COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)

2016 ENTITLEMENT ACTION PLAN

FOR

THE CITY OF SARATOGA SPRINGS

B16-MC-36-0017

CITY HALL – 474 BROADWAY
SARATOGA SPRINGS, NEW YORK 12866

PREPARED BY THE CITY OFFICE OF COMMUNITY DEVELOPMENT
APPROVED BY THE SARATOGA SPRINGS CITY COUNCIL - APRIL 19, 2016

FOR SUBMITTAL TO THE
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Equal Housing Opportunity

THE CITY OF SARATOGA SPRINGS DOES NOT DISCRIMINATE ON THE BASIS OF AGE, RACE, COLOR, GENDER, RELIGION, HANDICAP, SEXUAL ORIENTATION, FAMILIAL STATUS OR NATIONAL ORIGIN IN EMPLOYMENT OR THE PROVISION OF SERVICES.

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

Following 2 public hearings, 2 Committee meetings, much discussion, dialog and deliberation, the Community Development Citizen Advisory Committee achieved consensus and presented its recommendations for the 2016 CDBG Entitlement Grant to the City Council on Tuesday, March 1, 2016. Expected funding is $313,699.

Following the Committee's presentation to the City Council, a 30-day public comment period occurred through April 2, 2016. The City Council scheduled an additional Public Hearing on Tuesday, March 15, 2016 and at the April 4, 2016 City Council meeting, the Council unanimously approved the recommended funding plan as presented.

Fourteen applications were received requesting nearly $444,838 in funding. The 12- member Citizen Advisory Committee met to discuss each application in detail and then individually evaluated the proposals using an 8 variable matrix including project readiness, organization capacity, # of persons assisted, financial leveraging, long-term benefit, and consistency with identified City Consolidated Plan priority.

The results of this aggregate ranking formed the basis of discussion for funding allocation. The Committee's intent throughout this challenging process was to fund activities consistent with the City's identified priorities and to assist the greatest number of persons with its limited resources.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The activities identified in this Action Plan are in response to the priorities identified during the consolidated planning process. Given the limited amount of funding available, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities and the ability to demonstrate identifiable accomplishments to gauge activity success.

OMB Control No: 2506-0117 (exp. 07/31/2015)

The City's intent throughout this challenging process is to select activities for funding that address the City's priority needs and assist the greatest number of persons within the City's limited resources.

These activities would be fulfilled with the expected Federal funds from the 2016 CDBG funds of $313,699, $1,048 in re-programmed funds from previous years and program income of over $12,703 from the revolving loan programs. Also available are funds from the competitive McKinney-Vento Homeless Assistance Act funds in the amount of $303,987. In addition, projects anticipate over $381,070 in leveraged funds from other private and local resources. The City of Saratoga Springs Community Development office encourages all subrecipients to leverage at least 20% of the amount they are requesting.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

See 2000 - 2015 Accomplishments Attachment

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

In conformance with the City's Citizen Participation Plan, the 2016 Action Plan process began the Community Development Citizen Advisory Committee holding two public hearings, advertised in conformance with the Citizen Participation Plan, in January of 2016 to elicit additional citizen input in preparation of the City's 2016 Action Plan and to hear presentations by organizations seeking funding under the Action Plan. The first meeting was held on February 1 in City Hall, a central community location. The second was held on February 4 in the Saratoga Springs Recreation Center next to the Jefferson/Vanderbilt Terrace Public Housing Area on the Eastside of the City - the area of greatest low/moderate income concentration.

Fourteen applications were received requesting nearly $444,838 in funding. The 12-member Citizen Advisory Committee then met on two additional occasions to discuss each application in detail and individually evaluate the proposals using an 8 variable matrix including project readiness, organizational capacity, # of persons assisted, financial leveraging, long-term benefit, and consistency with identified City Consolidated Plan priorities.

The results of this aggregate ranking formed the basis of discussion for funding allocation. The Committee's intent throughout this challenging process was to fund activities consistent with the City's identified priorities and to assist the greatest number of persons with its limited resources.

The funding recommendations were presented to the City Council on March 1. A 30-day comment period ensued beginning on March 2 and ending on April 1, 2016. During the public comment period, the City Council held a third public hearing on March 15, 2016 on the funding recommendations.

On April 4, the City Council voted unanimously to approve the funding recommendations as presented.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

No additional formal written comments or complaints were received.

6. Summary of comments or views not accepted and the reasons for not accepting them

N/A

7. Summary

Individual meetings with Community Development staff were available for those citizens and groups who were unable to attend the public hearings or who wished a more in-depth understanding of the CDBG process. Community Development staff routinely consult with a variety of public and private agencies on an ongoing basis concerning housing, human service, economic development and other community needs. The consultations including the Saratoga Springs Housing Authority, Saratoga Neighborhood Development Company, Shelters of Saratoga, Saratoga County Office for the Aging, various neighborhood associations, and the range of agencies within the Crises Action Network. In addition, information on the City's community development program and Consolidated Planning process is placed on the City's website.

No comments were refused in developing the 2016 Action Plan.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	SARATOGA SPRINGS	Community Development

Table 1 – Responsible Agencies

Narrative (optional)

Consolidated Plan Public Contact Information

Bradley S. Birge, Administrator of the Office of Planning and Ecnomic Development

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518-587-3550 ext. 2515

AP-10 Consultation – 91.100, 91.200(b), 91.215(l)

1. Introduction

The Office of Community Development is responsible for administering the City's community development program. A multi-member Community Development Citizen Advisory Committee, with staff support from the Office of Community Development, is responsible for community outreach and with assistance in preparing the Annual Action Plan. The five-member City Council has the legislative authority for Consolidated Plan and Action Plan approval.

The City of Saratoga Springs currently receives in the neighborhood of $313,700 in annual CDBG funding. This level of funding classifies Saratoga Springs as one of the smallest Entitlement communities, in terms of CDBG funding, in New York State. Noting these funding constraints, the Community Development Citizen Advisory Committee evaluated activity funding for the past ten years and confirmed the funding priorities for the next five years.

The Office of Community Development is responsible for administration and management of the City's community development program with technical assistance provided by other City departments as needed. The City maintains a close working relationship with the Saratoga Economic Development Corporation and local banks for technical assistance and guidance in the operation of its housing rehabilitation and economic development revolving loan programs. The Office of Community Development also collaborates with the Saratoga Springs Housing Authority to ensure that both federally funded programs are run in an efficient and coordinated manner that best serves the needs of the community.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

The Community Development staff consults with a variety of other public and private agencies on an ongoing process concerning housing, human service, economic development and other community needs including: Saratoga Springs Housing Authority, Saratoga Neighborhood Development Company, Shelters of Saratoga, Saratoga County Office for the Aging, various neighborhood associations, and the range of agencies within the Crises Action Network.

Non-profit organizations are major providers of affordable housing and support services in the City of Saratoga Springs and are often consulted to help the City plan for future affordable housing and community development policies and programs. In the private sector, area contractors, lending institutions, real estate agents and professional associations have periodically donated funds and/or technical assistance to local affordable housing projects or participated in planning and policy discussions on affordable housing issues. Many such professionals also serve on the boards of area

housing and human service agencies and the City's Community Development Citizen Advisory Committee.

In order to better coordinate and enhance the delivery of housing and non-housing support services, the Office of Community Development routinely collaborates with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelters of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (county community action program agency for housing & support services including weatherization, WIC, food pantry, etc.), the Saratoga Housing Alliance (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

At the heart of this Annual Action planning process is the City's intent to meet the three statutory goals embodied in Federal housing legislation governing community development programs:

Provide Increased Access to Decent, Affordable Housing

Promote a Suitable Living Environment

Encourage Expanded Economic Opportunities

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Saratoga Springs has been Co-Chair of the Saratoga-North Country Continuum of Care since 2001. It has been facilitating and participating in monthly meetings with other agencies in the county that assist the homeless to better serve the population.

It was the consensus of the Saratoga Housing Committee, the Saratoga County part of the Saratoga-North Country CoC, that the City of Saratoga Springs, represented by the Office of Community Development, serve as Chair for this collaborative effort. This relationship ensures that the Office of Community Development is keenly aware of, and may facilitate the provision of, area housing opportunities and support services. Over 30 agencies from Saratoga and surrounding counties meet monthly to discuss the homeless problems in our region and how to best assist the homeless. The agencies work to enhance communication and coordination in investigation of additional sources of program funds for affordable transitional and permanent housing.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate

outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

CAPTAIN and Legal Aid Society are the only agencies that receive ESG funding indirectly through STEHP in our County. They are participating members of our Saratoga County Housing Committee and Chair the Continuum of Care Coordinated Entry.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	**Agency/Group/Organization**	CATHOLIC CHARITIES OF SARATOGA, WARREN & WASHINGTON COUNTIES
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Services-Education Services-Employment Service-Fair Housing Services - Victims
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	
2	**Agency/Group/Organization**	CATHOLIC CHARITIES YOUTH MENTORING PROGRAM
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	

3	**Agency/Group/Organization**	Wellspring
	Agency/Group/Organization Type	Services-Victims of Domestic Violence
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	
4	**Agency/Group/Organization**	FRANKLIN COMMUNITY CENTER
	Agency/Group/Organization Type	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	
5	**Agency/Group/Organization**	LEGAL AID SOCIETY
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Strategy

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	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	
6	**Agency/Group/Organization**	SARATOGA AFFORDABLE HOUSING GROUP
	Agency/Group/Organization Type	Housing Services - Housing Services-Education Services-Employment Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	

Identify any Agency Types not consulted and provide rationale for not consulting

N/A

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	City of Saratoga Springs	The City of Saratoga Springs serves as Chair and Lead Agency for the Saratoga-North Country Continuum of Care

Table 3 – Other local / regional / federal planning efforts

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Narrative (optional)

This broad alliance of housing and support service providers meet on a monthly basis to develop a needs assessment of the homeless system. The CoC works to assist participants towards the utimate goal of permanent housing.

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AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

The City has established a citizen advisory committee to promote broad public participation and assist in the development, implementation, and evaluation of the community development program.

