West Avenue
6. Discussion: Sewer Moratorium Expiration
7. Discussion and Vote: City Resolution to New York State for VLT Operations at Aqueduct

ACCOUNTS DEPARTMENT

1. Appointment: Board of Assessment Review
2. Award of Bid: Extension of Bid for Decorative Street Lights with Thorpe Electric Supply, Inc.

3. Award of Bid: Flowers and Miscellaneous Supplies to Sunnyside Gardens
4. Discussion: New York State Comptroller's Report

#### FINANCE DEPARTMENT

1. Discussion and Vote: Walsh & Walsh Professional Services
2. Discussion and Vote: DPW Capital Budget Payroll Transfers
3. Discussion and Vote: Fiscal Advisors
4. Discussion: City Finances

#### PUBLIC WORKS DEPARTMENT

1. Set Public Hearing: 2010 Water & Sewer Rates
2. Discussion and Vote: Census Bureau – Donation of Space
3. Discussion and Vote: Geysers Crest Well Field – Iota Construction: Change Order #3
4. Discussion and Vote: Geysers Crest Well Field – Stilsing Electric: Change Order #1
5. Announcement: Municipal Landfill Gas Management Project Program

#### PUBLIC SAFETY

1. Discussion and Vote: Authorization for Mayor to Sign Agreement with K9 Officers
2. Discussion and Vote: Permission from City Council to Take Home City Vehicles
3. Discussion and Vote: Accept Donation from Morris Storage for the Infant Car Seat Program at an estimated amount of \$145.00 per Month

#### SUPERVISORS

##### Joanne Yepsen

1. Pro-Act New Expanded Coverage Announcement
2. Geysers Road Bridge Construction Meeting Update
3. NYS Racing Update
4. County/City Economic Development Planning

##### Matt Veitch

1. Update: County Trails Committee
2. Update: County Water System
3. Update: VLT Resolution
4. Google Fiber for Communities

#### ADJOURN



March 2, 2010

CITY OF SARATOGA SPRINGS  
City Council Meeting  
City Council Room  
7:00 PM

PRESENT: Ken Ivins, Commissioner of Finance  
John Franck, Commissioner of Accounts  
Anthony Scirocco, Commissioner of DPW  
Richard Wirth, Commissioner of DPS  
Matthew Veitch, Supervisor  
Joanne Yepsen, Supervisor

STAFF PRESENT: Shauna Sutton, Deputy Mayor  
Kate Jarosh, Deputy Commissioner, Finance  
Michele Boxley, Deputy Commissioner, Accounts  
Tim Cogan, Deputy Commissioner, DPW  
Frank Dudla, Deputy Commissioner, DPS  
Joe Scala, City Attorney

ABSENT: Scott Johnson, Mayor

**RECORDING OF PROCEEDING**

The proceedings of this meeting were taped for the benefit of the secretary. Because the minutes are not a verbatim record of the proceedings, the minutes are not a word-for-word transcript.

**CALL TO ORDER**

Commissioner Ivins called the meeting to order at 7:03 p.m.

**PUBLIC COMMENT**

Commissioner Ivins opened the public comment period at 7:04 p.m.

**Commissioner Ivins said the public comment period is limited to a total of 15 minutes and individuals are limited to two minutes each according to the rules approved in February of 2004 by the City Council.**

Peter Tulin of 40 Sarazen Street stated he missed the presentation on paid parking, however he attended the workshop where the plans were reviewed. He stated it was a well run workshop. The criticisms were delivered in an appropriate manner. Commissioner Ivins and his deputy did a great job absorbing the information in a non-defensive manner. It appears we will be \$1.3 million short

in revenues and costs of the government; it falls upon all to deal with that situation. The Council should work towards assuring the City has a successful summer season. It looks like Vitoria pool is in danger and suggested trying to generate publicity for what we can deliver here.

Kyle York of 59 Railroad Place stated he heard clearly that the paid parking plan was a process. It was to commence with a committee to create an RFP. He asked how the committee was formed and what the members were charged to do. The first meeting lacked 2 committee members – Charles Wait and Joe Dalton chose not to come to create the RFP. If the RFPs were garbage you wouldn't have received responses. They are something we could have worked from and moved forward.

Commissioner Ivins closed the public comment period at 7:12 p.m.

### **CONSENT AGENDA**

Commissioner Ivins moved and Commissioner Scirocco seconded to approve the consent agenda as follows and amended:

1. Approval of City Council Minutes 2/16/10
2. Approval Budget Amendments
3. Approve Budget Transfers
4. Approve Payroll 2/19/10 \$384,707.49
5. Approve Payroll 2/26/10 \$409,890.76
6. Approve Warrant 2010 Mid 10MWFEB5 \$1,133,111.04
7. Approve Warrant 2010 Regular 10MAR1 \$900,212.12

Commissioner Ivins stated he has a change to one of the warrants - 10MAR1 is now \$899590.32. Vouchers were pulled for being charged to incorrect lines.

**Ayes - All**

### **MAYOR'S DEPARTMENT**

**(Commissioner Ivins will run the mayor's agenda due to his absence)**

Presentation: 2010 CDBG Funding Recommendations

Brad Birge, Director of Community Development stated the Council has the recommendations of the Community Development Citizen Advisory Committee for the 2010 program year. Brad introduced the chair of the committee Richard Ferguson.

Mr. Ferguson stated the committee met to put forward these recommendations. They had twice the amount of requests this year for funding. They made recommendations that would put forth the most efficient use of the money to help the most people they could.

Commissioner Scirocco stated he is grateful for the amount DPW was allocated. The committee did a good job.

Mr. Birge stated tomorrow will start the 30 day comment period and would like the Council to set a public hearing on March 16<sup>th</sup> so the Council can act on April 6<sup>th</sup>.

Set Public Hearing: Citizen Advisory Committee Recommendations for 2010 Entitlement Action Plan

Commissioner Ivins set a public for March 16<sup>th</sup> at 6:55 p.m.

Discussion and Vote: Authorization for Mayor to Sign Agreement with US Census Bureau (10-48)

**Commissioner Ivins moved and Commissioner Scirocco seconded to authorize the mayor to sign an agreement with the US Census Bureau to place a small display box in City Hall.**

Commissioner Ivins stated the box will be stocked with census forms by a census clerk 1 or 2 times a week.

**All- Aye**

Discussion and Vote: Authorization for Mayor to Sign MOU with CDTA and Submit Application for Bicycle Racks (10-49)

**Commissioner Ivins moved and Commissioner Wirth seconded to authorize the mayor to sign a MOU with CDTA and to submit an application for bicycle racks.**

Brad Birge stated this is an authorization to sign a MOU and submit an application to CDTA. They are offering a bike rack grant program providing up to \$1,000 to non-profit and public agencies for bike racks. The deadline is March 12<sup>th</sup>. The recreation dept has identified 3 locations to place the bike racks. They also reached out to private organizations for suggestions of bike rack placements. Brad advised the Recreation Department will kick in for the difference.

**Ayes – All**

Discussion and Vote: Referral to Planning Board Advisory Opinion of Zoning Amendment Request for 126 West Avenue

This item was pulled from the agenda.

Discussion: Sewer Moratorium Expiration

This item was pulled from the agenda

Discussion and Vote: City Resolution to New York State for VLT Operations at Aqueduct (10-50)

**Commissioner Ivins moved and Commissioner Franck seconded to approve the City resolution to New York State for VLT operations at Aqueduct.**

Commissioner Ivins read resolution into the minutes as follows:

URGING RESOLUTION OF VLT OPERATIONS AT AQUEDUCT RACETRACK

Mayor Scott T. Johnson  
Commissioner John Franck  
Commissioner Kenneth Ivins  
Commissioner Anthony Scirocco  
Commissioner Richard Wirth

CITY OF SARATOGA SPRINGS  
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM  
DRAFT 2010 ENTITLEMENT ACTION PLAN

The Community Development Block Grant Entitlement program is intended to promote activities addressing the objective of the City's Consolidated Plan, promote affordable housing, neighborhood revitalization, and increased public services to income-eligible residents.

After 2 public hearings, 2 committee meetings, and much discussion, the 9-member Community Development Advisory Committee is recommending 2010 funds for the following activities. The 2010 funding is approximately \$370,000.

RECOMMENDED ACTIVITIES:

Domestic Violence Services/Rape Crisis - \$17,000  
Legal Aid Society Homelessness Prevention Project - \$12,000  
Catholic Charities Mentoring Program - \$9,000  
Prevention Council - \$8,000  
Mother Susan Anderson Emergency Shelter Program Expenses - \$3,000  
Saratoga Sponsor-A-Scholar - \$1,000  
Saratoga Affordable Housing Group, Inc. - \$100,000  
Citywide Residential Rehabilitation Grant Program - \$70,000  
Shelters of Saratoga - Transitional Housing - \$25,000  
DPW - Senior Center - Building Improvements - \$14,000  
DPW - Infrastructure Projects - \$10,000  
Frederick Allen Lodge Historic Building Preservation - \$10,000  
Mother Susan Anderson Emergency Shelter Rehabilitation Project - \$8,000  
Franklin Community Center - \$8,000  
Rebuilding Together Rehabilitation Projects - \$5,000  
Community Development Program Administration - \$70,000

A Public Hearing is scheduled for Tuesday, March 16 and a 30-day public input period will occur through April 2. It is anticipated that the City Council will vote on these recommendations at the April 6 City Council meeting. The final 2010 Entitlement Action Plan will then be submitted to the U.S. Dept. of Housing and Urban Development for approval.

The Draft 2010 Entitlement Action Plan is available for review at the Saratoga Springs Public Library reference desk, the Saratoga Springs Housing Authority, on the City's Community Development website at: <http://saratoga-springs.org> and in the Community Development Office, room 10, City Hall, Saratoga Springs, NY 12866.

Written comment may be submitted to the Community Development Office through 2 April 2010, for additional information, please contact the Community Development Office at (518) 587-3550 ext. 2575.

March 3, 2010

CITY OF SARATOGA SPRINGS  
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM  
DRAFT 2009 ENTITLEMENT ACTION PLAN

The Community Development Block Grant Entitlement program is intended to promote activities addressing the objectives of the City's Consolidated Plan, promote affordable housing, neighborhood revitalization, and increased public services to income-eligible residents.

After 2 public hearings, 2 committee meetings, and much discussion, the 12-member Community Development Citizen Advisory Committee is recommending 2009 funding for the following activities. Total 2009 funding is approximately \$425,728 including approximately \$360,000 in 2009 federal funds and \$65,728 in reprogrammed funds from previous years.

RECOMMENDED ACTIVITIES:

Domestic Violence Services/Rape Crisis - \$15,000  
Legal Aid Society Homelessness Prevention Project - \$12,000  
Catholic Charities Mentoring Program - \$10,000  
Literacy NENY Adult Tutoring - \$8,000  
Saratoga County EOC, Inc. Multi-Cultural Services - \$ 5,000  
Saratoga Affordable Housing Group, Inc. - \$150,000  
Citywide Residential Rehabilitation Grant Program - \$60,000  
Shelters of Saratoga Owner Occupied Rehabilitation Program - \$15,200  
Franklin Community Center - \$15,000  
Mother Susan Anderson Emergency Shelter Rehabilitation Project - \$14,700  
Frederick Allen Lodge Historic Building Preservation - \$12,000  
DPW – Senior Center Fire Alarm System - \$11,500  
Rebuilding Together Rehabilitation Projects - \$10,000  
DPW – Infrastructure Projects - \$10,000  
Shelters of Saratoga – Transitional Housing - \$5,000  
DPW – Senior Center Handicapped Bathrooms - \$ 2,328  
Community Development Program Administration - \$70,000

A Public Hearing is scheduled for Tuesday, March 17 and a 30-day public input period will occur through April 3. It is anticipated that the City Council will vote on these recommendations at the April 7 City Council meeting. The final 2009 Entitlement Action Plan will then be submitted to the U.S. Dept. of Housing & Urban Development for approval.

The Draft 2009 Entitlement Action Plan is available for review at the Saratoga Springs Public Library reference desk, the Saratoga Springs Housing Authority, on the City's Community Development website at: <http://saratoga-springs.org/cd/>, and in the Community Development Office, room 10, City Hall, Saratoga Springs, NY 12866.

Written comment may be submitted to the Community Development Office through 3 April 2009. For additional information, please contact the Community Development Office at (518) 587-3550 ext. 575.

## City Council (Continued)

*Continued from page 5*

### Other City Council Business:

- Supervisor Joanne Yepsen announced the expansion of the PRO-ACT Discount Card program (See page 1 for full story)
- Members of the Citizens Advisory Committee on the Community Development Block Grant Program announced that they had developed funding recommendations, and noted that they had twice as many requests as last year. A public hearing to discuss their recommendations was set for 6:55 p.m. before the next Council Meeting on March 16.
- The Council passed a resolution urging the Governor and Albany legislators to swiftly implement Video Lottery Terminal (VLT) operations at Aqueduct, citing the VLT revenues' importance to the capital projects needed at Saratoga Race Course. This mirrored a similar resolution passed by the Saratoga county Board of Supervisors.
- Commissioner Franck appointed Vicki Aldrich to the Board of Assessment Review Panel.
- A long discussion occurred regarding the State Comptroller's office (OSC) audit of the Department of Public Works Cash Handling Procedures (see Saratoga TODAY — February 26, 2010). The City has 90 days to develop a Corrective Action Plan (CAP) to implement some of the recommendations. The discussion was at times heated; in the end Commissioner of Public Works Scirocco pledged to work with Finance and Accounts departments to develop and implement the CAP.
- A public hearing on proposed 2010 water and sewer rates was set for 6:35 p.m. on March 16. City households are facing a surcharge from Saratoga County for expansion of their sewer district to accommodate the growth of Luther Forest Technology Park and the industries within it.
- The Mayor was authorized to sign an agreement transferring ownership of the K-9 officer dogs to their police officer handlers.

# The SARATOGIAN

Serving the Saratoga Springs, N.Y. region

## News

# Public questionnaire guides federal funding

Published: Tuesday, March 9, 2010

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By PATRICK H. DONGES

SARATOGA SPRINGS — City officials are seeking the opinion of the public to prioritize the next five years of federal aid.

A questionnaire has been made available for public input on the city's Consolidated Plan. The plan is used to guide the city when considering applications for Community Development Block Grant (CDBG) funds granted annually by the U.S. Dept. of Housing and Urban Development.

The survey asks individuals to rank 33 predefined housing and non-housing priorities based on their effectiveness in aiding low to moderate income residents. The 2005 update of the plan identifies 39 percent of city households at low to moderate income level and 402 renters over the age of 64 suffering from economic burdens.

Since 2000 the city has received over \$5 million from the program. Applicants are selected based on where they fit in the Consolidated Plan and voted on by the City Council.