All public meetings of the Committee were conducted in an open manner with freedom of access for all interested persons. Public meetings were held in facilities accessible to the mobility-impaired and in locations that promote attendance by residents of low and moderate income, residents of low and moderate income areas, and areas of significant Entitlement investment. Special arrangements to accommodate non-English speaking persons or persons with visual or hearing impairments were made upon request.

The City will publish a summary of the annual Action Plan, substantial amendments to the Plans, and performance reports in *The Saratogian* to provide interested parties the opportunity to review and submit comments on these documents. This summary will describe the contents and purpose of the proposed Plans and identify the locations where the full documents may be examined

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	Minorities Non-targeted/broad community				

OMB Control No: 2506-0117 (exp. 07/31/2015)

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Hearing	Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing				
4	Newspaper Ad	Persons with disabilities Non-targeted/broad community Residents of Public and Assisted Housing				

Table 4 – Citizen Participation Outreach

OMB Control No: 2506-0117 (exp. 07/31/2015)

Expected Resources

AP-15 Expected Resources – 91.220(c) (1, 2)

Introduction

Anticipated resources for 2016 include Community Development Block Grant funds, Residential Revolving Loan Funds, Economic Development Loan Funds, Re-Programmed funds from previous years and Continuum of Care McKinney-Vento Homeless Act funds.

Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	313,699	12,703	1,048	327,450	1,054,301	Community Development Block Grant funds for year 2.
Continuum of Care	public - federal	Housing	1,163,868	0	0	1,163,868	859,881	Rental assistance program with 26 scattered-site units

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

OMB Control No: 2506-0117 (exp. 07/31/2015)

As part of its program description, the City identifies a goal of at least 20% leveraged funds for CDBG funding recipients. The City will continue to seek this objective.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

In 2013-2014, the City acquired 2 in-rem properties and deeded the ownership to Habitat for Humanity, who plans on building an affordable single family home and a 2 family home.
The City intends to pursue additional workforce housing and other collaborative partnerships, as the opportunity arises, to attain its Community Development goals.

Discussion

OMB Control No: 2506-0117 (exp. 07/31/2015)

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Public Services	2015	2019	Homeless Non-Housing Community Development		Public Service Needs	CDBG: $41,530	Public service activities other than Low/Moderate Income Housing Benefit: 40 Persons Assisted Homeless Person Overnight Shelter: 50 Persons Assisted Homelessness Prevention: 200 Persons Assisted
2	Non-Public Services	2015	2019	Affordable Housing Homeless		New Construction Residential Rehabilitation	CDBG: $192,004	Rental units rehabilitated: 40 Household Housing Unit Homeowner Housing Added: 2 Household Housing Unit Homeowner Housing Rehabilitated: 6 Household Housing Unit

OMB Control No: 2506-0117 (exp. 07/31/2015)

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Public Facilities	2015	2019	Non-Housing Community Development		Non-Housing Needs -Public Facilities Residential Rehabilitation	CDBG: $21,226	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 190 Persons Assisted Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 17 Households Assisted
4	Residential Rehabilitation Revolving Loan Program	2015	2019	Affordable Housing		Residential Rehabilitation	CDBG: $0	Homeowner Housing Rehabilitated: 1 Household Housing Unit
5	Economic Development Loans	2015	2019	Job Creation		Job Creation	CDBG: $0	Jobs created/retained: 1 Jobs
6	Community Development Program Administration	2015	2016	Administration			CDBG: $59,987	

Table 6 – Goals Summary

Goal Descriptions

1	**Goal Name**	Public Services
	Goal Description	During the application process, eight applications were received under the Public Services category. Following 2 public hearings, 2 Committee meetings, much discussion, dialog and deliberation, the Community Development Citizen Advisory Committee achieved consensus and presented its Public Service recommendations for the 2016 CDBG Entitlement Grant to the City Council on Tuesday, March 1, 2016. The following recommendations were presented: Shelters of Saratoga – Code Blue Coordinator - $16,150 To maintain on a year-round basis the full-time Code Blue Coordinator salary. This will further develop services to meet the needs of the street homeless individuals in the area. Wellspring (formally Domestic Violence /Rape Crisis) - $6,922 A continuation of funding for a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and residing in the emergency shelter, with assistance in accessing rental assistance, securing housing, financial literacy and referrals to community support services. Saratoga County Economic Opportunity Council - $9,229 Funding for a full-time mobile financial advocate who will provide community education and individual assistance with housing stability, budgeting and advocacy issues. Catholic Charities Mentoring Program - $9,229 The continuation of a community-based prevention/diversion program to develop mentoring relationships between at-risk Saratoga Springs youth and successful area business/professional persons.

2	**Goal Name**	Non-Public Services
	Goal Description	The following applications were recommended and approved by the City Council on April 5, 2016: Habitat for Humanity – Affordable Housing Construction - $92,288 Funding towards new construction of 2 new affordable units for income-eligible families at 26 Cherry Street. Saratoga Affordable Housing Group – Rehabilitation Allen Drive Apartments - $88,180 Funding to continue the rehabilitation and assistance to 40 households of affordable housing on Allen Drive. Rebuilding Together Saratoga County – Housing Rehabilitation - $11,536 To partially fund this volunteer-assisted housing rehabilitation program.
3	**Goal Name**	Public Facilities
	Goal Description	During the application process, 2 applications were received from the Public Facilities. Following 2 public hearings, 2 Committee meetings, much discussion, dialog and deliberation, the Community Development Citizen Advisory Committee achieved consensus and presented its recommendations for Public Facilities. The following agencies received recommended funding: Legal Aid Society of Northeastern NY – Public Facility Rehabilitation - $12,920 Funding for new lighting and needed renovation of public facility at 40 New Street. Franklin Community Center – Public Facility Rehabilitation - $8,306 Funding for electrical repairs and other needed renovations for Franklin Manor at 95 Congress Street.

4	**Goal Name**	Residential Rehabilitation Revolving Loan Program
	Goal Description	Residential Rehabilitation Revolving Loan Program – ongoing/revolving A continuing revolving loan program offering low-interest (0%-3%) loans for up to $10,000 with repayment terms up to 5 years for eligible owner-occupants, and 3%-below-prime rate loans for rental property owners that provide affordable apartments to eligible tenants for 4 years. Anticipated program income for PY2016 is $-0-.
5	**Goal Name**	Economic Development Loans
	Goal Description	A continuing revolving loan program offering low-interest loans to businesses that create full-time equivalent positions for persons of low and moderate income. For every $25,000 borrowed, the business agrees to hire 1 FTE job to a low-moderate income person. Anticipated program income for PY2016 is $12,704.
6	**Goal Name**	Community Development Program Administration
	Goal Description	

Table 7 – Goal Descriptions

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

AP-35 Projects – 91.220(d)

Introduction

The activities identified in this Action Plan are in response to the priorities identified during the consolidated planning process. Given the limited amount of funding available, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities and the ability to demonstrate identifiable accomplishments to gauge activity success.

The City's intent throughout this challenging process is to select activities for funding that address the City's priority needs and assist the greatest number of person within the City's limited resources.

#	Project Name
1	Shelters of Saratoga - Code Blue Program Coordinator
2	Wellspring (formally DVRC)
3	Saratoga County EOC - Housing Stability Financial Advocate
4	Catholic Charities - Saratoga Mentoring Program
5	Habitat for Humanity - Affordable Housing Construction
6	Saratoga Affordable Housing Group - Rehabilitation Allen Drive
7	Legal Aid Society - Public Facility Rehabilitation
8	Rebuilding Together - Saratoga County - Housing Rehabilitation
9	Franklin Community Center - Public Facility Rehabilitation
10	Community Development Program - Administration
11	Economic Development Loan Program

Table 8 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Projects

AP-38 Projects Summary

Project Summary Information

Table 9 – Project Summary

1	**Project Name**	Shelters of Saratoga - Code Blue Program Coordinator
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Service Needs
	Funding	CDBG: $16,150
	Description	To maintain on a year-round basis the full-time Code Blue Coordinator salary. This will further develop services to meet the needs of the street homeless individuals in the area.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	50 homeless persons are expected to be serve during this program.
	Location Description	Salvation Army 27 Woodlawn Avenue Saratoga Springs, NY 12866
	Planned Activities	The Code Blue Coordinator is the point of contact throughout the year. During the off season, activities include: Site coordination, assisting the homeless with food and clothing, attending meetings representing Code Blue and also meeting with the CoC.
2	**Project Name**	Wellspring (formally DVRC)
	Target Area	

OMB Control No: 2506-0117 (exp. 07/31/2015)

	Goals Supported	Public Services
	Needs Addressed	Public Service Needs
	Funding	CDBG: $6,922
	Description	A continuation of funding for a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and residing in the emergency shelter, with assistance in accessing rental assistance, securing housing, financial literacy and referrals to community support services.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	100 homeless women and children are expected to benefit from these services
	Location Description	
	Planned Activities	This activity will expand access to, and the level of, services available at the only domestic violence safe shelter within Saratoga County. This activity will address the City's identified need for safe shelter for special needs persons and to prevent homelessness.
3	**Project Name**	Saratoga County EOC - Housing Stability Financial Advocate
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Service Needs
	Funding	CDBG: $9,229
	Description	Funding for a full-time mobile financial advocate who will provide community education and individual assistance with housing stability, budgeting and advocacy issues.
	Target Date	6/30/2017

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	Estimate the number and type of families that will benefit from the proposed activities	100 persons are expected to be assistance with this activity.
	Location Description	Scattered sites
	Planned Activities	This mobile Financial Advocate will provide community seminars on avoiding evictions to the residents of the local Housing Authority and low-income housing on Allen Drive to those identified by community partners.
4	**Project Name**	Catholic Charities - Saratoga Mentoring Program
	Target Area	
	Goals Supported	Public Services
	Needs Addressed	Public Service Needs
	Funding	CDBG: $9,229
	Description	The continuation of a community-based prevention/diversion program to develop mentoring relationships between at-risk Saratoga Springs youth and successful area business/professional persons.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	40 youth will be served during this activity along with their families.
	Location Description	368 Broadway
	Planned Activities	The program offers a broad range of services to youth and their families including the one-on-one matches, mentor support and training, counseling, crisis intervention, advocacy and concrete emergency assistance.
5	**Project Name**	Habitat for Humanity - Affordable Housing Construction
	Target Area	

OMB Control No: 2506-0117 (exp. 07/31/2015)

	Goals Supported	Non-Public Services
	Needs Addressed	New Construction
	Funding	CDBG: $92,288
	Description	Funding towards new construction of a two-family home for an eligible low-moderate income family at 26 Cherry Street.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	This project is expected to be a new 2 family home.
	Location Description	26 Cherry St.
	Planned Activities	CDBG funding on this project is expected to cover a portion of the site preparation, construction materials and labor.
6	**Project Name**	Saratoga Affordable Housing Group - Rehabilitation Allen Drive
	Target Area	
	Goals Supported	Non-Public Services
	Needs Addressed	Residential Rehabilitation
	Funding	CDBG: $88,180
	Description	This is funding to the Saratoga Affordable Housing Group to continue the rehabilitation of 24 apartments.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	40 households will be assisted with this activity.
	Location Description	Allen Drive

OMB Control No: 2506-0117 (exp. 07/31/2015)

	Planned Activities	Proposed projects include: Excavation of the existing parking lot and installation of new asphalt, removal of brick on the outside wall on 2 buildings, construction of new maintenance shed, replacement of drainage lines, carpet replacement and painting.
7	**Project Name**	Legal Aid Society - Public Facility Rehabilitation
	Target Area	
	Goals Supported	Public Facilities
	Needs Addressed	Non-Housing Needs -Public Facilities
	Funding	CDBG: $12,920
	Description	Funding for new lighting and needed renovation of public facility at 40 New Street.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	This activity plans to serve 190 low income Saratoga Springs residents with free civil legal services.
	Location Description	40 New Street
	Planned Activities	Legal Aid's program of free civil services fulfills high priority needs for homeless prevention.
8	**Project Name**	Rebuilding Together - Saratoga County - Housing Rehabilitation
	Target Area	
	Goals Supported	Non-Public Services
	Needs Addressed	Residential Rehabilitation
	Funding	CDBG: $11,536
	Description	To assist in funding this volunteer - assisted housing rehabilitation program.
	Target Date	6/30/2017

OMB Control No: 2506-0117 (exp. 07/31/2015)

	Estimate the number and type of families that will benefit from the proposed activities	Six low income housholds
	Location Description	Scattered site in Saratoga Springs
	Planned Activities	These rehabilitation projects will address the need to assist elderly homeowners with necessary repairs on their homes. Funding includes building materials, portable toilet and dumpsters needed to provide home repairs to these low-income homeowners.
9	**Project Name**	Franklin Community Center - Public Facility Rehabilitation
	Target Area	
	Goals Supported	Public Facilities
	Needs Addressed	Residential Rehabilitation
	Funding	CDBG: $8,306
	Description	Funding for electrical repairs and other needed renovations for Franklin Manor at 95 Congress Street.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	17 individuals will be served.
	Location Description	95 Congress Street
	Planned Activities	This safe and affordable housing building providing permanent housing for low-income individuals in Saratoga Springs has 17 efficiency apartments. Besides the improvements listed above, replacement of hard-wired smoke detectors is planned.
10	**Project Name**	Community Development Program - Administration
	Target Area	
	Goals Supported	Community Development Program Administration

OMB Control No: 2506-0117 (exp. 07/31/2015)

	Needs Addressed	
	Funding	CDBG: $59,987
	Description	These funds finance the Community Development operating budget to cover the cost of payroll, fringe and program/office expenses.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	
11	**Project Name**	Economic Development Loan Program
	Target Area	
	Goals Supported	Economic Development Loans
	Needs Addressed	Job Creation
	Funding	:
	Description	A continuing revolving loan program providing low-interest loans to eligible businesses that create full-time equivalent (FTE) employment positions for person of low and moderate income. At least 1 FTE is required for every $25,000 loaned. This program is funded by program income from the repayment of loan principle and interest - anticipated program income for 2016 is $12,703.
	Target Date	6/30/2017
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Scattered sites

OMB Control No: 2506-0117 (exp. 07/31/2015)

	Planned Activities	This ongoing revolving loan program addresses the City's need to improve and expand economic opportunities for persons of low-moderate income.

OMB Control No: 2506-0117 (exp. 07/31/2015)

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Geographic Distribution

Target Area	Percentage of Funds

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Discussion

Given the relatively small funding allocation, each year, the Community Development Citizen Advisory Committee will evaluate and recommend the proposed activities to maximize federal and leveraged funds to best achieve the City's Community Development objectives.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

Over the next year, the City of Saratoga Springs intends to address its identified High Priority need for affordable housing through the following activities.

Shelter Plus Care - a tenant-based rental assistance program to provide rent subsidy to 26 disabled homeless persons and a permanent supportive housing facility for persons with disabilities including a specific focus on assisting U.S. Military veterans

For the 2016 program year, the City will devote $303,987 in Shelter + Care grant funding towards this successful rental subsidy program.

Saratoga Affordable Housing Group – Rehabilitation Allen Drive Apartments - $88,180

Funding to continue the rehabilitation of 24 apartments of affordable housing on Allen Drive.

Habitat for Humanity – Affordable Housing Construction - $92,288

Funding towards new construction of a two-family home for a low-moderate income family at 26 Cherry Street.

Rebuilding Together Saratoga County – Housing Rehabilitation - $11,536

To assist in funding this volunteer-assisted housing rehabilitation program.

Residential Rehabilitation Revolving Loan Program – ongoing/revolving

A continuing revolving loan program offering low-interest (0%-3%) loans for up to $10,000 with repayment terms up to 5 years for eligible owner-occupants, and 3%-below-prime rate loans for rental property owners that provide affordable apartments to eligible tenants for 4 years.

One Year Goals for the Number of Households to be Supported	
Homeless	26
Non-Homeless	48
Special-Needs	0
Total	74

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	26
The Production of New Units	2
Rehab of Existing Units	48
Acquisition of Existing Units	0
Total	76

Table 12 - One Year Goals for Affordable Housing by Support Type

Discussion

AP-60 Public Housing – 91.220(h)

Introduction

Actions planned during the next year to address the needs to public housing

The City of Saratoga Springs maintains a close working relationship with the Saratoga Springs Housing Authority. In addition to the Housing Authority serving as a repository of community development program documents, collaboration between the City and the Housing Authority occurs year-round through active participation in the Saratoga Homeless Committee and the Saratoga-North Country Continuum of Care process. Technical assistance is provided to the Saratoga Springs Housing Authority to assist them in the preparation of Entitlement Grant fund applications and to assist them in their objective to encourage public housing residents to become more involved in management and to participate in homeownership.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The Authority is governed by a seven member Board of Commissioners. Five Board members are appointed by the Mayor of Saratoga Springs. They are appointed to staggered five year terms.

Each five year term becomes effective on April First. At the end of each five year term, the Mayor has the option of replacing or reappointing the designated board member.

Two Board Members are Residents of the Housing Authority. The two Resident Board members are elected by fellow residents every two years. Their terms also become effective on April first.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

"Not Applicable"

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The City identified, through its Consolidated Plan process, the high priority need for activities that prevent homelessness and that assist in the provision of housing and support service needs for homeless and, especially, the chronically homeless as defined by the U.S. Department of Housing and Urban Development.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Over the next year, the City of Saratoga Springs intends to address its identified homeless and chronic homeless needs through the following activities:

Shelters of Saratoga – Code Blue Coordinator - $16,150

To maintain on a year-round basis funding towards a full-time Code Blue Coordinator salary, which will further develop services to meet the needs of the street homeless individuals in the area.

Wellspring (formally DVRC) Shelter Case Manager - $6,922

Funding towards the support of a full-time shelter counselor to provide women and children, who are homeless due to domestic violence and living in the emergency shelter, with assistance in accessing entitlements, rental assistance, securing housing, financial literacy and referrals to community support services.

Saratoga County EOC – Housing Stability Financial Advocate - $9,229

Funding for a full-time mobile financial advocate who will provide community education and individual assistance with housing stability, budgeting and advocacy issues.

Addressing the emergency shelter and transitional housing needs of homeless persons

Agencies that provide emergency shelter and transitional housing participate in the monthly Saratoga Housing Committee (part of the Saratoga-North Country Continuum of Care), where all agencies discuss their needs when housing the homeless of our community.
They are the following: Code Blue Shelter, Shelters of Saratoga, Saratoga County Rural Preservation Company, CAPTAIN Youth and Family Services and Wellspring (formally DVRC).

As Co-Chair of the Continuum, we have begun to open up a better dialog and communication with the Saratoga County Department of Social Services, who services the homeless in motels with vouchers.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City shall continue the administration of the annual Shelter + Care rental subsidy program providing rental assistance subsidies and integral supportive services to homeless and disabled persons and families.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The City, was an integral participant in the process of implementing the Coordinated Entry Tool for the CoC. The purpose of this committee is to develop a coordinated assessment system in which a referral process will be instituted in order to ensure permanent housing is obtained by a person losing their housing.

Discussion

One year goals for the number of households to be provided housing through the use of HOPWA for:
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family
Tenant-based rental assistance
Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds
Total

AP-75 Barriers to affordable housing – 91.220(j)

Introduction

The greatest barrier to affordable housing in Saratoga Springs is the strong competition for developable land and the significant resulting costs associated with the acquisition and development of that land. Without some level of government or public policy intervention, land owners will generally seek to reap the highest economic return from the sale of land and, in turn, the purchaser will seek to maximize the value of that land to recoup the costs of acquisition and to earn an appropriate profit. This relatively free market process poses a significant challenge to the creation of housing units available at below-market rates to those with limited spending power.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Mandates: Inclusionary Zoning: Beginning in 2005, the City undertook an 18-month exercise in developing a regulatory framework to require the construction of affordable housing along with the construction of market-rate housing units. With the assistance of a citizen committee comprised of representatives from the City Planning and Zoning Boards, the banking and residential construction industries, and a national expert on housing finance, construction and underwriting, Monte Franke, a draft inclusionary zoning ordinance was developed mandating that every new residential development over a certain size provide a percentage of affordable units in exchange for an increase in the otherwise allowable density for that area. After extensive public dialog, this legislation was presented to the City Council. While not acted upon, this legislation remains a public policy option.