In a release Monday Mayor Scott Johnson said, "In these difficult economic times, it's even more important to assist those more in need as well as to take meaningful steps toward future economic development."

Residents can print a questionnaire at the city's website, <http://www.saratoga-springs.org>, or pick one up at the Office of Planning & Economic Development in City Hall or the Saratoga Springs Public Library at 49 Henry St.

Questionnaires will be accepted until 4:30 p.m. March 10. They can be submitted in person at the Office of Planning & Economic Development. The office can be reached at (518) 587-3550 ext. 2533.

## Comments

The following are comments from the readers. In no way do they represent the view of saratogian.com.

News

## City of Saratoga Springs seeks input on federal aid distribution

Wednesday, March 10, 2010

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SARATOGA SPRINGS — City officials are seeking feedback from the public on how to prioritize the next five years of federal aid.

A questionnaire has been made available for public input on the city's Consolidated Plan. The plan is used to guide the city when considering applications for Community Development Block Grant (CDBG) funds given annually by the U.S. Department of Housing and Urban Development.

The survey asks individuals to rank 33 predefined housing and non-housing priorities based on their effectiveness in aiding low- to moderate-income residents.

The 2005 update of the plan states that 39 percent of city households fall within low to moderate income levels and identifies 402 renters over the age of 64 suffering from economic burdens.

Since 2000, the city has received more than \$5 million from the program. Applicants are selected based on where they fit in the Consolidated Plan and are voted on by the City Council.

Mayor Scott Johnson said in a release Monday, "In these difficult economic times, it's even more important to assist those more in need as well as to take meaningful steps toward future economic development."

"It's so critical to get input on the city's priorities," Planning Department Director Brad Birge said, adding that input from individuals, businesses and nonprofits will be essential to producing a complete survey.

Residents can print a questionnaire at the city's Web site, [www.saratoga-springs.org](http://www.saratoga-springs.org), or pick one up at the Office of Planning and Economic Development in City Hall or the Saratoga Springs Public Library at 49 Henry St.

Questionnaires will be accepted until 4:30 p.m. March 17. They can be submitted in person at the Office of Planning and Economic Development. The office can be reached at 587-3550, ext. 2533.

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URL: <http://www.saratogian.com/articles/2010/03/10/news/doc4b97089dcbfb2441007692.prt>

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**AFFIDAVIT OF PUBLICATION**

**STATE OF NEW YORK,**  
Rensselaer County,  
City of Troy.

ss:

Sharon Martone of the City of Troy, in the county of Rensselaer and State of New York, being duly sworn, deposes and says that she is the Principal Clerk of the **Journal Register East, Inc.**, a Corporation duly organized under the laws of the State of New York; that said Corporation is the publisher of **The SARATOGIAN**, a daily newspaper printed and published in the City of Saratoga Springs and County of Saratoga, and that the notice of which the annexed is a printed copy, has been regularly published in **The SARATOGIAN**.

**ONCE DAILY for TWO DAYS**

Commencing on the **8th day of March, 2010**  
To wit: **March 8, 2010 and March 10, 2010**

Sworn before me, this

10th day of March, 2010



TERESA A. BECK

Notary Public, State of New York  
008607222

Qualified in Rensselaer County

Commission Expires April 01, 20 10

Notary Public

**LEGAL NOTICE**

PLEASE TAKE NOTICE that the City Council of the City of Saratoga Springs, New York will conduct a public hearing on Tuesday, March 16, 2010, at 6:55 p.m., or as soon thereafter as the below matter can be reached, in the City Council Room, City Hall, in the City of Saratoga Springs, New York, to hear all those persons who wish to speak relative to the Community Development Citizens Advisory Committee funding recommendations for the 2010 Entitlement Action Plan.

Dated: March 4, 2010

CITY COUNCIL OF THE  
CITY OF  
SARATOGA SPRINGS,  
NEW YORK

By: **John P. Franck**  
City Clerk

3/8, 10, 2X/890658

# week in review

## Glenn Barrett named 2009 Police Officer of the Year



*Saratoga Today*

*Officer Barrett receives his award at a special ceremony in his honor*

Officer Glenn Barrett was named Officer of the Year for 2009 by the Lieutenants of the Saratoga Springs Police Department.

In 2009 Officer Barrett was a top performer on his assigned shift in arrests made, traffic stops and calls for service. He often is assigned to Bicycle Patrol in the downtown area during the warmer months and is a member of the Police Department's Mounted Unit.

Nominated by Lieutenant Robert

Jillson, Officer Barrett is well regarded by the Police Department's leadership for his positive attitude in his day-to-day approach to police work. He is assigned as a Field Training Officer, responsible for training new Police Officers who have recently completed the Police Academy.

"Officer Barrett is an excellent cop and a good example for other officers. He works well with other

members of the department and has been involved with a youth mentoring program through his church for several years. Glenn is a hard worker and a valuable asset to our department; this is a well deserved honor for him," Lt. Jillson said.

The Officer of the Year is awarded to an officer or investigator in the Police Department nominated by his or her Lieutenant for their work performance the previous year.

## Saratoga Springs seeks input

The City of Saratoga Springs is seeking input to update its 2010 Consolidated Plan that identifies local priorities for the next five years of federal funding. Each year, the City of Saratoga Springs receives funding from the U.S. Dept. of Housing and Urban Development through the Community Development Block Grant program (CDBG). This stream of federal dollars is intended to provide increased access to decent, affordable housing, to promote a suitable living environment, and to encourage expanded economic opportunities.

Saratoga Springs Mayor Scott Johnson stated, "In these difficult economic times, it's even more important to assist those more in need as well as to take meaningful steps toward future economic development. Steps taken now can reap many future benefits. I encourage all those who are eligible to apply for these funds.

To assist in reviewing and updating these priorities, the City's Community Development Citizen Advisory Committee has developed a short questionnaire available on the City's web site: [www.saratoga-springs.org](http://www.saratoga-springs.org). This questionnaire is also available in the Saratoga Springs Public Library at 49 Henry Street, and in the Office of Planning and Economic Development in City Hall. For additional information, please contact the City Office of Planning and Economic Development at 587-3550.

# DISCOVER WHAT'S HAPPENING IN SARATOGA!

• TOP EVENTS





March 16, 2010

CITY OF SARATOGA SPRINGS  
City Council Meeting  
Agenda

6:35 PM P.H. – Water and Sewer Rates  
6:55 PM P.H. – Community Development Citizen  
Advisory Committee Funding Recommendations

7:00 PM

CALL TO ORDER

ROLL CALL

SALUTE TO FLAG

PUBLIC COMMENT PERIOD / 15 MINUTES

PRESENTATION(S)

EXECUTIVE SESSION

Discussion regarding proposed, pending or current litigation; personal private information of a person or corporation, or matters leading to the appointment, employment, promotion, demotion, discipline, suspension, dismissal or removal of a person or corporation.

CONSENT AGENDA

1. Approval of Budget Workshop 3/8/10
2. Approval of City Council Minutes 3/2/10
3. Approval of Paid Parking Workshop Minutes 3/2/10
4. Approve Budget Amendments
5. Approve Budget Transfers
6. Approve Payroll 3/12/10 \$375,223.75
7. Approve Payroll 3/5/10 \$400,797.20
8. Approve Warrant 2010 Mid 10MWMAR1 \$218,662.60
9. Approve Warrant 2010 Regular 10MAR2 \$1,448,372.96

MAYOR'S DEPARTMENT

1. Discussion and Vote: Approval of State Farm Donation
2. Discussion and Vote: Approval of Stewart's Holiday Match Donation
3. Discussion and Vote: Accept Sponsors 2009-2010
4. Discussion and Vote: Authorization for Mayor to Sign Change Orders with Bast Hatfield for Indoor Recreation Facility
5. Discussion: East Side Recreation Field House
6. Discussion and Vote: Authorization to Apply for IDA Funding of Race Track Inventory
7. Discussion and Vote: Referral to Planning Board Advisory Opinion of Zoning Amendment Request for 126 West Avenue
8. Discussion: Expiration of Sewer Moratorium

#### ACCOUNTS DEPARTMENT

1. Award of Bid: Grave Marking Flags to Liberty Bell Flags
2. Award of Bid: Extension of Material Trommel Screen Bid
3. Award of Bid: Extension of Printed Polyethylene Bags Bid
4. Discussion and Vote: Resolution Hiring Freeze
5. Discussion and Vote: Freeze on Equipment Purchases
6. Discussion and Vote: 10% City Council Pay Reduction
7. Discussion: Sections 4.4.8 and 4.4.10 of the City Charter
8. Announcement: Enforcement of Sections 7.3.2 and 7.3.3 of the City Charter

#### FINANCE DEPARTMENT

1. Discussion: Budget Workshops
2. Discussion: City Finances

#### PUBLIC WORKS DEPARTMENT

1. Discussion and Vote: 2010 Proposed Sewer Rates
2. Discussion and Vote: 2010 Proposed Water Rates
3. Discussion and Vote: Authorization for Mayor to Sign Agreement with CT Male for Landfill Monitoring
4. Discussion and Vote: Authorize the Mayor to Sign Contract with Clune Electric
5. Announcement: Church Street Reconstruction Project
6. Announcement: Shakespeare in the Park

#### PUBLIC SAFETY

1. Discussion and Vote: Authorization for Mayor to Sign Contract with NYS Department of Criminal Justice for Accreditation
2. Discussion and Vote: Authorization for Mayor to Sign Contract with Towing Companies
3. Announcement: Amnesty Program for Parking Tickets Beginning March 22 – April 3
4. Set Public Hearing: Amend Chapter 225.40 of the City Code – Vehicle and Traffic – Removal of Vehicles
5. Discussion and Vote: Authorization for Mayor to Sign Contract with PBA for Use of the PBA Range for Training

#### SUPERVISORS

##### Joanne Yepsen

1. Efforts in Support of NYS Racing
2. Census 2010
3. Grants for Sustainable Communities
4. BOS Meeting with Legislators in Albany
5. County Social Services Updated Statistics

##### Matt Veitch

1. Update: Social Program Committee
2. Update: Public Safety Committee
3. Update: Meeting with State Legislators 3/11/10
4. Update: Geyser Park Bike Racks
5. Update: NYRA Local Advisory Board
6. Update: Veteran's Concert

#### ADJOURN



March 16, 2010

CITY OF SARATOGA SPRINGS  
City Council Meeting  
City Council Room  
7:00 PM

PRESENT: Scott Johnson, Mayor  
Ken Ivins, Commissioner of Finance  
John Franck, Commissioner of Accounts  
Anthony Scirocco, Commissioner of DPW  
Richard Wirth, Commissioner of DPS

Matthew Veitch, Supervisor  
Joanne Yepsen, Supervisor

STAFF PRESENT: Shauna Sutton, Deputy Mayor  
Kate Jarosh, Deputy Commissioner, Finance  
Michele Boxley, Deputy Commissioner, Accounts  
Tim Cogan, Deputy Commissioner, DPW  
Frank Dudla, Deputy Commissioner, DPS  
Joe Scala, City Attorney

**RECORDING OF PROCEEDING**

The proceedings of this meeting were taped for the benefit of the secretary. Because the minutes are not a verbatim record of the proceedings, the minutes are not a word-for-word transcript.

**Public Hearing**

**Water and Sewer Rates**

Mayor Johnson opened the public hearing at 6:36 p.m.

Commissioner Scirocco presented an overview of the 2010 water and sewer rates. He explained what the responsibility of the commissioner of Public Works is. There are 3 water sources; Loughberry Lake, Geyser Crest, and Interlaken Water Works. The water maintenance workers repair, install, and maintain water meters, and water lines. A list of infrastructure **contracted** services was provided including South Broadway Waterline (2008), Geyser Industrial Park Sewer Line (2008), Gilbert Road Water Main (2009), Nelson Avenue Storm Sewer (2009), Church Street Storm Sewer (in process), Geyser Crest Well Field Improvements (in process), Nelson Avenue Sanitary Sewer and Water (in process), and Beekman Street Water Line Installation (proposed 2010). The infrastructure Public Works water crew is scheduled to do is Greenfield Avenue Water Main (2009), Rte. 9P Water Line (2009), Maple Avenue Storm Sewer (2009), City Center Storm Sewer (2009), Arts council storm drainage (proposed 2010), canfield casino storm drainage (proposed 2010), Fifth Avenue storm sewer repair (proposed 2010), Ritchie Place Water Line (proposed 2010).

Commissioner Scirocco provided a history of metered water sales from 2004 through 2009. The water sales remained steady while the cost of production went up. He stated they are slowly getting this budget under control. Revenues were down in 2009 and an estimated surplus of \$75,000. He has ended many years of operating deficit. Since he has taken office, expenditures have decreased in the water budget by \$300,000. There was a \$147,000 in expenses that were beyond their control and increased the budget. These items include unemployment, hospitalization, debt service, retirement. Water sales remain steady while the cost of production and maintenance rise. The 3% increase for 2010 being requested will put the budget on solid footing. They expect to get their expenditures under control in 2010 to balance the budget.

Commissioner Scirocco provided a comparison chart indicating where most of the usage falls. The majority of the customers use less than 2,000 cubic feet of water per quarter. They called Albany, Clifton Park, and Wilton to obtain their water rates for a comparison. He showed that Saratoga Springs' water rate per gallon is less than the other areas mentioned.

Commissioner Scirocco state he worked with Tony Izzo and Brad Birge to change the wording in the affordable housing initiative in the old rates. Qualification is based upon the area median income based on U.S. Department of Housing and Urban Development for the region.

Commissioner Franck asked Commissioner Scirocco to explain how does this get policed?

Commissioner Scirocco stated that's an issue. It will have to go through the Community Development Office.

Tony Izzo stated Commissioner Franck is correct; this formula requires a considerable amount more intense work than the previous formula. Someone has to monitor it and make sure it qualifies.

Brad Birge stated the intent is to expand upon what is currently allowed in the City's code. This is to encourage production of affordable housing for owner occupied units. This is to allow for single family, multi-family, and rental units. This is only for the initial connection fee.

Commissioner Franck asked what happens if they don't rent for the HUD amount.

Brad it has to be decided how closely you want to monitor that.

Commissioner Franck stated the \$120,000 number previously used could be fluctuated based upon the level of assessment. It was much easier than this.

Tony Izzo identified a typo in paragraph 4.