Incentives: Density Bonus: In contrast to a mandate that would require affordable housing construction, the City currently provides incentives to those who volunteer to provide a public benefit including the construction of affordable housing. The City's Comprehensive Plan directs a 20% increase in allowable density in exchange for affordable housing throughout the City's extensive Conservation Development District. The City's Zoning Ordinance provides a 20% increase in allowable density in exchange for affordable housing in the Urban Residential-1 and Suburban Residential-2 zoning districts.

Incentives: Flexible Zoning Regulation: Recognizing that land use controls impact the availability, use and value of land, the City has introduced a variety of mixed-use zoning districts to allow for more flexible utilization of land and to allow for a cost-balancing of uses on that land. In what were once solely residential districts, the City has introduced a series of three Neighborhood Complementary Use Districts to allow for the greater utilization of street-level properties (retail, office, etc.) with the opportunity to balance the costs of adjacent residential uses. Furthermore, the City has adopted a series of "Transect" mixed-use zoning districts that greatly reduce dimensional requirements and

provide property owners and developers significant flexibility in the types (commercial, retail, residential), density and intensity of allowable uses.

Direct Financial Assistance: Whether locally funded or supported by state and federal resources, a municipality may also reduce the cost of housing development and construction by providing financial assistance through a variety of programs.

SEE BELOW FOR CONTINUED DISCUSSION OF ACTIONS.

Discussion

Loan guarantees: The City has provided a loan guarantee to allow the Saratoga Affordable Housing Group to purchase 28 units of existing housing and convert to affordable housing.

Payment In Lieu of Taxes (PILOT) programs: The City has approved PILOT programs to promote affordable housing development. Most recently, the City extended a PILOT program to Raymond Watkin Apartments, a site-based affordable facility for income-eligible elderly.

Housing Trust Fund Grants: The City established and funded a dedicated trust fund for the development of affordable housing. This fund has provided grant funding for the acquisition of 1 Waterbury Street and the conversion of its two market rate units to affordable housing for the next 30 years. This fund has also assisted the acquisition of the Ashgrove Apartments on Allen Drive – the conversion of 24 market rate units to affordable housing and the construction of at least 15 new affordable housing units.

Shelter Plus Care Program: Since 2001, the City has successfully applied for over $3.9 Million of new funding into this area for housing and support services to assist the chronically homeless and persons with disabilities. Funded programs include a tenant-based rental assistance program to provide rent subsidy to 26 disabled homeless persons and a permanent supportive housing facility for persons with disabilities including a specific focus on assisting U.S. Military veterans.

AP-85 Other Actions – 91.220(k)

Introduction

The activities identified in this Action Plan are in response to the priorities identified during the consolidated planning process. Given the limited amount of funding available, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities and the ability to demonstrate identifiable accomplishments to gauge activity success.

The City's intent throughout this challenging process is to select activities for funding that address the City's priority needs and assist the greatest number of persons within the City's limited resources.

Actions planned to address obstacles to meeting underserved needs

The greatest obstacle in meeting underserved needs in the City of Saratoga Springs is the decreasing availability of resources, changing policy directives, and uncertain future funding. Nevertheless, it is the City's intent to maximize these resources and encourage collaboration among the private sector, the non-profit community, local government, and the public housing authority to promote and fund activities that address the needs identified in this plan. In this manner, the City will ensure a focused and efficient approach towards accomplishing its multi-year strategy of community development objectives.

Actions planned to foster and maintain affordable housing

The Office of Community Development is a member of the Mayors Affordable Housing Task Force along with many agencies in the community to address the difficulty in locating affordable housing.

The City of Saratoga Springs just hosted and staffed, along with the HUD, the 2016 Strengthening Cities, Communities and Homes Summit on Housing in April. Many break-outs sessions included issues on lack of affordable housing.

Actions planned to reduce lead-based paint hazards

As part of its revolving loan and housing rehabilitation programs, the City distributes educational material to residents to notify them of the potential hazards of lead-based paint and of methods to deal with this situation. The City also provides "no-" to low-interest loans through its Housing Rehabilitation Revolving Loan fund for abatement of lead-based paint hazards occupied by residents of low- and moderate-income. In addition, the Community Development web site contains information and links to additional information relating to lead-based paint hazards.

In its housing rehabilitation grant and loan programs, the City complies with the current lead-based paint hazard regulations. Community Development staff has attended numerous informational sessions,

seminars, and lead safe work practices trainings in an effort to increase organizational capacity to address lead hazards in the City's housing stock. The City continues to seek technical and financial assistance to sufficiently train and certify rehabilitation and maintenance workers for its community development programs.

In order to address the potentially significant hazards of lead-based paint in the City of Saratoga Springs, the following actions are recommended:

- Continue collaboration with the Saratoga County Public Health Nurses and the New York State Department of Health to increase awareness of lead-based paint hazards.

- Continue rehabilitation grant and low-interest loan programs in conformance with regulations relating to lead-based paint hazards as contained in Title X of the Housing and Community Development Act of 1992.

- Provide residential rehabilitation program participants with information on lead-based paint hazards and blood screening programs.

- Designate rehabilitation program households identified with lead-based paint hazards as "emergency priority" to address immediate health and safety needs.

Actions planned to reduce the number of poverty-level families

The Office of Community Development routinely collaborates with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelter of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (county community action program agency for housing & support services including weatherization, WIC, Food pantry, etc.), the Workforce Housing Partnership of Saratoga County, Saratoga-North Country Homeless Alliance (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

Furthermore, the communication, mutual problem-solving and referral discussions that occur at the monthly meetings of the Saratoga County Rental Assistance Program and the Saratoga - North Country Homeless Alliance has directly improved the efficacy and coordination of local housing and support service delivery.

The City remains committed to assisting its neediest residents to become more self-sufficient through the combined efforts of these social service and housing agencies. This common goal and close

interagency cooperation and referral ensures that a full continuum of care is available to those in need. These organizations demonstrate a continuing commitment to make the most efficient use of resources to benefit the most people.

Actions planned to develop institutional structure

The City's Office of Community Development will have the primary responsibility for administering funded projects under the City's CDBG programs. Community Development also maintains ongoing implementation of the housing rehabilitation grant and loan.

In order to better coordinate and enhance the delivery of housing and non-housing services, the Office of Community Development will collaborate with the Saratoga County Rural Preservation Company (Veteran assistance), the Shelter of Saratoga (homeless shelter provider, affordable housing advocate, and designated Neighborhood Preservation Company), the Saratoga County Economic Opportunity Council (The County Community Action Agency for housing & support services including weatherization, WIC, Food pantry, etc.), the Saratoga Affordable Housing Group, Saratoga Homeless Committee (Continuum of Care delivery), senior housing providers including the Senior Citizens Center of Saratoga Springs, Wesley and Raymond Watkin Apartments, the local housing authority, and a wide range of other housing and support service providers.

Actions planned to enhance coordination between public and private housing and social service agencies

Over the next year, the City will continue its commitment to collaborate with private and public housing, health and social service agencies. The Office of Community Development maintains a very close working relationship with the Housing Authority and the City of Saratoga Springs maintains its role as coordinating lead agent for the Saratoga-North Country Continuum of Care and Saratoga County Housing Committee and continuum of care planning process. The Committee is representative of the area's housing and support service agencies including those focusing on special needs services to children, elderly persons, persons with disabilities, persons with HIV/AIDS and their families, and homeless persons.

Discussion

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction

The activities identified in this Action Plan are in response to the priorities identified during the consolidated planning process. Given the limited amount of funding available, each year the City will select activities that demonstrate a competitive advantage that year over other applications including the greatest likelihood of overall success, consistency with the City's identified high priorities and the ability to demonstrate identifiable accomplishments to gauge activity success.

The City's intent throughout this challenging process is to select activities for funding that address the City's priority needs and assist the greatest number of persons within the City's limited resources.

These activities would be fulfilled with the expected Federal funds from the 2016 CDBG funds of $313,699, re-programmed funds from prior years of $1,048 and program income of over $12,690 from the revolving loan programs. Also available are funds from the competitive McKinney-Vento Homeless Assistance Act funds in the amount of $303,987. In addition, projects anticipate over $381,000 in leveraged funds from other private and local resources. The City of Saratoga Springs Community Development office encourages all subrecipients to leverage at least 20% of the amount they are requesting.

Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	**0**

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income.Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	98.00%

Discussion

Grantee SF-424's and Certification(s)

SF 424

The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the 1CPMP.xls document of the CPMP tool.

SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre filled with values from the Grantee Information Worksheet.

5/13/16	14-6002423	**Type of Submission**	
Date Received by state	State Identifier	**Application**	**Pre-application**
Date Received by HUD	Federal Identifier	☐ Construction	☐ Construction
		☒ Non Construction	☐ Non Construction
Applicant Information			
City of Saratoga Springs		NY365800 SARATOGA SPRINGS	
474 Broadway – City Hall		36580 05-452-0564	
Saratoga Springs	New York	Office of Community Development	
12866	USA	0	
Employer Identification Number (EIN):		Saratoga County	
14-6002423		07/01	
Applicant Type:		**Specify Other Type if necessary:**	
Program Funding		**U.S. Department of Housing and Urban Development**	
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Funding			
Community Development Block Grant		14.218 Entitlement Grant	
2016 PY Action Plan		City of Saratoga Springs	
$313,699	$Additional HUD Grant(s) Leveraged	Describe	
$Additional Federal Funds Leveraged		$Additional State Funds Leveraged	
$381,070		$Grantee Funds Leveraged	
$Anticipated Program Income $12,703		Other (Describe)	
Total Funds Leveraged for CDBG-based Project(s)			
Home Investment Partnerships Program		14.239 HOME	
HOME Project Titles		Description of Areas Affected by HOME Project(s)	
$HOME Grant Amount	$Additional HUD Grant(s) Leveraged	Describe	
$Additional Federal Funds Leveraged		$Additional State Funds Leveraged	
$Locally Leveraged Funds		$Grantee Funds Leveraged	

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$Anticipated Program Income	Other (Describe)
Total Funds Leveraged for HOME-based Project(s)	

Housing Opportunities for People with AIDS	14.241 HOPWA	
HOPWA Project Titles	Description of Areas Affected by HOPWA Project(s)	
$HOPWA Grant Amount	$Additional HUD Grant(s) Leveraged	Describe
$Additional Federal Funds Leveraged	$Additional State Funds Leveraged	
$Locally Leveraged Funds	$Grantee Funds Leveraged	
$Anticipated Program Income	Other (Describe)	
Total Funds Leveraged for HOPWA-based Project(s)		

Emergency Shelter Grants Program	14.231 ESG	
ESG Project Titles	Description of Areas Affected by ESG Project(s)	
$ESG Grant Amount	$Additional HUD Grant(s) Leveraged	Describe
$Additional Federal Funds Leveraged	$Additional State Funds Leveraged	
$Locally Leveraged Funds	$Grantee Funds Leveraged	
$Anticipated Program Income	Other (Describe)	
Total Funds Leveraged for ESG-based Project(s)		

Congressional Districts of:		Is application subject to review by state Executive Order 12372 Process?	
20th District	20th District		
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		☐ Yes	This application was made available to the state EO 12372 process for review on DATE
		☒ No	Program is not covered by EO 12372
☐ Yes	☒ No	☐ N/A	Program has not been selected by the state for review

Person to be contacted regarding this application		
Joanne	D.	Yepsen
Mayor	518-587-3550	518-587-1688
Joanne.yepsen@saratoga-springs.org	www.saratoga-springs.org	
Signature of Authorized Representative		Date Signed
Joanne D. Yepsen		5/6/16

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CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official Date 5/6/16

OMB Control No: 2506-0117 (exp. 07/31/2015)

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2016, 2017 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

   However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

   The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

Joanne D. Yepsen 5/6/16

Signature/Authorized Official Date

Mayor

Title

OPTIONAL CERTIFICATION
CDBG

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Joanne D. Yepsen 5/6/16
Signature/Authorized Official Date

Mayor
Title

OMB Control No: 2506-0117 (exp. 07/31/2015)

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

OMB Control No: 2506-0117 (exp. 07/31/2015)

2000 - 2015 Consolidated Plan - Activities & Accomplishment to date

Category/Priority (hh = households; pp = persons)	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
HOUSING						
High Priority	New Affordable Housing Construction				$ 99,239	0.01
	Saratoga Affordable Housing, Inc.	2008-R	$ 99,239	New Affordable Housing Building Site Plan - 11 rental units; completed		
High Priority	Affordable Housing Reconstruction/Opportunities				$ 1,070,974	0.12
	85 Beekman St.	2000	$ 46,700	1 unit completed		
	HARP	2001	$ 90,000	Reprogrammed to 2004 Residential Rehab. Program		
	1st Time Homebuyers Education & Grant	2001	$ 95,000	60 pp completed education; 9 grants delivered		
	Affordable Housing Trust Fund	2004	$ 125,000	2 hh assisted		
	Affordable Housing Trust Fund	2005	$ 100,000	25 hh assisted		
	Saratoga Affordable Housing, Inc.	2008	$ 150,000	25 hh assisted and 48 pp		
	Saratoga Affordable Housing, Inc.	2009	$ 150,000	24 hh assisted and 48 pp		
	Saratoga Affordable Housing, Inc.	2010	$ 100,000	24 hh assisted and 48 pp		
	Saratoga Affordable Housing, Inc.	2011	$ 67,043	25 hh assisted and 43 pp		
	Saratoga Affordable Housing, Inc.	2013	$ 43,464	8 hh assisted		
	Saratoga Affordable Housing, Inc.	2014	$ 29,558	16 hh assisted		
	Saratoga Affordable Housing, Inc.	2015	$ 74,209	24 hh assisted		
High Priority	Housing Rehabilitation				$ 1,549,266	0.17
	Citywide Res. Rehab. Program	2000	$ 120,000	18 hh completed		
	Shelters of Saratoga - Dublin Rehab.	2000	$ 10,000	20 hh completed - leveraged w/NYSDHCR funds		
	Citywide Res. Rehab. Program	2001	$ 52,500	10 hh completed		
	Citywide Res. Rehab. Program	2002	$ 100,000	19 hh completed		
	Shelters of Saratoga - Dublin Rehab.	2002	$ 14,000	19 hh completed		
	Citywide Res. Rehab. Program	2003	$ 100,000	10 hh completed		
	Shelters of Saratoga - Emergency Repair	2003	$ 43,800	19 hh completed		
	Citywide Res. Rehab. Program	2004	$ 100,000	8 hh completed		
	Shelters of Saratoga - Dublin Rehab.	2004	$ 20,000	7 hh completed		
	Citywide Res. Rehab. Revolving Loan Program	2005	$ 2,618	1 hh completed		
	Citywide Res. Rehab. Program	2006	$ 128,546	8 1/2 hh completed		
	Citywide Res. Rehab. Program	2007	$ 122,800	18 hh assisted completed		
	Citywide Res. Rehab. Program	2008	$ 75,638	6 hh assisted; completed		
	Citywide Res. Rehab. Program	2009	$ 72,802	8 hh assisted; completed		
	Shelters of Saratoga - Rehab. Program	2009	$ 15,200	4 hh assisted; completed		
	Rebuilding Together	2009	$ 10,000	6 hh, 3 public facilities, 84 pp assisted; completed		
	Citywide Res. Rehab. Program	2010	$ 135,123	11hh; 15pp assisted; completed		
	Rebuilding Together	2010	$ 5,000	4hh & 2 NFP assisted; 81pp, completed		
	Citywide Res. Rehab. Program	2011	$ 72,375	1 hh assisted; 2pp; in progress		
	Rebuilding Together	2011	$ 8,383	6hh & 1 NFP assisted; 28pp, completed		
	Citywide Res. Rehab. Program	2012	$ 75,000	in progress		
	Rebuilding Together	2012	$ 10,000	5hh & 2 NFP assisted, completed		
	Rebuilding Together	2013	$ 10,473	8hh assisted; completed		
	Citywide Res. Rehab. Program	2014	$ 105,564	in progress		
	Rebuilding Together	2014	$ 10,557	12hh assisted; completed		
	Habitat for Humanity	2015	$ 50,000	1 hh		
	Rebuilding Together	2015	$ 10,000	5 hh		

Category/Priority	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
High Priority	Franklin Community Center	2010	$ 8,000	17 pp completed		
		2011	$ 23,886	18 pp completed		
		2012	$ 14,831	19pp completed		
		2014	$ 22,170	17 pp completed		
High Priority	Housing Rehabilitation Loans				$ -	0.000
	1 loan provided	ongoing	$ -	1 unit assisted		
High Priority	Expand Section 8 Rental Subsidy Program			no expansion of vouchers has been requested by SSHA		
HOMELESS & SPECIAL NEEDS POPULATION					$ 3,192,676	0.36
High Priority	Additional Affordable Housing Opportunities					
	Shelters of Saratoga - 128 Grand Ave.	2001	$ 71,500	4 elderly units; completed		
	Continuum of Care - Rental Assistance program	2001	$ 731,700	26 disabled homeless hh completed (5 years)		
		2007	$ 241,272	26 disabled homeless hh completed		
		2008	$ 246,096	26 disabled homeless hh complete		
		2009	$ 247,812	26 disabled homeless hh complete		
		2010	$ 255,120	21 disabled homeless hh complete		
		2011	$ 246,732	24 disabled homeless hh complete		
		2012	$ 277,659	26 disabled homeless hh; complete		
		2013	$ 279,831	31 disabled homeless hh; complete		
		2014	$ 290,967	24 disabled homeless hh: in progress		
		2015	$ 303,987	begins in August 2016		
High Priority	Expanded Emergency Shelter and Transitional Housing for Homeless Youth and Families with Children				$ 249,071	0.03
	Mother Andersen Emergency Shelter	2004	$ 10,000	5 pp completed		
		2005	$ 25,000	14 pp completed		
		2006	$ 25,000	17 pp completed		
		2007	$ 28,000	29 pp completed		
		2008	$ 15,577	18 pp completed		
		2009	$ 14,700	24 pp completed		
		2010	$ 8,000	19 pp completed		
		2011	$ 14,667	60 pp completed		
		2012	$ 15,000	35 pp completed		
		2013	$ 9,127	46 pp completed		
		2014	$ 19,000	46 pp completed		
		2015	$ 35,000	21 pp: in progress		
	Shelters of Saratoga - Transitional Housing	2009	$ 5,000	4 pp completed		
	Shelters of Saratoga - 2nd Step - Transitional Housin	2010	$ 25,000	10 pp assisted; complete		
High Priority	Supportive Services to Assist Homeless Individuals and Families with Children				$ 91,742	0.01
	EOC - Bldg. Expansion	2001	$ 34,000	1 facility completed		
	Center for the Family - Child Advocacy Ctr.	2002	$ 25,000	1 facility completed		
		2003	$ 8,000	1 facility completed		
		2006	$ 14,185	1 facility completed		
	Legal Aid Society - New Building Acquistion	2014	$ 10,557	1 facility completed		