Commissioner Scirocco stated the workforce for sewer includes 3 electricians, 3 laborers, and three office staff. A history of the metered sewer sales was provided. The increase to the sewer rates includes the rate the County levied on the City. The County is saying after a couple years the \$353,000 rate will be reduced; debt rate for the sewer expansion. The adopted budget is \$3.5 million and the amended budget is \$3.8 million. Total charge by the County is \$2,598,424.00. Increase to the 2010 budget includes the County sewer charge and general operating expenses from phone bills. Revenues and expenses have remained steady over the last several years. Expenditures in 2009 exceeded their revenue by \$100,000. They will not exceed their 2009 expenditures in 2010. They anticipate making up the deficit over the next few years. The County anticipates the \$44 to decrease as new customers sign on; they anticipate a decrease in 2012.

Commissioner Scirocco stated he worked hard over the last 2 years to bring these budgets under control by streamlining the operations, decreasing expenditures, and doing more of the jobs in-house rather than contracting out.

Commissioner Ivins asked Commissioner Scirocco to elaborate on the cuts he made for this year's budget to keep the rates down.

Commissioner Scirocco stated they had reductions in some of their overtime lines. Also, they did some jobs themselves rather than hiring it out. Also, they have been watching their budget. He asked Kathy Moran to explain further.

Kathy Moran explained they have a vacant position that they are not sure if they will fill. They are curbing their overtime items, watch their overtime and expenses.

Commissioner Ivins asked for an explanation of the statement in both resolutions that states the commissioner of Public Works can establish rules or rates not to exceed \$500. Do we know where this came from or why it is in there?

Commissioner Scirocco stated he didn't know but it has always been part of the resolution.

Kathy Moran stated it is used to make minor adjustments in water and sewer bills when there are clerical errors.

Commissioner Franck asked what our water rate is compared to the County's rate.

Paul Male advised the County rate is \$2.10 per thousand.

Commissioner Franck reminded everyone who wanted to jump into the County water system to remember what happened with the sewer today and the water will be \$.70 more and we lose control.

John Krause of 227 Grand Avenue thanked Commissioner Scirocco for the presentation. Having a 3% increase in each water and sewer is nothing to complain about. In the past there were some DPW personnel where their salary was taken from the water and sewer budgets; is that still the case.

Commissioner Scirocco stated he believed by law that is how it has to work.

Mr. Krause stated the only problem he has is they don't know what the rates are until February or March each year. He asked for thought to be given to develop rates earlier to be more in sync with the general budget.

Remijia Foy of 95 Oak Street asked if the rates are applicable to special agreements such as Interlaken.

Commissioner Scirocco stated Interlaken has a concern about the contract they have with the City but nothing has changed regarding their rates. They are paying the same rate as agreed upon when the system was taken over. He needs to look at maybe changing them to a water district.

Ms. Foy asked why the small users/homeowners are paying 3 times the amount than a commercial user.

Commissioner Scirocco asked Anna Plante, office manager of utilities to explain.

Anna Plante advised the rate structure is a step. The customers that are large users are using more water therefore paying a lower rate.

Mayor Johnson closed the public hearing at 7:21 p.m.

#### Community Development Citizen Advisory Committee Funding Recommendations

Mayor Johnson opened the public hearing at 7:21 p.m.

Remijia Foy of 95 Oak Street stated she is affiliated with the West Side Neighborhood Association and served on the Community Development board for several years. In the past, community development funds were used for tangible and infrastructure improvements. Now the money is used more for social

services. The causes are good; however, if you drive around the City there is a lot of pot holes, sinking roads, curbs that have deteriorated, etc. She encouraged the Council and the committee to look at how they are going to disperse the funds this year. We need the improvements in the neighborhoods, not so much in the service oriented organizations; which in many cases provide service on a County basis.

Rich Ferguson, chair of the Community Development Committed advised 15% goes for social services and remaining goes for brick and mortar. That hasn't changed since inception.

Mayor Johnson left the public hearing at 7:26 p.m.

### **CALL TO ORDER**

Mayor Johnson called the meeting to order at 7:26 p.m.

### **PUBLIC COMENT**

Mayor Johnson opened the public comment period at 7:27 p.m.

**Commissioner Ivins said the public comment period is limited to a total of 15 minutes and individuals are limited to two minutes each according to the rules approved in February of 2004 by the City Council.**

Phil Diamond of 29 Waterview Drive stated he has spoken to Mark Lawton about his ideas and would suggest getting the actual line items to make a true judgment.

Kelly Zinella of 27 Collins Terrace stated she has been working with Joanne Yepsen to bring more awareness of the racing industry. Because the state has not implemented the installation of VLT at Aqueduct for 9 years, the state is losing \$1 million dollars a day. Farms, breeders, and horse owners are leaving our state and going to those states that have acted to support the equine industry. The state of Pennsylvania has enacted their VLT system and now has an attractive racing program. The state of New Jersey has received support from their government, now will have racing at Monmouth Park which will directly compete with New York. Canada through VLT revenues offer breeding and racing incentives greater than New York. There are 35,000 direct and indirect jobs due to the horse industry. These employment numbers do not include restaurants, hotels, etc. There will be a rally tomorrow at noon at the State Capitol and invited all to attend.

Kyle York of 59 Railroad Place stated he was here to talk about buildings that have burned. We had a minor fire on Broadway yesterday; a week ago we had one at 2 Franklin Square, and another in Greenfield. Almost 1 year ago he brought pictures of 66 Franklin Street to a Council meeting. This building is in terrible shape and is a fire trap. The City's building inspector's report stated the building at 66 Franklin Street is an unsafe structure and the fire chief has condemned the building and has instructed the firefighters not to enter the building if there was a fire but to concentrate their efforts on the adjacent structure (2 Franklin). The code enforcement officer has provided a letter that condemns the property for occupancy. It is a building that no one can afford to fix and no one has stepped forward to buy. He is asking the Council to take action where the system has broken down and step in to take care of the fire trap.

Geoff Borneman, board member of Saratoga Springs preservation foundation stated he is speaking in support of the mayor's agenda item that relates to the grant you are seeking on behalf of the foundation to complete the inventory at the race track. They all hope this community is successful in keeping the track open. As part of the 2008 franchise agreement given NYRA, a local advisory committee was established and charged with taking inventory of historical resources at the track for when NYRA starting making the necessary capital improvements. He appreciates the support on this effort.

Community Development Citizen Advisory Committee  
March 18 2010  
6:00 PM – Visitor's Center  
-----

AGENDA – 2010 Consolidated Plan Update

1. Draft Citizen Participation Plan
  - review
  - identify areas for modification
2. Questionnaire results
  - review
  - identify priority funding activities
3. Review of 2005 Consolidated Plan
  - identify areas for update / modification
4. Next Steps
  - Calendar of events:
    - March 25 – CDcac mtg.: review / approve draft Plan for release
    - March 30 – draft Consolidated Plan available (comment period through April 30)
    - April 6 – City Council vote on 2010 Action Plan (recommended funding)
    - April 20 – City Council Public Hearing on Draft Consolidated Plan
    - May 4 – City Council vote on 2010 Consolidated Plan Update

Materials:

- agenda
- draft Citizen Participation Plan
- list of questionnaire respondents
- tabulation of questionnaire responses
- list of questionnaire comments
- excerpts from 2005 Consolidated Plan



# CITY OF SARATOGA SPRINGS

## PLANNING & ECONOMIC DEVELOPMENT

CITY HALL - 474 BROADWAY  
SARATOGA SPRINGS, NEW YORK 12866  
TEL: 518-587-3550 FAX: 518-580-9480  
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BRADLEY BIRGE  
ADMINISTRATOR  
SUSAN BARDEN  
SENIOR PLANNER  
CINDY PHILLIPS  
CD COORDINATOR  
DEBORAH WERTHEIM  
SECRETARY

### Memorandum

To: Mayor Scott Johnson  
Commissioners Franck, Ivins, Scirocco, and Wirth

From: Bradley S. Birge

Date: April 5, 2010

Re: Revised Citizen Advisory Committee 2010 funding recommendations

Following 2 public hearings, 2 Committee meetings and much discussion, the Community Development Citizen Advisory Committee presented its recommendations for 2010 CDBG Entitlement Grant funding to the City Council on Tuesday, March 2, 2010. At that time, it was anticipated that the City would receive approximately \$370,000 in funding from the U.S. Department of Housing and Urban Development (HUD).

We received formal notice from HUD that the 2010 Entitlement allocation for the City of Saratoga Springs is \$400,116, a \$30,116 increase over the \$370,000 that was anticipated. During its deliberations, the Community Development Citizen Advisory Committee agreed upon provisions should the anticipated funding be increased by recommending that any additional funding be allocated to the City Housing Rehabilitation Grant program. As such, the the final 2010 funding recommendations for your consideration and action on April 6, 2010 are as follows:

#### RECOMMENDED ACTIVITIES:

Domestic Violence/Rape Crisis Center Emergency Shelter Counselor - \$17,000

A continuation of funding for a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and residing in the emergency shelter, with assistance in accessing rental assistance, securing housing, financial literacy and referrals to community support services.

Legal Aid Society Homelessness Prevention Project - \$12,000

The continuation of a homelessness prevention program to provide free legal advice, referrals and representation to prevent unwarranted or illegal evictions of persons of low and moderate income within Saratoga Springs.

Catholic Charities Mentoring Program - \$9,000

The continuation of a community-based prevention/diversion program to develop mentoring relationships between at-risk Saratoga Springs youth and successful area business/professional persons.

Prevention Council / Youth Court - \$8,000

To recruit and train resident youth, living in low- and moderate-income neighborhoods, as Youth Court Officers and to provide community service projects within the neighborhoods and community agencies that serve them.

Saratoga Sponsor-A-Scholar - \$1,000

To fund school buses to take students in the program on four group orientation sessions at college campuses within driving distance of Saratoga Springs.

Mother Susan Anderson Emergency Shelter - \$3,000

To fund utility and program costs associated with this emergency shelter serving women and children.

City-wide Housing Rehabilitation Grant Program – \$100,116 (includes \$30,116 in additional funding over originally-anticipated estimate)

Funding for continuation of City's successful residential rehabilitation grant program providing income-eligible homeowners with grants of up to \$15,000 for housing and emergency repairs.

Saratoga Affordable Housing Group – Property Rehabilitation - \$100,000

To fund energy efficiency improvements for the recently acquired and preserved 16 units of affordable housing on Allen Drive including window replacements.

Shelters of Saratoga Transitional Housing Development - \$25,000

Funding for architectural and engineering services for the proposed construction of an 8-12 bed transitional housing facility connected to 14 Walworth Street.

Dept. of Public Works – Senior Center Improvements - \$14,000

Funding energy efficiency, and other, improvements to the Senior Center public facility.

Dept. of Public Works – Infrastructure Improvement - \$10,000

Funding for the repair of accessible curbs, sidewalks and curbing within eligible areas of the City.

Frederick Allen Lodge Historic Building Preservation - \$10,000

Funding to further stabilize and preserve this building, eligible for listing on the National Register of Historic Places, within the Beekman Street arts district and currently the home of the Frederick Allen Lodge #609 and Mary A. Carter Temple #362.

Franklin Community Center Facilities Improvements - \$8,000

Funding for interior improvements to Franklin Community Manor, a permanent, safe and affordable housing building with 17 apartment for low-income adults.

Mother Susan Anderson Emergency Shelter Rehabilitation Project - \$8,000

Public facility improvements to this emergency shelter serving women and children.

Rebuilding Together Rehabilitation Project - \$5,000

To assist in funding this volunteer-assisted housing rehabilitation program.

Community Development Program Administration - \$70,000

These funds finance the Community Development operating budget to cover the cost of payroll, fringe and program/office expenses.

I thank you for your attention to this matter. Should you have any questions regarding these recommendations, please contact me at extension 2515, or the Citizen Advisory Committee Chair, Richard Ferguson, at 583-3114.



April 6, 2010

CITY OF SARATOGA SPRINGS  
City Council Meeting  
Agenda

6:00 PM 2010 Budget Workshop  
6:55 PM P.H. – Amend Chapter 225-40  
Removal of Vehicles

7:00 PM

CALL TO ORDER

ROLL CALL

SALUTE TO FLAG

PUBLIC COMMENT PERIOD / 15 MINUTES

PRESENTATION(S)

EXECUTIVE SESSION

Discussion regarding proposed, pending or current litigation; personal private information of a person or corporation, or matters leading to the appointment, employment, promotion, demotion, discipline, suspension, dismissal or removal of a person or corporation.

CONSENT AGENDA

1. Approval of City Council Minutes 3/16/10
2. Approve Budget Amendments
3. Approve Budget Transfers
4. Approve Payroll 3/19/10 \$402,303.15
5. Approve Payroll 3/25/10 \$388,080.64
6. Approve Payroll 4/2/10 \$379,059.86
7. Approve Warrant 2010 Mid 10MWMAR2 \$2,963,425.47
8. Approve Warrant 2010 Mid 10MWMAR3 \$7,163.39
9. Approve Warrant 2010 Regular 10 APR1 \$945,740.26

MAYOR'S DEPARTMENT

1. Discussion: Skate Park Status
2. Discussion and Vote: Approval of Skate Park Manual Updates
3. Discussion and Vote: Approval of Community Development Block Grant Recommendations
4. Set Public Hearing for 2010 Consolidates Plan Update
5. Appointment: Appointment to the Saratoga Springs Housing Authority Board
6. Appointment: Zoning Board of Appeals
7. Discussion and Vote: Heritage Area Visitor Center Program Fees
8. Discussion and Vote: Real Estate Committee Recommendation – Sale of Garfield Avenue

9. Update: Trask Memorial Grant
10. Discussion: Assistant Building Inspector on an as Needed Basis
11. Discussion and Vote: Clarification of 10% City Council Pay Reduction
12. Discussion: April 1, 2010 & April 2, 2010 Notices to All Unions/Employees of MVP HMO as Sole Health Care Provider Effective July 1, 2010

#### ACCOUNTS DEPARTMENT

1. Discussion and Vote: City Emergency Evacuation Policy
2. Award of Bid: Casino West Wall Drainage to DeBrino Caulking

#### FINANCE DEPARTMENT

1. Discussion and Vote: Department of Public Safety Payroll Transfers
2. Discussion: City Finances

#### PUBLIC WORKS DEPARTMENT

1. Discussion and Vote: Compost Fees
2. Announcement: Core Mobility Grant Handicapped Access a Public Bathrooms
3. Discussion and Vote: Geyser Crest Well Field – Iota Construction Change Order
4. Announcement: Sale of Compost
5. Announcement: South Broadway Award

#### PUBLIC SAFETY

1. Discussion and Vote: Permission for the Council to Accept Donation from State Farm Insurance
2. Set Public Hearing: Post “No Parking Here to Corner”
3. Discussion and Vote: Permission to Amend the Towing Contracts that were Approved at the March 16, 2010 Meeting
4. Discussion and Vote: Permission to Hire a Replacement for Public Safety Officer Supervisor
5. Discussion and Vote: Permission to Hire a Replacement for Identification Clerk
6. Discussion and Vote: Amend Chapter 225-40 of the City Code V&T Removal of Vehicles – Reducing Amount from \$500 to \$350
7. Announcement: Interview Room

#### SUPERVISORS

##### Joanne Yepsen

1. NY Racing: At Critical Crossroads
2. County Veterans Committee
3. Guardian House Fundraisers

##### Matt Veitch

1. Rally at Belmont Park
2. Loudon Trail



April 6, 2010

**CITY OF SARATOGA SPRINGS**  
**City Council Meeting**  
**City Council Room**  
**7:00 PM**

**PRESENT:** Scott Johnson, Mayor  
Ken Ivins, Commissioner of Finance  
John Franck, Commissioner of Accounts  
Anthony Scirocco, Commissioner of DPW  
Richard Wirth, Commissioner of DPS

Matthew Veitch, Supervisor

**STAFF PRESENT:** Shauna Sutton, Deputy Mayor  
Kate Jarosh, Deputy Commissioner, Finance  
Michele Boxley, Deputy Commissioner, Accounts  
Tim Cogan, Deputy Commissioner, DPW  
Frank Dudla, Deputy Commissioner, DPS  
Joe Scala, City Attorney

**ABSENT:** Joanne Yepsen, Supervisor

**RECORDING OF PROCEEDING**

The proceedings of this meeting were taped for the benefit of the secretary. Because the minutes are not a verbatim record of the proceedings, the minutes are not a word-for-word transcript.