Category/Priority	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
PUBLIC SERVICE ACTIVITIES						
High Priority	Public Service Activities to prevent homelessness & address the needs of the elderly, youth and Special Needs population.				$ 691,555	0.08
	HOMELESS					
	Domestic Violence/Center for the Family	2000	$ 14,000	128 pp assisted		
	Domestic Violence Shelter case manager	2004	$ 13,000	140 pp assisted		
		2005	$ 16,000	125 pp assisted		
		2006	$ 16,000	105 pp assisted		
		2007	$ 15,000	99 pp assisted		
		2008	$ 15,000	103 pp assisted		
		2009	$ 15,000	134 pp assisted		
		2010	$ 17,000	110 pp assisted		
		2011	$ 12,573	84 pp assisted		
		2012	$ 10,000	101 pp assisted		
		2013	$ 10,473	102 pp assisted; completed		
		2014	$ 13,195	102 pp assisted; completed		
	Wellspring (formally DVRC)	2015	$ 7,500	53 pp; in progress		
	EOC - Spanish Center	2005	$ 11,000	797 pp assisted		
	EOC - Homeless Bilingual Outreach	2007	$ 8,500	315 pp assisted		
	EOC- Racecourse Housing & Support Program	2008	$ 12,000	332 pp assisted		
	EOC - Multicultural Services	2009	$ 5,000	277 pp assisted		
	EOC- Multi-Cultural Advocacy Program	2011	$ 3,355	217 pp assisted		
	EOC - Multicultural Services	2012	$ 8,000	181 pp assisted; completed		
	EOC - Multicultural Services	2014	$ 7,918	390 pp assisted; completed		
	Shelters of Saratoga - Code Blue Coordinator	2014	$ 10,557	86 pp assisted; completed		
		2015	$ 20,000	21 pp; in progress		
	Mother Susan Anderson Emergency Shelter	2008	$ 3,000	18 pp completed		
		2010	$ 3,000	19 pp completed		
		2011	$ 3,355	39 pp completed		
		2012	$ 5,000	35 pp completed		
	Legal Aid - homelessness prevention	2001	$ 15,000	37 hh assisted		
		2002	$ 15,000	46 hh assisted		
		2003	$ 15,000	61 hh assisted		
		2004	$ 15,000	120 pp assisted		
		2005	$ 16,000	47 hh assisted		
		2006	$ 16,000	98 pp assisted		
		2007	$ 13,000	30 hh assisted		
		2008	$ 12,000	39 hh assisted		
		2009	$ 12,000	46 hh assisted		
		2010	$ 12,000	52 hh assisted		
		2011	$ 10,059	49 pp assisted		
		2012	$ 8,000	37 hh assisted		
		2013	$ 8,378	48 pp assisted; completed		
		2014	$ 8,447	49 pp assisted		
		2015	$ 7,500	19 pp; in progress		

Category/Priority	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
High Priority	YOUTH					
	Catholic Charities - Mentoring Program	2000	$ 26,000	66 pp assisted		
	Targeting Youth	2001	$ 20,000	40 pp assisted		
		2002	$ 20,000	45 pp assisted		
		2003	$ 20,000	68 pp assisted		
		2004	$ 15,000	84 pp assisted		
		2005	$ 6,000	110 pp assisted		
		2006	$ 9,500	114 pp assisted		
		2007	$ 12,000	140 pp assisted		
		2008	$ 8,000	139 pp assisted		
		2009	$ 10,000	164 pp assisted		
		2010	$ 9,000	121 pp assisted		
		2011	$ 8,383	101pp assisted		
		2012	$ 10,000	83 pp assisted		
		2013	$ 6,284	65 pp assisted; completed		
		2014	$ 7,390	69 pp assisted; completed		
		2015	$ 7,500	34 pp; in progress		
	Police Dept. - Child Safety Seats	2000	$ 3,000	28 pp assisted		
	Tomorrow's Promise/Dance Alliance	2002	$ 1,500	26 pp assisted		
		2003	$ 2,250	114 pp assisted		
		2004	$ 2,000	183 pp assisted		
		2005	$ 3,000	177 pp assisted		
		2006	$ 3,500	40 pp assisted		
		2007	$ 1,500	54 pp assisted		
	Salvation Army - targeting youth	2002	$ 5,000	Reprogrammed		
	Social Justice Center - targeting youth	2003	$ 5,890	Reprogrammed		
	Saratoga Youth Court - targeting youth	2010	$ 8,000	Reprogrammed		
	Saratoga Sponsor-A-Scholar	2010	$ 1,000	Reprogrammed		
	Saratoga Sponsor-A-Scholar	2013	$ 1,048	Reprogrammed		
Med. Priority	ELDERLY					
	Senior Citizen Center - targeting elderly	2000	$ 6,000	38 pp assisted	$ 63,498	0.01
		2001	$ 15,000	396 pp assisted		
		2003	$ 17,025	270 pp assisted		
		2004	$ 10,000	642 pp assisted		
	Senior Citizen Advocate	2013	$ 10,473	51 pp assisted; completed		
	Home Made Theater - targeting elderly	2003	$ 5,000	150 pp assisted		
	SPECIAL NEEDS POPULATION					
	Literacy NENY	2009	$ 8,000	38 pp assisted	$ 22,473	0.003
		2012	$ 4,000	21 pp assisted		
		2013	$ 10,473	47 pp assisted; completed		

Category/Priority	Activity	Year	Allocation	Accomplishments		%
NON-HOUSING COMMUNITY DEVELOPMENT					$ 722,749	0.08
High Priority	Franklin Community Center	2010	$ 8,000	17 pp completed		
		2011	$ 23,886	18 pp completed		
		2012	$ 14,830	19 pp; in progress		
Med. Priority	Infrastructure Improvements					
	Henry St. Area curbs, sidewalk	2000	$ 30,785	9,291 sq. ft. completed		
	Northside Area curbs, sidewalk	2000	$ 46,177	6,678 sq. ft. completed		
	Curb, sidewalk in eligible l/m areas	2001	$ 50,000	8,217 sq ft. completed		
	Jefferson St. Drainage	2001	$ 14,000	350 ft. completed		
	Elm St. stormwater	2001	$ 5,051	260 ft. completed		
	Beekman St. Redevelopment	2002	$ 40,000	16 St. lights, sidewalks and curbs completed		
	128 Grand Ave. infrastructure	2003	$ 5,000	Completed 2006 4 Senior Apartments		
	Curb, sidewalk in eligible l/m areas	2003	$ 78,647	8,349 sq.ft. sidewalk, 1140 l.ft. curbs to date completed		
	Beekman St. Redevelopment	2003	$ 59,553	990 pp in Census Block		
	SSHA - Infrastructure Proj.	2004	$ 7,000	storm drainage/resurfacing completed		
	DPW - Infrastructure Proj	2004	$ 75,000	821 pp in Census Block		
	DPW - Infrastructure Proj	2005	$ 56,910	8535 sq ft sidewalks & 788 lin ft. curbs completed		
	Southside Recreation Field Improvements	2005	$ 20,000	436 pp in Census Block		
	DPW - Infrastructure Proj	2007	$ 50,000	750 ft sidewalk, 155 li. Ft. curbs $6,240 only used; $43,760 reprogrammed to 2009 PY		
	DPW - Vanderbilt Ave. Drainage Proj.	2007	$ 22,000	20' 8" pipe, frame & grate. $750 only used; $21,250 reprogrammed to 2009 PY		
	DPW - Infrastructure Proj	2009	$ 10,000	1360 ft. of sidewalk		
	DPW - Infrastructure Proj	2010	$ 10,000	2050 sq ft sidewalk - 997 pp		
	DPW - Infrastructure Proj	2011	$ 25,143	605 feet sidewalk - 483 pp		
	DPW - Infrastructure Proj	2012	$ 20,000	30 feet sidewalk installed - 987 pp		
	DPW - Infrastructure Proj	2013	$ 15,710	242 linear feet of sidewal (1210 square feet was installed); 980 pp		
	DPW - Infrastructure Proj	2014	$ 20,057	84 linear feet of sidewalk, 980 pp; in progress		
	DPW - Infrastructure Proj	2015	$ 15,000	in progress		
Med. Priority	Public Facility				$ 653,225	0.07
	SSHA - Playground & Walk	2002	$ 18,000	2 recreational units & sidewalk completed		
	EOC - soup kitchen flooring	2002	$ 16,000	completed		
	EOC - Access Parking Lot	2004	$ 15,000	completed		
	Senior Center Public Facility Improvements	2006	$ 168,678	17,503 duplicated pp assisted; complete		
	Senior Center Public Facility Improvements	2007	$ 15,000	1 public facilty completed, 550 pp assisted		
	Senior Center Public Facility Improvements	2009	$ 11,500	1 public facilty completed, 820 pp assisted		
	Senior Center Public Facility Improvements	2009	$ 2,328	1 public facility completed, see above for pp assisted		
	Senior Center Public Facility Improvements	2010	$ 14,000	1 public facilty completed;1525 pp assisted		
	Senior Center Public Facility Improvements	2011	$ 24,028	1 public facility completed; 1831 pp assisted		
	Senior Center Public Facility Improvements	2012	$ 47,418	1 public facility; 2141 pp assisted		
	Senior Center Public Facility Improvements	2013	$ 30,186	1 public facility, 1880 pp assisted		
	Senior Center Public Facility Improvements	2014	$ 10,370	1 public facility, 1967 pp assisted		
	Senior Center Public Facility Improvements	2015	$ 30,000	1 publity facility, in progress		
	Planned Parenthood	2006	$ 25,000	1611 pp; complete		
	Franklin Community Center	2006	$ 23,541	13016 pp;completed		
		2007	$ 10,000	1283 pp; completed		
		2008	$ 10,000	1512 pp; completed		
		2009	$ 15,000	1616 pp to date: completed		

Category/Priority	Activity	Year	Allocation	Accomplishments	Total Amount	% of Total
	Saratoga Springs Recreation - Playground	2012	$ 36,969	442 pp; completed		
	Saratoga Hosptial Community Health Center	2013	$ 115,207	1,104 pp assisted; completed		
	Saratoga Community Health Center - Handicap	2015	$ 15,000	209 pp; in progress		
Med. Priority	Economic Development Revolving Loans				$ 420,500	0.05
	ED RLProgram recapitalization	2002	$ 79,500	completed		
	6 Economic Dev. Loans delivered	ongoing	$ 341,000	25 total FTE positions to be created/retained		
Med. Priority	Planning				$ 10,000	0.00
	Westside Neighborhood Plan	2001	$ 10,000	completed; Upstate NY & National APA awards winner		
Med. Priority	Transportation			no projects to date		
Med. Priority	Historic Preservation				$ 105,890	0.01
	Frederick Allen Lodge #609	2007	$ 25,000			
		2008	$ 10,000			
		2009	$ 12,000			
		2010	$ 10,000			
		2011	$ 18,723			
		2013	$ 12,568			
	Universal Preservation Hall	2012	$ 17,599			
Total					$ 8,942,858	1.00

Witnesses

FROM PAGE 1

The crash is being investigated by the Sheriff's Office Collision Reconstruction Unit.