**Public Hearing**

**Amend Chapter 225-40 Removal of Vehicles**

Mayor Johnson opened the public hearing at 7:02 p.m.

Commissioner Wirth explained this is to change the towing amounts from \$500 to \$350. If fines are accumulated of \$350 or more, we will be able to tow the vehicle.

Kyle York of 59 Railroad Place stated this is a great idea to lower the amount. He is hoping the Council can look into publishing the names of the people who are not paying their fines.

Mayor Johnson closed the public hearing at 7:04 p.m.

**CALL TO ORDER**

Mayor Johnson called the meeting to order at 7:04 p.m.

**PUBLIC COMMENT**

Mayor Johnson opened the public comment period at 7:05 p.m.

**Mayor Johnson said the public comment period is limited to a total of 15 minutes and individuals are limited to two minutes each according to the rules approved in February of 2004 by the City Council.**

Rick Stone of 26 Tiffany Place stated he is here to voice his opinion on the proposal to cut funding and personnel in recreation. He takes offense to those who think recreation is a luxury or that it functions to keep kids off the streets. Recreation is as much for adults and it is for children. As the president of the Saratoga Babe Ruth League, he can't understand why the City would slash a department that is generating revenue. They utilize the Recreation Department to schedule their different events. Without that coordination, they could lose their ability to play at our outdoor fields and inside courts by having to play them outside Saratoga. He is also concerned when the new facility is completed, hours will be reduced because there will not be the staff to run it. At a time when the economy is causing so much uncertainty in our lives, we need the joy of games, sport, and play now more than ever.

Charlie Samuels of 58 Court Street stated he is a skateboarder at 48 years old. He was shocked to hear the pool at the East Side Rec was filled in with dirt. That is one of the things that attracted him to this town. He has paid for the right to skate that pool for the past 3 years. He is not sure the reason of filling the pool other than someone feels it is dangerous. It is no more dangerous than any other sport. If the Council is afraid of lawsuits, then they should dump dirt all over the football fields in town; it is a more dangerous sport. Most skate parks have a skate at your own risk policy and he urges the Council to adopt that. He asked the Council to reconsider.

Courtney Sulton of 2 Roosevelt Court is here to support Camp Saradac. She has been working at Camp Saradac for 10 years. This is a positive program in this community. It has run for 65 years because the families in this community need the camp. The camp provides structure for the kids, routines, and role models. They receive a lot of positive feedback every summer regarding the camp. There are a lot of different programs at the camp including educational programs. She reminded the Council that they voted to not raise the fees on families who use Camp Saradac as the families who use the camp and need it the most can't afford it.

Al Callucci of 9 Lexington Road stated it seems the savings on the health insurance would be ongoing. We also have in this budget a \$600,000 contribution to the state retirement fund because of the shortfall. He believes it would be a shame to sell the Lillian's lot because it is critical to downtown retail. The turnover in that lot on a daily basis is enormous. We make more in tickets in that lot than we would in property tax. He stated he has discussed many revenue ideas with Commissioner Wirth. He believes they should eliminate the non-paid parking lots. He advises that people buy a permit to park there. We can't afford to do anything for free.

Derrick Legall of 3 Daily Place and chairman of the Recreation Department stated he was originally going to read a letter to the council but the mayor highlighted a lot of the points in that letter. Recreation is an essential service. We have to look to gain efficiencies. He can't understand why payroll is done in each department and not in the Finance Department. Why does each department have a copier in City Hall; there could be network copiers. Until this City Council starts running like a private industry, nothing is going to change.

Becky Dewitt of 8 Louden road stated she has worked with Linda Terricola for 20 plus years. She has received benefits to work with the group; it is a benefit every time she works with a small child. She asked everyone in the room to remember the benefits we all get from recreation in Saratoga Springs.

Mike Laudicina of 9 Joseph Street stated he worked for the YMCA for 18 years. He feels recreation is very important and the new recreation center is very important. He would hate to see it not staffed the way it should be.

Kyle York of 59 Railroad Place thanked public safety for the bike patrols. He also commended Public Safety for picking up on speeders. To the firemen, still a lot of misunderstanding of what happened at 2 Franklin Square. It was the quick thinking and brave work that saved the building. It's been a year since 66 Franklin has been looked at. Since then there are more broken windows, the lock is off the door, etc. He hopes we can do something about this property.

Mayor Johnson closed the public comment period at 7:24 p.m.

### **Executive Session**

**Mayor Johnson moved and Commissioner Ivins seconded to move to executive session for discussion regarding proposed, pending or current litigation; personal private information of a person or corporation, or matters leading to the appointment, employment, promotion, demotion, discipline, suspension, dismissal or removal of a person or corporation and collective negotiations involving matters including but not limited to the Anderson litigation, the ethics board, and an update on the PBA union negotiations at 9:50 p.m.**

**Ayes - All**

Council returned from session at 9:47 p.m.

Mayor Johnson advised that after the Council having met, they did not reach a final resolution on any matter; therefore there is nothing to report.

### **CONSENT AGENDA**

1. Approval of City Council Minutes 3/16/10 **(removed)**
2. Approve Budget Amendments
3. Approve Budget Transfers
4. Approve Payroll 3/19/10 \$402,303.15
5. Approve Payroll 3/25/10 \$388,080.64
6. Approve Payroll 4/2/10 \$379,059.86
7. Approve Warrant 2010 Mid 10MWMAR2 \$2,963,425.47
8. Approve Warrant 2010 Mid 10MWMAR3 \$7,163.39
9. Approve Warrant 2010 Regular 10 APR1 \$945,740.26

Mayor Johnson stated there are some items that should be removed from the Consent Agenda before they move to approve the balance of the items on the Consent Agenda. He would like to remove the approval of the 3/16/10 City Council Minutes from the Consent Agenda. There is an item that needs to be discussed on this regarding the reduction of the Council's salary. The minutes do not reflect what they intended on doing and want to clarify/discuss it.

Commissioner Franck stated they listened to it; it is almost verbatim.

Commissioner Ivins stated there is a change to one warrant; 2010 Regular 10 APR1 \$945,740.26. The amount of this warrant is now \$945,095.89. Vouchers were pulled upon audit for questionable charges.

**Mayor Johnson moved and Commissioner Ivins seconded to approve the consent agenda listed without the City Council minutes of 3/16/10.**

**Ayes - All**

## **MAYOR'S DEPARTMENT**

### Discussion: Skate Park Status

Linda Terricola stated the skate park does have remaining steel structures for skaters. They have been working closely with the school district and the recreation commission. The skate park will open tomorrow with the Council approval as a skate at your own risk park.

Mayor Johnson clarified for the public that a portion of the park has been removed from use. The bowl was filled in because the City can no longer have paid personnel staffing the skate park because of our fiscal issues; it is all part of cut backs. It is also to protect the City from undue liability for claims for personal injury. It was then left for a skate at your own risk policy. The skate bowl is in a different risk pool. To allow the bowl to be unprotected is an unacceptable level of risk.

Linda stated the reason it was filled with sand versus capping it with concrete is because it is easier to remove if down the road we choose to do that.

Marilyn Rivers, director of Risk and Safety stated we first starting talking about skate at your own risk in 2009. That was offered by Risk and Safety, Safety Committee, and Recreation Committee with the skate bowl open. When we started to address community concerns, they decided to stop it temporarily and had it under lock and key. She recently walked the property with the school district's loss prevention specialist. In 2003, the skate park was wooden structures that had to be rebuilt every year. In 2004 & 2005 we worked with community members to purchase state of the art skate park structures that are steel in nature and used throughout the country. In the future, should there be no budgetary constraints; the dirt used to fill the bowl could be removed. They cannot get the school district to agree to use the skate park as a skate at your own risk with the bowl there. City Council would not agree last year to a skate at your own risk with the bowl. To make the skate park a skate at your own risk, the policy that was adopted in 2003 needs to be rescinded and the new policy needs to be passed. We have confirmation from the school district in writing for a skate at your own risk if the signage is put up and we agree to period safety inspections. Individuals can go to the skate park from dawn to dusk and use the existing steel structures.

Mayor Johnson explained the first 2 items on his agenda have been mixed together.

Commissioner Franck confirmed the school district was involved in the negotiations.

Marilyn advised she spent a lot of time with the loss prevention specialist reminding him he was involved in the design in the skate park.

Commissioner Franck confirmed the fencing around the bowl that was discussed never happened because of the cost to the school district.

Mayor Johnson stated there is a fence around the park itself.

Commissioner Scirocco asked if there is an age restriction.

Marilyn stated there is not; it will be posted that skating is at your own risk.

Mayor Johnson stated it became a compromise to keep the park open.

Commissioner Scirocco asked if the hours are restricted. The park is not locked.

Marilyn stated it is from dawn to dusk.

Discussion and Vote: Approval of Skate Park Manual Updates (10-81)

**Mayor Johnson moved and Commissioner Franck seconded to rescind the prior protocol and adopt the new one as described.**

**Ayes - All**

Discussion and Vote: Approval of Community Development Block Grant Recommendations (10-82)

Brad Birge advised each year the City receives a grant from US Department of Urban Housing and Development. These funds are to be used to assist persons of low and moderate income within certain areas of the City. The program begins in December with applications. The recommendations for allocation are before the Council for the funding. The City is receiving \$400,116 in block grant funding.

Mayor Johnson advised public hearings had been held on the recommendations.

Brad advised there are 2 public meetings held by the Community Development Advisory Committee, a public hearing by the City Council, and a 30 day comment period.

**Mayor Johnson moved and Commissioner Ivins seconded to approve the recommendations as described.**

Commissioner Ivins stated he is a volunteer for one of the organizations; not a decision maker.

**Ayes – All**

Set Public Hearing for 2010 Consolidated Plan Update

Brad Birge explained every 5 years the City reviews its long term 5 year strategic plan towards the allocation of block grant funding. It identifies the priorities over the next 5 years.

Mayor Johnson set a public hearing for Tuesday, April 20<sup>th</sup> at 6:55 p.m.

## LEGAL NOTICE

PLEASE TAKE NOTICE that the Saratoga Springs City Council has scheduled a public hearing on Tuesday, 20 April 2010 at 6:55 PM in the City Council Room, City Hall 474 Broadway, Saratoga Springs, New York, to hear comments on the Draft 2010 Consolidated Plan for the City of Saratoga Springs.

The 2010 Consolidated Plan is a multi-year planning document that identifies the City's housing and non-housing community development priorities and establishes a coordinated plan for federal and non-federal investment to achieve community development program objectives.

The draft 2010 Consolidated Plan is available for review at the Saratoga Springs Public Library reference desk, the offices of the Saratoga Springs Housing Authority, the Office of Community Development, City Hall, and on the internet at: [www.saratoga-springs.org](http://www.saratoga-springs.org). Written comment may be submitted to the Office of Community Development until the close of business on 30 April 2010. The Saratoga Springs City Council is anticipated to vote on the 2010 Consolidated Plan at the 4 May City Council meeting. After approval by the City Council, the 2010 Consolidated Plan will be submitted to the U.S. Department of Housing and Urban Development.

CITY COUNCIL OF THE CITY OF  
SARATOGA SPRINGS, NEW YORK

By: John Franck  
City Clerk

IX – 4/9/10 Friday – The Saratogian

Bill to : Office of Community Development, City Hall, 474 Broadway, Saratoga Springs, NY 12866

Account #: T203339

**AFFIDAVIT OF PUBLICATION**

**STATE OF NEW YORK,  
Rensselaer County,  
City of Troy.**

ss:

Sharon Martone of the City of Troy, in the county of Rensselaer and State of New York, being duly sworn, deposes and says that she is the Principal Clerk of the **Journal Register East, Inc.**, a Corporation duly organized under the laws of the State of New York; that said Corporation is the publisher of **The SARATOGIAN**, a daily newspaper printed and published in the City of Saratoga Springs and County of Saratoga, and that the notice of which the annexed is a printed copy, has been regularly published in **The SARATOGIAN**.

**ONCE DAILY for ONE DAY**

**to wit: on the 9th day of April, 2010**

Sworn before me, this

12th day of April, 2010 x Sharon Martone  
[Signature]  
Notary Public

DEBRA A. BECK  
Notary Public, State of New York  
0182607222  
Qualified in Rensselaer County  
Commission Expires April 01, 2014

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**CITY COUNCIL OF THE  
CITY OF  
SARATOGA SPRINGS,  
NEW YORK**  
  
By: John Franck  
City Clerk  
  
4/9, 1x/893802



# CITY OF SARATOGA SPRINGS

## PLANNING & ECONOMIC DEVELOPMENT

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DEBORAH WERTHEIM  
SECRETARY

### Memorandum

To: Mayor Scott Johnson  
Commissioners Franck, Ivins, Scirocco, and Wirth

From: Bradley S. Birge

Date: April 13, 2010

Re: Draft 5-year Community Development Block Grant (CDBG) Consolidated Plan

#### Consolidated Plan Purpose

The City's Consolidated Plan is a multi-year planning document that identifies City housing and non-housing community development priorities and establishes a coordinated plan for annual Entitlement funding to achieve program objectives. The 2010 Consolidated Plan covers a 5-year period beginning July 1, 2010 and ending June 30, 2015 with interim updates as necessary.