There was no evidence found of Lentini being intoxicated or impaired. The details of the whereabouts of both Duff and Lentini prior to the crash are being investigated.

The Sheriff's Office is asking for assistance locating the driver of a second vehicle, believed to be a full size SUV, that was behind Lentini's vehicle on Route 9 at approximately 3:30 A.M. and may have witnessed the accident.

Anyone with information is asked to call the Sheriff's Office at (518)885-6761.

JEFFREY C BOWEN
518-380-3526
Roofing, Siding, Windows and Remodeling
SNOW REMOVAL
Driveways, Roofs, Sidewalks, (Animal, Heating Tanks) ETC...

Trump

FROM PAGE 1

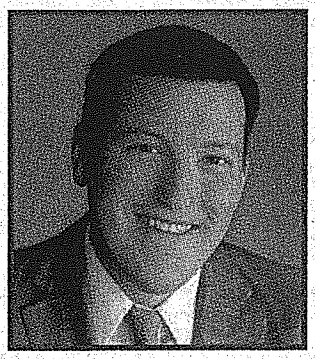
the single biggest private, for-profit holder of Central Park trademarks on specific goods.

It was undeniably a savvy move.

His first application came in 1991, when the city's violent crime rate was near its height and the park often conjured up fears of urban danger. There were 2,154 murders in the city that year, as opposed to 328 last year, and 1991 was just two years removed from the Central Park jogger case in which a woman was attacked and raped while running through the park.

According to the records kept by the U.S. Patent and Trademark Office, Trump first received permission to use Central Park for parking garage services, and later expanded the trademark as the park became synonymous with the high-priced real estate that surrounds it in a safer, more affluent New York.

A 2007 trademark allowed him to put the park's name on dozens of Trump-branded furniture and lighting options. Beds, tables, desks, lamps, flashlights, picture frames and throw pillows all bore the names "Central Park" and "Donald Trump." A glossy, 44-page furniture catalog boasted photos of the "elegant and rich" furnishings with stylized shots of the park and Trump.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805 Route 146 Northway 9 Plaza Clifton Park

ALL THOSE INTERESTED IN APPLYING TO THE CITY OF SARATOGA SPRINGS FOR

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) ENTITLEMENT FUNDS
FOR THE
2016 PROGRAM YEAR (JULY 2016-JUNE 2017)

APPLICATIONS WILL BE AVAILABLE BEGINNING

MONDAY, DECEMBER 7TH

IN THE OFFICE OF PLANNING AND ECONOMIC DEVELOPMENT
CITY HALL – ROOM 10
474 BROADWAY, SARATOGA SPRINGS, NY 12866

These funds are designed to assist activities that address community development needs as prioritized in the City's Consolidated Plan, and that benefit persons of low and moderate income persons. Eligible activities may include job creation; affordable housing acquisition, construction and rehabilitation; infrastructure improvements; and other federally eligible activities.

The 2016 Entitlement grant from the U.S. Dept. of Housing and Urban Development is expected to be approximately $340,000. Completed applications will be due in the Office of Community Development, City Hall – 474 Broadway, Saratoga Springs 12866 by 4:30 PM on Friday, January 29, 2016.

For additional information, please contact the Saratoga Springs Office of Community Development at 587-3550 ext. 2575.

AFFIDAVIT OF PUBLICATION

STATE OF NEW YORK,
Saratoga County, ss:
City of Saratoga Springs.

LEGAL NOTICE

PLEASE TAKE NOTICE that the Saratoga Springs City Council will conduct a public hearing on Tuesday, March 15, 2016 at 6:45pm, or soon thereafter, in the City Council Room, 474 Broadway, Saratoga Springs, New York, to hear all persons who wish to speak for or against the Saratoga Springs Community Development Citizen Advisory Committee recommendations for 2016 Entitlement grant funding. Total 2016 funding is $313,699 and $1,048 in reprogrammed funds from previous years totaling $314,747. The City Council has been presented with the following 2016 funding recommendations:
Shelters of Saratoga – Code Blue Coordinator - $16,150
Wellspring (formally DVRC) - $6,922

Mackenzie Taylor residing in Ballston Spa, New York, being duly sworn, deposes and says that she is the Regional Legal Clerk of **21st Century Media Newspaper, LLC** a Corporation duly organized under the laws of the State of New York; that said Corporation is the publisher of **The Saratogian**, a daily newspaper published in the City of Saratoga Springs, County of Saratoga and State of New York, and that the notice of which the annexed is a printed copy, has been regularly published in **The Saratogian**

ONCE DAILY for ONE DAY

To wit: on the 4th day of March, 2016

Mackenzie Taylor

Sworn to before me this 7th day of March, 2016

Notary Public

DEBRA A. BECK
Notary Public, State of New York
01BE6072229
Qualified in Rensselaer County
Commission Expires April 01, 2018

CITY OF SARATOGA SPRINGS
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM
DRAFT 2016 ENTITLEMENT ACTION PLAN

The Community Development Block Grant Entitlement program is intended to promote activities addressing the objectives of the City's Consolidated Plan, promote affordable housing, neighborhood revitalization, and increased public services to income-eligible residents.

After 2 public hearings, 2 committee meetings and much discussion, the 12-member Community Development Citizen Advisory Committee is recommending 2016 funding for the following activities. Total 2016 funding is $314,747, including $313,699 in 2016 federal funds and $1,048 in reprogrammed funds from previous years.

RECOMMENDED ACTIVITIES:
Shelters of Saratoga – Code Blue Coordinator - $16,150
Wellspring (formally DVRC) – Emergency Shelter Counselor - $6,922
Saratoga County EOC - $9,229
Catholic Charities Mentoring Program - $9,229
Habitat for Humanity – 26 Cherry St. New Affordable Housing - $92,288
Saratoga Affordable Housing Group – Rehab Allen Dr. Apartments - $88,180
Legal Aid Society – Public Facility Rehabilitation - $12,920
Rebuilding Together Rehabilitation Projects - $11,536
Franklin Community Center – Public Facility Rehabilitation - $8,306
Community Development Program Administration - $59,987

A Public Hearing is scheduled for Tuesday, March 15 and a 30-day public input period will occur through April 3. It is anticipated that the City Council will vote on these recommendations at the April 19 City Council meeting. The final 2016 Entitlement Action Plan will then be submitted to the U.S. Dept. of Housing & Urban Development for approval.

The Draft 2016 Entitlement Action Plan is available for review at the Saratoga Springs Public Library reference desk, the Saratoga Springs Housing Authority, on the City's Community Development website at: http://saratoga-springs.org/cd/, and in the Community Development Office, room 10, City Hall, Saratoga Springs, NY 12866.

Written comment may be submitted to the Community Development Office through 3 April 2016. For additional information, please contact the Community Development Office at (518) 587-3550 ext. 2575.

1X 3/8/16

– The Saratogian

Bill to: Office of Community Development, City Hall, 474 Broadway, Saratoga Springs, NY 12866
Account #: 9738

constructed. The lease term is 25 years. The rent is an annual payment of $50,000 subject to 10% increase every 5th year. In addition, the City Center Authority will pay City 50% of excess revenue. An advisory committee will be formed regarding parking rates.

Commissioner Franck stated lease is well written. From the best he can tell, 1.62 acres is the land lease of which 61.83% of the lot is being leased – less than $.71 per sq ft.

Commissioner Madigan thanked Vince for his attention to detail.

Commissioner Franck asked how they arrived ad $50,000 as the annual amount.

Mr. Baker stated this is a starting point.

Mayor Yepsen stated they will be asked to make some decisions over the next month. She too would like to obtain a copy of the parking study and see any information on finances and projections.

Commissioner Mathiesen stated this started in 2014. A lot of changes were made over the time and thanked the land use boards for all their time they put into this.

Mark Baker stated this is a project people have been engaged in.

Commissioner Madigan thanked Mark and Vince for their time.

CONSENT AGENDA

Mayor Yepsen moved and Commissioner Franck seconded to accept the consent agenda as follows:

1. Approval of 2/1616 City Council Meeting Minutes
2. Approval of 2/16/16 Pre-Agenda Meeting Minutes
3. Approve Budget Amendments (Increases)
4. Approve Budget Transfers – Regular
5. Approve Budget Transfers – Regular (Capital)
6. Approve Payroll: 2/19/16 $441,422.55
7. Approve Payroll: 2/26/16 $495,224.18
8. Approve Warrant: 2015 Mid – 15MWDEC8: $22,502.62
9. Approve Warrant: 2016 Mid – 16MWFEB3: $96,057.78
10. Approve Warrant: 2016 REG – 16MAR1: $666,374.59

Ayes - All

Council took a break at 9:10 pm

Council returned at 9:16 pm.

MAYOR'S DEPARTMENT

Discussion: 2016 Citizens Community Advisory Committee Recommendations

Brad Birge stated the federal government provides the program with a set amount. This year we received $314,000 to distribute.

Rich Ferguson advised they had $454,000 in asks with $314,000 to give away. Recommendations are in front of the Council for their final decision. They stressed the HUD guidelines and priorities.

Commissioner Madigan stated the senior center has regularly requested funds for outreach. She encouraged the number for the senior center be looked at for funding for their outreach.

Mayor Yepsen confirmed there is criteria that needs to be followed.

Rich stated there is an 8 factor matrix used to provide them a guideline. Only 15% of this program can go to the non-bricks and mortar.

Brad advised tonight sets the 30 day public hearing.

Set Public Hearing: 2016 Citizens Community Advisory Committee Recommendations

Mayor Yepsen set a public hearing for Tuesday, March 15, 2016 at 6:45 p.m.