#### Administration and Institutional Structure

The Office of Community Development is responsible for administering the City's community development program. A multi-member Community Development Citizen Advisory Committee, with staff support from the Office of Planning and Economic Development, is responsible for community outreach and assistance in preparing the Consolidated Plan and annual Action Plans. The five-member City Council has the legislative authority for Consolidated Plan and annual Action Plan approval.

#### Consolidated Plan Development and Coordination

The intent of the Consolidated Plan process is to ensure a collaborative approach to community development planning and programming. In February 2010, the Citizen Advisory Committee held two public hearings to elicit citizen input in preparation of the City's 2010 Consolidated Plan and Entitlement Action Plan. The first meeting was held on February 1 in City Hall, a central community location. The second was held on February 17 in the William J. Ford Neighborhood Center in the Jefferson/Vanderbilt Terrace Public Housing Area on the Eastside of the City - the area of greatest low/moderate income concentration.

At its February 25, 2010 meeting, the Citizen Advisory Committee evaluated the manner in which to update the City's Consolidated Plan for the next five years. The level of past and current federal funding was reviewed along with the accomplishments derived from activity funding over the past ten years (attached). It was determined that a questionnaire instrument would be employed to identify a current perspective on priorities within six categories: permanent housing needs, homeless (and transitional) housing facility needs, public service activity needs, and non-housing community development needs such as infrastructure, public facilities and economic development.

The questionnaire instrument (attached) was reviewed and approved by the Citizen Advisory Committee and the Committee reviewed and approved the questionnaire distribution list (attached) of nearly 200 local, regional, and state-wide housing and support service agencies, planning and coordinating entities, neighborhood groups, non-profit organizations, media, business and educational

institutions. In addition, the Mayor issued a press release notifying the public of this outreach effort and identified that the questionnaire was available in City Hall, at the Saratoga Springs Public Library, and on the City's web site: [www.saratoga-springs.org](http://www.saratoga-springs.org). Citizen Advisory Committee members also distributed additional questionnaires at a variety of other public events including a meeting of "Sustainable Saratoga", a grassroots volunteer organization encouraging efforts to improve life in Saratoga by conserving the vitality and diversity of regional resources, and the Mayor's Senior Citizen Committee.

The responses to this widely publicized questionnaire were then tabulated and presented to the Citizen Advisory Committee in advance of the Committee's March 18 meeting. The Committee evaluated these responses and, after much discussion, reached consensus on the proposed funding priorities for the next five years of federal funding. In addition, the Committee reviewed and made recommendations to update the City's Citizen Participation Plan (attached).

### Philosophy of Investment

The City of Saratoga Springs currently receives in the neighborhood of \$350,000 - \$400,000 in annual CDBG funding. This level of funding classifies Saratoga Springs as one of the smallest Entitlement communities, in terms of CDBG funding, in New York State. Noting these funding constraints, the Community Development Citizen Advisory Committee evaluated activity funding for the past ten years and confirmed the funding priorities for the next five years.

### Proposed 2010 – 2015 Consolidated Plan Funding Priorities

"High Priority" – the following activities will continue to receive the highest priority for federal funding:

#### 1. Housing Needs

It is recommended that the highest funding priority be for activities that address the "continuum" of housing needs for persons of low- and moderate-income:

- homelessness prevention
- emergency shelter
- transitional housing and related support services (especially for families with children)
- rehabilitation of existing housing stock
- creation of new permanent affordable housing

#### 2. Public Service Activities for Children, At-risk Youth and Seniors

In addition to housing needs, it is recommended that public service activities that assist the most vulnerable segments of our population such as children, at-risk youth and seniors are also considered high funding priorities.

In addition, the Citizen Advisory Committee recognizes that non-housing community development activities are integral components in promoting a sound living environment and encouraging expanded economic opportunities for persons of low- and moderate-income. As such, the following activities are attributed with a medium, yet important, funding priority.

"Medium Priority" – the following activities may be expected to receive funding particularly in concert with leveraged, non-federal sources.

#### 1. Targeted Infrastructure Improvements

Recognizing the successful revitalization of Beekman Street, and input from the Westside Neighborhood Association regarding the benefit of "tangible improvements with a permanent effect", the Committee encourages infrastructure projects that focus on discrete "target" areas with a combination of improvements including water, sewer, storm water, curbing, sidewalks, lighting, and streetscape enhancements.

## 2. Economic Development

Recognizing the success of the City's Economic Development Revolving Loan Program, the Committee encourages economic development activities that promote job creation and long-term financial stability for persons of low- and moderate-income.

Given the limited amount of funding available in the foreseeable future, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified funding priorities, and the ability to demonstrate identifiable accomplishments to gauge activity success.

I thank you for your attention to this matter. Should you have any questions regarding these recommendations, please contact me at extension 2515, or the Citizen Advisory Committee Chair, Richard Ferguson, at 583-3114.

April 20, 2010

CITY OF SARATOGA SPRINGS  
City Council Meeting  
Agenda



6:25 PM 2010 Budget Workshop  
6:45 PM P.H. – Amend Chapter 225-81  
Parking Prohibited at all Times  
6:50 P.M. P.H. – Local Law #2 of 2010 to Amend  
Section 2.1 of the City Charter Re: Council Salaries  
6:55 PM P.H. – 2010 Consolidated Plan Update

7:00 PM

CALL TO ORDER

ROLL CALL

SALUTE TO FLAG

PUBLIC COMMENT PERIOD / 15 MINUTES

PRESENTATION(S)

1. Revised Hours of Operation for Drinking Establishments

EXECUTIVE SESSION

Discussion regarding proposed, pending or current litigation; personal private information of a person or corporation, or matters leading to the appointment, employment, promotion, demotion, discipline, suspension, dismissal or removal of a person or corporation.

CONSENT AGENDA

1. Approval of 4/6/10 Budget Workshop Minutes
2. Approve 4/6/10 City Council Meeting Minutes
3. Approve Budget Amendments
4. Approve Budget Transfers
5. Approve Payroll 4/16/10 \$397,337.16
6. Approve Payroll 4/9/10 \$387,824.90
7. Approve Warrant 2010 Mid 10MWAPR1 \$654,956.73
8. Approve Warrant 2010 Regular 10 APR2 \$823,046.75
9. Extension of Bids to Various Vendors for Various Items

MAYOR'S DEPARTMENT

1. Discussion and Vote: Approval for the Mayor to Sign the 2010 State of New York Department of Health Renewal Application for a Permit to Operate Camp Saradac
2. Discussion and Vote: Approval of Camp Saradac Safety Plan Updates
3. Discussion and Vote: 2010 Camp Saradac Fees
4. Discussion and Vote: 2010 Camp Saradac Trips and Programs
5. Discussion and Vote: Updated 2010 Recreation Fees
6. Discussion and Vote: Accept Donation



April 20, 2010

**CITY OF SARATOGA SPRINGS**  
**City Council Meeting**  
**City Council Room**  
**7:00 PM**

**PRESENT:** Scott Johnson, Mayor  
Ken Ivins, Commissioner of Finance  
John Franck, Commissioner of Accounts  
Anthony Scirocco, Commissioner of DPW  
Richard Wirth, Commissioner of DPS

Matthew Veitch, Supervisor  
Joanne Yepsen, Supervisor

**STAFF PRESENT:** Shauna Sutton, Deputy Mayor  
Kate Jarosh, Deputy Commissioner, Finance  
Michele Boxley, Deputy Commissioner, Accounts  
Tim Cogan, Deputy Commissioner, DPW (arrived at 7:20 p.m.)  
Frank Dudla, Deputy Commissioner, DPS  
Joe Scala, City Attorney

**RECORDING OF PROCEEDING**

The proceedings of this meeting were taped for the benefit of the secretary. Because the minutes are not a verbatim record of the proceedings, the minutes are not a word-for-word transcript.

**Public Hearing**

**Amend Chapter 225-81 Parking Prohibited At All Times**

Mayor Johnson opened the public hearing at 6:45 p.m.

Commissioner Wirth advised this is for no parking on Crescent Avenue and Rt. 9P. This is to post "No Parking from Here to Corner" signs on both sides of Crescent Avenue going south approximately 100 feet from its intersection with Rt. 9P.

Commissioner Scirocco asked if this will be seasonal.

Commissioner Wirth stated this will be year round.

No one spoke.

Mayor Johnson closed the public hearing at 6:50 p.m.

Local Law #2 of 2010 to Amend Section 2.1 of the City Charter Re: Council Salaries

Mayor Johnson opened the public hearing at 6:50 p.m.

Mayor Johnson explained this was before the Council almost a month ago and passed by resolution. There were some questions of how this would be treated tax wise for the individual Council members. It was decided based upon legal advice to address this through a local law. The salary of each Council member is \$14,500 per year. For the year 2010 a 10% reduction is being proposed for the remainder of this year. There was a slight revision to this by his office to reflect the intent of the Council which is to do this on a go forward basis.

Commissioner Ivins stated so far they have been doing this as a donation. He asked the Council how they want to handle the money that has been taken out to date; leave as a donation.

Commissioner Franck stated since this happened in the first quarter, if we haven't filed our '941' we can amend it.

Commissioner Ivins he believes we can. He just wants to hear from the Council what they want to do.

Mayor Johnson stated they should make the effective date retroactive to the date of the first deduction.

Commissioner Ivins advised March 26<sup>th</sup> was the first paycheck the donation hit.

Al Callucci of 9 Lexington Road stated with the amount of homeless people and the food pantries being low, it would be better to put the money in the community rather than the City.

Mayor Johnson closed the public hearing at 6:57 p.m.

2010 Consolidated Plan Update

Mayor Johnson opened the public hearing at 6:57 p.m.

Mayor Johnson stated this is a 5 year plan proposed by the Community Block Grant. The committee meets to review applications and make annual awards. This is a draft of a 5 year plan to identify City housing and non-housing community development priorities.

No one spoke.

Mayor Johnson ended the public hearing at 7:00 p.m. and kept it open.

**CALL TO ORDER**

Mayor Johnson called the meeting to order at 7:00 p.m.

**PUBLIC COMENT**

Mayor Johnson ended the public comment period at 7:01 p.m. and kept the public hearing open.

**Mayor Johnson said the public comment period is limited to a total of 15 minutes and individuals are limited to two minutes each according to the rules approved in February of 2004 by the City Council.**

**SARATOGA SPRINGS**  
**THURSDAY, APRIL 29, 2010**  
**Shelter**

# Opens new apartments

**BY TATIANA ZARNOWSKI**  
*Gazette Reporter*

People trying to find their way after being homeless now have a way to get there.

Shelters of Saratoga last month opened its new transitional housing apartments, a pilot program that homeless people living in the shelter can enter if they hold down a job, stay clean and sober and pay rent.

Because demand is so high, homeless adults can live in the emergency shelter at 14 Walworth St. for no more than 60 days, said executive director John Penzer. The shelter can hold up to 18 or 19 people at a time.

But that's often not enough time for a person to earn enough money to get an apartment of their own.

So Shelters of Saratoga bought the building next door at 20 Walworth St. from the Saratoga County Economic Opportunity Council last month for \$200,000. It can house four adults in the two two-bed-

"We want them to aspire to moving toward total independence," he said. "We are hoping that people, within eight months or a year, find a better job and move up onward to total independence and be re-integrated into the community."

The building is a pilot program for an expanded transitional housing program the agency wants to start in a couple of years.

"We expect to have a lot of success with this," Penzer said.

At the end of this year, the organization expects to start building a new structure connecting 14 and 20 Walworth St. that will be able to accommodate eight tenants. A first-floor apartment will be handicapped-accessible.

It is expected to be finished by the end of 2011 and will cover what is now a parking lot between the two buildings.

The agency hopes to start its capital campaign soon to raise money for that building.

"We're going to use the success from 20 Walworth St. to help us launch our capital campaign," Penzer said. "Our goal as an agency is really to put ourselves out of business."

Penzer said Saratoga County has hundreds of homeless residents.

In addition to the emergency shelter and the new transitional housing, Shelters of Saratoga also owns two low-income houses at 128 Grand Ave. and 112 Washington St., which have seven apartments total.

"All of our programs are at full occupancy," Penzer said.

The agency also runs a "Rapid Rehousing Program" to help people who are about to be evicted find new housing.

*Reach Gazette reporter Tatiana Zarnowski at 587-*



# CITY OF SARATOGA SPRINGS

## City Council Meeting

May 4, 2010

City Council Room

06:45 PM P.H. - Revised Hours of  
Operation for Drinking Establishments

 Print

**7:00 PM**

**CALL TO ORDER**

**ROLL CALL**

**SALUTE TO FLAG**

**PUBLIC COMMENT PERIOD / 15 MINUTES**

**PRESENTATION(S):**

**EXECUTIVE SESSION:**

### **CONSENT AGENDA**

1. Approval of 4/20/10 Budget Workshop Minutes
2. Approval of 4/20/10 City Council Minutes
3. Approve Budget Amendments
4. Approve Budget Transfers
5. Approve Payroll 4/23/10: \$ 383,234.10
6. Approve Payroll 4/30/10: \$ 410,835.39
7. Approve Warrant 2010 Mid 10MWAPR2 \$7,633,582.38
8. Approve Warrant 2010 Regular 10MAY1 \$ 429,465.95
9. Commissioner of Deeds

**MAYOR'S DEPARTMENT**

1. Discussion and Vote: 2010 CDBG Consolidated Plan
2. Discussion and Vote: Contract with Saratoga Springs Preservation Foundation for Historic Review legislation update grant
3. Announcement: Waterfront Park Grant

## **ACCOUNTS DEPARTMENT**

1. Award of Bid: Extension of Motor Oil Bid with Farrell Oil
2. Award of Bid: Extension of Motor Vehicle Filters Bid with Napa Auto Parts
3. Award of Bid: Extension of Sand Bid with Cranesville Aggregates Co. Inc.
4. Discussion: Revised Hours of Operation for Drinking Establishments

## **FINANCE DEPARTMENT**

1. Discussion and Vote: Revenue Budget Transfer
2. Discussion and Vote: Decrease Budget Amendment
3. Discussion and Vote: Authorization for Mayor to Sign Contract with Alonzo Fireworks
4. Discussion and Vote: Contingency Transfer
5. Discussion and Vote: Accept Donations-Saratoga's All-American Celebration
6. Discussion: City Finances

## **PUBLIC WORKS DEPARTMENT**

1. Discussion and Vote: B&B Invoice
2. Discussion and Vote: Change Order #3 - DelSignore - Church Street Reconstruction
3. Discussion: Lillians Parking Lot
4. Discussion and Vote: Sidewalk and Curb Agreement
5. Announcement: Update on DPW Projects

## **PUBLIC SAFETY DEPARTMENT**

1. Discussion and Vote: Post "No Parking Here to Corner" on Crescent Ave and Rt. 9P
2. Discussion and Vote: Hire part-time laborer for the Traffic Maintenance Unit for the summer
3. Announcement: Announcement - Arrest and Conviction
4. Set Public Hearing: to place Stop signs at Phila St. and Court St.