Set Public Hearing: Amend Capital Budget for Geyser Road Trail Project

Mayor Yepsen set a public hearing for Tuesday, March 15, 2016 at 6:40 p.m.

Set Public Hearing: Amend Capital Budget for the Complete Streets Project

Mayor Yepsen set a public hearing for Tuesday, March 15, 2016 at 6:35 p.m.

Discussion and Vote: Authorization for Mayor to Sign Contract Addendum with New York State Energy Research and Development Authority (NYSERDA) (16-064)

Mayor Yepsen stated the following changes have been incorporated into the NYSERDA agreement No. 39520:

- Page 1, Item 6, Project Period - delete the dates "August 13, 2014 – March 31, 2016" and substitute the dates "August 13, 2014 – June 30, 2017".
- Exhibit A, Statement of Work, Payment Schedule - delete in its entirety and substitute the attached Payment Schedule revised February 17, 2016.
- Exhibit B, Terms and Conditions, Article XV - update contact information to Name: Joanne Yepsen, Title: Mayor, Email Address: joanne.yepsen@saratoga-springs.org.

No other provision of this agreement are otherwise changed or modified. The City of Saratoga Springs requested a 15 month no-cost extension as the current contract expired on March 31, 2016. NYSERDA requested that the contact information be updated to the city staff responsible for approval of invoices to NYSERDA.

Mayor Yepsen moved and Commissioner Madigan seconded to authorize the mayor to contract addendum with NYSERDA.

Ayes - All

Discussion and Vote: Merit for Review – Referral to Planning Board and Design Review Commission for Advisory Opinion on Inclusion of 2 Historic Properties within City Historic District (16-065)

Mayor Yepsen advised 2 property owners have approved the City to have their properties included within the City's historic overlay district. The parcels are 199 Woodlawn Avenue; tax parcel #165.36-1-32 and 200 Woodlawn Avenue; tax parcel #165.36-1-11.

Mr. Gold stated his property was built in 1897 as a stable for 632 Broadway. They don't want their properties and investments made, to be torn down by future owners.

Mayor Yepsen opened the public hearing at 7:47 p.m.

Brad Birge of the Planning Department this public hearing and the next relates to the agreement with Greenman Pederson for additional engineering services to bring the Geyser Road Bike Pedestrian Trail to final design and move forward with that.

Mayor Yepsen asked for the public to speak on this issue or the next at this time.

Molly Gagne of Saratoga Springs thanked the Council for supporting this. Everyone is anxious to see this start. They are noticing original owners are selling their homes and the new families have little children. This is exactly why we are doing this project.

Wally Elton of Curt Boulevard stated the changes described have turned this trail into a cutting edge kind of bike/pedestrian facility. It will put us in the lead on this.

Mayor Yepsen closed this public hearing and the next at 7:57 p.m.

Amend Capital Budget for Geyser Road Trail Project

Mayor Yepsen covered this public hearing with the one above.

2016 Citizens Community Advisory Committee Recommendations

Mayor Yepsen opened the public hearing at 7:57 p.m.

Mayor Yepsen thanked the Committee for their work.

Brad Birge advised they had 14 applications in total and only had $313,000 to work with. Once this public hearing is closed they have to finish the 30 day public comment period and vote on it the second meeting in April or first meeting in May. Then it goes to federal government for approval.

Rich Ferguson thanked the Council for the opportunity.

No one spoke.

Mayor Yepsen closed the public hearing at 8:02 p.m.

Comprehensive Plan Amendment – Institutional Design to Prior Location

Mayor Yepsen opened the public hearing at 8:02 p.m.

Mayor Yepsen recused herself from this public hearing.

Commissioner Mathiesen this is to bring the Comprehensive Plan map boundaries on Myrtle Street and Morgan Street back to where they were. If all 5 members of the Council voted on this in 2015, why can't she vote on this now?

Mayor Yepsen stated she feels it is best. There was no specific project before the Council at the time of the vote in 2015.

Commissioner Franck recused himself from this public hearing. When they voted previously it was on the Comprehensive Plan which is city-wide. This is not city-wide but in relation to a specific piece of property.

Hall; there is potential for putting offices up there and the courts are looking for additional space. A theater district would be a year round economic powerhouse. Theater patrons would generate revenue for the proposed parking on High Rock. This would use about 1/3 of the High Rock lot. The time is now to give the City back part of its history. (copy of presentation attached) His department is ready to put a RFP together for a feasibility study and a market evaluation.

Commissioner Madigan stated she is not sure how UPH would work together with this idea. We are missing a theater piece to our downtown. She believes a feasibility study is the next step.

Commissioner Scirocco stated this would be no cost to the City. There are developers that do this sort of thing and professional theater groups that operate this.

Commissioner Mathiesen asked if this would replace the function of the music hall.

Commissioner Scirocco stated he is not sure. The consultants are looking at using that space for the courts or office space for City Hall.

CONSENT AGENDA

Mayor Yepsen moved and Commissioner Mathiesen seconded to accept the consent agenda as follows:

1. Approval of 2/2516 High Rock Technical Review Committee Meeting Minutes
2. Approval of 2/29/16 Pre-Agenda Meeting Minutes
3. Approval of 3/1/16 City Council Meeting Minutes
4. Approval of 3/14/16 Pre-Agenda Meeting Minutes
5. Approve Budget Amendments (Increases)
6. Approve Budget Transfers – Regular
7. Approve Payroll: 3/18/16 $444,705.02
8. Approve Payroll: 3/25/16 $478,144.36
9. Approve Payroll: 4/1/16 $472,242.26
10. Approve Warrant: 2016 Mid – 16MWAPR1: $2,969,394.57
11. Approve Warrant: 2016 Mid – 16MWMAR2: $14,813.81
12. Approve Warrant: 2016 Mid – 16MWMAR3: $93,576.63
13. Approve Warrant: 2016 REG – 16APR1: $672,032.94

Commissioner Madigan pointed out the $5,000 for SLPD is included in the consent agenda.

Ayes – All

MAYOR'S DEPARTMENT

Discussion and Vote: 2016 Community Development Block Grant Allocations (16-113)

Mayor Yepsen advised of the following recommendations:

- Shelters of Saratoga Code Blue Program _ $16,150
- Wellspring Homeless Prevention - $6,922
- Saratoga County Economic Opportunity Council - $9,229
- Catholic Charities Mentoring Program - $9,229
- Habitat for Humanity - $92,288
- Saratoga Affordable Housing Group Allen Dr. Apartments - $88,180
- Legal Aid Society of Northeastern New York - $12,920
- Rebuilding Together Saratoga County - $11,536
- Franklin Community Center - $8,306

- Community Development Program Administration - $59,987

Mayor Yepsen moved and Commissioner Mathiesen seconded to approve the 2016 Community Development Block Grant recommendations from our Community Development Citizens Advisory Committee as distributed with the final agenda.

Ayes - All

Announcement: Update – 2016 HUD Regional Summit – April 10th & 11th

Cindy Phillips, of the Community Development Department, advised we are getting a lot of late registrations and will be over the number originally expected. There has been a lot cooperation for this event within the City. She thanked the Saratoga Convention and Tourism Bureau, the Chamber of Commerce, and Saratoga Tours.

Mayor Yepsen stated they will bring back a full financial report to the Council.

Discussion and Vote: Approval or the Mayor to Sign the Fair Housing Proclamation (16-114)

The Council waived the reading of the following proclamation:

FAIR HOUSING MONTH

WHEREAS, April marks the 48th anniversary of the passage of the Fair Housing Act of 1968, which sought to eliminate discrimination in housing opportunities and to affirmatively further housing choices for all Americans; and

WHEREAS, the ongoing struggle for dignity and housing opportunity for all is not the exclusive province of the Federal government; and

WHEREAS, vigorous local efforts to combat discrimination can be as effective, if not more so, than Federal efforts; and

WHEREAS, illegal barriers to equal opportunity in housing, no matter how subtle, diminish the rights of all;

NOW, THEREFORE, BE IT RESOLVED, that in the pursuit of the shared goal and responsibility of providing equal housing opportunities for all men and women, the City of Saratoga Springs, New York does hereby join in the national celebration by proclaiming APRIL 2016 as FAIR HOUSING MONTH and encourages all agencies, institutions and individuals, public and private, in Saratoga Springs to abide by the letter and the spirit of the Fair Housing law.

Mayor Yepsen moved and Commissioner Scirocco seconded to authorize the mayor to sign the Fair Housing Proclamation that was distributed with the final agenda.

Ayes - All

Discussion and Vote: Authorization for Mayor to Sign 3-Way Contract with Saratoga Co. IDA and GPI to Advance Geyser Road Project

Mayor Yepsen advised she is pulling this item from her agenda.

Discussion and Vote: City Council Adoption of a Resolution Authorization Exemption and Payment in Lieu of Taxes Agreement for Raymond Watkin Apartments (16-116)

The City Council waived the reading of the following resolution:

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF SARATOGA SPRINGS, NEW YORK

Authorizing Exemption and Payment-in-Lieu of Taxes Agreement for Raymond Watkin Apartments

WHEREAS, the City of Saratoga Springs(the "City") encourages a sufficient supply of adequate, safe and sanitary dwelling accommodations properly planned for senior citizens having household incomes less than or equal to sixty percent (60%) of area median income for Saratoga County, adjusted for family size ("AMI"); and

WHEREAS, the Raymond Watkin Apartments located at 57 Ballston Avenue, City of Saratoga Springs, Saratoga County, New York, Tax Map Section 165, Block 1, Lot 1 (the "Land"), upon which SNS Development Company LP (the "Owner") has developed and operated a single building containing approximately one hundred twelve (112) residential units, one hundred eleven (111) of which have been designated for senior citizens of low income (the "Project"), has served to meet a critical need for affordable senior housing in the City since the project was originally developed in 1978; and

WHEREAS, the Project was originally developed by their Owner in the Form of a Redevelopment Company under Article V of the New York Private Housing Finance Law ("PHFL") subject to City consent; and