## **SUPERVISORS**

1. Joanne Yepsen

1. Save NY Racing Thorofan Rally
  2. Community Open House Global Foundries
  3. Saratoga County Summer Youth Employment Program
  4. Saratoga Springs High School Government Day
  5. Descendants of the Signers of the Declaration of Independence visit to Saratoga
2. **Matthew Veitch**
1. Announcement: To Love A Child Program for Haiti

**ADJOURN**

event was very disruptive to the area and the Westside Neighborhood Association was not consulted. In other cities where these events are held there is a fee. She is wondering how much money the City collected and how much it cost the City to put up the signs and remove barricades. She hopes if the City is approached again, this group is suggested to go elsewhere.

John Kettlewell of Lafayette Street stated again he is in support of the drinking proposal. He would like to see it year round. He doesn't have any optimism that this will go anywhere. He feels there is a lack of police presence after 2 a.m. He asked public safety to reconsider staffing on Caroline Street after 2 a.m. You can see visibly intoxicated people on Caroline Street; they are leaving the bars intoxicated and carrying out beer bottles.

Andrea Hyde Rogers of Saratoga Springs stated she agrees with Commissioner Franck. Maybe we should lead the way for other towns and save a few lives. We should be a leader and not a follower.

Mayor Johnson closed the public comment period at 8:11 p.m.

### **CONSENT AGENDA**

Mayor Johnson moved and Commissioner Wirth seconded to approve the consent agenda as follows:

1. Approval of 4/20/10 Budget Workshop Minutes
2. Approve 4/20/10 City Council Meeting Minutes
3. Approve Budget Amendments
4. Approve Budget Transfers
5. Approve Payroll 4/23/10 \$383,234.10
6. Approve Payroll 4/30/10 \$410,835.39
7. Approve Warrant 2010 Mid 10MWAPR2 \$7,633,582.38
8. Approve Warrant 2010 Regular 10 MAY1 \$429,465.95
9. Commissioner of Deeds

**Ayes - All**

### **MAYOR'S DEPARTMENT**

Discussion and Vote: Approval 2010 CDBG Consolidated Plan (10-119)

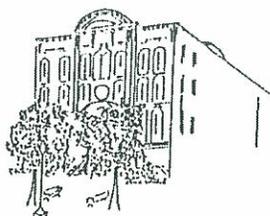
Brad Birge stated this is the final action on a series of plans. This is the next 5 year strategic plan through which the next annual action plans will be guided. Comments have been received from the public and entered into the document. The document before the Council will be forwarded to U.S. Department of Housing and Urban Development for their review and approval.

**Mayor Johnson moved and Commissioner Ivins seconded to approve the 2010 CDBG Consolidated Plan as described and presented.**

**Ayes - All**

Discussion and Vote: Contract with Saratoga Springs Preservation Foundation for Historic Review Legislation Update Grant (10-120)

# A GUIDE TO THE COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) ENTITLEMENT PROGRAM



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CITY OF SARATOGA SPRINGS  
OFFICE OF COMMUNITY DEVELOPMENT



CITY HALL - 474 BROADWAY  
SARATOGA SPRINGS, NEW YORK 12866  
TEL: 518-587-3550 X.2575 FAX: 518-580-9480  
[HTTP://WWW.SARATOGA-SPRINGS.ORG/CD](http://www.saratoga-springs.org/cd)



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The City of Saratoga Springs does not discriminate on the basis of age, race, color, gender, religion, handicap, sexual orientation, familial status or national origin in employment or the provision of services.

# INTRODUCTION TO CDBG

## PURPOSE OF THIS GUIDE

The purpose of this guide is to assist applicants to design projects that meet the federal requirements and local priorities of the Saratoga Springs Community Development Block Grant (CDBG) Entitlement program.

Topics include:

- Eligible applicants for CDBG funds
- Description of eligible activities
- Description of ineligible activities
- Meeting a national objective in the CDBG program
- Other CDBG and local regulations of importance in designing eligible projects

## GOAL OF THE CDBG PROGRAM

The Community Development Block Grant (CDBG) program was created by passage of the Housing and Community Development Act of 1974. It combined into a single grant a number of previous grant programs administered by the US Department of Housing and Urban Development (HUD) including Urban Renewal, Model Cities, Open Space, and Water and Sewer. The block grant allows a more flexible approach to Community Development.

The goal of the CDBG program is to develop viable urban communities through:

- housing
- economic development
- elimination of slums and blight
- expansion of community services
- neighborhood revitalization

As a participant in the “Entitlement” branch of the CDBG program, the City of Saratoga Springs receives an annual allocation of federal funds based on a formula that takes into consideration total population, population change, age and condition of housing stock, and poverty. The direction, focus and design of the community development program is determined by the local community within guidelines provided by CDBG regulations. The CDBG program is administered locally by the Saratoga Springs Office of Community Development in room 10 of City Hall.

## LOW AND MODERATE INCOME BENEFIT

The primary objective of the CDBG program is to benefit persons of low and moderate income.

By regulation, the City must allocate at least 70% of its annual CDBG funding on activities that benefit persons of low and moderate income, households, and neighborhoods.

“Low and moderate income” is a specific financial description referring to income guidelines determined by HUD. These income guidelines take into consideration total household size and the annual income for all persons in the household. Current income guidelines for use in the development of programs can be found below:

### MAXIMUM ANNUAL HOUSEHOLD INCOME

| Household Size    | LOW INCOME | MODERATE INCOME |
|-------------------|------------|-----------------|
| 1 person          | \$ 25,950  | \$ 41,500       |
| 2 persons         | 29,650     | 47,450          |
| 3 persons         | 33,350     | 53,350          |
| 4 persons         | 37,050     | 59,300          |
| 5 persons         | 40,000     | 64,050          |
| 6 persons         | 43,000     | 68,800          |
| 7 persons         | 45,950     | 73,550          |
| 8 or more persons | 48,900     | 78,300          |

## CDBG REGULATIONS

It must be stressed that this guide is a summary; actual regulations may be found in the Code of Federal Regulations (CFR) in volume 24 (Housing and Urban Development), Part 570 (Community Development Block Grants). Throughout this guide, citations are provided so that the regulations may be referenced for more information.

Citation: [570.204(c)] means:

- Part 570 of volume 24 CFR
- Section 240
- Paragraph c

The City’s Community Development staff can provide copies of the regulations and technical assistance to applicants to help develop eligible projects.

## ENTITLEMENT FUNDING CYCLE

- APPLICATIONS FOR ENTITLEMENT FUNDING (JAN. TO FEB.)

Application materials are available in December with the application due January 30. During the application stage, community development staff is available to provide technical assistance to assist in the development of an application for CDBG funding.

- PUBLIC HEARINGS (FEB. AND MARCH)

The City of Saratoga Springs holds at least three public hearings each year for public comment on the Annual Action Plan and proposed funding applications. Comments and proposals relating to the Entitlement program may be submitted at any time during the program year to the Office of Community Development.

Applicants seeking funding are encouraged to speak in support of their proposals at one of the two scheduled public hearings in February. A third hearing is scheduled in March for comment on the Citizen Advisory Committee's recommendations to the City Council.

- PROJECT SELECTION (FEB. TO MAY)

The Community Development Citizen Advisory Committee reviews all submitted applications for adherence to HUD eligibility requirements and examines them with respect to the prioritized needs outlined in the City's Consolidated Plan. The Committee then offers its recommendations to the City Council for its approval (March).

The recommended slate of projects is published in *The Saratogian* and placed on display in the Saratoga Springs Public Library for a 30-day review and comment period. Following the 30-day public comment period (March-April), the Council votes on the annual slate of activities and submits the final plan to the US Dept. of Housing and Urban Development for their approval (May).

- ENVIRONMENTAL REVIEW (MAY TO JUNE)

All Entitlement funded projects are subject to the National Environmental Policy Act of 1969 that requires a review for impact regarding flood plain, noise, historic preservation, hazardous waste and other potential environmental impacts. The results of this assessment are summarized and published in *The Saratogian* with a 15-day period for public review and comment. The results are then sent to HUD and subject to another 15-day public comment period. HUD must approve the City's environmental impact submission before funds may be expended on projects listed in the Final Statement.

- HUD APPROVAL (JUNE TO AUG.)

After a period of review, HUD forwards a Grant Agreement to the City for execution by the Mayor. HUD also forwards a Release of Funds which means that the environmental review is complete and that the City of Saratoga Springs can begin using the funds.

- AMENDMENTS TO ACTION PLAN

An official amendment to the year's Action Plan is required whenever an activity is added, eliminated, or substantially changed from the Plan previously approved by HUD. The amendment, once approved by the City Council, is published in *The Saratogian* and the public is provided with a 30-day public comment period before submittal to HUD.

- CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT (CAPER)

The Consolidated Annual Performance and Evaluation Report (CAPER) is a statistical and narrative summary of the City's performance during the program year that identifies how Entitlement funds were expended. The report must be submitted to HUD within 90 days from the end of the program year (by September 30). The public is notified in *The Saratogian* of a 15-day public comment period immediately preceding the submission of the CAPER to HUD.

## CONSOLIDATED PLAN AND ENTITLEMENT ACTION PLAN

By law, the City is required to have a Consolidated Plan approved by HUD. The Consolidated Plan identifies the City's housing and community development needs and presents a Strategic Plan establishing the City's housing and community development priorities for a 5-year period. Each year, the City solicits applications from organizations seeking funding for activities designed to address these priorities.

The "Community Development Citizen Advisory Committee" reviews all applications for eligibility and examines them with respect to the prioritized needs of the City's Consolidated Plan. The Committee then offers its recommendations (the annual Entitlement Action Plan) to the City Council for approval.

The 2005 Consolidated Plan for the City of Saratoga Springs is available for review at the Office of Community Development, City Hall – 474 Broadway, the Saratoga Springs Public Library, and the Saratoga Springs Housing Authority. A complete set of HUD

regulations is also available for review in the Office of Community Development.

## STRATEGIC PLAN PRIORITIES

The Strategic Plan identifies the City’s “philosophy of investment” for CDBG funding and presents the priority housing and non-housing community development needs.

### PHILOSOPHY OF INVESTMENT

It is the City’s intent to encourage collaboration among the private sector, the non-profit community, and local government to promote and fund activities that meet the needs identified in this Strategic Plan.

### GUIDING PRINCIPLES

- Additional affordable housing (especially rental units) is the greatest community development need and highest priority
- Non-housing activities such as accessibility and infrastructure improvements, job creation and economic development initiatives, and public service outreach and education are complementary and critical community development components
- Activities addressing “High Priority” needs have the greatest opportunity for funding; “Medium Priority” activities may be funded but must show substantial leveraged funding

Successful applicants for Entitlement Action Plan funding will:

- specifically respond to the priority needs identified in this Plan
- identify specific accomplishments to gauge project success
- include, on average, at least 20% of funding leveraged from other resources

## PRIORITY HOUSING NEEDS

### HOMELESS FACILITIES AND SERVICES

There is a continuing need for supportive services and a continuum of affordable housing options to promote a successful transition from homelessness to stable productive living environments.

#### High Priority:

- affordable housing for previously homeless individuals and families with children

- Emergency shelter and transitional housing facilities for youth and families with children
- Supportive services including homelessness prevention/intervention, job/ life skills training, housing placement, case management, etc.

### HOUSING NEEDS

The City will continue to pursue and support collaborative efforts to develop additional affordable housing. In the absence of new affordable construction, the City will place a high priority on the reconstruction and rehabilitation of existing housing to revitalize neighborhoods, maintain affordability, and reintroduce decent affordable units into the City’s housing stock.

Affordable housing of all types and tenure should be actively promoted throughout the City to avoid over-concentration, to reduce the potential for isolation of income groups, and to encourage dynamic social and economic interaction.

Furthermore, affordable housing construction and rehabilitation should occur via a “whole-site approach” with attention to site location and layout, façade design, pedestrian movement and accessibility, adequate infrastructure provision, and a sensitivity to historic preservation.

#### High Priority:

- Creation of affordable rental units, especially for persons with disabilities, larger households, and the elderly
- Creation of ownership units, especially for homeless individuals and families to transition from temporary to permanent housing
- Creation of new permanent housing that is affordable and available to our residents and workforce.
- Rehabilitation of existing housing to:
  - Improve code compliance, safety and energy efficiency of substandard housing
  - Maintain affordability for elderly and other fixed-income households
  - Accomplish more substantial and “whole-site” improvements
  - Address lead-based paint hazards and accessibility needs

## PRIORITY NON-HOUSING NEEDS

Non-housing community development activities are integral in promoting a sound living environment and

encouraging expanded economic opportunities for persons of low and moderate income.

#### INFRASTRUCTURE

##### Medium priority:

- Sidewalk improvements for greater pedestrian access and handicap accessibility
- Curb/stormwater drainage improvements to improve the health, safety, and pedestrian access for neighborhoods of lower income
- Infrastructure extensions (sewer, water, stormwater drainage) to improve the health and safety of eligible neighborhoods, or to reduce the cost of affordable housing construction

#### TRANSPORTATION:

##### Medium priority:

- Development of transportation options to improve access to affordable housing, services, and employment opportunities

#### ECONOMIC DEVELOPMENT

##### Medium priority:

- Expansion of City Economic Development Revolving Loan program to more actively promote employment opportunities for persons of low and moderate income

#### PLANNING

##### Medium priority:

- Expansion of technical assistance provision and program coordination for focused “neighborhood” revitalization efforts

#### PRIORITY PUBLIC SERVICE NEEDS

Although limited to an annual statutory funding cap, public service activities complement housing and non-housing community development activities in developing and promoting a sound living environment.

##### Medium priority:

- programs to prevent homelessness and a promote successful transition from temporary housing to stable, productive living environments
- programs that address the safety, education, and social needs of eligible youth and the elderly.
- programs to address the needs of public housing residents

## DEVELOPING ELIGIBLE PROJECTS

This section of the Guide explains the steps to determine if a project is eligible for CDBG funds. Entitlement funding eligibility is determined by a 3-step process:

1. The applicant must be eligible
2. The activity must be eligible, and
3. The activity must meet a national objective

The rules for determining project eligibility are located in the Federal CDBG regulations at 24 CFR Part 570.

### STEP 1: ELIGIBLE APPLICANT

Applicants for CDBG funds must be eligible to administer the project or program. Eligible project administrators include:

- Community Development staff
- City of Saratoga Springs Departments
- Other public agencies
- Private non-profit organizations

Although private citizens may propose a community development activity, only the four specified groups may administer CDBG funded activities. Private individuals or businesses may be the recipients of CDBG funds through an eligible project administrator in cases such as loans to homeowners for property rehabilitation and loans to businesses for working capital. [570.200(f)]

### STEP 2: ELIGIBLE ACTIVITIES

Activities must be listed as eligible in the CDBG statute, as amplified by regulation.

Furthermore, the activity must not be within the category of explicitly ineligible activities under other CDBG regulations. For example, rehabilitation of buildings is generally an eligible activity; however, Federal regulations specifically exclude the rehabilitation of office buildings for the general conduct of government.

## INELIGIBLE ACTIVITIES

The following activities are explicitly ineligible activities under other CDBG regulations

- BUILDINGS FOR GENERAL CONDUCT OF GOVERNMENT

CDBG expenditures are generally not allowed on buildings that house government offices.

- **GENERAL GOVERNMENT EXPENDITURES**

Funds are not allowed for the general functions of government. However, government departments may carry out eligible projects.

- **POLITICAL ACTIVITIES**

Funds may not be used for political purposes or partisan political activities such as candidate forums, voter transportation, etc.

- **PURCHASE OF EQUIPMENT**

Equipment purchase is generally not eligible with specific exceptions including equipment necessary for a public service project.

- **FURNISHINGS AND PERSONAL PROPERTY**

Furnishings are generally not eligible except when necessary for a public service project.

- **OPERATING AND MAINTENANCE**

Funds are generally not eligible for operating and maintenance except as part of a public service project.

- **INCOME PAYMENTS**

Funds are generally not eligible for income payments for income maintenance, housing allowances, payment of rent, mortgage, etc.

- **RELIGIOUS PROHIBITION**

Restrictions apply to use of CDBG funds on buildings or programs administered by religious organizations and institutions [570.200(j)]. Funds may not be used for the acquisition, construction, rehabilitation, etc. of structures used strictly for religious purposes or to promote religious interests.

However, funds may be used to rehabilitate buildings owned by religious entities for non-religious purposes under limited circumstances.

## ELIGIBLE ACTIVITIES

The following activities are listed as generally eligible activities under other CDBG regulations

- **ACQUISITION OF REAL PROPERTY**

CDBG funds may be used to acquire real property by purchase, long-term lease, or by donation. Real property includes land, air rights, easements, water rights, rights-of-way, buildings and other real property improvements.

Real property acquisition does not include movable equipment, furnishings, machinery, land “write-downs” in which the land is purchased at one price and then sold to the same entity at a lower price, or acquiring newly constructed housing.

Funds may be provided to private for-profit entities if the property is rehabilitated/sold for residential purposes meeting a national objective.

NOTE: Acquisition of real property must always be viewed in terms of the ultimate use of the property in meeting a national objective.

- **DISPOSITION OF REAL PROPERTY**

Funds may be used to dispose of real property acquired with CDBG or urban renewal funds provided the property will be used to meet a national objective.

To be eligible, the use after disposition must meet a national objective. Proceeds from the disposition are program income to the CDBG program.

- **PUBLIC FACILITIES AND IMPROVEMENTS**

CDBG funds may be provided to public agencies and private non-profits for acquisition, construction, reconstruction, rehabilitation, or installation of public improvements or facilities provided they meet a national objective. Public improvements and facilities include:

- shelters
- water and sewer facilities
- flood and drainage improvements
- fire protection facilities
- community centers
- senior centers
- health centers
- parking, streets, curbs, and sidewalks
- parks and playgrounds.

Eligible expenditures do not include costs of operating or maintaining the public facilities and improvements once they are renovated or constructed. Also not eligible are the costs of purchasing construction equipment and costs of furnishings and other personal property except fire fighting equipment

Public facilities may be owned by private non-profit organizations but such facilities must be open for use by the public during all normal hours of operation.

Government buildings may be considered public facilities when they provide public services for other than the general conduct of government.

- **CLEARANCE**

CDBG funds may be used for clearance, demolition, and removal of buildings and improvements provided these activities meet a national objective. Demolition of HUD-assisted housing units may be undertaken only with the prior approval of HUD.

- **PUBLIC SERVICES**

CDBG funds may be used to provide public services including but are not limited to:

- child care
- health care
- job training
- recreation
- education
- public safety
- fair housing
- senior services
- homeless services
- drug abuse services
- energy conservation testing

Public services do not include payments to individuals for food, clothing, rent or other income payments.

Eligible program costs for public services include payment for labor, supplies and materials to administer the program and the costs of operating that portion of a facility in which the public service is located. Eligible costs may also include the purchase or lease of furnishings, equipment, or other necessary personal property, which are typically not eligible expenditures under other activities.

A maximum of 15% of an annual Entitlement grant may be allocated for public services.

- **INTERIM ASSISTANCE**

CDBG funds may be used for certain activities on an interim basis provided the activities meet a national objective.

Eligible interim assistance includes projects that are a prelude to permanent improvements in a deteriorating area when there are determinable signs of physical deterioration and where immediate action is necessary to arrest the deterioration. Eligible activities include repair of streets, sidewalks, public buildings, parks and playgrounds and publicly-owned utilities, special trash collection and neighborhood cleanup campaigns.

CDBG funds may be used to alleviate emergency conditions that threaten public health and safety when designated an emergency by the City of Saratoga Springs.

Eligible activities include repair of streets, sidewalks, public buildings, and publicly-owned utilities, special trash collection and neighborhood cleanup campaigns, snow removal and improvements to private properties.

These activities may not go beyond what is necessary to alleviate the emergency condition. [570.201(f)(2) and 570.200(3)]

- **RELOCATION**

CDBG funds may be used for relocation payments and assistance to displaced individuals, families, businesses, non-profit organizations and farms.

Relocation assistance is required if the displacement is caused by CDBG funded activities. In these cases, assistance is required under federal regulations that specify the eligibility and amount of payments to be provided. Relocation assistance is optional when displacement is caused by factors other than CDBG funded activities.

- **LOSS OF RENTAL INCOME**

CDBG funds may be used to pay housing owners for losses of rental income incurred in holding, for temporary periods, housing units to be used for the relocation of persons and families displaced by CDBG assisted activities. [570.201(j)]

- **PRIVATELY OWNED UTILITIES**

CDBG funds may be provided to public agencies and non-profit organizations to acquire, construct, reconstruct, rehabilitate, or install distribution lines and facilities of privately owned utilities provided the activity meets a national objective.

- **HOUSING REHABILITATION**

CDBG funds may be used to finance the costs of rehabilitation in the following manner.

**ELIGIBLE TYPES OF PROPERTY**

- Residential – privately or publicly owned
- Commercial/Industrial – if owner by a for-profit, rehabilitation is limited to exterior improvements and correcting code violations
- Other – nonprofit-owned nonresidential improvements

**ELIGIBLE TYPES OF ASSISTANCE**

- Costs – labor, materials, supplies and other required expenses for rehabilitation
- (Re) Financing – grants, loans, etc. may be provided under this category

- Property acquisition – assistance to private entities *for use/resale for residential use*
- Renovation – conversion of a closed building from one use to another
- Security devices, water and sewer connection, barrier removal, historic preservation
- Lead-based paint hazard evaluation/reduction
- Rehabilitation services

Housing rehabilitation does not include creation of secondary housing units, installation of luxury items such as a swimming pool, cost of equipment, furnishings, or other personal property not an integral structural fixture such as air conditioners or washer and dryers, or labor costs for homeowners to rehabilitate their own properties.

- **CODE ENFORCEMENT**

CDBG funds may be used for code enforcement only in deteriorating areas where enforcement, together with public/private improvements, rehabilitation, or services, may be expected to arrest the decline of the area.

- **SPECIAL ECONOMIC DEVELOPMENT ACTIVITIES**

CDBG funds may be used for “special economic development” activities carried out by public agencies, non-profit organizations and private for-profit businesses. Funds may be used for the following “special economic development” activities:

- Activities carried out by grantee or non-profit including acquisition, (re)construction, rehabilitation, or installation of commercial / industrial buildings or structures, or other real property equipment and improvements
- Assistance to private for-profit entities for an economic development project such as grants, loans, guarantees, technical assistance, etc.
- Economic development services in connection with the above 2 categories

- **MICROENTERPRISE ASSISTANCE**

Grantees and other public or private organizations may use CDBG funds to facilitate economic development through the establishment, stabilization, and expansion of microenterprises (a business having five or fewer employees, one or more of who owns the business.) CDBG Funds can be used to provide financial assistance of any kind to an existing microenterprise or to assist in the establishment of a microenterprise.

- **SPECIAL ACTIVITIES BY CBDO’S (COMMUNITY-BASED DEVELOPMENT ORGANIZATIONS)**

This category authorizes a grantee to designate certain types of entities to carry out a range of activities that may include activities the grantee may not otherwise carry out itself. Under this category, a qualified CBDO may only carry out the following 3 types of projects:

- Neighborhood revitalization
- Community economic development
- Energy conservation

- **HOMEOWNERSHIP ASSISTANCE**

Grantees and their subrecipients may provide financial assistance to households of low and moderate income to assist them in the purchase of a home. CDBG funds may be used to:

- subsidize interest rates and mortgage principal amounts
- finance the cost of acquiring property already occupied by the household at terms needed to make the purchase affordable
- pay all or part of the mortgage insurance premium
- pay any or all of the reasonable closing costs
- pay up to 50% of the down payment required by the mortgagee.

- **PLANNING AND CAPACITY BUILDING**

Funds may be used for studies, plans, data gathering, and identification of actions designed to improve the grantee’s capacity to plan and manage CDBG programs.

## NATIONAL OBJECTIVES

All proposed activities must meet and document compliance with 1 of the 3 “national objectives” to be eligible for funding.

### ACTIVITIES BENEFITING PERSONS OF LOW AND MODERATE INCOME

- **LOW AND MODERATE INCOME AREA**

An “area benefit” activity must benefit all the residents of a primarily residential area in which at least 51% of the residents are persons of low and moderate income.

Examples:

- Renovation of a neighborhood facility
- Loan to a grocery store enabling it to remain in a low-income area underserved by food stores
- New sidewalks in low income neighborhoods

Documentation to determine low/moderate income area eligibility includes:

- Boundaries of the service area and the basis for determining those boundaries, and
- The percentage of L/M income person in the service area and the data used for determining that percentage.

A map illustrating the low/moderate income areas of the City is included in this guide.

#### • ACTIVITIES BENEFITING A LIMITED CLIENTELE OF LOW AND MODERATE INCOME

A “limited clientele” activity is one that provides benefits to a specific group of persons, at least 51% of whom are of low or moderate income, in the following manner.

- Exclusively benefit a clientele presumed by HUD to be principally of low and moderate income. The following groups are currently presumed by HUD to be made up principally of Low/Moderate income persons:
  - abused children
  - elderly persons
  - battered spouses
  - homeless persons
  - handicapped persons
  - illiterate persons
  - migrant workers
- Require information on family size and income so that it is evident that at least 51% of the clientele are persons whose family income does not exceed the Low/Moderate income limit.
- Be of such nature and in such location that it may reasonably be concluded that the activity’s clientele will primarily be Low/Moderate income persons.
- Be an activity that serves to remove material architectural barriers to the mobility or accessibility of elderly persons or of adults meeting the Bureau of the Census’ Current Population Reports definition of “severely disabled,” provided it is restricted to the removal of such barriers by assisting:
  - the reconstruction of a public facility that does not qualify under the Low/Moderate income area benefit criteria;
  - the rehabilitation of privately-owned nonresidential building that does not qualify under the Low/Moderate income area benefit criteria or the Low/Moderate income jobs criteria;

- the rehabilitation of the common areas of a residential structure that contains more than one dwelling unit and that does not qualify under the Low/Moderate income criteria.

- Be a microenterprise assistance activity carried out in accordance with the provision of 570.201(o) with respect to those owners of microenterprises and persons developing microenterprises assisted under the activity during each program year who are low and moderate-income persons.
- An activity designed to provide job training and placement and/or other employment support services, in which the percentage of low- and moderate-income persons assisted is less than 51% may qualify in the following limited circumstance:
  - in such cases where training or provision of supportive services assists businesses, the only use of CDBG assistance for the project is to provide the job training; and
  - the proportion of the total cost of the project borne by CDBG funds is no greater than the proportion of the total number of persons assisted who are of low- or moderate-income.

#### LOW AND MODERATE INCOME HOUSING

An activity may meet this “housing” objective if it assists in the acquisition, construction, or improvement of permanent residential structures providing that persons of low and moderate income occupy that housing. The housing may be either owner-occupied or rental units in either one family or multi-family structures.

Rental units occupied by low- and moderate-income households must be occupied at affordable rents. Rental buildings under common ownership and management and located on the same or contiguous properties may be considered a single structure.

#### LOW AND MODERATE INCOME JOBS

An activity may meet this objective if it assists in the creation or retention of jobs where at least 51% of the jobholders are persons of low and moderate income.

Examples:

- Construction of business incubator by a non-profit to house new businesses
- Loan to a for-profit businesses to expand operations
- Loan to a business that has announced its intention to close in order to retain the jobs

where 51% of current employees are from low/moderate income households

- Improvement of public infrastructure as needed by a company to comply with environmental laws to avoid closure.

Under retaining jobs, there must be clear and objective evidence that permanent jobs will be lost without the CDBG assistance. HUD considers evidence to be notices to affected employees, public statements by the business, or relevant financial records.

## ACTIVITIES TO ADDRESS SLUM/BLIGHT CONDITIONS

- **DESIGNATED SLUM / BLIGHT AREA**

An activity may meet this objective if it assists in the elimination of physical decay in a “designated” slum/blight area.

NOTE: The City of Saratoga Springs currently does not have a designated slum/blight area so applications for funding may not use this category for fulfilling a national objective.

- **Slum/Blight Spot Basis**

An activity may meet this objective if it assists in the elimination of physical decay on a “spot basis” located outside of a designated slum/blight area.

Documentation is required identifying the specific condition of blight or physical decay to be addressed and details of the scope of the CDBG assisted rehabilitation.

NOTE: At least 70% of a grantee’s annual Entitlement grant must assist persons of low and moderate income. An activity qualifying under this national objective category does not necessarily assist persons of low and moderate income.

- **SLUM/BLIGHT URBAN RENEWAL COMPLETION**

An activity may meet this objective if it assists in the completion of activities carried out in an urban renewal area in accordance with a HUD approved urban renewal plan (Pre-1974 Plans).

NOTE: The City of Saratoga Springs does not have any uncompleted urban renewal plans so applications for funding may not use this category for fulfilling a national objective.

## ACTIVITIES THAT MEET AN URGENT COMMUNITY DEVELOPMENT NEED

- **URGENT NEED**

An activity may meet this objective if it assists to alleviate existing conditions that pose a serious and immediate threat to the health or welfare of the community.

The City of Saratoga Springs must certify that existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs. The emergency must be of recent origin or recently became urgent (18 months is a “safe harbor”). Evidence is necessary to show that other financial resources were not available.

NOTE: The City of Saratoga Springs currently has not certified any immediately threatening conditions in the community so applications for funding may not use this category for fulfilling a national objective.

## FINANCIAL MANAGEMENT

### UNIFORM ADMINISTRATIVE STANDARDS

Financial management of CDBG funds is governed by the following documents depending on the type of organization administering the CDBG funds. Procedures covered in these regulations include but are not limited to procurements, audits, access to records, termination clauses, bonding, and property management standards:

- Government: “Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments” (24 CFR Part 85 “The Common Rule” certain sections listed in CDBG regulation 570.502)
- Non-Profits: “Standards of Financial Management for non-profits” (OMB Circular A-110, certain attachments listed in CDBG regulation 570.502)

### ALLOWABLE COSTS

CDBG expenditures must conform to the requirements of the Office of Management and Budget (OMB) circulars that specify that costs must be:

- Allowable: under CDBG and OMB regulations
- Reasonable: compared to market prices and other indicators

- Allocable: must be attributable to the project or activity

Cost principles can be found in the following documents depending on the type of organization administering the CDBG funds.

- Government: “Cost Principles Applicable to Grants and Contracts with State and Local Governments” (OMB Circular A-87)
- Non-Profits: “Cost Principles for Non-Profit Organizations” (OMB Circular A-122)

## AUDITS

An independent annual audit is required for all non-profit and governmental subrecipients of CDBG funds.

Subrecipients that receive over \$25,000 per year from any federal source including CDBG are required to have an audit conducted. Government subrecipients must have an audit done as part of an overall government audit on an annual basis.

A waiver of the audit can be requested for non-profit subrecipients receiving under \$25,000 per year from CDBG funds and where the total from all federal sources of funds received by the subrecipient is also under \$25,000.

- Government: “Audits of State and Local Governments” (OMB Circular A-128)
- Non-Profits: “Audits of Institutions of Higher Education and Other Nonprofit Institutions” (OMB Circular A-133)

## PROCUREMENTS

Federal procurement procedures must be followed when federal funds are used for the purchase of supplies, equipment, services, and construction work. Awards must be made only to responsible contractors and sufficient records must be maintained to support the rationale for the selected method of procurement, the selection of the contractor and the basis for the contract price. All procurements are to be conducted to allow for full and open competition.

Procurement regulations require that affirmative action steps be taken in every procurement to contract with minority (MBE), women (WBE) and small business firms. There are four (4) methods of procurement allowed:

1. Small Purchase: under \$25,000, requires adequate number of informal price quotes (“rule of thumb” - at least 3 quotes)
2. Sealed Bids: formal advertising and public opening of bids, preferred method for construction projects

3. Competitive Proposal: formal request for proposals, technical, and price evaluation of proposals
4. Non-competitive Proposal: only allowed when other methods are not feasible, includes sole source contracts and emergencies

Procurement regulations can be found in the following documents depending on the type of organization administering the CDBG funds:

- Government: 24 CFR Part 85.36
- Non-Profits: OMB Circular A-110 Attachment “O”

## PROGRAM INCOME

All income from CDBG projects must be used on CDBG eligible activities and all other CDBG regulations apply to the reuse of the funds. Program income includes repayment of loans, income from sale of property and any other income directly related to the CDBG funding. If there are several funding sources, program income may be pro-rated between the different funding sources.

Program income must be returned to the Office of Community Development but may be retained under a subrecipient agreement for use in eligible activities.

## REAL PROPERTY USE RESTRICTIONS

Real property that is acquired or improved in whole or in part with CDBG funds over \$25,000 is subject to controls on the use of the property for a period of time.

## LABOR STANDARDS

### DAVIS-BACON WAGES AND RELATED REGULATIONS

Davis-Bacon and related federal laws require payment of prevailing wages to laborers and mechanics on contracts and subcontracts exceeding \$2,000 for the performance of construction work financed in whole or in part with federal funds. This applies to projects even if CDBG funds are only part of the total cost. It can raise the cost of a project by as much as 20-30% and should be considered in determining the cost of the project.

The current prevailing wage rates must be determined prior to start of construction. Contract Hours and Safety Standards regulations also apply to laborers and

mechanics on construction projects. Exceptions to Davis-Bacon include:

- purchase of equipment, machinery and fixtures
- labor for installation of equipment, machinery and fixtures which is incidental to the construction (i.e. labor to set up playground equipment)
- rehabilitation of residential property under 8 units (Note: Other HUD programs may have different thresholds)
- certain costs associated with a construction project including legal, accounting, architectural, engineering, construction management and real property acquisition if CDBG is used solely for these excepted costs under separate contract from the construction

In some cases, Davis-Bacon wages would apply to the entire project in addition to the CDBG funded contract. Contact the Office of Community Development for a determination whether Davis-Bacon would apply to the project and to which costs. A wage determination must be obtained from HUD for use in determining wages for the project. After award, the contractor must attend a preconstruction meeting with the Office of Community Development and Equal Opportunity Office staff, submit weekly payrolls, and be subject to job site inspections.

## FEDERAL EQUAL OPPORTUNITY (EEO) AND MINORITY BUSINESS ENTERPRISE (MBE)

All projects funded in whole or in part by CDBG funds are governed by Executive Order 11246 (as amended) which prohibits discrimination in employment on all federally assisted contracts on the basis of race, color, religion, sex or national origin.

Procurement regulations require that affirmative action steps be taken in every procurement to contract with minority (MBE), women (WBE) and small business firms.

## EMPLOYMENT OF LOW INCOME PERSONS

Contractors on all CDBG funded projects in excess of \$200,000 and subcontractors whose portion is in excess of \$100,000 are required by Section 3 of the Housing and Urban Development Act of 1968 to provide employment and training opportunities to low- and moderate-income persons to the greatest extent feasible.

## OTHER REQUIREMENTS

## NON-DISCRIMINATION IN CDBG BENEFITS

No person shall be excluded from participation, denied benefits, or treated differentially on the basis of race, color, gender, national origin or sexual orientation in any program funded in whole or in part with CDBG funds.

## FAIR HOUSING

The Fair Housing Act prohibits discrimination in the sale, rental, or financing of housing on the basis of race, color, religion, gender, sexual orientation, national origin, handicap or family status. The City of Saratoga Springs is required to affirmatively further fair housing opportunities in the City as a condition of receiving CDBG funds.

## CONFLICT OF INTEREST

No employee, agent, consultant, officer, elected or appointed official of the City or funding subrecipient who exercises any functions or responsibilities with respect to CDBG activities, or is in a position to participate in the decision-making process, or gains inside information with regard to such activities may obtain a financial interest or benefit from a CDBG activity, or have a financial interest in any contract with respect to a CDBG activity or its proceeds either for him(her)self or those with whom (s)he has family or business ties during the individual's tenure and for one year after employment. [570.611]

## LOBBYING

CDBG subrecipients are required to certify that no federal funds were or will be used to lobby federal employees, members of Congress, employees of Congress or employees of members of Congress in conjunction with the award of federal funds or contracts. If other than federal funds are used to influence the persons previously named for funds, a lobbying disclosure form is required.

## ANTI-DISPLACEMENT AND REPLACEMENT OF LOST HOUSING UNITS

CDBG funds are subject to the Uniform Relocation Assistance and Real Property Acquisition Act which requires relocation payments and assistance when there is displacement of individuals, families, businesses, non-profit organizations and farms due to CDBG funded activities.

If there is a loss of low- and moderate-income housing units due to a CDBG funded activity, there may be a one-for-one replacement required.

## **PUBLIC ACCESS TO RECORDS**

Citizens may have reasonable access to records regarding the use of CDBG funds as long as the access meets local and State laws regarding privacy and confidentiality. Several CDBG documents are made public each year including the following:

- Proposed CDBG Projects and CDBG budget
- Environmental Review Notice
- Amendments to the Action Plan (as necessary)
- Grantee Performance Report
- Five-year Consolidated Plan
- Yearly Entitlement Action Plan

## **DRUG FREE WORKPLACE**

The City of Saratoga Springs certifies to HUD that it will prohibit the unlawful manufacture, distribution, dispersing, possession, or use of a controlled substance in the workplace including the workplace of subrecipients of CDBG funds. Subrecipients must certify that they have developed and will implement a drug-free workplace policy.

## **DIRECT BENEFICIARY DATA**

Data is required to be collected on the racial, ethnic and female head of household status for each direct beneficiary of the CDBG funds. This includes all projects with direct beneficiaries including housing rehabilitation, job creation and retention, public services, and facilities that serve a limited clientele.

## **HANDICAPPED ACCESS**

CDBG funds are subject to Section 504 of the Rehabilitation Act of 1973 (amended 1986) that states that no otherwise qualified individual with handicaps shall solely by reason of his/her handicap be excluded from, denied benefits, or subjected to, discrimination in any program receiving federal funds.

New construction of housing and non-housing facilities must be designed and constructed to be accessible and usable by individuals with handicaps. Activities to improve existing housing with 1 to 4 units are exempt from the handicapped accessibility regulations. Alterations to existing housing with more than 5 units is subject to the accessibility regulations.

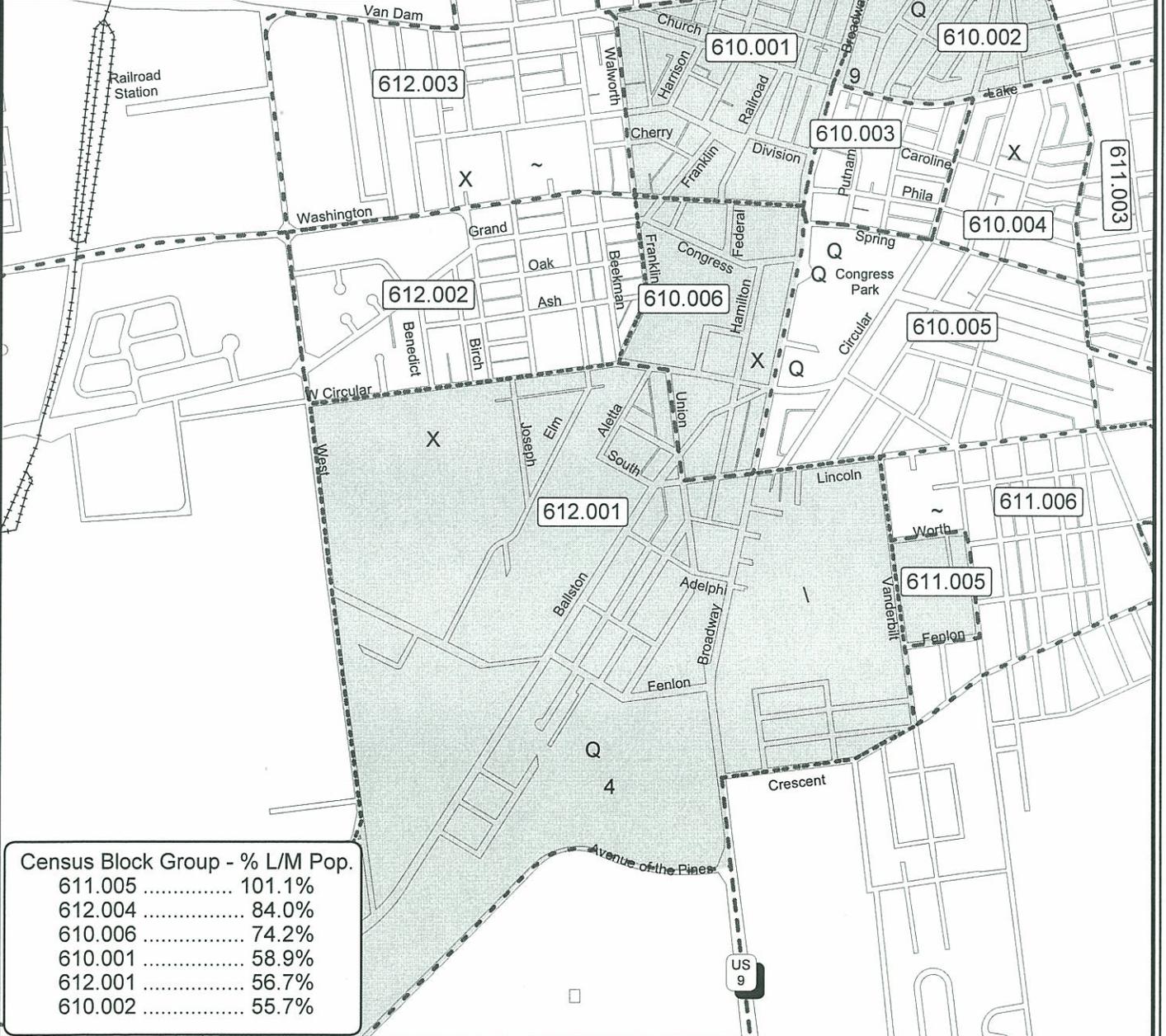
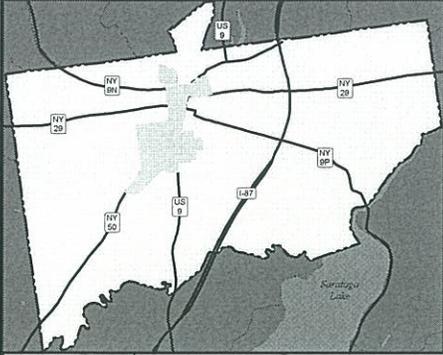
Alterations to existing non-housing facilities must include making them accessible to the maximum extent feasible. Alternatives to physical modifications include assigning aides to beneficiaries, relocating services to accessible facilities and conducting home visits to provide services.

## **FOR FURTHER INFORMATION**

For information on how to apply for CDBG funds or for assistance in developing a proposal, contact:

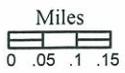
The City of Saratoga Springs  
Office of Community Development  
City Hall – 474 Broadway  
Saratoga Springs, NY 12866  
(518) 587-3550 ext. 2575  
[www.saratoga-springs.org/cd/](http://www.saratoga-springs.org/cd/)

City Inset with Shaded Low-Moderate Areas



Census Block Group - % L/M Pop.

|         |        |
|---------|--------|
| 611.005 | 101.1% |
| 612.004 | 84.0%  |
| 610.006 | 74.2%  |
| 610.001 | 58.9%  |
| 612.001 | 56.7%  |
| 610.002 | 55.7%  |



Low- & Moderate-income Areas - 2000 Census Data  
The City of Saratoga Springs

